## **PRACTICAL GENETICS**

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# Renal coloboma syndrome

Renal coloboma syndrome (RCS), also called papillorenal syndrome, is an autosomal dominant condition characterized by optic nerve dysplasia and renal hypodysplasia. The eye anomalies consist of a wide and sometimes excavated dysplastic optic disc with the emergence of the retinal vessels from the periphery of the disc, frequently called optic nerve coloboma or morning glory anomaly. Associated findings may include a small corneal diameter, retinal coloboma, scleral staphyloma, optic nerve cyst and pigmentary macular dysplasia. The kidney abnormalities consist of small and abnormally formed kidneys known as renal hypodysplasia. Histologically, kidneys exhibit fewer than the normal number of glomeruli and these glomeruli are enlarged, a finding called oligomeganephronia. Consequences of the ocular malformations include decreased visual acuity and retinal detachment. Consequences of the renal hypodysplasia include hypertension, proteinuria and renal insufficiency that frequently progresses to end-stage kidney disease. High frequency hearing loss has been reported. Autosomal dominant mutations in *PAX2* can be identified in nearly half of all patients with clinical findings suggestive of RCS, however, the majority of published cases have mutations in *PAX2*, thus biasing the known information about the phenotype.

## In brief

- Renal coloboma syndrome (RCS) is an autosomal dominant condition characterized by optic nerve dysplasia, often described as a coloboma and renal hypodysplasia.
- Optic nerve malformations include optic nerve coloboma, optic nerve dysplasia, morning glory anomaly and cystic malformation of the optic nerve. Other eye malformations may include retinal coloboma, microphthalmia and macular dysplasia. Iris coloboma is not typically observed.
- Renal and urinary tract malformations include renal hypodysplasia, vesicoureteral reflux and in severe cases, can present *in utero* with oligohydraminos, severe renal hypodysplasia or aplasia.

- In 50% of patients who have characteristic eye and kidney malformations, mutations in one copy of *PAX2* can be identified.
- Isolated renal hypoplasia is an allelic condition. Nearly 10% of children with renal hypodysplasia will have point mutations in *PAX2* and for some, on subsequent eye examination, subtle characteristic eye abnormalities may be identified.
- Patients with characteristic eye abnormalities should undergo evaluation of both kidney structure and function.
- Patients with renal hypodysplasia should undergo an ophthalmologic evaluation for eye findings characteristic of RCS.
- Affected individuals require management by specialists in nephrology and ophthalmology.

## INTRODUCTION

Renal coloboma syndrome (RCS) also known as papillorenal syndrome describes a condition consisting of optic nerve dysplasia (frequently described as a coloboma) and renal malformations (OMIM 120330). The inheritance pattern is autosomal dominant. The first clear description of RCS was made by Weaver *et al*<sup>1</sup> in 1988 in two brothers who had end-stage kidney disease with interstitial nephritis and optic nerve colobomas. In 1995, the association of RCS with autosomal dominant mutations in the transcriptional

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regulator, *PAX2*, was made in a two generation family with optic nerve colobomas, vesicoureteral reflux and renal dysplasia.<sup>2,3</sup> Later that year, an autosomal dominant mutation in *PAX2* was identified in the family described by Weaver *et al*<sup>4</sup> confirming the association of *PAX2* with RCS.

There are differences of opinion regarding the name of this condition between observers. RCS is the more common appellation in PubMed with 131 citations identified using the search term 'Renal Coloboma syndrome' and 18 citations identified using the search term 'Papillorenal syndrome' (PubMed search was performed November 26, 2010). The difference in nomenclature derives from differences in opinion regarding the description of the eye phenotype. To many ophthalmologists, the eye findings fall under the phenotype called 'coloboma',<sup>5</sup> meaning a condition that results from failure of the optic fissure to close during eye development. To others, the optic nerve findings should be described using the more general term, 'dysplasia'6 with the preference for 'papillorenal' syndrome where the word 'papilla' refers to the optic papilla. In animal models, specifically mouse and zebrafish, Pax2/pax2a is expressed in the optic fissure; homozygous mutations lead to optic fissure closure defects and heterozygous mutations lead to similar defects as observed in human patients.7

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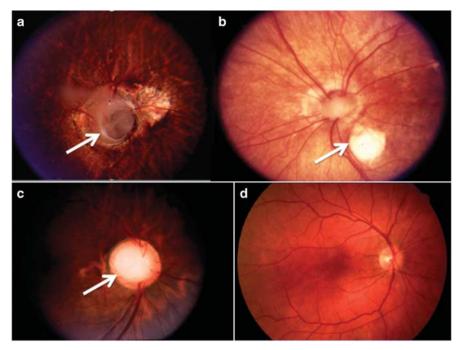


Figure 1 Fundus photographs from three patients with renal coloboma syndrome (a–c) and a normal retina for comparison (d). (a) Left retina from a patient with RCS and *PAX2* mutation c.76dup.<sup>14</sup> The arrow denotes a deeply excavated optic disc. (b) Right retina from a patient with RCS and *PAX2* mutation c.76dup.<sup>14</sup> The arrow denotes a deeply excavated optic disc. (b) Right retina from a patient with RCS and *PAX2* mutation c.76dup.<sup>14</sup> The arrow denotes a deeply excavated optic disc. (b) Right retina from a patient with RCS and *PAX2* mutation c.76dup.<sup>13</sup> The optic disc is enlarged and excavated. In all three retinae from patients with renal coloboma syndrome the retinal vessels emerge from the edge of the disc rather than the center. (d) A normal retina for comparison. Note that the typical optic nerve is smaller, compact and the retinal vessels emerge from the center of the disc.

#### **CLINICAL OVERVIEW**

Clinical findings of RCS occur primarily in the ocular and renal systems with reported auditory and central nervous system findings in individual cases. Organ specific findings correspond to tissue-specific *PAX2* expression during early embryonic development; the eye, the inner ear, the midbrain/hindbrain, the spinal cord and the urogenital system.<sup>7–11</sup>

#### **Ocular findings**

Eye findings reported in RCS have been reported in 85 patients who have mutations in *PAX2*.<sup>9,12</sup> The characteristic eye findings include an enlarged optic disc with blood vessels that emerge from the periphery of the disc (optic nerve head) rather than the center of the disc (Figure 1). The disc can be deeply excavated and dysplastic with grey gliotic tissue emerging from the center of the disc. The retinal blood vessels are tortuous and increased in number compared with normal. Reported named findings include optic nerve dysplasia, optic disc coloboma and morning glory anomaly. Associated abnormalities include retinal coloboma, scleral staphyloma, small corneal diameters, optic nerve cyst (Figure 2) and microphthalmia.<sup>12–14</sup> Iris colobomas have not been identified to date in patients with mutations in *PAX2*.<sup>15</sup> Foveal hypoplasia as well as macular abnormalities have been observed.<sup>12,16,17</sup>

Visual acuity ranges from normal to significant visual impairment with blindness. Nystagmus has been documented in a number of reports.<sup>3,12,16,18</sup> Anisometropic visual acuity has been reported with some as extreme as 20/20 in one eye and light perception in the other.<sup>12</sup> Strabismus has been reported but may or may not be part of the syndrome. Many patients exhibit myopia of various degrees.<sup>3,5,14,18</sup> A recent report in a single patient identified calcified keratopathy, possibly secondary to renal failure and posterior lens luxation.<sup>19</sup> Other



Figure 2 MRI of an optic nerve cyst in an affected patient with PAX2 mutation c.76dup denoted by the black arrow.  $^{14}$ 

sequelae include retinal detachment. Visual loss may be progressive over time (unpublished) but the mechanism of late onset loss is unknown.

#### **Renal findings**

Renal malformations or insufficiency are identified in nearly all patients with RCS and are frequently identified before the eye malformations.<sup>5,20</sup> Renal findings in individuals with mutations in *PAX2* include renal hypoplasia,<sup>3</sup> renal hypodysplasia,<sup>21</sup> multicystic



Figure 3 Histologic image of a single glomerlus from a patient with PAX2 mutation c.76dup exhibiting mesangial fibrosis and glomerulosclerosis.  $^{13}$ 

dysplastic kidney,<sup>22</sup> oligomeganephronia<sup>23</sup> and horseshoe kidney.<sup>24</sup> Renal hypoplasia and hypodysplasia describes small malformed kidneys that have fewer glomeruli that at some point may develop end-stage kidney disease. In studies of children identified for renal hypodysplasia, 10% will have mutations in *PAX2*.<sup>21,25,26</sup> Multicystic dysplastic kidney has been identified in 10% of reported cases. Oligomeganephronia refers to kidneys where nephron number is strikingly reduced with structurally intact nephrons except for compensatory glomerular hypertrophy.<sup>23</sup> Ultrasound findings show increased echogenicity as well as small size for age.<sup>3</sup> Histologic findings can include glomerulosclerosis and mesangial fibrosis<sup>1,13</sup> (Figure 3).

End-stage renal disease (ESRD) or renal failure can occur at any time, even within the same family with identical mutations in *PAX2*. ESRD may present prenatally with severely hypoplastic or aplastic kidneys and oligohydramnios resulting in fetal loss.<sup>18,27</sup> ESRD has been identified shortly after birth<sup>3,18</sup> and can occur as late as the seventh decade.<sup>12,18</sup> A single case of a surviving infant with Potter sequence, optic nerve malformations and a *PAX2* mutation has been reported.<sup>28</sup>

Other renal findings include proteinuria, hypertension and vesicoureteral reflux. Vesicoureteral reflux may be an associated finding and although the majority may undergo spontaneous resolution, severe cases may be complicated by recurrent urinary tract infections and hydronephrosis necessitating surgical intervention.<sup>3,18,22</sup>

## Auditory system

High frequency hearing loss presenting in childhood can be identified in up to 10% of affected individuals.<sup>9</sup> High frequency hearing loss is unusual in childhood. In embryogenesis, *Pax2* expression is critical for cochlear development and loss of *Pax2* expression in the otic placode in knockout mice results in complete loss of cochlear development.<sup>29</sup> The high frequency hearing loss in RCS appears to be a significant recurrent observation rather than related to age-related hearing loss.

## Other clinical associations

Ligamentous laxity has been observed in a number of patients, but the underlying mechanism is uncertain.<sup>3,14,18</sup> Chiari 1 malformation has been identified in a single patient with a *PAX2* mutation.<sup>14</sup> There are three reported individuals with developmental disability, although the majority of patients with *PAX2* mutations have normal intellect.<sup>13,19,30</sup>

## ALLELIC CONDITIONS

Mutations in *PAX2* have been identified in patients with isolated renal hypoplasia. In a series of 20 patients with renal hypoplasia, two were identified to have mutations in *PAX2*,<sup>25</sup> one were subsequently found

to have an optic nerve coloboma. In a study of children with renal hypoplasia, six out of ninety-nine probands were identified to have mutations in *PAX2*.<sup>21</sup> In a recent survey of 20 children and young adults with congenital malformations of the urinary tract (CAKUT), two probands were identified to have *PAX2* mutations and one subsequently was found to have optic nerve abnormalities.<sup>26</sup>

## DIAGNOSTIC CRITERIA

Formal diagnostic criteria for RCS have not been established, however, in our clinical experience, patients who have the classical findings of optic nerve dysplasia or coloboma as well as renal hypodysplasia, nearly half will have mutations in  $PAX2^{31}$  (Bower and Schimmenti, unpublished observations). It is recommended that patients with renal hypodysplasia be evaluated by an ophthalmologist for retinal examination with an eye for optic nerve malformations characteristic of RCS. Conversely, patients with optic nerve dysplasia should undergo additional evaluation consisting of blood pressure measurement, electrolytes, BUN/creatinine, urine analysis to evaluate for proteinuria and a renal ultrasound.

## DIFFERENTIAL DIAGNOSIS

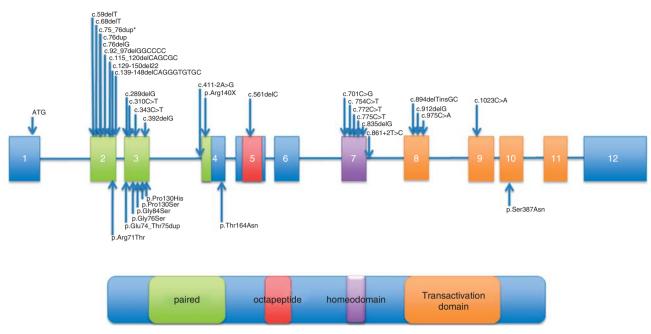
The differential diagnosis of RCS includes conditions where colobomas and renal anomalies have been identified. CHARGE syndrome (coloboma, heart malformations, atresia choanae, retardation of growth and development, genital anomalies, ear and hearing abnormalities) has overlap in that retinal and optic nerve colobomas are found.<sup>32</sup> Patients with RCS do not have craniofacial abnormalities or cognitive difficulties typical of CHARGE syndrome. Oligomeganephronia is a common histologic finding in renal hypodysplasia and is not pathognomonic for RCS. Other conditions with renal hypodysplasia include deletions of distal 4p, branchio-oto-renal syndrome and mutations in *HNF1beta*.<sup>33,34</sup> There is significant overlap with eye findings in patients with PAX6 mutations, but renal anomalies are lacking.<sup>35</sup> Patients with COACH or Joubert syndrome can have both colobomas and renal dysplasia leading to end-stage renal disease, however, patients with RCS will not have developmental disability, cerebellar hypoplasia, cerebellar dysfunction and hepatic dysfunction. Cat eye syndrome, or tetraploidy 22q, can have clinical overlap, however, typical iris colobomas are not typically observed.

## MANAGEMENT

Management centers around sub-specialty care for the renal and ophthalmologic problems associated with RCS. Evaluations of affected patients should include biochemical tests of renal function, renal ultrasound, urine analysis with attention to proteinuria, blood pressure measurements and evaluation for vesicouretal reflux if clinically indicated. Long-term follow-up by a nephrologist is indicated for management of proteinuria, hypertension and prevention of complications associated with declining renal function and management of dialysis and transplantation when needed. Affected individuals should have close ophthalmologic management to prevent complications associated with retinal detachment. Low vision experts may be necessary to care for those patients with significant visual impairment. Audiologic evaluation for hearing loss is indicated as high frequency hearing loss may interfere with verbal communication.

## GENETIC TESTING

To date, *PAX2* mutations have been the only identified genetic cause associated with RCS. The majority of mutations are deletions or duplications of a single nucleotide, missense mutations, nonsense mutations or small deletions or duplications of two or more



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Figure 4 Illustration of the genomic structure of PAX2 from chromosome 10q24 covering nucleotides 102 505 468-102 589 697 (hg19 assembly). Exons 2, 3 and part of 4 (green) encode the paired domain of the PAX2 protein illustrated below. The octapeptide domain (pink), truncated homeodomain (purple) and transactivation domain (orange) are indicated. Published mutations are noted by arrows over their corresponding exons. The asterisk denotes the recurrent mutation c.76dup. Mutation notation has been updated from original publications. Each mutation has been reported for the first time in the following references: 2, 4, 5,12-16, 20-25, 27, 30, 37, 38, 52. This figure is not drawn to scale.

nucleotides occurring in exons 2, 3 and 4 encoding the paired domain.<sup>36</sup> The most prevalent mutations are frame shift mutations leading to a premature stop occurring in exons encoding the paired domain (Figure 4). Missense, nonsense and frameshift mutations have been reported. Genotype/phenotype correlations between mutations in the paired domain have not been identified.<sup>37</sup> Mutations that occur in the exon 7, 8 and 9, may have a more severe renal phenotype than eye phenotype,<sup>24,38</sup> but there is significant variability of the phenotype even within single families.

The most common recurrent mutation is an insertion of a guanine residue in a string of seven guanines in exon 2, c.76dup and has been reported numerous times. This mutation occurs in a string of seven guanines in exon 2, a mutational hotspot; most mutations are de novo and have occurred worldwide in various ethnic groups. Deletions as well as two base pair (GG) duplications have been reported.4,14,20

Only three large genomic abnormalities of PAX2 have been reported. There is a single report of a large genomic rearrangement resulting from a translocation occurring between exons 3 and 4 resulting in a phenotype consistent with RCS.<sup>39</sup> A single patient who presented with renal malformations but had a normal eye examination was identified to harbor a 7.9 Mb deletion at 10q24 encompassing the PAX2 locus.40 Recently, a small deletion of PAX2 locus was identified by high-resolution array CGH<sup>41</sup> in a patient with both renal and ocular findings of RCS. A systematic evaluation of patients with a RCS phenotype for genomic abnormalities has not yet been reported.

The current literature suggests that point mutations within the coding region of the PAX2 gene may not be the only cause of RCS. In a series of 17 patients with a phenotype consistent with RCS, point mutations in PAX2 were identified in nearly half.<sup>31</sup>

#### GENETIC COUNSELING

Mutations in PAX2 are inherited in an autosomal dominant manner although de novo mutations are not uncommon.13 Affected individuals have a 50% chance of passing on RCS with each pregnancy. Gonosomal mosaicism has been observed in an unaffected parent who had affected children.<sup>20</sup> Prenatal diagnosis or pre-implantation genetic testing is possible if the mutation in a family has been identified.

## ANIMAL MODELS

Animal models and studies in early retinal development provide evidence to suggest that PAX2 and its role in optic fissure formation and closure are important in understanding the observed eye abnormalities in RCS. Ocular development begins with the paired formation of optic vesicles from the lateral forebrain. Ventral infolding of the optic vesicle allows development of the optic stalk proximally and optic cup distally with formation of a ventral groove called the optic fissure. The optic fissure provides a conduit and guidance for entrance of vascular cells into the retina and an exit for the retinal axons. The term 'coloboma' describes ocular defects where a hole or gap is observed, presumably from failure of closure of the optic fissure.<sup>42</sup> In both mice and zebrafish, homozygous mutations in Pax2/pax2a result in failed optic fissure closure and a defect resembling chorioretinal coloboma, whereas studies in Pax2 haploinsufficient mice demonstrate that the optic fissure fails to extend into the optic stalk with a dysplasic optic disc.43,44 This suggests that in the presence of a PAX2 mutation, the observed optic malformations result from optic fissure defects and supports the notion that the observed abnormalities in RCS should be considered in the continuum of malformations described as coloboma.

Retinal vascular malformations may be secondary to optic fissure malformation and result from abnormal PAX2 expression. In the developing mouse optic stalk, Pax2 expressing astrocytes migrate into the optic stalk ahead of entering vascular cells and serve as a guide or scaffold to the developing vasculature.45

In zebrafish, both homozygosity for *pax2a* null and hypomorphic alleles result in midbrain/hindbrain anomalies with loss of the isthmus, a structure orthologous to the midbrian/hindbrain of mammals.<sup>46</sup> Homozygous embryos have optic fissure closure defects and die within a few days from hatching due to severely impaired renal function.

The role of Pax2 in kidney and gonadal structure development has been studied in mouse models. In mice and other amniotes, the pronephros, the nephric duct and subsequent mesonephros arise from paraxial mesoderm. The definitive kidney, or metanephros arises from the interaction of the ureteric bud, a caudal outpouching of the nephric duct with the overlying mesenchyme. Branching of the ureteric bud within the metanephric blastema results in the formation of the definitive kidney structure.<sup>47</sup> Pax2 expression appears critical for formation of the nephric duct and the ureteric bud as homozygous knockout mice fail to develop kidneys, ureters and genital tracts.<sup>48</sup>

The mechanisms of renal hypoplasia and vesicoureteral reflux has been sought in mouse models. Favor et al (1995) described a mouse model, Pax2<sup>1Neu</sup> with the identical common human allele c.76dup. In the heterozygous state, Pax2<sup>1Neu</sup> mice have similar eye and kidney abnormalities as human patients with RCS.<sup>49</sup> In this model, heterozygous mice have significantly smaller kidneys with fewer glomeruli and show increased rates of apoptotic cell death compared with wildtype controls demonstrating that Pax2 prevents cell death and reduced Pax2 dosage results in increased cell death, smaller kidneys and fewer glomeruli.38 Furthermore, in studies of heterozygous mice using a green fluorescent protein reporter for cells of the nephric duct, reduced branching of the ureteric bud within the metanephros leads to fewer, and apparently larger, glomerulae. This suggests a major role for Pax2 in ureteric bud branching and supports observations that Pax2 is necessary for mesenchymal to epithelial transformation that occurs within the metanephros.<sup>50,51</sup> Heterozygous Pax2<sup>1Neu</sup> mice exhibit a high rate of vesicouteral reflux with the associated complication of hydroureter, hydronephrosis and urinary tract infections. The ureteric bud emerges further caudal compared with controls, implants for a shorter distance within the bladder wall and exhibits a higher rate of malformations such as duplicated collecting system.<sup>50</sup>

## CONCLUSIONS AND FUTURE DIRECTIONS

RCS is an autosomal dominant condition characterized by optic nerve coloboma or dysplasia and renal hypodysplasia. Mutations in *PAX2* may be identified in nearly half of all patients with this condition. Recognition of this rare condition will allow for improved diagnosis and management.

Future areas for research development include identification of the genetic basis of RCS in phenotypically affected patients who are not identified to have point mutations in *PAX2*. Development of testing algorithms that include methods for identification of deletions at the *PAX2* locus and studies to identify other loci associated with RCS are two priority areas of clinical research.

Further research is needed to understand the longitudinal course of RCS, particularly long-term visual outcome. Basic science research in both mouse and fish models are needed to determine the role of Pax2/ pax2a in optic fissure development and the role of PAX2 in retinal vasculature patterning.

#### **CONFLICT OF INTEREST**

The author declares no conflict of interest.

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