



## Three-dimensional evaluation of upper anterior alveolar bone dehiscence after incisor retraction and intrusion in adult patients with bimaxillary protrusion malocclusion\*

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**Abstract:** Objective: The purpose of this study was to evaluate three-dimensional (3D) dehiscence of upper anterior alveolar bone during incisor retraction and intrusion in adult patients with maximum anchorage. Methods: Twenty adult patients with bimaxillary dentoalveolar protrusion had the four first premolars extracted. Miniscrews were placed to provide maximum anchorage for upper incisor retraction and intrusion. A computed tomography (CT) scan was performed after placement of the miniscrews and treatment. The 3D reconstructions of pre- and post-CT data were used to assess the dehiscence of upper anterior alveolar bone. Results: The amounts of upper incisor retraction at the edge and apex were (7.64±1.68) and (3.91±2.10) mm, respectively, and (1.34±0.74) mm of upper central incisor intrusion. Upper alveolar bone height losses at labial alveolar ridge crest (LAC) and palatal alveolar ridge crest (PAC) were 0.543 and 2.612 mm, respectively, and the percentages were (6.49±3.54)% and (27.42±9.77)%, respectively. The shape deformations of LAC-labial cortex bending point (LBP) and PAC-palatal cortex bending point (PBP) were (15.37±5.20)° and (6.43±3.27)°, respectively. Conclusions: Thus, for adult patients with bimaxillary protrusion, mechanobiological response of anterior alveolus should be taken into account during incisor retraction and intrusion. Pursuit of maximum anchorage might lead to upper anterior alveolar bone loss.

**Key words:** Alveolar bone loss, Adult patients, Computed tomography, Three-dimensional registration

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### 1 Introduction

The tooth-alveolar bone complex is a complicated mechanical unit combining both mineralized and periodontal soft tissues in orthodontic tooth movement, of which the main function is to transfer

the occlusal force from the tooth to the surrounding bone. However, little is known about alveolar bone adaptation during incisor retraction and intrusion, especially in adult patients with maximum anchorage. Despite the fact that the relationship between maximum anchorage and tooth displacement has been well-recognized (Lai *et al.*, 2008; Yao *et al.*, 2008; Liu *et al.*, 2011), retrospective alveolar bone loss assessment remains to be established. Meikle (1980) and Fuhrmann (1996; 2002) discovered that retraction of the upper anterior tooth might induce dehiscence, even fenestration in the cortical plate. Edwards (1976) and Hwang and Moon (2001) reported the limitation

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of alveolar bone modeling and remodeling during retraction and intrusion of maxillary incisors. Kim Y. *et al.* (2009) evaluated alveolar bone loss around incisors in surgical skeletal Class III patients, and Nelson and Artun (1997) showed the relationship between age and alveolar bone loss. Wehrbein *et al.* (1995) and Evangelista *et al.* (2010) appraised the prevalence of alveolar bone dehiscence in untreated patients and subjects who have undergone tooth retraction. However, Decker and Chen (2009) demonstrated good upper alveolar bone adaptation after 32 years of follow-up by case report. Shimpo *et al.* (2003) thought that lingual alveolar bone height was maintained due to bone formation during moving first molar lingually in rats. Further research is necessary for alveolar bone dehiscence involving large incisor retraction and intrusion in adult patients with maximum anchorage.

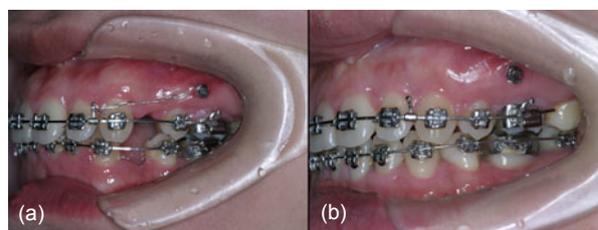
In order to assess dentoalveolar morphology in both sagittal and vertical dimensions, orthodontists often use cephalometric tracings. However, this fails to reveal dehiscence in palatal cortical bone attributed to surrounding bone superimposition (Mah *et al.*, 2010). For this reason, three-dimensional (3D) evaluation is necessary, which could provide 3D displacements for dentoalveolar changes (Vannier, 2003; Nakasima *et al.*, 2005; Garib *et al.*, 2010). Cone beam computed tomography (CBCT) cannot quantify the dentoalveolar changes by pre- and post-treatment 3D registration, due to lack of stable references with 3D craniofacial model (Cevitanes *et al.*, 2010). CT scanning might be an acceptable imaging technique supplying a quantitative assessment of upper alveolar bone by CT registration in clinics (Nelson and Michael, 1998), and might permit an accurate topographical calculation of alveolar bone displacements (Liu *et al.*, 2010). Therefore, this study was designed to evaluate maxillary alveolar bone morphology after incisor retraction and intrusion in adult bimaxillary malocclusion by retrospective 3D registration.

## 2 Materials and methods

### 2.1 Subjects and treatment procedures

This research was accepted by the Research Ethic Committee of Shandong University Dental School. Twenty bimaxillary dentoalveolar protrusion patients

(mean age  $22.28 \pm 3.16$  years) were randomly selected. All patients received information about the procedure including the damage of CT radiation lesion and miniscrew methodologies and gave consent. Then the four first premolars were extracted and the patients were treated by using oriental preadjusted appliance KOSAKA slot brackets (OPA-K, Tomy, Fukushima, Japan), and miniscrews were placed as anchorage for the integral retraction and intrusion of the maxillary tooth. Force of 150 g per side of elastic chains was applied from the miniscrew to the upper crimpable hook to retract and intrude the upper anterior tooth (Fig. 1). The patients were visited at one month intervals.



**Fig. 1 Miniscrews of pre- (a) and post-treatment (b)**  
Miniscrews were placed in the interdental locations between the first molar and second premolar at the attached gingival level height

### 2.2 CT data acquisition

The whole skull CT scan was performed at one week after implanting the miniscrews (T1), and post-treatment (T2), respectively, which was undertaken in the same way by 16-row helical CT. The CT scan was performed perpendicular to the apical-coronal direction on each slice, using the lateral scanogram of the head position to set the gantry angle. The CT data were saved as digital imaging and communications in medicine (DICOM) format.

### 2.3 3D virtual model reconstruction

All 3D models were constructed from CT images with a voxel dimension of  $0.350 \text{ mm} \times 0.625 \text{ mm} \times 0.625 \text{ mm}$ . The bone and tooth structures were separated by the threshold based on Hounsfield unit (HU) in Materialism's interactive medical image control system (MIMICS). In order to include the alveolar regions and exclude tooth structure, a lower limit of 392 HU and a higher limit of 1900 HU were defined. The tooth excluding bone structure was separated with a lower limit of 1500 HU and a higher limit of

3725 HU. The separated and independent masks were created for each part, which allowed for the next generation of individual geometrical files and 3D models. All 3D masks were exported as stereolithography (STL).

#### 2.4 Registration of pre- and post-treatment models

In MIMICS, STL was moved to a certain location by point-registration, which was accomplished by laying the zygomatic arch landmarks (Nada *et al.*, 2011) on pre- and post-treatment STLs and 3D models (Fig. 2). The software calculated the transformation matrix to fit best between the start-end points on STL, and then applied it to the one selected. After point-registration, STL-registration was performed to place STL on the CT-mask to improve the accuracy. In order to ensure the precision, corresponding landmarks were identified repeatedly (minimal point distance filter was 0.10 mm, which was satisfied as Fig. 3). All the registrations were undertaken three times in two weeks and the best one was chosen for measurements.

#### 2.5 3D measurement

After registration, the morphology changes of upper alveolar bone and upper central incisor

displacement were evaluated in 3D model and sagittal slices (Fig. 4). The landmarks identified on each CT scan were: labial alveolar ridge crest (LAC), palatal alveolar ridge crest (PAC), upper incisor crown edge (UICE), upper incisor root apex (UIRA), labial cortex bending point (LBP), and palatal cortex bending point (PBP). The variables measured on each CT scan were shown in Tables 1 and 2. All the measurements were performed by the same investigator.

#### 2.6 Statistical analysis

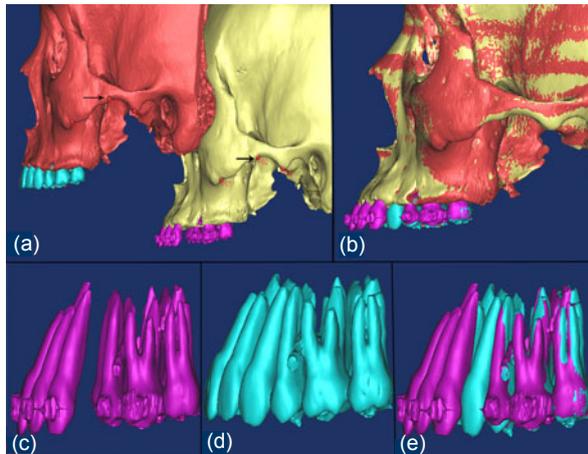
The statistical data of drift distance were analyzed using SAS 9.13 software. The mean and standard deviation (SD) of each variable measurement were estimated. Differences of flexure distance in upper anterior alveolar bone and tooth displacements were assessed for significance using paired *t*-test. Error of the method based on double measurements was performed on twenty randomly selected patients for 3D linear measurements (Dahlberg, 1940; Houston, 1983) and was calculated as:  $s = \sqrt{\sum (d)^2 / 2n}$  ( $d$  is the deviation;  $n$  is the number of paired objects). The error was 0.29 mm for 2D linear measurement. The statistical difference was not significant between two measurements by paired *t*-test.

**Table 1 Definitions of alveolar measurements used**

| Measurement variables                 | Definition                                                                                  |
|---------------------------------------|---------------------------------------------------------------------------------------------|
| UICE to LAC                           | Vertical distance difference from UICE to LAC (T1-T2) measured parallel to long axis        |
| UICE to PAC                           | Vertical distance difference from UICE to PAC (T1-T2) measured parallel to long axis        |
| Bending angle of labial cortex (BAL)  | Angle formed with the points LAC (T1)-LBP-LAC (T2)                                          |
| Bending angle of palatal cortex (BAP) | Angle formed with the points PAC (T1)-PBP-PAC (T2)                                          |
| Bone height loss percentage at LAC    | UICE to LAC (T1-T2)/distance measured perpendicular to long axis from LAC to UIRA (T1)×100% |
| Bone height loss percentage at PAC    | UICE to PAC (T1-T2)/distance measured perpendicular to long axis from PAC to UIRA (T1)×100% |

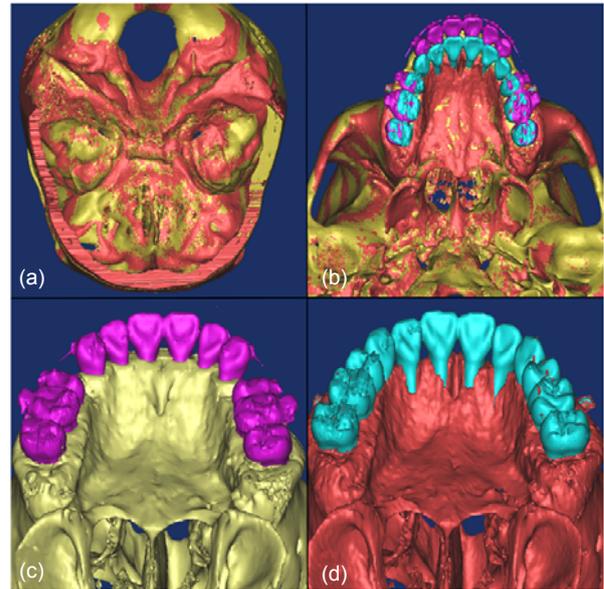
**Table 2 Definitions of teeth measurements used**

| Measurement variables                      | Definition                                                                                                |
|--------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Drift distance of upper incisor crown edge | Upper central incisor retraction amount at edge in sagittal plane                                         |
| Drift distance of upper incisor root apex  | Upper central incisor retraction amount at root apex in sagittal plane                                    |
| Upper incisor intrusion measurement        | Perpendicular distance difference between incisor edge of maxillary central incisor and the palatal plane |



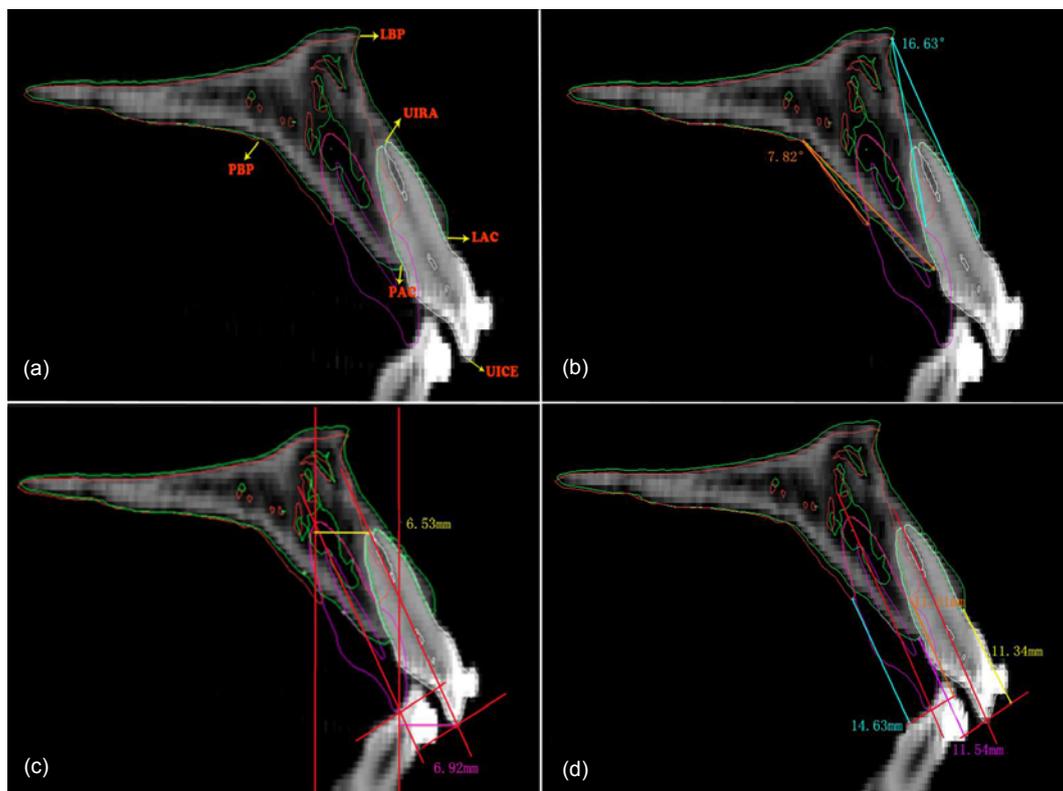
**Fig. 2 Effect of point-registration**

The teeth and maxilla were registered with the surface points that did not change after orthodontic treatment. (a) Most protruding points on the inferior margin of the zygomatic arch (black arrows); (b) Registration of pre- and post-treatment models; (c) Pre-models of the teeth; (d) Post-models of the teeth; (e) Pre- and post-models of the teeth that were matched to each other after the registration of maxillary



**Fig. 3 Effect of STL registration**

(a) STL registration with cranial base; (b) STL model occlusal view; (c) T1 STL model occlusal view; (d) T2 STL model occlusal view



**Fig. 4 Distance between T1 and T2 models**

(a) The landmarks identified on CT scan; (b) Bending angles of labial cortex and palatal cortex between T1 and T2 models; (c) Measurements of the retraction distance at the cusp tip of the crown and the apex of the root in sagittal plane between T1 and T2 models; (d) Vertical distance differences from UICE to LAC and PAC measured parallel to long axis between T1 and T2 models

### 3 Results

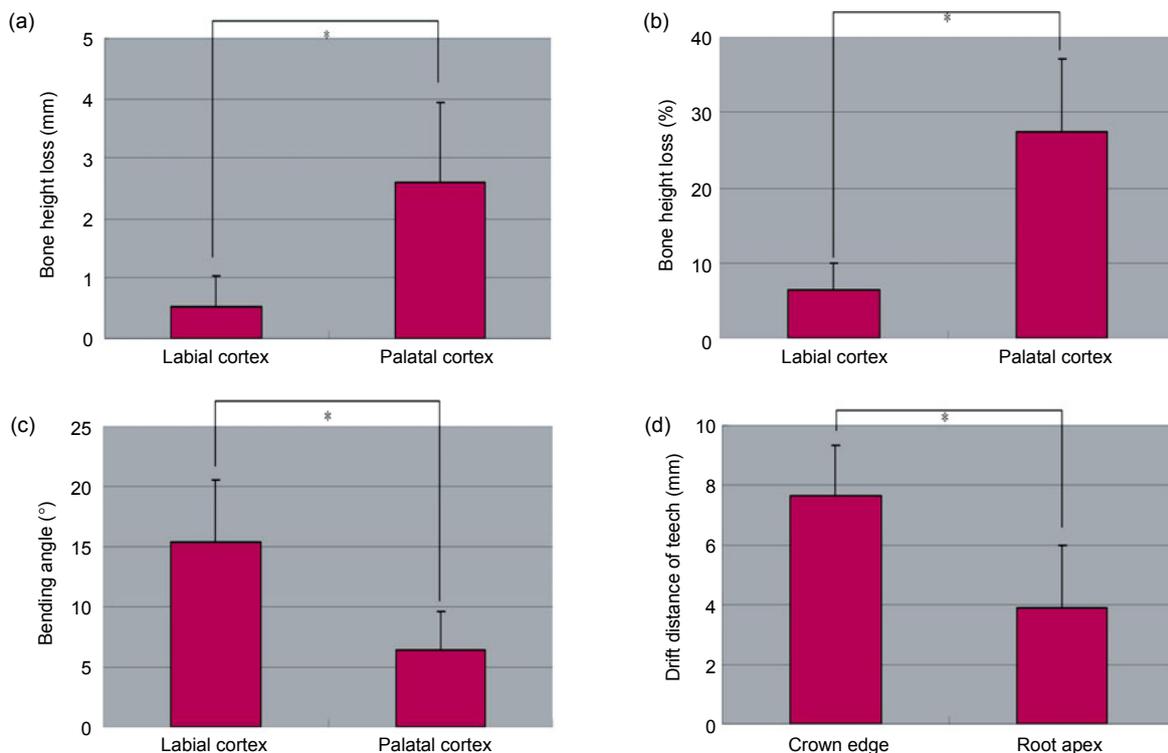
After active post-treatment, upper alveolar bone height losses of bone height at LAC and PAC were 0.543 and 2.612 mm, respectively (Fig. 5a) and the percentages were  $(6.49\pm 3.54)\%$  and  $(27.42\pm 9.77)\%$  (Fig. 5b), respectively. The bending angle of labial cortex (BAL) was  $(15.37\pm 5.20)^\circ$ , and the bending angle of palatal cortex (BAP) was  $(6.43\pm 3.27)^\circ$  (Fig. 5c). Statistically significant differences were observed between BAL and BAP by paired *t*-test ( $P<0.05$ ).

The drift distance of the upper central incisor crown edge and root apex were  $(7.64\pm 1.68)$  and  $(3.91\pm 2.10)$  mm, respectively (Fig. 5d), which demonstrated that there was a statistically significant difference between them. The upper central incisor intrusion measurement was  $(1.34\pm 0.74)$  mm. Palatal bone dehiscence was observed in every virtual model after upper incisor retraction and intrusion in adult patients with maximum anchorage (Fig. 3d).

### 4 Discussion

The results showed that palatal bone dehiscence was obvious in every virtual post-treatment model, and the loss percentage of alveolar bone height on the lingual side was more obvious than that of the labial side. Meanwhile, there was alveolar bone flexure on both sides, but the extent of the palatal side was more limited. Therefore, risk of alveolar bone loss should be considered during incisor retraction and intrusion in maximum anchorage patients.

Upper anterior alveolar bone dehiscence cannot be explained by concepts that bone traces with tooth movement (Reitan, 1964; Reitan and Kvam, 1971). The mechanism of alveolar bone dehiscence around the tooth remains unclear. In order to explain the bone dehiscence to incisor retraction and intrusion, two aspects should be taken into consideration. Firstly, it is thought to be caused by modeling of the bone surrounding the tooth, which is based on the bone reaction to retraction and intrusion. Frost (1964) showed



**Fig. 5 Data measured at landmark points**

(a) Bone height losses at labial and palatal sides; (b) Bone height loss percentages at labial and palatal sides; (c) Bending angles of labial and palatal cortex; (d) Amounts of upper incisor retraction at edge and apex. \*  $P<0.05$  ( $n=20$ )

mathematically the relationship between the distinctive given stimuli and reactions of the bone. Frost, (1994) thought that bone strains can reach lower values than 1500–2000  $\mu\text{E}$  physiologically, and healthy bone can endure and adapt to mild overloads (1500–3000  $\mu\text{E}$ ) but cannot resist pathological overloads ( $>3000$   $\mu\text{E}$ ). This study confirmed that the phenomena of alveolar bone resorption were dominant during a large number of retractions and intrusions. Therefore, it is improper for large retraction in limited bone structure. Secondly, upper alveolar bone loss is attributed to an intrinsic configuration. For upper anterior alveolar bone configuration, the alveolar process consists of palatal and buccal cortical plates and the cancellous trabeculae (Geramy, 2000). In the apical-coronal-sagittal direction the margin of bone is thinned to a knife edge, and alveolar bone quantity is limited and possesses higher density (Sarikaya *et al.*, 2002; Park *et al.*, 2008). In this study, upper anterior alveolar bone was subjected to force generated by elastic traction, and the force was applied to the crimpable hook on the distal lateral incisors with an elastic power chain extending from miniscrews. Force direction was upwards and retracted, and stress and deformation might concentrate on LAC and PAC (Cobo *et al.*, 1996), where local alveolar bone loss occurred to modify its structure to decrease alveolar strains. The mechanics were similar with the root resorption during incisor retraction and intrusion in maximum anchorage patients (Liou and Chang, 2010).

This research observed alveolar bone bending phenomena both in labial and palatal sides, which supported the theory that alveolar bone modeling was the result of bending stimuli (Akhter *et al.*, 2002). When retraction force was applied to the tooth, the new alveolar bone formation was observed on both sides (Milne *et al.*, 2009). According to the theory of bending beam (Meikle, 2006), bone bending of the labial cortical is more obvious than that of the palatal cortical. Moreover, bone loss happened in both vertical and horizontal directions. When the palatal bending was less, the relapse of palatal plates during retention period was observed on subsequent visits (Naraghi, 2010). Therefore, the anatomical limitation of palatal bone should be greatly emphasized on the tooth movement.

In order to obtain appropriate alveolar bone re-

sponse during incisor retraction and intrusion in adult patients, we must pay attention to the fundamental knowledge to improve treatment strategy. For example, minor tooth movement and bone quantity limitation should be considered, force magnitude direction should be controlled, detriment of periodontal injury should be evaluated by regular radiographic examination, and ridge expansion osteotomy should be emphasized as an alternative way of decreasing the anatomical limitation of palatal portion (Kim S.J. *et al.*, 2009; AlGhamdi, 2010). With these effective procedures, we might be able to lower the incidence of alveolar bone dehiscence, accomplishing our goal of maintaining the health, function, and aesthetics of the periodontium in the orthodontic treatment.

In the present investigation, the upper anterior teeth of bimaxillary patients were mainly retracted with bodily movement, interpreted as en masse retraction provided by miniscrews (Aljhani and Zawawi, 2010). The controlled tipping movement is a favorable pattern but might induce more obvious dehiscence during retraction of the protruded maxillary incisors (Årtun and Urbye, 1988), which needs to be further verified. Incidentally, all the data evaluated by CT came from the original alveolar bone, while the palatal newly born plate may be invisible because of the low density. It is necessary to access the long-term alveolar effect of orthodontic treatment.

## 5 Conclusions

For adult patients with bimaxillary protrusion, mechanobiological response of anterior alveolus should be taken into account during incisor retraction and intrusion. Pursuit of maximum anchorage might lead to upper anterior alveolar bone loss.

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