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## Evolution of sex and mating loci: An expanded view from Volvocine algae

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### Abstract

Sexual reproduction in Volvocine algae coevolved with the acquisition of multicellularity. Unicellular genera such as *Chlamydomonas* and small colonial genera from this group have classical mating types with equal-sized gametes, while larger multicellular genera such as *Volvox* have differentiated males and females that produce sperm and eggs respectively. Newly available sequence from the *Volvox* and *Chlamydomonas* genomes and mating loci open up the potential to investigate how sex-determining regions co-evolve with major changes in development and sexual reproduction. The expanded size and sequence divergence between the male and female haplotypes of the *Volvox* mating locus (*MT*) provide insights into how the colonial Volvocine algae might have evolved sexual dimorphism, but also raise questions about why the putative ancestral-like *MT* locus in *Chlamydomonas* shows less divergence between haplotypes than expected.

### Introduction

The diversity of eukaryotic sex determination systems is unparalleled in biology. However, underlying this diversity are common themes that have appeared and reappeared suggesting that similar dynamics and constraints shape the evolution of sex. One such theme is oogamy (i.e. sperm-egg mating) which is a near universal strategy for gamete production in multicellular eukaryotes, but relatively uncommon in unicellular species. A second theme is the emergence of sex chromosomes: cytologically or genetically distinct chromosomes that govern sexual differentiation [1,2]. Previously these two themes have been investigated separately because sex chromosomes are usually found in species with well-established oogamous mating systems. However, Volvocine algae present an opportunity to understand how sex chromosomes coevolved with the isogamy-oogamy transition that is embodied by the differences between *Chlamydomonas reinhardtii*, an isogamous unicellular species, and its cousin *Volvox carteri*, an oogamous multicellular species. Newly available sequence information from the sex determining loci of *Chlamydomonas* and *Volvox* paves the way for uncovering the molecular origins of the isogamy to oogamy transition, and suggests a means by which the *Volvox* mating locus acquired key properties of a sex chromosome.

### Volvocine algae: a living snapshot of morphological diversity

Volvocine algae are a sub-group of chlorophytes (green algae) that have well-characterized reproductive cycles [3,4]. They are haploid and can reproduce asexually through mitosis as

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their main means of proliferation, but they also have a sexual cycle in which gametes mate to form a diploid zygotic spore. Upon germination the spore undergoes meiosis to regenerate haploid vegetative progeny (Fig. 1). This life history pattern is similar to that of many other facultatively sexual eukaryotic microbes.

*Chlamydomonas* and its unicellular cousins are a taxonomic outgroup to the colonial Volvocine species that are thought to have evolved multicellularity through successive innovations [5,6]. Among these innovations are anisogamy and oogamy: The simpler colonial genera such as *Chlamydomonas*, *Gonium*, and *Pandorina* make equal-sized gametes (isogamy), while the larger-sized genera (*Eudorina*, *Pleodorina*, *Volvox*) are anisogamous or oogamous [7]. Gamete size is not the only sex-related trait that is modified in colonial species (Table I), but it is the most obvious and has parallels in other multicellular lineages. Our knowledge of Volvocine algal sexual cycles comes largely from work on two well-developed model species, *Chlamydomonas reinhardtii* and *Volvox carteri*.

### ***Chlamydomonas reinhardtii*: Sex and the single cell**

The sex life of *Chlamydomonas* is typical for a eukaryotic unicellular organism that alternates between vegetative and sexual reproduction—though it should be kept in mind that sex did stop evolving in *Chlamydomonas* since the Volvocine radiation began ~200 Mya [8\*]. Recent reviews cover sex and mating in *Chlamydomonas* in detail [9,10], so the following description is brief. In nutrient replete conditions *Chlamydomonas* reproduces asexually using a modified mitotic cycle called multiple fission (Fig. 1A, 1E)[11]. Sex is triggered environmentally by nitrogen starvation–N that induces differentiation of vegetative cells into mating-competent gametes of two types, *plus* and *minus*, that are morphologically similar to their vegetative parents. However, unlike vegetative cells, gametes of each genetically determined mating type express a specialized set of cell-type specific genes that allow them to mate. Gamete fusion triggers additional developmental changes that lead to formation of a dormant and environmentally resistant diploid zygote spore [12\*]. Upon return to favorable conditions the spore will undergo meiosis and produce four viable haploid progeny.

### ***Volvox carteri*: Sex meets development**

*Volvox carteri* is among the most developmentally complex Volvocine algae and has evolved a number of innovations including specialized reproductive and somatic cell types and embryonic patterning [13]. Mitotically reproducing vegetative colonies of both *Volvox* sexes contain two separate cell types: ~2000 sterile flagellated somatic cells that are arranged around the periphery and provide motility, and ~16 large vegetative reproductive cells called gonidia that lie inside the spheroid (Fig. 1B). Both cell types are embedded in a clear secreted extracellular matrix (ECM) that occupies most of the spheroid volume. When mature, each gonidial cell undergoes 12 or 13 embryonic divisions followed by a morphogenetic process called inversion to produce a miniature juvenile colony with a full complement of newly formed gonidial and somatic precursors. The juvenile colony will grow and eventually hatch from its mother colony to complete the vegetative reproductive cycle (Fig. 1F). The number of gonidia in each adult spheroid is set by the timing and placement of asymmetric cell divisions during embryogenesis [14]. In vegetative embryos of both sexes (male and female) asymmetric cell division occurs in the anterior cells of the embryo at cycle 6 (32 → 64 cell stage) and typically produces 16 large gonidial precursors that are destined to become the next generation of reproductive cells.

Unlike *Chlamydomonas* where –N induces gametogenesis, sexual reproduction in *Volvox* is triggered by a species-specific diffusible glycoprotein called sex-inducer [15]. When exposed to sex-inducer, the gonidia within vegetative males and females respond with

altered cleavage patterns to produce modified embryos that mature into adults containing sexual germ cells (Fig. 1C, 1D, 1F) [16]. Anterior cells in embryos of sexually induced females cleave asymmetrically at cycle 7 (64 → 128 cell stage) and produce sexual juvenile spheroids with 32–48 large egg precursors and ~2000 sexual somatic cells. In sexually induced males all the cells divide asymmetrically at cycle 7 (128 → 256 cell stage) and produce sexual juvenile spheroids with 128 sexual male somatic cells and 128 large androgonidia. A day later each androgonidia divides six or seven more times into sperm packets containing 64–128 small sperm. The events leading to fertilization are not well studied, but involve sperm packets swimming as a unit to a female sexual spheroid, breaking into individual sperm cells, entering the female ECM through a fertilization pore, and finally fusing with an egg cell to initiate zygote development. The innovations that gave rise to the *Volvox* sexual development cycle are controlled by its mating locus that is described below.

### **MT: the master regulator of sex in Volvocine algae**

In most species of Volvocine algae sex is controlled by a haploid mating locus (*MT*) that encodes two mating types or sexes. *Chlamydomonas* *MT* has two haplotypes (*MT+*) and (*MT-*) that specify *plus* and *minus* differentiation respectively and which reside near one telomere of chromosome VI (Fig. 2)[17]. Although *MT* segregates as a single Mendelian trait, it is a complex, multigenic locus. The core of the two *Chlamydomonas* *MT* haplotypes is termed the R (rearranged) domain and encompasses 200–300 kb. Within the R domain are genes involved in sex determination, cell-cell recognition, zygote maturation, and organelle inheritance [9,10]. The R domain was previously defined by restriction mapping [17,18], but it has since been revised based on full sequence information from both haplotypes [19\*\*]. This sequencing revealed a new 30 kb region of *MT+* termed *SRL* (*s*cavenger *r*ecceptor *l*ike) and is one of at least two regions of *MT+* that appear to have been acquired by autosomal translocation/duplication events which may be a means of adding new genetic material to *MT*. Indeed, one gene of unknown function, *MTA1*, acquired a gamete specific expression pattern as a result of translocating into *MT+* [18].

A handful of *MT* genes are sex-limited, meaning that they are found in only one of the two mating types (Fig 2). Sexual differentiation in *Chlamydomonas* is largely controlled by a single sex-limited gene, *MID* (*m*inus *d*ominance) that resides in *MT-* and encodes a RWP-RK family putative transcription factor [20]. Presence or absence of *MID* determines *minus* or *plus* differentiation, respectively. Intriguingly, sex-limited *MID* homologs have been identified in other Volvocine species including *Gonium pectorale* (*GpMID* in *MT-*), *Pleodorina starrii* (*PsMID* in males), and *Volvox carteri* (*VcMID* in males) [19\*\*,21,22\*]. Thus *MID* appears to be conserved and may play a role in sexual differentiation throughout the lineage, though the situation in *Volvox* is unclear since *MID* mRNA is expressed in vegetative spheroids and is not sex-regulated [19\*\*].

Other sex-limited genes in *MT* also contribute to the sexual cycle. *MTD* is a *MT-* gene whose product augments *MID* expression [23,24]. *FUS1* is a *MT+* gene whose product is required for plasma membrane fusion with *minus* gametes [25,26]. Additional sex-regulated processes including uniparental organelle DNA inheritance and zygote development may be controlled by other *MT* genes such as the *EZY2/OTU2* cluster, but the functions of most of these additional genes have yet to be established [10,18].

In addition to sex-limited genes, a dozen or more shared genes (i.e. those with a copy in both *MT+* and *MT-*) are in the R domain and are in small syntenic blocks that are rearranged between the two mating types [17]. This configuration suppresses recombination and helps maintain linkage disequilibrium of the sex-limited genes. However, most of the shared genes have predicted functions that are not directly related to sex (e.g. primary metabolism)

[18,19\*\*]. This arrangement, therefore, generates a potential fitness cost and raises questions about the dynamics that maintain non-sex-related genes in the R domain (see below). Moreover, the non-recombining nature of *MT* compared with autosomes is expected to result in some distinct features including rapid evolution, divergence of shared genes, and accumulation of transposons and repeats [27]. At the population level *MT* should be subject to selective sweeps and therefore exhibit low intra-haplotype diversity (i.e. between isolates of the same mating type), but also exhibit high inter-haplotype diversity (between *MT+* and *MT-*) due to lack of recombination. *Chlamydomonas MT* displays some of these properties [28], but has yet to be described fully at the population level. Nonetheless, the two *MT* haplotypes are not differentiated to the degree that might be expected after 200 MY of blocked recombination [19\*\*,29]. This apparently discordant property of *Chlamydomonas MT* stands in contrast to *Volvox MT* that shows a high degree of sex-linked differentiation.

### **Volvox MT: a nascent sex chromosome?**

The two mating type haplotypes of *Volvox* are designated *MTF* (female) and *MTM* (male). *Volvox MT* is near a presumed telomere on Linkage Group I that is syntenic with the *Chlamydomonas MT* locus on chromosome VI, though there is little if any micro-synteny between their respective R domains (Fig. 2)[19\*\*]. The global stability of the location of *MT* on equivalent linkage groups in both species was unanticipated because sex-determining regions often translocate or undergo inter-chromosomal fusions, neither of which appears to have occurred in these two species [2]. On the horizon are more sequencing projects for Volvocine algae that will establish whether the relative location of *MT* is truly stable.

Location notwithstanding, *Volvox MT* has properties that are strikingly different from that of *Chlamydomonas MT*, and these attributes may provide clues about the evolution of sexual dimorphism in this lineage [19\*\*]. While the entire *Volvox* genome is ~17% larger than that of *Chlamydomonas* (138 vs. 118 Mb; due to a slightly higher average repeat density in *Volvox*), the *Volvox MT* R domain is four or five times larger than that of *Chlamydomonas* and encompasses >1 Mb [19\*\*,30\*\*,31]. The genetic content of *Volvox MT* is also higher than that of *Chlamydomonas MT* with >50 identifiable protein coding genes. However, the overall protein coding gene density in *Volvox MT* is atypically low (about half of that in autosomal regions) and its repeat density is about three times higher than on the autosomes. These properties distinguish *Volvox MT* from *Chlamydomonas MT* and bring it onto the doorstep of becoming a differentiated haploid sex chromosome. The dynamics that may have led to this relative expansion are discussed below.

### **Genetic innovation in Volvox MT**

Like *Chlamydomonas MT*, *Volvox* has sex-limited protein coding genes (10 male, 5 female) but only three of them have homology to known proteins, two of which are sex-limited *Chlamydomonas MT* genes [19\*\*]. *VcMID* in *MTM* is a male gene, but unlike the case in *Chlamydomonas*, its expression is not sex-regulated. If *VcMID* is involved in sex-determination it will likely be in collaboration with other genes. A second male gene, *vcMTD*, encodes a protein with partial similarity to the *Chlamydomonas* and *Gonium MT-* gene *MTD*, but *vcMTD* has numerous premature termination codons in its message indicating that it is a likely pseudogene. Finally, a *Volvox* female gene, *HMG1*, encodes a putative HMG-box DNA binding protein. This gene is intriguing since HMG domain proteins are involved in sex determination in fungi and animals [32,33]. *HMG1* has no ortholog in *Chlamydomonas*, though there are other HMG-box paralogs in both species [19\*\*]. *HMG1* message levels decrease in sexual versus vegetative females making it a possible negative regulator of female sexual or zygotic functions. The remaining twelve sex-limited genes in *Volvox* are unique: they encode proteins without any identifiable homologs

anywhere, and intriguingly, most of them have mRNAs that are induced during sexual differentiation suggestive of a function for their encoded proteins during the sexual cycle [19\*\*] (Fig. 2). A growing arsenal of molecular genetic tools for *Volvox* will enable future work aimed at assigning functions to these novel sex-limited genes [34].

A second striking difference between *Volvox* and *Chlamydomonas MT* is in the degree of divergence between shared genes from opposite sexes. While shared genes in *Chlamydomonas MT* exhibit minor polymorphisms between *MT+* and *MT-* alleles, shared genes in *MTM* and *MTF* are diverged to the point where many do not recognizably belong to the same species. Neutral and non-neutral polymorphisms in shared *Volvox MT* genes are up to two orders of magnitude higher than those for shared genes in *Chlamydomonas*, and this divergence extends through speciation events that have generated male and female lineages for genes in each *MT* haplotype [19\*\*]. Thus, in some sense nearly every gene in the expanded *Volvox MT* locus is sex-limited due to extreme divergence from its allele in the opposite sex. This situation opens the door for shared genes in the two *Volvox* haplotypes to become masculinized and feminized in expression or function, and evidence for such sex-specific diversification exists. For example, the *MAT3* locus that is predicted to encode a key cell cycle and cell size regulator in *Volvox* is highly dimorphic between males and females, and also undergoes sex-inducer regulated alternative splicing in both sexes [19\*\*,35,36]. The potential now exists to identify how *Volvox MT* genes such as *MAT3* and others contribute to the evolution of an oogamous mating system.

## Comparative evolution of *Chlamydomonas* and *Volvox MT*: insights and enigmas

There are many fundamental biological differences between *Chlamydomonas* and *Volvox* that are not directly related to sex, yet the *MT* locus is the only genomic region that stands out as being substantially different between the two species [19\*\*,30\*\*]. The dimorphic male-female structure of *Volvox MT* in some sense mirrors the sexually dimorphic gamete differentiation program that evolved in this lineage, and suggests a connection between *MT* size and an expanded genetic control program for sex in *Volvox*. Starting from an isogamous ancestor with a smaller *MT* region, successive inversions could add new genes into the R domain, and these inversions could then be fixed in the population if they contribute to gamete fitness (Fig. 3A)[27]. For example, the pressure for oogamy in a new colonial species could be resolved by incorporation of a gamete size control gene into *MT* that could then evolve in tight linkage with the sex determination locus to promote differential gamete size in males versus females [37]. There is evidence of stepwise sequence addition in *Volvox MT* that supports this ratcheting model [19\*\*]. In addition, as mentioned above, a candidate size regulator, *MAT3*, was incorporated into *MT* and appears to have diverged in a manner that supports a role in gamete differentiation.

However, the ratcheting model of *MT* expansion in *Volvox* does not explain the puzzling observation that the equally old or older *MT* locus from *Chlamydomonas reinhardtii* looks far more youthful with respect to genetic divergence than does *MT* from the younger species, *Volvox carteri*. If recombination between *MT* haplotypes did not occur in either lineage since divergence, then *Chlamydomonas MT+* and *MT-* genes should be at least as diverged from each other as those from *Volvox MTM* and *MTF* (Fig. 3B)[29].

One resolution comes if *MT* has undergone at least one round of collapse/reformation in the lineage that gave rise to *Chlamydomonas reinhardtii* (Fig. 1C). In this scenario *Chlamydomonas MT* may actually be younger than *Volvox MT*. For example a *Chlamydomonas MT-* *mid* mutant can mate effectively as a *plus* strain with the addition of just one *MT+* limited gene, *FUS1* [25]. It is, therefore, possible for a new *plus* strain to form

from a mutant *mid MT*–parent. Such a strain would be nearly isogenic to its *MT*–partner, thus resetting the sequence clock for *MT* divergence. A similar resetting model has been proposed to explain the youthfulness of sex chromosomes in lower vertebrates [38,39].

A second explanation for how shared genes in *Chlamydomonas MT* might retain their relative youth is through gene conversion (Fig. 1E). If this occurred on a regular basis it would act to homogenize the shared genes in the locus and effectively “erase” their divergence. Interestingly, evidence for rare X-Y gene conversion events exists in the cat lineage [40].

The above models might explain the relative youth of *Chlamydomonas MT*, but do not explain why *Volvox MT* has not behaved similarly and remained homogenous. With respect to the collapse/reformation model, *Volvox carteri* and its recent kin might be at a late stage in the *MT* aging cycle or have become so genetically complex that collapse and reformation are not feasible. It is also possible that above a certain size threshold the dynamics of *MT* change so that genetic exchange between the two haplotypes cannot occur. Once past the gene conversion size threshold, *MT* allelic divergence in the *Volvox* lineage would be expected to accelerate and potentially enter a feedback cycle of further expansion, divergence and recombination suppression (Fig. 3A).

A third perspective that needs to be incorporated into thinking about *MT* size is population genetics. The efficiency of natural selection is influenced by population size [41]; and a larger, slower growing organism such as *Volvox* is expected to have a smaller effective population size ( $N_e$ ) than that of a unicellular organism like *Chlamydomonas*. If mutation rates are not significantly different between the two species, then lower  $N_e$  in *Volvox* is predicted to result in decreased polymorphism rates and increased genome size. These predictions are generally upheld for autosomal nuclear and organellar genes [42]. However, the overall size of the *Volvox* genome is only modestly larger than that of *Chlamydomonas* (~140 vs. ~120 Mb) and does not match the five-fold change in size of *Volvox MT* relative to *Chlamydomonas MT* [19\*\*,30\*\*]. Thus, the differences between the *MT* loci of the two species are likely due to a combination of population genetic effects, differential recombination rates, and sexual selection. New sequencing projects for Volvocine algae and their *MT* loci along with population genetic studies will help refine questions related to *MT* divergence and test competing hypotheses about its evolution.

## The *Volvox* sexual cycle: back to the future

Sequence information alone cannot answer the question of how sexual dimorphism evolved in Volvocine algae. Previous genetic screens identified many potentially informative mutants that affect *Volvox* sexual development [43], but the technology was not available at that time to identify the affected genes. With the *Volvox* genome and mating locus sequence completed the stage is set to begin dissecting the *Volvox* sexual cycle and to identify sources of innovation in the *Volvox* sex determination pathway. Future studies with Volvocine algae promise to shed new light on the origins of gender and on the fascinating evolutionary tango between sex chromosomes and developmental diversity that is exemplified by this group.

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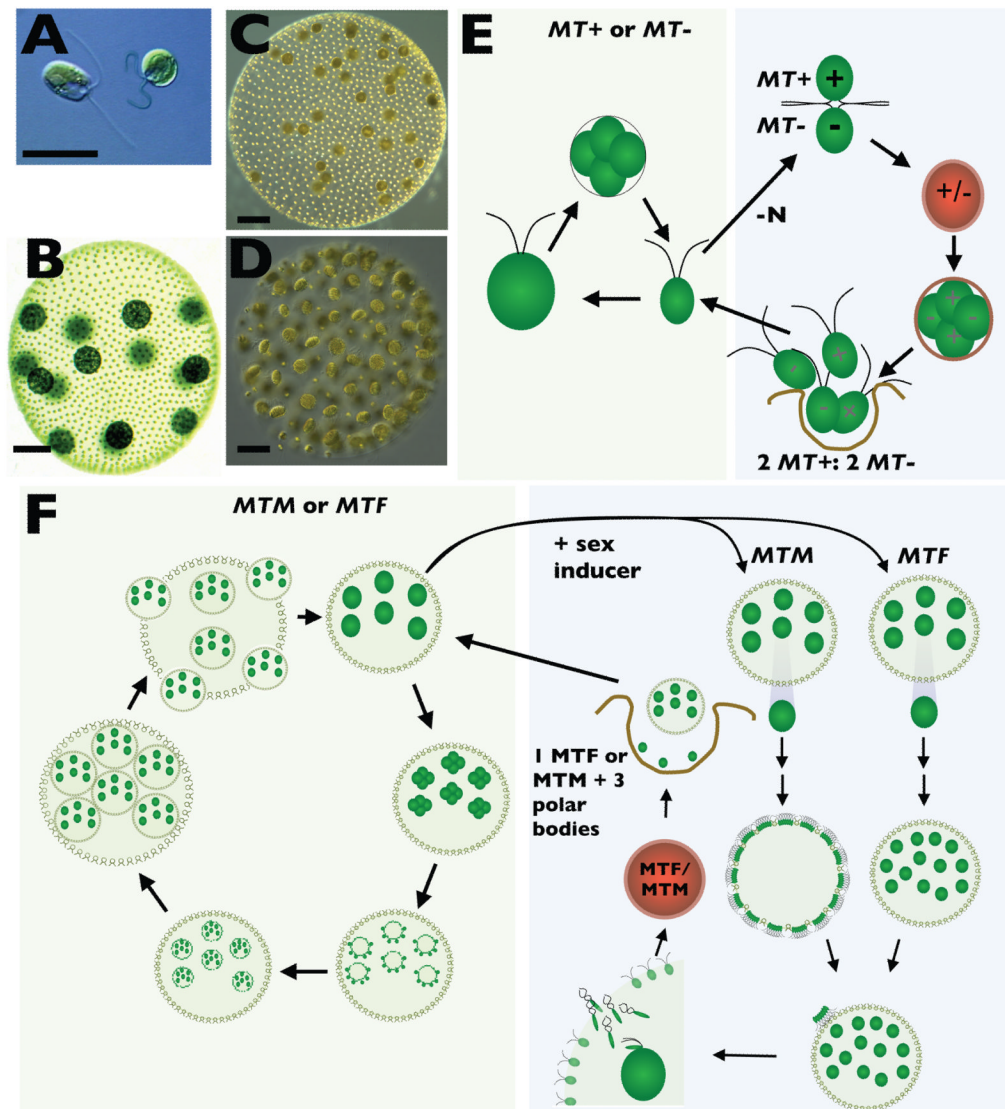
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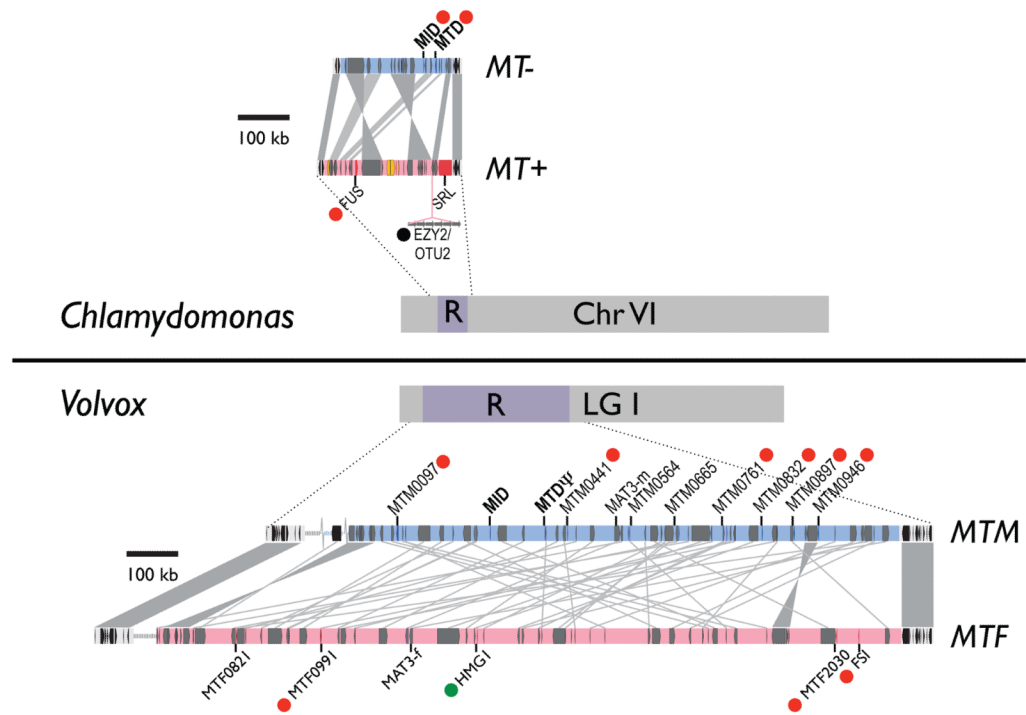
### Highlights

- Volvocine algae include unicellular *Chlamydomonas* and multicellular *Volvox*
- *Chlamydomonas* is isogamous while *Volvox* is oogamous
- The *Volvox* mating locus (*MT*) is expanded relative to *Chlamydomonas MT*
- A model for *MT* expansion driven by sexual selection is presented
- Models for unexpectedly low divergence of *Chlamydomonas MT* haplotypes are presented

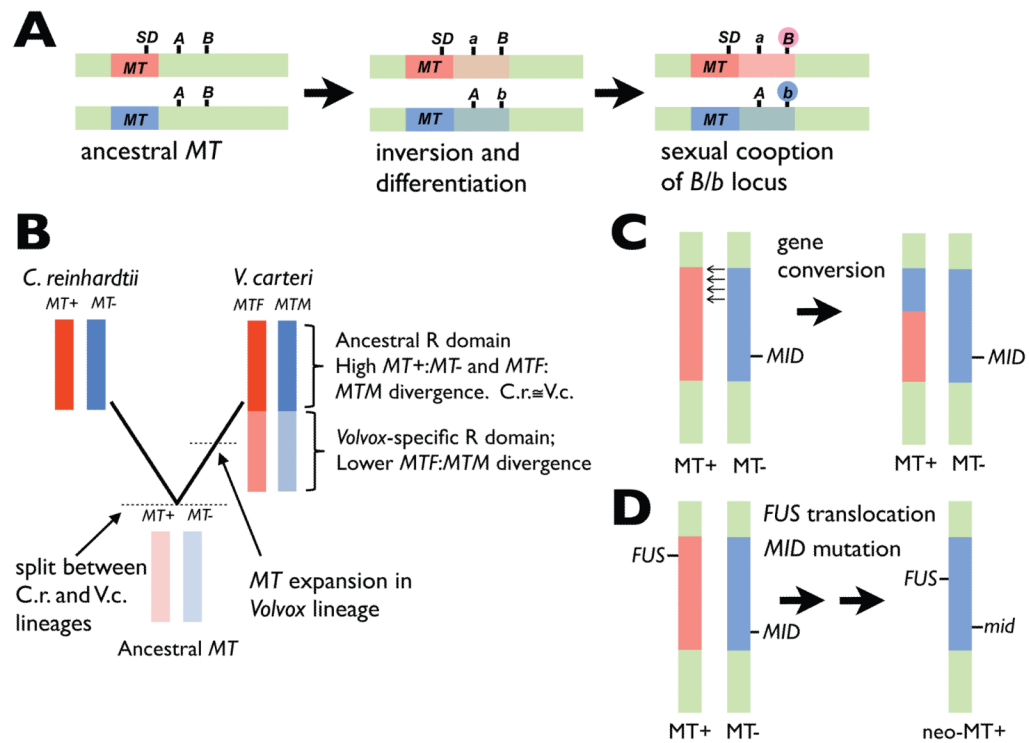


**Figure 1.**

**A.** Two *Chlamydomonas* cells. Scale bar is 10  $\mu\text{M}$ . **B.** Vegetative *Volvox* spheroid. **C.** Sexual female *Volvox* spheroid. **D.** Sexual Male *Volvox* spheroid. Scale bar is 50  $\mu\text{M}$  for panels B–D. **E.** Schematic of *Chlamydomonas* life cycle. Left side depicts the vegetative reproductive cycle of growth, and division by multiple fission. Right side depicts mating, diploid  $MT+/MT-$  zygotic spore formation, meiosis and hatching to produce four haploid progeny. **F.** Schematic of the *Volvox* life cycle. Left side depicts key stages in the vegetative reproductive cycle. Starting in the upper right are a mature spheroid, cleavage stage embryo, pre-inversion embryo, inverted juvenile, expanding juvenile, and hatching stage. Right side depicts the sexual cycle. Pre-cleavage gonidia from males and females undergo modified development to produce sperm packet bearing male spheroids and egg bearing female spheroids. Sperm travel as a packet, attach to a female, dissociate, enter, and fertilize eggs to form a diploid  $MTF/MTM$  zygotic spore. Meiosis and germination produce a single haploid vegetative progeny (either male or female) and three polar bodies.



**Figure 2.** Schematic of the *Chlamydomonas* and *Volvox* mating type chromosomes and mating loci. The rearranged (R) domain and its relative location on each chromosome is labeled. Above and below the chromosomal schematics are expanded versions of *MT* from each species with the R domain for each haplotype shown in red or blue and genes overlaid in gray. *EZY2/OTU2* are in a tandem repeat region. Several sex-limited genes are shown for each species, with vegetative expression depicted by a green dot, sexual expression by a red dot, and zygotic expression by a black dot [18,19\*\*].



**Figure 3.**

**A.** Model for expansion of *Volvox* *MT*. The R domain is red or blue and the autosomal region is green. *SD* represents a sex determining gene, and *A* and *B* are flanking genes. Autosomal inversions adjacent to the existing R domain will block recombination and allow differentiation of the formerly autosomal *A/a* and *B/b* loci in linkage with mating haplotype. Sexually antagonistic alleles *B* and *b* (shaded red and blue) can be fixed if they contribute to fitness of their respective mating types [19\*\*]. **B.** Expected divergence patterns for *MT* if no recombination occurred in either the *Chlamydomonas* or *Volvox* lineages. Light red and blue represent less diverged regions while darker red and blue represent more diverged regions. More recently acquired regions of *Volvox* *MT* should be less diverged, while the older regions should have divergence similar to that seen in *Chlamydomonas*. This divergence pattern is not observed (see text). **C.** Mating type resetting can occur if a *mid* mutation and *FUS1* gene end up on the same chromosome to generate a neo-*MT+* haplotype that is highly similar to *MT-*. **D.** Mating type sequence homogenization can occur through gene conversion between *MT+* and *MT-* shared genes.

**Table 1**Comparison of *Chlamydomonas reinhardtii* and *Volvox carteri* sexual cycles

<b>Chlamydomonas reinhardtii</b>	<b>Volvox carteri</b>
Nitrogen starvation is signal for gametogenesis	Diffusible sex inducer protein is signal for gametogenesis
Isogamous (equal sized gametes)	Oogamous (eggs and sperm)
All vegetative cells can differentiate into gametes directly	Eggs and sperm packets formed by only a subset of cells during sexual spheroid embryogenesis
Gametes can de-differentiate back to vegetative cells	Eggs can de-differentiate. Sperm are terminally differentiated
Gametes are free-swimming single cells that find each other by chance	Motile sperm packets must find a sexual female spheroid, gain entry, dissociate, and fertilize eggs
Zygotes undergo meiosis to form tetrads (all four products viable)	One large meiotic product survives; 3 small polar bodies are non-viable
Uniparental inheritance of chloroplast genome from MT <sup>+</sup> parent and mitochondrial genome from MT <sup>-</sup> parent	Uniparental inheritance of both chloroplast and mitochondrial genomes from female parent