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Breast cancers in U.S. residing Indian-Pakistani versus non-Hispanic White women: comparative analysis of clinicalpathologic features, treatment, and survival

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Abstract

South Asians from India and Pakistan represent one of the fastest growing immigrant populations in the US, yet there are limited data assessing breast cancers for this distinct ethnic sub-group. The aim of this study was to analyze clinical-pathologic, treatment and outcome characteristics of U.S.-residing Indian-Pakistani (IP) versus non-Hispanic white (NHW) female breast cancer patients to assess if any differences/disparities exist. The study cohort consisted of 2.393 IP and 555,832 NHW women (diagnosed 1988–2006) in the SEER database. Differences between the two populations were analyzed using chisquared and multivariate regression analysis. Ageadjusted incidence, mortality, and relative survival rates were calculated for the two groups. Significant differences in the characteristics of the IP cohort's invasive disease included: younger median age at presentation; larger tumor size; higher stage, higher grade, more involved lymphnodes, and more hormone receptor negative disease (all P < 0.01). The age-adjusted incidence and breast cancer mortality were lower in IP women. The relative survival at 5 years was statistically significant at 84% for IP versus 89% for NHW women, but was not significantly different on multivariate analysis (P > 0.05). Within each stage (Tis, I, II), there were no disparities in the rate of breast conservation surgery (BCS) or in the percentage of patients receiving adjuvant radiation after BCS for the 2 cohorts. Post-mastectomy radiation was delivered significantly more often in stage I/II IP patients undergoing mastectomy. In conclusion, this analysis suggests that while there appear to be significant differences in the features of breast cancers of US-residing IP women, no disparities were noted in the rates of breast conserving surgery or adjuvant radiation, as seen in some other ethnicities. The more aggressive clinical-pathologic features stage-for-stage in IP women may partially explain the more frequent use of post-mastectomy RT in this patient population. These findings warrant further investigation.

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Breast cancer; Ethnicity Indian; Disparities; SEER Radiation; Pakistan; Breast conservation; Asian

Introduction

The U.S. Census Bureau estimates that the number of residents in the US identified as "Asian" is approximately 15 million, representing 5% of the nation's population, and making it the third largest minority group in the US [1]. Historically, Asians were grouped by the US census classification as "Asian/Pacific Islander", which corresponded to more than 50 ethnic/cultural subgroups. While this classification later divided the "Pacific Islanders" from "Asians", the term remained limited in that it still represented a large aggregate of people from a wide array of countries. Recognizing this constraint, several large national databases including the U.S. Census Bureau and the National Cancer Institute's Surveillance, Epidemiology and End Results (SEER) program, have evolved to sub-classify ethnic groups by the country of origin, sub-dividing "Asians" into Chinese, Japanese, Filipino, Korean, Vietnamese and Indian/Pakistani, among others. Several studies have shown that when the Asians are divided into these constituents, significant differences in incidence and mortality of various cancers are unveiled [2, 3].

Descendants from India and Pakistan are often cohesively referred to as "South Asian", defined as immigrants from the Indian sub-continent who are geographically and culturally distinct from the rest of the Asian population [4-6]. Between the years 1990 and 2000, the numbers of Indians and Pakistanis in the US increased by over 100%, making this population *one of the fastest growing* immigrant sub-groups in the nation [7]. Interestingly, the Indian/Pakistani (IP) ethnic sub-group is reported to be amongst the most highly educated, highest earning and most insured populations in the US [7, 8]. Despite these facts, adherence to breast cancer screening recommendations has been reported to be lower in U.S.-residing IP women, particularly in recent immigrants [9-11].

For the most part, data regarding breast cancers in U.S.-residing IP immigrants are limited and fragmented, with the majority of the literature focusing on IP breast cancer patients originating from abroad [12-20]; such studies cannot be extrapolated to IP immigrants residing in the US because it has been shown that once immigrants leave their native country of origin, subsequent generations espouse breast cancer risk profiles similar to their adopted country [21-23]. Furthermore, these statistics from foreign countries are difficult to interpret given the differences in environmental, dietary, reproductive and lifestyle factors that often occur after migration that can potentially alter patterns of development and cancer traits.

To date, no studies have specifically examined all available clinical-pathologic, treatment and outcome breast cancer parameters in the SEER database for the IP cohort. Notably, a recent publication [24] looked at clinical-pathologic features of a small subset of IP patients in the SEER database, but did not analyze any treatment variables to determine if any disparities exist (such as rates of breast conserving surgery [BCS] or the receipt of adjuvant radiation after BCS or mastectomy).

This study was conducted to establish if there are any significant differences in presentation, clinical-pathologic features, mortality/survival for US-residing IP versus NHW breast cancer patients. Furthermore, available treatment-related factors were analyzed to determine if any disparities exist in the delivery of treatment for US-residing IP women. To the best of our

knowledge, this is the most comprehensive study of breast cancer presentation, treatment and outcomes of US-residing IP residents to date.

Materials and methods

Our population-based sample was obtained from the Surveillance, Epidemiology and End Results (SEER) database [25], which comprises data from 18 population-based regional and state cancer registries nationwide. We identified all women diagnosed with a first primary breast cancer between the years of 1988 (the year in which SEER began to collect detailed data on race) and 2006. All SEER registries (excluding the Alaska Native, Arizona Indian and Rural Georgia registries) were included in this analysis, although not all registries contributed cases throughout the entire study period. Patients identified as being of Hispanic ethnicity (in combination with IP or white race) were excluded from analysis, as were patients with Paget's disease of the breast (due to staging/coding issues). "Asian Indian" and "Pakistani" racial groups were combined as one sub-category "Asian Indian or Pakistani" (IP) as per SEER coding rules [26]. Breast cancer patients identified as NHW were used as the reference cohort. The clinical-pathologic variables evaluated included: age at diagnosis, American Joint Committee on Cancer (AJCC) staging, size, grade, nodal involvement, number of involved lymph nodes and estrogen/progesterone receptor status. Rates of breast conserving surgery (BCS) versus mastectomy, and percentage of patients receiving adjuvant radiation after BCS or mastectomy, were also analyzed for the 2 cohorts. When comparing differences between different racial groups, the χ^2 test and multivariate logistic regression analysis were utilized. All analysis was performed using SPSS [27] and SYSTAT [28] analytic software packages. Age-adjusted breast cancer incidence and mortality rates for women with breast cancer in the period 1998-2002 were calculated using SEER*Stat software [29], utilizing 2000-centered population data made available by the SEER program. ¹ The registries/states included in the SEER dataset are described in detail elsewhere [2]. Five year relative survival for the 2 cohorts was calculated for those breast cancers that were diagnosed from 1988 to 2002 and followed up through December 2006, adjusting for stage, year of diagnosis, age, and ER/PR status. Relative survival estimates were computed by SEER*Stat [26, 29] using well-established actuarial methodology [31].

Results

558,225 cancers were eligible for detailed analysis in this study (2,393 IP and 555,832 NHW women). The mean ages of the cohorts were 53.7 years IP versus 62.3 years NHW (P = 0.019). IP women presented at a younger age for both in situ (age < 40: 7.2% IP vs. 3.4% NHW, P < 0.001) and invasive cancers (age < 40: 14.8% IP vs. 4.5% NHW, P < 0.001).

Age-adjusted breast cancer incidence and mortality rates for IP and NHW are shown in Table 1. Age-adjusted breast cancer incidence rates for the IP cohort were lower than those of the NHW for both invasive and in situ cancers for the "all ages" group and within each individual age group (even when the "maximum" rate for the IP women was considered; see Footnote 1). Similarly, the breast cancer mortality rates for IP women were lower than those for NHW women, although the mortality rates for women aged \leq 40 years were not significantly different between the two cohorts.

¹Both the 'low population' and 'high population' databases were used. In the former, the denominator included persons who identified themselves as a *single race only*; in the latter, persons who identified themselves as being of a particular race *alone or in combination with other races* were included. Thus, the calculated incidence rates represent *minimum and maximum rates* for the IP population [2, 30].

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The clinical-pathologic characteristics for the two cohorts are shown in Table 2. While the distribution of invasive to non-invasive cancers did not differ significantly between the two ethnicities (P > 0.05), the IP cohort presented with significantly higher stages of invasive disease, larger invasive tumor size, higher invasive grade, and more lymph node involvement (all P > 0.001). The absolute number of lymph nodes involved (1–3, 4–9, ≥10) as a function of racial group is shown in Table 2. The IP cohort had significantly more hormone receptor negative tumors (P < 0.001) as shown in Fig. 1.

An age-adjusted analysis of the parameters in Table 2 was conducted for each ethnicity (<40 years, 41–64 years, 65+ years). In summary, these analyses suggest that the differences of higher stage of disease, larger tumor size, and more nodal involvement for IP versus NHW remained significant across the three age groups. Higher tumor grade and more hormone receptor negative disease was no longer significantly different in the \leq 40 age groups, but remained significantly different in the older 2 age groups.

In comparing treatment delivered, there appeared to be no disparity in the percentages of patients whose ER/PR test results were classified as "not done" within the database for IP and NHW (P > 0.05). Analysis of breast conservation rates for early-stage breast cancer using multivariate logistic regression analysis, taking into account age at diagnosis, stage of disease, and hormone receptor status, showed that there was no disparity in the percentage of patients who underwent a breast conservation approach (vs. mastectomy) as shown in Table 3 (P > 0.05). Additionally, there were no disparities in the proportion of patients who received adjuvant radiation therapy after breast conserving surgery for either ductal carcinoma in situ or invasive Stage I–II tumors (P > 0.05). However, post-mastectomy radiation was delivered more often in Stage I–II IP patients and remained highly significant when adjusted for stage of disease, age at diagnosis, and hormone receptor status (P < 0.001, Table 3).

A significantly lower relative survival at 5 years for the entire IP cohort compared with NHW is shown in Fig. 2. But when analyzing the relative survival using ethnicity, age, stage, year of diagnosis and ER/PR status in the Cox proportion hazard model, the differences in survival outcomes for IP versus NHW were no longer significant (Fig. 2, analysis).

Discussion

Several publications originating from India and Pakistan indicate that a high proportion of their breast cancer patients present with aggressive features such as locally advanced disease, higher tumor grade, and more hormone receptor-negative tumors [17-19]; for Indians and Pakistanis living in the United States, breast cancer ethnicity-based studies assessing clinical and pathologic characteristics and (potential) disparities in treatment delivery have not been extensively conducted to date. While not specifically focusing on the US-residing IP immigrants, there are scattered data reported some characteristics of IP patients from population-based studies that have addressed different cancer related-questions. Examples include: variations of breast cancer incidence across the different Asian sub-groups [2, 32], incidences of all cancer-types for the IP cohort in comparison to other ethnicities [3, 33], or differences in breast cancer stage and survival across multiple races/ ethnicities [26, 34].

In this study, we compared a large cohort of US-residing IP breast cancer patients to NHW using all available parameters from the SEER database to comprehensively assess breast cancer characteristics and possible disparities. Our findings include a lower age-adjusted breast cancer incidence for IP versus NHW women, which was also reported in another

study that assessed the incidence of specific cancer types in a variety of Asian sub-groups using SEER data [2]. We further stratified the incidence by age and invasive/non-invasive disease, and observed a lower incidence of breast cancers in IP women for every age group for both in situ and invasive tumors, though the proportion of in situ to invasive tumors for both cohorts was similar.

Our data demonstrate some significant differences in the clinical-pathologic features of the IP and NHW breast cancers; these included younger age at presentation, larger primary tumor size, more advanced stage of disease at presentation, more lymph node positivity, higher absolute number of involved nodes and higher grade. Because the general IP immigrant population in the United States is of a younger median age compared with that of the American NHW population, we conducted an age-adjusted analysis of the breast cancer characteristics that differed between the two cohorts to determine these differences were secondary to the overall younger IP population. Nevertheless, the majority of observed differences remained statistically significant when age-adjusted, thus cannot be explained by the younger mean age of the IP immigrant population. These findings are supported, in part, by several publications that have reported certain breast cancer characteristics by ethnicity and included some IP patients [3, 24, 35].

The current study also demonstrated that the IP cohort present with more hormone receptor negative disease, a finding which has also been suggested in other studies that have included IP women [24, 34]. One possible explanation for this observation may be the younger age at presentation, which is known to be associated with more ER negative tumors [36, 37]. Another theory that has been postulated is the reduced exogenous estrogen exposures in IP patients (i.e., birth control pills [BCP] and hormone replacement therapy [HRT]) [38]. As is well documented, exogenous estrogens are associated with increased ER positive breast cancers [38, 39], and thus in a patient population who have less exogenous estrogen exposure, there could theoretically be a shift in the proportion of estrogen positive to negative tumors. Data on ethnic variability of birth control methods suggest that rate of birth control pill usage in Indians is very low compared to their white counterparts [38, 40-42].

Unique to our study was the comparison of evaluable treatments delivered in the IP compared to NHW breast cancer populations. It was reassuring that there did not appear to be any apparent treatment-related disparities for the IP versus NHW cohorts. In fact, there were no significant differences in the frequency of reporting of the hormone receptor status (i.e., "not done" category) or in the percentage of patients who were coded as "unknown nodal status" for IP versus NHW. In fact, the percentage of patients in the "no nodes examined" category for axillary lymph node involvement was lower in IP compared with NHW cohorts (given similar invasive to in situ proportions). Furthermore, the percentages of early-stage breast cancer patients who underwent a breast conserving approach (versus mastectomy) in the current study did not differ between the two populations and the proportions of patients who received adjuvant breast radiation as a component of their breast conservation therapy did not differ. This apparent lack of disparities in treatment delivery for the IP population may be due, in part, to the higher socioeconomic status of the USresiding IP population, with higher education levels and higher levels of health insurance [43, 44]. While no such published data exists specifically assessing treatment-related factors for US-residing IP patients in comparison to NHW to our knowledge, these data are encouraging in light of other such ethnicity-based studies that have unveiled significant disparities. For example, similar studies conducted in African Americans compared to whites have exposed that greater proportions of AA patients are reported with "unknown receptor status", have higher mastectomy rates/lower breast conservation rates, and less receipt of adjuvant radiation after conservative surgery [35, 45-48].

Interestingly, IP have been reported to perform less breast self-examinations than their other Asian counterparts and are less likely to have ever had a mammogram compared with whites and other Asian subgroups [9-11, 49, 50]. While this may potentially explain the more advanced stage of disease at presentation for IP patients, there still appear to be some biologic differences in terms of higher grade, more HR negative disease, and younger age at presentation that cannot be explained by screening or access to care alone. Certainly, these findings warrant further investigation.

Lastly, the IP cohort appeared to receive more post-mastectomy radiotherapy (PMRT) for early-stage disease compared to NHW when logistic regression analysis was performed accounting for stage, age at diagnosis and hormone receptor status. While this may be, in part, due to cultural differences between the two cohorts, the higher use of PMRT may also be potentially explained by the more aggressive tumor features, specifically higher node positivity and larger tumor size, that have been demonstrated in the IP cohort.

Our analysis revealed an overall lower 5-year relative survival for the IP cohort in comparison to the NHW population, which is consistent with outcomes reported by others [35]. However, it is important to recognize that this difference may be confounded by other factors including age, higher grade, hormone receptor status and more advanced stages of disease. When adjusting the relative survival for these specific factors, ethnicity did not detrimentally influence the overall survival, suggesting that the individual tumor factors may be more significant in determining relative survival than ethnicity.

Limitations of using large, public databases such as SEER to report ethnic differences in tumor characteristics must be acknowledged. The evaluation of the accuracy of tumor registry data on race/ethnicity has been shown to have varying levels of misclassification [51-55]. There is a lack of patient-level information on etiologic risk factors and cancer screening. The SEER database, specifically, does not contain some important pathologic and treatment characteristics such as margin status, systemic therapy details, HER2-neu status, and detailed outcome data (such as local, regional and distant relapse) that are relevant when studying disparities in breast cancer populations. Additionally, the SEER program covers only 26% of the US population [56]. Hence data for IP women included in the SEER database may not be representative of the overall IP breast cancer population in the US.

Despite these limitations, these data allow for comparison of large numbers of IP patients with relatively long follow-up. To our knowledge, this is the largest and most comprehensive study to cohesively compare the incidence, mortality, survival, clinicalpathologic and treatment parameters of breast cancer in US-residing IP compared with NHW women, and to assess whether disparities exist in this distinct immigrant population.

Conclusion

In summary, US-residing IP breast cancer patients present at a younger age, with higher stages of disease, more nodal involvement, high grade tumors and hormone receptor negative tumors compared to NHW women, though these clinical-pathologic differences do not appear to translate into a detrimental difference in overall survival as a function of ethnicity alone. Reassuringly, there do not appear to be any evident disparities in the evaluable treatments delivered. Notably, the rates of breast conservation versus mastectomy do not differ for the two cohorts, nor does the rate of receipt of adjuvant radiation after breast conserving surgery. The more aggressive clinical-pathologic features in IP women may partially explain the more frequent use of post-mastectomy RT for early-stage disease in this patient population. In future studies, additional clinically relevant parameters of IP breast cancers (i.e., local–regional relapse after BCS, utilization/delivery of systemic

therapy, etc.), as well as cultural, cancer screening, dietary, hormonal/reproductive and other lifestyle factors should be analyzed with efforts to discern the causality of the above-noted differences. Furthermore, differential expression of biologic markers and genetics should also be examined to potentially elucidate some of these ethnic variations found in the IP immigrant population.

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Abbreviations

IP	Indian-Pakistani			
NHW	Non-Hispanic White			
RS	Relative survival			
CI	Confidence interval			

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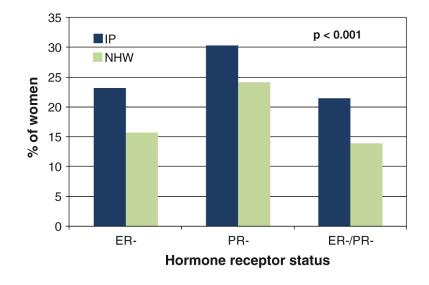


Fig. 1.

Differences in estrogen and progesterone receptor negative disease for invasive cancers of IP and NHW cohorts. Abbreviations: *IP* Indian-Pakistani, *NHW* non-Hispanic white, *ER*– estrogen receptor negative, *PR*– progesterone receptor negative, *ER*–/*PR*– both estrogen and progesterone receptor negative

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Relative survival following breast cancer diagnosis (Tumors diagnosed 1988-2001, and followed up to 12/31/2006) 100% - Non-Hispanic white wome -O- Indian-Pakistani women 96% Relative survival % 92% 88% 84% 80% 0 1 2 3 5 4 Years since diagnosis IP: 84.3% (95% CI 81.2-86.9%) NHW: 89% (95% CI 88.9-89.2%)

Stage at Diagnosis	IP	NHW	Hazard Ratio	p value
	5 Year RS (95% Cl)	5 Year RS (95% Cl)		
I	98.9% (91.8- 99.9%)	100% (-)	1.009	0.910
П	84.0% (79.2- 87.7%)	87.9% (87.6- 88.2%)	1.097	0.313
ш	60.0% (46.1- 71.3%)	61.1% (60.2- 62.1%)	1.021	0.922
IV	24.3% (12.2- 38.6%)	21.1% (20.2- 22.0%)	0.867	0.329

Fig. 2.

5 year relative survival. Graph shows relative survival for overall population of IP versus NHW. Table shows 5 year relative survival for the 2 cohorts by ethnicity, when adjusted by stage, age, year of diagnosis and ER/PR status (P > 0.05)

Table 1

Breast cancer incidence and mortality rates (age adjusted) in IP and NHW women

	IP _{high} Rate per 100,000 (95% CI)	${ m IP}_{ m low}$	MHN
Incidence-in situ			
All ages	14.9 (12.8–17.3)	16.9 (14.4–19.6)	34.6 (34.1–35.1)
<40 years	1.1 (0.6–1.9)	1.2 (0.6–2.1)	2.3 (2.2–2.5)
40-64 years	36.9 (31.3–43.3)	41.6 (35.2–48.7)	71.3 (70.1–72.5)
65+ years	24.4 (15.4–37.6)	28.1 (17.7-43.2)	91.7 (89.7–93.8)
Incidence-invasive			
All ages	72.3 (67.0–77.9)	82.1 (76.1–88.5)	149.5 (148.5–150.4)
<40 years	10.0 (8.3–11.9)	11.2 (9.2–13.4)	13.6 (13.2–14.1)
40-64 years	141.5 (130.2–153.6)	159.3 (146.5–172.9)	256.0 (253.7–258.3)
65 + years	186.8 (157.1–220.8)	216.4 (181.8–256.1)	505.5 (500.8–510.1)
$\operatorname{Mortality}^{\#}$			
All ages	9.9 (8.3–11.6)	11.2 (9.4–13.2)	27.8 (27.6–28.0)
<40 years	1.1 (0.7–1.7)	1.2(0.7-1.9)	1.6 (1.5–1.6)
40-64 years	18.9 (15.7–22.6)	21.3 (17.7–25.4)	36.4 (35.9–36.9)
65+ years	27.7 (18.8–39.5)	32.2 (21.8-45.9)	125.4 (124.1–126.8)

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IP Asian Indian/Pakistani (the "high" and "low" subscripts denote which population database was used to calculate the rate, see Footnote 1 in text), NHW non-Hispanic white

Mortality data are presented for *Asian Indians only* for the following states based on the availability of death and population data by detailed race: CA, HI, IL, NJ, NY, TX and WA. See reference 2 for further details

Table 2

Clinical-pathologic features of breast cancer by race

	IP n (%)	NHW n (%)	P value
Behavior			0.229
Invasive	1,965 (82.1)	461,588 (83.0)	
In situ	428 (17.9)	94,244 (17.0)	
Stage (invasive)			< 0.001
Ι	697 (35.5)	219,188 (47.5)	
П	852 (43.4)	159,916 (34.6)	
III	213 (10.8)	31,578 (6.8)	
IV	98 (5.0)	19,942 (4.3)	
Other/unknown	105 (5.3)	30,964 (6.7)	
Grade			
In situ			0.011
Ι	47 (11.0)	8,071 (8.6)	
П	119 (27.8)	21,143 (22.4)	
III	68 (15.9)	15,198 (16.1)	
IV	36 (8.4)	9,418 (10.0)	
Unknown	158 (36.9)	40,414 (42.9)	
Invasive			< 0.001
Ι	226 (11.5)	77,505 (16.8)	
П	647 (32.9)	160,516 (34.8)	
III	798 (40.6)	127,942 (27.7)	
IV	45 (2.3)	9,223 (2.0)	
Unknown	249 (12.7)	86,402 (18.7)	
Tumor size (invasive)			< 0.001
No mass	0 (0)	902 (0.2)	
Microscopic focus/foci	34 (1.7)	7,353 (1.6)	
<1.0 cm	246 (12.5)	79,515 (17.2)	
1.0 to <2.0 cm	534 (27.2)	160,930 (34.9)	
≥2.0 cm	978 (49.8)	170,723 (37.0)	
Unknown/other	173 (8.8)	42,165 (9.1)	
Nodal status (invasive)			< 0.001
Positive	708 (36.0)	126,497 (27.4)	
1–3 nodes positive	409 (20.8)	80,725 (17.5)	
4-9 nodes positive	180 (9.2)	28,355 (6.1)	
10 nodes positive	119 (6.1)	17,417 (3.8)	
Negative	1,000 (50.9)	250,646 (54.3)	
No nodes examined	230 (11.7)	77,848 (16.9)	
Not known	27 (1.4)	6,597 (1.4)	

Cancers diagnosed 1988-2006

IP Asian Indian/Pakistani, NHW non-Hispanic white

Table 3

Treatment: Breast conserving surgery (BCS) versus mastectomy and receipt of adjuvant radiation after BCS/ mastectomy by race and stage at diagnosis

Surgery type by stage		IP	NHW	
		n (%)	n (%)	P value*
Stage I	BCS	441 (63.3)	139,207 (63.5)	0.445
	Mastectomy	251 (36.0)	77,953 (35.6)	
Stage II	BCS	352 (41.3)	66,942 (41.9)	0.158
	Mastectomy	489 (57.4)	90,543 (56.6)	
Stage III	BCS	34 (16.0)	5,262 (16.7)	0.510
	Mastectomy	169 (79.3)	23,857 (75.5)	
Surgery t	ype by stage		IP NH	łW

Surgery type by stage		IP	NHW	
	Radiation received	n (%)	n (%)	P value*
BCS	Yes	146 (50.9)	29,209 (47.5)	0.690
In situ	No/UK	141 (49.1)	32,283 (52.5)	
BCS	Yes	577 (72.8)	147,695 (71.6)	0.211
Stage I & II	No/UK	216 (27.2)	58,454 (28.4)	
Mastectomy	Yes	154 (20.8)	18,588 (11.0)	< 0.001
Stage I & II	No/UK	586 (79.2)	149,908 (89.0)	
Mastectomy	Yes	98 (58.0)	11,507 (48.2)	0.266
Stage III	No/UK	71 (42.0)	12,350 (51.8)	

Cancers diagnosed 1988-2006

IP Asian Indian/Pakistani, NHW non-Hispanic white, BCS breast conserving surgery, No/UK did not receive or unknown whether received radiation

Adjusted for race, age, stage at diagnosis and ER and PR status using multivariate logistic regression analysis