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## Stearoyl gemcitabine nanoparticles overcome resistance related to the over-expression of ribonucleotide reductase subunit M1

Woon-Gye Chung, Michael A. Sandoval, Brian R. Sloat, Dharmika S. P. Lansakara-P., and Zhengrong Cui

The University of Texas at Austin, College of Pharmacy, Pharmaceutics Division, Austin, Texas, 78712

#### Abstract

Gemcitabine is a deoxycytidine analog used in the treatment of various solid tumors. However, tumors often develop resistances over time, which becomes a major issue for most gemcitabinerelated chemotherapies. In the present study, a previously reported stearoyl gemcitabine nanoparticle formulation (GemC18-NPs) was evaluated for its ability to overcome gemcitabine resistance. In the wild type CCRF-CEM human leukemia cells, the IC<sub>50</sub> value of GemC18-NPs was 9.5-fold greater than that of gemcitabine hydrochloride (HCl). However, in the CCRF-CEM-AraC-8C cells that are deficient in the human equilibrative nucleoside transporter-1, the  $IC_{50}$  of GemC18-NPs was only 3.4-fold greater than that in the parent CCRF-CEM cells, whereas the  $IC_{50}$ of gemcitabine HCl was 471-fold greater than that in the parent CCRF-CEM cells. The GemC18-NPs were also more cytotoxic than gemcitabine HCl in the deoxycytidine kinase deficient (CCRF-CEM/dCK<sup>-/-</sup>) tumor cells. Similar to gemcitabine HCl, GemC18-NPs induced apoptosis through caspase activation. Another gemcitabine-resistant tumor cell line, TC-1-GR, was developed in our laboratory. In the TC-1-GR cells, the  $IC_{50}$  of GemC18-NPs was only 5% of that of gemcitabine HCl. Importantly, GemC18-NPs effectively controlled the growth of gemcitabine resistant TC-1-GR tumors in mice, whereas the molar equivalent dose of gemcitabine HCl did not show any activity against the growth of the TC-1-GR tumors. Proteomics analysis revealed that the TC-1-GR cells over-expressed ribonucleotide reductase M1, which was likely the cause of the acquired gemcitabine resistance in the TC-1-GR cells. To our best knowledge, this presents the first report demonstrating that a nanoparticle formulation of gemcitabine overcomes gemcitabine resistance related to ribonucleotide reductase M1 over-expression.

#### Keywords

Cytotoxicity; *in vivo* anti-tumor activity; proteomics; nucleoside transporter; deoxycytidine kinase; siRNA

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Correspondence to: Zhengrong Cui, Ph.D., The University of Texas at Austin, The Dell Pediatric Research Institute, 1400 Barbara Jordan Boulevard, Austin, TX 78723, Tel: (512) 495-4758, Fax: (512) 471-7474, Zhengrong.cui@austin.utexas.edu.

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#### 1. Introduction

Gemcitabine (2'-2'-difluorodeoxycytidine, dFdC) is a deoxycytidine analog, which is used to treat various solid tumors such as ovarian cancer, non-small cell lung cancer, pancreatic cancer, and breast cancer [1, 2]. It is also an attractive candidate for combination therapy because of its favorable toxicity profile [3]. Combination therapies with cisplatin, etoposide and mitomycin are active against many other solid tumors such as bladder cancer, gastric cancer, and esophageal cancer [4, 5].

However, tumors acquire resistance over time, which becomes a major issue for most gemcitabine-related chemotherapies [6]. The resistance is related to the mechanism of action of gemcitabine. Gemcitabine is transported into cells by nucleoside transporters such as the human equilibrative nucleoside transporter-1 (hENT1) [7]. Decreased expression of hENT1 confers lower gemcitabine toxicity in cells by blocking the cellular uptake of gemcitabine [8]. After cellular uptake, gemcitabine is transformed by a deoxycytidine kinase (dCK) into gemcitabine monophospate, which is further phosphorylated to gemcitabine diphosphate (dFdCDP), and then gemcitabine triphosphate (dFdCTP) [9]. The metabolite, dFdCTP, is intercalated into DNA by DNA polymerase alpha to inhibit DNA synthesis and induce cells to undergo apoptosis [10]. The dFdCDP acts as a ribonucleotide reductase (RR) inhibitor [2, 11], which leads to increased incorporation of gemcitabine into DNA. On the other hand, gemcitabine is deaminated to its inactive form by adenosine or cytidine deaminases (CDA) [12, 13]. Thus, nucleoside transporters, dCK, deaminases, RR, and the accumulation of dFdCDP seem to be important for the development of resistance to gemcitabine. Of particular importance, the RR is believed to play a key role in resistance to gemcitabine in many tumor cells in culture [14, 15] and in vivo [16, 17], and there is evidence that the effectiveness of gemcitabine treatment is correlated to the level of ribonucleotide reductase M1 (RRM1) expression in tumor cells. For example, clinically, non-small cell lung cancer patients with a low level of RRM1 mRNA expression had a significantly longer median survival when treated with gemcitabine/cisplatin [18, 19], but patients with biliary tract cancers and a higher expression of RRM1 were resistant to gemcitabine treatment [20].

There have been extensive research efforts to overcome gemcitabine resistance. For example, amino acid ester prodrugs of gemcitabine were synthesized, and they were not as sensitive as gemcitabine to deamination by CDA [21]. In order to facilitate the uptake of gemcitabine by cells with decreased expression of nucleotide transporters, a lipophilic gemcitabine pro-drug was synthesized by esterifying gemcitabine at the 5' position with an elaidic fatty acid [22]. Gemcitabine was also conjugated with cardiolipin to increase its uptake [23]. A phospholipid gemcitabine conjugate was shown to overcome both nucleoside transporter-deficiency and dCK-deficiency in cancer cells in culture [24], but it is unknown whether the phospholipid gemcitabine conjugate was effective *in vivo*.

An increasing amount of evidence had pointed to the promise of using nanoparticles to combat cancer cell resistance to chemotherapy [25], but the utilization of a gemcitabine nanoparticle formulation to overcome gemcitabine resistance is limited. Most relevantly, Reddy *et al.* reported that a nanoparticle formulation of gemcitabine prepared using gemcitabine covalently coupled to 1,1',2-tris-nor-squalenic acid (4-(*N*)-tris-nor-squalenoyl-gemcitabine) was more cytotoxic than gemcitabine in two gemcitabine resistance cell lines, a human leukemia cell line (CCRF-CEM-AraC-8C) and a murine leukemia cell line (L1210 10K) in culture [26]. The L1210 10 K cells were dCK deficient, and the CCRF-CEM-AraC-8C cells were hENT1 deficient [26].

Previously, a novel stearoyl gemcitabine nanoparticle formulation was developed in our laboratory by incorporating a stearic acid amide derivative of gemcitabine, stearoyl

gemcitabine (GemC18), into solid lipid nanoparticles engineered from lecithin/glycerol monostearate-in-water emulsions [27]. In mice with pre-established model mouse or human tumors, the stearoyl gemcitabine nanoparticles (GemC18-NPs) were significantly more effective than gemcitabine HCl in controlling tumor growth [27]. The improved anti-tumor activity of the GemC18-NPs was not simply due to the GemC18 *per se* because the same GemC18 dissolved in Tween 20 micelles failed to show any significant anti-tumor activity in mice [27]. In an effort to develop a strategy to overcome resistance to gemcitabine, the feasibility of overcoming tumor resistance to gemcitabine using the GemC18-NPs was evaluated. It was discovered that the GemC18-NPs can overcome gemcitabine resistance related to the over-expression of RRM1, not only in culture, but also in mice.

#### 2. Materials and Methods

#### 2.1. Materials and cell lines

Tween 20, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), stearic acid (C18), caspase-3 assay kit, iodoacetamide, urea, and thiourea were from Sigma-Aldrich (St. Louis, MO). Gemcitabine HCl was from U.S. Pharmacopeia (Rockville, MD). Soy lecithin was from Alfa Aesar (Ward Hill, MA). Glycerol monostearate was from Gattefosse Corp. (Paramus, NJ). N-3,4-tridhydroxy-benzamide (didox) was from Cayman Chemical (Ann Arbor, MI). Biolytes, Bio-Safe Coomassie blue staining solution, 3-[(3-cholamidopropyl)dimethylammonio]-1-propanesulfonate (CHAPS), dithiothreitol (DTT), laemmli sample buffer,  $\beta$ -mercaptoethanol, nitrocellulose membrane, strip IPG (pH 3–10), Tris-HCl gel, and precision plus protein standards were from Bio-Rad (Hercules, CA). The duplex small interfering RNA (siRNA) oligonucleotides for RRM1 (UUAAUAACUGGGCUU CUGGGCUCUC and

GAGAGCCCAGAAGCCCAGUUAUUAA), the negative universal control siRNA (Cat. No: 12935-300), and the Lipofectamine<sup>TM</sup> RNAiMAX were from Invitrogen (Carlsbad, CA).

Human leukemia cell line, CCRF-CEM (# CCL-119), and mouse lung cancer cell line, TC-1 (# CRL-2785), were from the American Type Culture Collection (Rockville, MD). CCRF-CEM-AraC-8C cells (hENT1 deficient), CCRF-CEM/dCK<sup>-/-</sup> cells (dCK deficient), and CCRF-CEM-AraC-8D cells (dCK deficient) were kindly provided by Dr. Buddy Ullmann (Oregon Health & Science University, Portland, OR), Dr. Margaret Black (Washington State University, Pullman, WA), and Dr. Beverly S. Mitchell (Stanford University School of Medicine, Stanford, CA), respectively. The cells were grown in RPMI 1640 medium supplemented with 10% fetal bovine serum (FBS), 100 U/mL of penicillin, and 100 µg/mL of streptomycin (all from Invitrogen).

#### 2.2. Preparation of stearoyl gemcitabine nanoparticles

GemC18-NPs were prepared as previously described [27]. Briefly, 3.5 mg of soy lecithin, 0.5 mg of glycerol monostearate, and 5 mg of GemC18 were placed into a 7 mL glass vial. One mL of de-ionized and filtered (0.22  $\mu$ m) water was added into the mixture, which was then maintained on a 70–75 °C hot plate while stirring, with occasional water-bath sonication (Bransonic Ultrasonic Cleaner, Danbury, CT), until the formation of homogenous slurry. Tween 20 was added in a step wise manner to a final concentration of 1% (v/v). The resultant emulsions were allowed to cool to room temperature while stirring to form nanoparticles. Particle size and zeta potential were measured using a Malvern Zetasizer Nano ZS (Westborough, MA). In a short 20-day preliminary stability study, the size of the nanoparticles did not change significantly when the nanoparticles in aqueous suspension were stored in ambient condition (Supplemental Fig. S1). When stored at 37 °C in PBS (pH 6.01 or 7.4) for 72 h, no significant particle size increase, nor GemC18 degradation, was observed (Supplemental Fig. S2).

#### 2.3. Development of TC-1-GR cell line

The gemcitabine resistant cell line, TC-1-GR, was developed by culturing TC-1 cells with gradually increasing concentration of gemcitabine HCl over a 3-month period as previously described [28]. The maximum concentration used was 1  $\mu$ M. Cells were grown in 75 cm<sup>2</sup> flasks in RPMI 1640 containing 10% FBS, 100 U/mL of penicillin, and 100  $\mu$ g/mL of streptomycin in 5% CO<sub>2</sub> at 37 °C.

#### 2.4. In vitro cytotoxicity assay

Cells (10,000/well for leukemia cells, 5,000/well for TC-1 and TC-1-GR cells) were seeded in 96-well plates. After overnight incubation, they were further incubated in the presence of various concentrations of gemcitabine HCl, the equivalent amount of GemC18-NPs (no more than 40 M of GemC18), or didox, an inhibitor of RRM1, for 48 h (TC-1 or TC-1-GR cells) or 72 h (CCRF-CEM, CCRF-CEM-AraC-8C, CCRF-CEM-AraC-8D, and CCRF-CEM/dCK<sup>-/-</sup>) at 37 °C, 5% CO<sub>2</sub>. To compare the cytotoxicities of GemC18-NPs and GemC18, TC-1-GR cells (5,000/well) were allowed to grow for 24 h and incubated with different concentrations of GemC18 in GemC18-NPs or in trace amount of dimethyl sulfoxide (DMSO) for 48 h. RPMI 1640 medium alone or medium with trace amount of DMSO were used as a control. GemC18-free nanoparticles equivalent to GemC18-NPs that contain 10 M or less GemC18 were not toxic to TC-1-GR cells (less than 50 M in the CCRF-CEM cells and derivative lines). The number of cells alive was quantified using an MTT assay. Absorbance was measured at 570 nm and 630 nm using a BioTek Synergy<sup>1</sup> HT Multi-Mode Microplate Reader (BioTek Instruments, Winooski, VT). Penicillin and streptomycin in the cell culture medium did not significantly affect the cytotoxicity of the GemC18-NPs (Supplemental Table S1). The fraction of affected (killed) cells (Fa) and the fraction of unaffected (live) cells (Fu) at every dose were calculated, and the Log (Fa/Fu) values were plotted against the Log (concentration of gemcitabine) [29].  $IC_{50}$  was the dose at Log (Fa/Fu) = 0. The experiment was repeated at least three times.

#### 2.5. Assay of caspase-3 activity

Caspase-3 activity was determined using a Sigma caspase-3 assay kit. Briefly, CCRF-CEM cells (10,000 cells/well in 150 µl) were seeded in 96-well plates. After overnight incubation, the cells were treated for 72 h with gemcitabine HCl or GemC18-NPs at concentrations ranging from 0.22 to 314 nM. At the end of incubation, the cells were washed with PBS (10 mM, pH 7.4), centrifuged (3,000 × g for 5 min at 4 °C), and re-suspended in 20 µL of cell lysis buffer (20 mM Tris, 100 mM NaCl, 1 mM EDTA, 0.5% Triton X-100) at 4 °C for 10 min. The cell lysate was centrifuged at 10,000 × g for 10 min at 4 °C, and the supernatant, representing cytoplasmic extracts, was transferred to a new plate and mixed with the assay substrate, acetyl-Asp-Glu-Val-Asp-7-amido-4-methylcourmarin (Ac-DEVD-AMC). The mixture was incubated for up to 72 h for the hydrolysis of the Ac-DEVD-AMC by caspase 3 to release the fluorescent AMC, which was quantified by measuring the fluorescence intensity at 360 nm (excitation)/460 nm (emission) according to the manufacturer's instruction using a BioTek Synergy<sup>TM</sup> HT Multi-Mode Microplate Reader. The unit of the caspase 3 activity was mol AMC/min/mL.

#### 2.6. Animal studies

National Institutes of Health guidelines for animal use and care were followed. Animal protocol was approved by the Institutional Animal Care and Use Committee at the University of Texas at Austin. Female nu/nu mice (18–20 g) were from Charles River (Wilmington, MA). TC-1 or TC-1-GR tumors were established in the right flank of mice by subcutaneous (s.c.) injection of  $5 \times 10^5$  cells. Starting on day 4–5 after tumor cell implantation, tumors became visible (3–4 mm), and mice (n = 5–7) were randomized and

injected via the tail vein with 0.56 mg gemcitabine HCl, the molar equivalent of GemC18 in nanoparticles (i.e., 1 mg GemC18), or 200  $\mu$ L of sterile mannitol (5%, w/v) (as a negative control) [27]. Tumor size was measured three times a week with a caliper, and tumor volume was calculated based on the following equation: tumor volume (mm<sup>3</sup>) = 1/2 [length x (width)<sup>2</sup>].

#### 2.7. Two-dimensional electrophoresis

To identify altered expressions of proteins in the TC-1-GR cells, a 2-dimensional sodium dodecyl sulfate (SDS)-polyacrylamide gel electrophoresis (PAGE) was carried out. Protein lysates (100 µg) from TC-1 cells or TC-1-GR cells were dissolved in rehydration buffer (7 M urea, 2 M thiourea, 2% CHAPS, 0.2% (v/v) biolytes, 100 mM DTT, and 0.001% bromophenol blue), sonicated for 10 min, and applied to a Ready Strip IPG (pH 3-10). The strips were then actively rehydrated at 50 V for 15 h in a protein isoelectric focusing (IEF) cell (Bio-Rad). IEF was performed at 20 °C as following: 250 V for 15 min, 8,000 V for 2.5 h rapid gradient and 60,000 V h. The strips were stored at -80 °C until a second dimension electrophoresis was performed. Prior to the second dimension separation, gel strips were equilibrated for 10 min in 375 mM Tris-HCl (pH 8.8) containing 6 M urea, 2% (w/v) SDS, 20% (v/v) glycerol, and 2% DTT. The strips were then equilibrated for 10 min in the same buffer containing 2.5% iodoacetamide in place of DTT. Eight-sixteen percent gradient criterion Tris-HCl gels (Bio-Rad) were used to perform the second dimension electrophoresis. Precision plus protein standards were run along with the sample at 130 V for 115 min. The gels were then stained in Bio-Safe Coomassie blue staining solution (Bio-Rad) and scanned using a Kodak Image Station 440CF (Rochester, NY). This experiment was repeated 3 times.

#### 2.8. Identification of proteins using mass spectrometry

After comparing the protein expression scanned by Kodak, the gel spots with significant differential expression (p < 0.05, n = 3) were excised to identify proteins. The gel pieces were digested in gel by trypsin as described by Chung *et al.* [30]. MALDI-MS/MS analysis for the digested peptides was performed as described by Marley *et al.* [31]. MALDI-MS/MS data were processed with GPS explorer 2.0 to create Mascot-searchable files as described by Chavez *et al.* [32]. Mascot software (Matrix Science, London, UK) was used to aid in the interpretation of tandem mass spectra. Searches were performed using the SwissProt database (Swiss Institute of Bioinformatics, Geneva, Switzerland).

#### 2.9. Semi quantitative reverse transcription polymerase chain reaction (RT-PCR)

Total RNA was isolated from TC-1 and TC-1-GR cells  $(1 \times 10^7)$  using a QIAGEN RNeasy mini kit. Complementary DNA (cDNA) was generated from the RNA samples (1 µg) using reverse transcriptase reaction with oligo dT primers (Invitrogen SuperScript III<sup>TM</sup>). PCR was completed utilizing cDNA from the reverse transcription and primer sets (RRM1 forward: 5'-CCCAATGAGTGTCCTGGTCT-3', RRM1 reverse: 5'-TTCTGCTGGTTGCTCTTCC-3', RR M2 subunit (RRM2) forward: 5'-CCTACTAACCCCAGCGTTGA-3', RRM2 reverse: 5'-GCACTGGGAAGCTCTGAAAC-3', CDA forward: 5'-CTCTCGTGAGGCCAAGAAGT-3', CDA reverse: 5'-TCAGGGCTATTGCCATCTCT-3', dCK forward: 5'-TGAGGATTGGGAAGTGGTTC-3', dCK reverse: 5'-GAGCTTGCCATTGAGAGAGG-3', hENT1 forward: 5'-CCAGGTACCTTTGGCTCTCA-3', hENT1 reverse: 5'-ACTGCTCCCCTGGAATTTTT-3', β-actin forward: 5'-TGTGATGGTGGGAATGGGTCAGAA-3', β-actin reverse: 5'-TGCCACAGGATTCCATACCCAAGA-3'), which amplified a 201, 178, 199, 214, 202 and 695 base pair fragment of mouse RRM1, RRM2, CDA, dCK, hENT1, and β-actin genes, respectively. Reactions were conducted using an Eppendorf Mastercycler (Hauppauge, NY): an initial denaturation at 95 °C for 5 min, followed by 25 (RRM1) or 35 cycles (RRM2,

hENT1, dCK and CDA) of 95 °C for 30 s, 58 °C for 60 s and 68 °C for 60 s, and a 5 min final extension at 68 °C. PCR products (20 µl) were analyzed using agarose gel electrophoresis. This experiment was repeated three times.

#### 2.10. Western blot

TC-1 or TC-1-GR cell lysate proteins (20  $\mu$ g) were mixed with Laemmli sample buffer (62.5 mM Tris-HCl, pH 6.8, 25% glycerol, 2% SDS, and 0.01% Bromophenol Blue) with 5%mercaptoethanol. Proteins were denatured at 95 °C for 5 min. One-dimensional electrophoresis was performed with 4–15% Criterion Tris–HCl gels. Precision plus protein standards (Dual color) were run along with the samples at 130 V for 70 min. Electrophoresis was performed in buffer solution consisting of 25 mM Tris, 192 mM glycine, and 0.1% SDS (pH 8.3). The proteins were transferred to nitrocellulose membrane at 0.3 A for 2 h. The membranes were incubated in the first antibody (RRM1 polypeptide IgG, Aviva System Biology, San Diego, CA) and then in the second antibody (anti-rabbit IgG-HRP conjugate, Aviva). The membranes were incubated in SuperSignal West Pico Chemiluminescent substrate (Pierce, Rockford, IL) and then exposed to an X-ray film to visualize the protein bands. After the detection of RRM1, the membranes were stripped in buffer containing 62.5 mM Tris-HCl (pH 6.7), 2% SDS, 0.1 M  $\beta$ -mercaptoethanol at 50 °C for 10 min, incubated with anti-rabbit  $\beta$ -actin IgG (Aviva), and then with the second antibody (anti-rabbit IgG-HRP conjugate). The  $\beta$ -actin signals were visualized as described above.

#### 2.11. Inhibition of RRM1 by transfection with siRNA

TC-1-GR (5 × 10<sup>4</sup>) cells were plated in 96-well plates in RPMI 1640 medium supplemented with 10% heat-inactivated FBS. When cells became 80% confluent, they were transfected with the siRNA oligonucleotide (20 nM) or the negative control siRNA using 0.2  $\mu$ L Lipofectamine<sup>TM</sup> RNAiMAX. Forty-eight hours after transfection, the medium was refreshed. Cells were treated with gemcitabine HCl for 48 h, and cell numbers were determined using MTT assay. For the Western blotting, TC-1-GR (1 × 10<sup>5</sup>) cells were plated in 6-well plates in RPMI 1640 medium supplemented with 10% heat-inactivated FBS. When cells became 80% confluent, they were transfected with the siRNA oligonucleotide (20 nM) or the negative control siRNA using 5  $\mu$ L Lipofectamine<sup>TM</sup> RNAiMAX. Forty-eight hours after transfection, cells were lysed, and Western blotting was carried out as described above.

#### 2.12. Statistics

Statistical analyses were completed using ANOVA followed by Student-Newman Keul test. A p-value of < 0.05 (two-tail) was considered statistically significant.

#### 3. Results

## 3.1. Stearoyl gemcitabine nanoparticles can overcome gemcitabine resistance in tumor cells deficient in hENT1 or dCK in culture

The size and zeta potential of the GemC18-NPs were  $191 \pm 5$  nm and  $-31 \pm 0.9$  mV, respectively [27]. The polydispersity index of the GemC18-NPs was  $0.24 \pm 0.08$  (n = 4) [27]. The negative zeta potential was likely due to the lecithin, which contains negatively charged phospholipids. Data from both gel permeation chromatography and photon correlation spectroscopy showed that all the GemC18 was incorporated into the nanoparticles [27]. Initially, the *in vitro* cytotoxicity of the GemC18-NPs was assessed and compared to that of gemcitabine HCl in human leukemia cell line, CCRF-CEM, and its derivative lines, CCRF-CEM-AraC-8C and CCRF-CEM/dCK<sup>-/-</sup>. In the wild type CCRF-CEM cells, the IC<sub>50</sub> of GemC18-NPs was 19.0  $\pm$  3.2 nM, 9.5 times greater than that of gemcitabine HCl ( $2.0 \pm 0.6$  nM) (Fig. 1A). In the hENT1 deficient CCRF-CEM-AraC-8C

cells, the IC<sub>50</sub> of GemC18-NPs was  $64 \pm 21$  nM, only 3.4 times greater than that in the parent CCRF-CEM cells. However, the IC<sub>50</sub> of the gemcitabine HCl was  $942 \pm 90$  nM in the CCRF-CEM-AraC-8C cells, 471 times greater than that of the parent CCRF-CEM cells (Fig. 1B). In other words, in the hENT1 deficient cell line, the GemC18-NPs were 15-fold more cytotoxic than gemcitabine HCl.

In the CCRF-CEM/dCK<sup>-/-</sup> cells, the IC<sub>50</sub> values of gemcitabine HCl and GemC18-NPs were 189,300 ± 26,100 nM and 24,200 ± 3,600 nM, respectively, which were 94,650- and 1,274-fold greater than those in the parent CCRF-CEM cells (Fig. 1C). In other words, in the CCRF-GEM/dCK<sup>-/-</sup> cells, the GemC18-NPs were 7.8-fold more cytotoxic than gemcitabine HCl. In another dCK deficient derivative, CCRF-CEM-AraC-8D cells, the IC<sub>50</sub> values of gemcitabine HCl and GemC18-NPs were 89,800 ± 32,900 nM and 27,800 ± 4,500 nM, respectively, which were 44,900- and 1,463-fold greater than that in the parent CCRF-CEM cells.

#### 3.2. Stearoyl gemcitabine nanoparticles induced tumor cells to undergo apoptosis

To test whether GemC18-NPs induced apoptosis through caspase activation, CCRF-CEM cells were treated with GemC18-NPs or gemcitabine HCl. In cells treated with gemcitabine HCl, the caspase-3 activity was maximized at 22 nM of gemcitabine (Fig. 2A). The IC<sub>50</sub> of gemcitabine HCl in the CCRF-CEM cells was  $2.0 \pm 0.6$  nM. Thus, it seemed that the maximum caspase-3 activity was detected at a concentration about 10 times of the IC<sub>50</sub>. In cells treated with GemC18-NPs, the caspase-3 activity was maximized at 314 nM of gemcitabine (Fig. 2A), which was 17 times of the IC<sub>50</sub> value of GemC18-NPs (19.0  $\pm$  3.2 nM). In a time dependency experiment, the capsase-3 activities in the lysates of cells treated with gemcitabine HCl or GemC18-NPs increased significantly as a function of incubation time (Fig. 2B).

#### 3.3. Development of a gemcitabine resistant TC-1 tumor cell line (TC-1-GR)

A gemcitabine resistant TC-1 cell line was developed by culturing the TC-1 cells with increasing concentrations of gemcitabine HCl. After 3 months of continuous culturing, the IC<sub>50</sub> of gemcitabine HCl in the resultant TC-1 cells, named TC-1-GR, was increased by 3,582-fold (to  $34.9 \pm 15.4$  M) (Fig. 3A). However, the IC<sub>50</sub> of GemC18-NPs in the TC-1-GR cells was increased by only 41-fold (to  $1.84 \pm 0.25$  M). In fact, in the TC-1-GR cells, the IC<sub>50</sub> of the GemC18-NPs was only about 5% of that of the gemcitabine HCl, demonstrating that in TC-1-GR cells in culture, the GemC18-NPs were more cytotoxic than gemcitabine HCl. The cytotoxicity of the GemC18-NPs was not simply from the GemC18 alone. As shown in Fig. 3B, GemC18-NPs were more cytotoxic than GemC18 (dissolved in DMSO) to the TC-1-GR cells. There was no morphological difference between the TC-1 and TC-1-GR cells in culture. The doubling times of the TC-1 and TC-1-GR were  $22.9 \pm 0.9$  and  $20.1 \pm 0.8$  h, respectively (p = 0.01, n = 3).

## 3.4. Stearoyl gemcitabine nanoparticles, not gemcitabine HCI, can inhibit the growth of the gemcitabine resistant TC-1-GR tumors in mice

To investigate whether GemC18-NPs can inhibit the growth of the TC-1-GR tumors *in vivo*, TC-1 or TC-1-GR tumors were established in athymic mice, which were then treated with gemcitabine HCl or GemC18-NPs. Treatment of TC-1 tumor-bearing mice with either gemcitabine HCl or GemC18-NPs resulted in a significant delay in the growth of the TC-1 tumors (Fig. 4A). However, in mice with pre-established TC-1-GR tumors, only treatment with the GemC18-NPs significantly delayed the tumor growth, whereas treatment with gemcitabine HCl did not show any significant anti-tumor activity (Fig. 4B). GemC18-free nanoparticles were not included because data from a previous study showed that the blank nanoparticles did not have any anti-tumor activity in mice [27].

#### 3.5. Ribonucleotide reductase M1 over-expressed in TC-1-GR cells

To identify proteins that may be involved in the TC-1-GR cell's ability to resist gemcitabine, the total protein expressions in TC-1 cells and TC-1-GR cells were compared using 2D SDS-PAGE, and proteins with differential expressions were identified using mass spectrometry. Based on the scanned values in SDS-PAGE gels, the expressions of six proteins were significantly decreased in the TC-1-GR cells compared to TC-1 cells (Fig. 5, Table 1). However, the expressions of vimentin and RRM1 were significantly increased in the TC-1-GR cells (9.7-fold and 16-fold, respectively) (Fig. 5, Table 1). In a semiqualitative analysis of mRNA expression, only RRM1 expression was increased in TC-1-GR cells (7.3-fold), whereas the expressions of RR small subunit (RRM2), CDA, dCK, and hENT1 mRNA in TC-1-GR cells were not different from that in TC-1 cells (Fig. 6A). Immunoblotting confirmed the up-regulation of the expression of the RRM1 protein in TC-1-GR cells as well (Fig. 6B), and the expression of the RRM1 in TC-1-GR cells was down-regulated by transfecting the cells with RRM1-specific siRNA (Fig. 6B). Didox is known to be cytotoxic, partially by inhibiting RRM1. As shown in Fig. 6C, the  $IC_{50}$  of didox in TC-1-GR cells was 223  $\pm$  19  $\mu M,$  5.4-fold greater than that in TC-1 cells, further suggesting that the RRM1 expression was up-regulated in TC-1-GR cells. Finally, to confirm that the increased RRM1 expression was related to the decreased sensitivity of TC-1-GR cells to gemcitabine, RRM1-specifc siRNA was transferred into TC-1-GR cells. In vitro cytotoxicity test showed that the siRNA-transfected TC-1-GR cells became more susceptible to gemcitabine HCl than TC-1-GR cells transfected with a control siRNA (Fig. 6D).

#### 4. Discussion

In the present study, the feasibility of using the stearoyl gemcitabine nanoparticles previously developed in our laboratory to overcome gemcitabine resistance in cancer cells was evaluated. The GemC18-NPs were 15- and 7.8-fold more cytotoxic than gemcitabine HCl in the hENT1 deficient CCRF-CEM-AraC-8C cells and the CCRF-CEM/dCK<sup>-/-</sup> cells, respectively, although in the parent CCRF-CEM cells, gemcitabine HCl was 9.5-fold more cytotoxic than GemC18-NPs. Similar to gemcitabine HCl, GemC18-NPs induced tumor cells to undergo apoptosis through caspase activation. The anti-tumor activity of GemC18-NPs in the gemcitabine resistant TC-1-GR cells, developed in our laboratory, was also tested. In TC-1-GR cells in culture, the IC<sub>50</sub> value of GemC18-NPs was only about 5% of that of gemcitabine HCl. In mice with pre-established TC-1-GR tumors, GemC18-NPs effectively inhibited the tumor growth, but gemcitabine HCl did not show any significant anti-tumor activity. In an effort to elucidate the mechanism of gemcitabine resistance by the TC-1-GR cells, it was discovered that the TC-1-GR cells over-expressed RRM1, which is known to play an important role in gemcitabine resistance.

Initially, the human leukemia cell line CCRF-CEM was used to study the mechanism of action of GemC18-NPs because of its well established mutant lines, CCRF-CEM-AraC-8C and CCRF-CEM/dCK<sup>-/-</sup> [26]. Gemcitabine is hydrophilic and requires membrane proteins called nucleoside transporters for cellular uptake [33]. In the wild type CCRF-CEM cells, gemcitabine HCl was more cytotoxic than GemC18-NPs (Fig. 1A). In the hENT1 deficient CCRF-CEM-AraC-8C cells, gemcitabine cannot enter the cells efficiently, but the GemC18-NPs were able to efficiently deliver the stearoyl gemcitabine into cells by endocytosis, which may explain the observation that the IC<sub>50</sub> value of gemcitabine HCl was 15-fold greater than that of GemC18-NPs (Fig. 1B). Data from a previous study confirmed the uptake of the GemC18-NPs by tumor cells in culture [27]. The development of resistance to gemcitabine was shown to correlate strongly with deficiency of hENT1 expression in human breast cancer cells [34] and pancreatic adenocarcinoma cells [6], and the resistance to cytarabine (ara-C), another nucleoside analog, was attributed to the reduced expression of

hENT1 in acute myeloid leukemia cells as well [35]. Thus, the GemC18-NPs may be useful in the treatment of gemcitabine resistant cancers. Similarly, imatinib-resistant tumor cells showed decreased activity and expression for both ENT1 and ENT2 [36], and the GemC18-NPs may be useful in the co-treatment of imatinib resistant cancers as well.

However, the GemC18-NPs were not as cytotoxic to the CCRF-CEM/dCK<sup>-/-</sup> cells as to the CCRF-CEM-AraC-8C cells, suggesting that gemcitabine in the GemC18-NPs still required the dCK to be activated to gemcitabine triphosphate before being incorporated into DNA. This is in agreement with a previous report showing that a lipophilic fatty acid ester derivative of gemcitabine transverses into tumor cells efficiently, but was inactive in dCK deficient cells [22]. The findings in the dCK deficient cells and the hENT1<sup>-</sup> cells are generally in agreement with what was reported by Reddy *et al.* [26].

To further evaluate the ability of GemC18-NPs to overcome gemcitabine resistance, GemC18-NPs were tested in a gemcitabine resistant TC-1 cell line, TC-1-GR, developed in our laboratory. The IC50 value of gemcitabine HCl in the TC-1-GR cells was 3,582-fold greater than that in the parent TC-1 cells. In contrast, the IC<sub>50</sub> of GemC18-NPs in the TC-1-GR cells was only 41-fold greater than that in the TC-1 cells. In other words, in the TC-1-GR cells, GemC18-NPs were 19-fold more cytotoxic than gemcitabine HCl, which prompted us to test whether GemC18-NPs can inhibit the growth of the TC-1-GR tumors in mice. The GemC18-NPs were effective against both TC-1 and TC-1-GR tumors in mice (Fig. 4), whereas the gemcitabine HCl was effective only against TC-1 tumors in mice, not TC-1-GR tumors (Fig. 4). The anti-tumor activities of GemC18-NPs that contained polyethylene glycol (molecular weight, 2000, PEG(2000)) and gemcitabine HCl in TC-1 or TC-1-GR tumors in nude mice were compared as well. Again, both gemcitabine HCl and PEG-GemC18-NPs delayed the growth of TC-1 tumors, but for the TC-1-GR tumors in nude mice, only the PEG-GemC18-NPs significantly delayed the tumor growth (Supplemental Fig. S3). We did not directly compare the PEG-GemC18-NPs and GemC18-NPs in the TC-1-GR cells in nude mice. Data from previous studies showed that the PEG-GemC18-NPs and GemC18-NPs had similar anti-tumor activities against TC-1 tumors in C57BL/6 mice and BxPC-3 tumors in nude mice [27]. Inclusion of PEG(2000) in the nanoparticles increased the accumulation of the GemC18-NPs in tumors by more than 6-fold after intravenous injection [27], likely by prolonging the blood circulation time of GemC18-NPs and the enhanced permeation and retention (EPR) phenomenon. According to Cho et al. (2008), for nanoparticles to reach tumor tissues, their particle size should be up to 100 nm, which is large enough to prevent their leakage into blood capillaries, but small enough to escape capture in the reticuloendothelial system [37-39]. The GemC18-NPs used in this study are  $191 \pm 5$  nm. It is expected that the EPR phenomenon contributed to their accumulation in the tumor tissues.

Proteomics tools were used to identify proteins that were expressed differently in the TC-1 and TC-1-GR cells. It was found that 6 proteins were down-regulated and 2 proteins were up-regulated in TC-1-GR cells, as compared to TC-1 cells (Table 1). Additional proteins may be identified using other techniques. At this moment it is not clear how the down-regulation of these proteins was related to gemcitabine resistance in TC-1-GR cells. The endoplasmin precursor and protein disulfide-isomerase precursor were down-regulated in TC-1-GR cells (Table 1). They are part of the protein folding machinery in endoplasmic reticulum. Their down-regulation may suggest improper folding of proteins and, subsequently, it may have led to the degradation of mis-folded proteins and, subsequently, the malfunction of the endoplasmic reticulum in the TC-1-GR cells. Tubulin  $\beta$ -5 and Lamin-A/C were also down-regulated, in agreement with Cecconi *et al.*'s finding that in pancreas cells treated with gemcitabine, these two proteins were down-regulated by 2.5-fold [40]. The calumenin precursor, a Ca<sup>2+</sup>-regulating protein, was down-regulated as

well. Calumenin precursor was found down-regulated in metastatic UMSCC10B cells [41]. A nother down-regulated protein was the elongation factor 1- $\beta$ , which is critical for protein synthesis [42].

On the other hand, vimentin was up-regulated. The emergence of the epithelial to mesenchymal transitions (EMT) was shown during tumor progression in human solid tumors [43]. EMT was also induced as part of secondary events subsequent to the acquisition of metastatic sites by cancer cells. During the acquisition of the EMT characteristics, cancer cells lose the expression of proteins that promote cell to cell contact, such as E-cadherin and  $\gamma$ -catenin, and gain the expression of mesenchymal markers, such as vimentin, fibronectin, and N-cadherin, leading to enhanced cancer cell migration and invasion [44]. Vimentin, one of mesenchymal markers, was increased 9.7-fold in TC-1-GR cells. This is in agreement with Shah *et al.*'s report that vimentin was increased in gemcitabine resistant pancreatic cells [45]. It was also found that the doubling time of TC-1-GR cells was shorter than that of TC-1 cells, and the TC-1-GR tumors seemed to grow slightly more aggressively than TC-1 tumors in mice, which may be related to the increased expression of vimentin in the TC-1-GR cells.

The other protein that was up-regulated in the TC-1-GR cells was the RRM1, which was also confirmed by RT-PCR, western blotting, and indirect evidence such as the cytotoxicity of didox in the cells. RT- PCR confirmed the over-expressing of RRM1 in TC-1-GR cells, but not other genes that are known to be related to gemcitabine resistance (e.g., RRM2, hENT1, dCK, and CDA) (Fig. 6A). In the immunoblotting in Fig. 6B, RRM1 was not detectable in TC-1 cells. Bergman et al. (2005) could not detect RRM1 protein in the wild type of colon 26-A cells using Western blotting as well [17]. RR acts as a critical enzyme in de novo DNA synthesis. It converts ribonucleotides to deoxyribonucleotides for DNA polymerization and repair [46]. RR increases the deoxynucleoside triphosphate (dNTP) pool in the cells, which may lead to decreased incorporation of dNTP analogues such as triphosphorylated gemcitabine into DNA, and thus, reduce the cytotoxicity of gemcitabine [14]. On the other hand, the diphosphorylated form of gemcitabine, dFdCDP, acts as an RR inhibitor [2, 11]. Therefore, gemcitabine and RR are mutual inhibitors. Data in the present study confirmed the increased expression of RRM1 in the TC-1-GR cells, which is consistent with findings by others using other cell lines [15, 17, 47]. For example, Rosell et al. (2001) reported that RR is an important target for gemcitabine resistance [48]. Therefore, the increased RRM1 expression was likely responsible for the resistance to gemcitabine in the TC-1-GR cells, which was further supported by the observation that transfection of RRM1 siRNA into TC-1-GR cells made the cells more sensitive to gemcitabine HCl (Fig. 6D). RRM1 is believed to play a key role for gemcitabine resistance in vitro [14, 15] and in vivo [16, 17]. Moreover, increasing evidence pointed to the inverse correlation between the expression of RRM1 in tumors and the sensitivity of the tumors to gemcitabine not only in cell lines in culture, but also in clinics [18–20].

It is clear that the stearoyl gemcitabine nanoparticles were effective against the gemcitabine resistant TC-1-GR tumors *in vitro* and *in vivo*. However, it remains unknown how the GemC18-NPs overcome gemcitabine resistance in the TC-1-GR tumors with increased expression of RRM1. Here is what is known so far. First, preliminary data in our laboratory showed that the TC-1-GR cells were still resistant to gemcitabine HCl that was physically mixed with GemC18-free nanoparticles (data not shown), demonstrating that the blank nanoparticles *per se* were not responsible for the GemC18-NPs' ability to more effectively kill the TC-1-GR cells than gemcitabine HCl. The finding also indicated that it is not that the GemC18 was degraded extracellularly, and the resultant free gemcitabine was responsible for the biological effect observed. Second, our previous data showed that the GemC18-NPs formulation was critical for the potent anti-tumor activity of the GemC18 [27]. For example,

intravenous injection of GemC18-NPs (150 g GemC18/mouse for 5 times) to mice with TC-1 tumors significantly delayed the tumor growth, but the same dose of GemC18 in Tween 20 micelles did not show any activity [27]. Finally, in culture, the GemC18 was not as cytotoxic to TC-1-GR cells as GemC18-NPs (Fig. 3B). Gemcitabine is rapidly metabolized and eliminated, resulting in its short half-life [49]. However, amino acid amide derivatives of generitabine at the 4-(N)-position on the cytidine ring were reported to be resistant to deamination [50]. GemC18-NPs have the amide structure with a stearoyl group at the 4-(N)-position on the cytidine ring. Data from our previous studies showed that the hydrolysis or release of the gemcitabine from the GemC18-NPs was slow [27]. Therefore, it is possible that the slow and continuous supply of gemcitabine from GemC18-NPs may have resulted in the steady production of dFdCDP, an inhibitor of the RRM1. More experiments are needed to be completed to elucidate the mechanism(s) responsible for the ability of the GemC18-NPs to overcome resistance against gemcitabine related to increased RRM1 expression. Nevertheless, to our best knowledge, this represents the first report demonstrating that a nanoparticle formulation of gemcitabine overcome gemcitabine resistance in tumors that over-expressed RRM1. This finding will likely have clinical relevance considering that the level of RRM1 in tumors in patients was found to be inversely correlated to the effectiveness of gemcitabine therapy.

#### 5. Conclusion

It was found that a stearoyl gemcitabine nanoparticle formulation can overcome gemcitabine resistance related to the over-expression of RRM1, not only in culture, but also in mice. When fully developed, the stearoyl gemcitabine nanoparticles may represent a more efficacious gemcitabine formulation.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Fig. 1. Cytotoxicity of GemC18-NPs and gemcitabine HCl in human leukemia cells in culture The cytotoxicity was measured after 72 h of incubation with CCRF-CEM cells (A), CCRF-CEM-AraC-8C cells (B), or CCRF-CEM/dCK<sup>-/-</sup> cells (C). Each point was the mean  $\pm$  S.D. from at least three replicates.



Fig. 2. Caspase-3 activity in CCRF-CEM cells treated with gemcitabine HCl or GemC18-NPs Cells were treated for 72 h with gemcitabine HCl or GemC18-NPs. Cell lysates were incubated with assay substrates for up to 72 h to determine caspase-3 activity. (A). Dose dependence after 48 h of incubation. (B). Time dependence when incubated with 22 nM gemcitabine HCl or 314 nM GemC18 in nanoparticles. Data reported are mean  $\pm$  SD (n = 3). \* indicates p < 0.01 in t-test for comparison with the result at 0 nM of gemcitabine HCl or GemC18-NPs.





The cytotoxicity was measured after 48 h of incubation. In A, the IC<sub>50</sub> values are the mean  $\pm$  SD from at least three different determinations (\*, p < 0.01 in t-test comparison of gemcitabine HCl and GemC18-NPs in the same cell line). Data shown in B are mean from six different determinations. Standard deviation was not shown for clarity.





TC-1 (A) or TC-1-GR (B) tumors were established in nude mice. When tumors became 3.5-4 mm, mice were injected (i.v.) with gemcitabine HCl or GemC18-NPs. Mice were dosed on days 4 and 12 in A (4 and 11 in B). The p values shown are for the last day the tumor sizes were measured. Data reported are mean  $\pm$  SD (n = 5-7).



### **Fig. 5. 2-D SDS-PAGE of TC-1 (A) and TC-1-GR (B) cell lysates** 1: Endoplasmin precursor, 2: Protein disulfide-isomerase precursor, 3: Tubulin β-5 chain, 4: Lamin-A/C, 5: Calumenin precursor, 6: Elongation factor 1-β, 7: Vimentin, 8: RRM1.



#### Fig. 6.

(A). **RT-PCR.** Experiment was repeated three times with similar results. (B) 1. Immunoblotting analysis of RRM1 in TC-1 and TC-1-GR cells. 2. Immunoblotting analysis of RRM1 in TC-1-GR cells transfected with RRM1-specific siRNA or control siRNA (Con). In A and B,  $\beta$ -Actin was used as an internal control. (C). The IC<sub>50</sub> values of didox in TC-1 and TC-1-GR cells. The cytotoxicity of didox was measured after 48 h of incubation. IC<sub>50</sub> values were calculated from three different determinations.

(D). Cytotoxicity of gemcitabine in TC-1-GR cells transfected with RRM1-specific siRNA. Cytotoxicity of gemcitabine HCl at 1.6  $\mu$ M and 35  $\mu$ M was measured after 48 h of incubation. Con: cells transfected with negative control siRNA; siRNA: cells transfected with siRNA specific to RRM1. Data are presented as mean  $\pm$  SD (n = 3) in C and D.

# Table 1

Identification of proteins with altered expression in TC-1 and TC-1-GR cells.

celn No. "		Protein Ex	pression "	ONPUT-OF MO-T-OF	p-value
		TC-1	TC-1-GR		
-	Endoplasmin precursor $^{e}$	$2023\pm508$	$204 \pm 956$	0.10	0.02
2	Protein disulfide-isomerase precursor	$1847\pm186$	$1165\pm250$	0.63	0.02
ю	Tubulin β-5 chain	$1673\pm277$	$212 \pm 234$	0.13	0.01
4	Lamin-A/C	$461\pm133$	$140 \pm 137$	0.30	0.04
5	Calumenin precursor	$898\pm168$	$35 \pm 101$	0.04	0.002
9	Elongation factor $1-\beta$	$548\pm151$	$83 \pm 179$	0.15	0.03
7	Vimentin	89 ± 7	$867\pm308$	9.74	0.01
8	Ribonucleotide reductase large subunit (RRM1)	$139 \pm 77$	$2267 \pm 185$	16.31	0.001

 $b_{\rm T}$  The unit of protein expression was arbitrarily compared to that of protein standard scanned in the 2D SDS-PAGE gel. Data shown are mean  $\pm$  S.D. (n = 3).

<sup>c</sup>TC-1-GR/TC-1 ratio was determined from the average of three separate gels scanned using a Kodak Image Station 440-CF.

 $^d$ Student's t-test was used to compare the expression of proteins in TC-1 and TC-1-GR cells.

 $^e\!Alternative$  name is heat shock protein 90 or 94 kDa glucose-regulated protein.