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Rapid, Non-invasive Detection of Diabetes-Induced Retinal Metabolic Stress

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Abstract

Objective—To test whether diabetic subjects have enhanced retinal flavoprotein autofluorescence (FA) compared to age-matched controls, through the use of a rapid, non-invasive clinical imaging method.

Methods—Twenty-one diabetic and twenty-one healthy, age-matched control volunteers were subjected to retinal imaging with 1ms flashes of 467nm light. FA for each flash at 535nm was recorded using an electron multiplying charged-coupled device (EMCCD) camera with a 512x512 pixel chip. Average intensity (AI) and average curve width (ACW) of retinal FA were determined by analyzing histograms of pixel intensities plotted for each eye.

Results—When stratified by age, mean AI and ACW levels for diabetics were significantly greater than controls within all three consecutive decades of life studied ($p \le 0.004$ and $p \le 0.006$, respectively). An overall comparison of the mean AI and ACW in all diabetics vs. all controls, with adjustment for age, was consistent with the results found in each age category (p < 0.001 for both). Diabetics with retinopathy in at least one eye had significantly greater AI and ACW than diabetics without retinopathy in either eye (p=0.002 and p=0.005, respectively).

Conclusions—FA measures may be clinically useful to rapidly and non-invasively identify diabetic metabolic tissue stress and disease severity. Development of FA technology is likely to result in a tool that will improve diabetes screening and disease management.

Hyperglycemia induces mitochondrial stress and apoptotic cell death in diabetic tissues soon after disease onset and before involvement can be detected by any current clinical diagnostic method.^{1–6} This suggests that measurement of mitochondrial metabolic activity can serve as an early indicator of the onset of disease.⁷ Prior to apoptosis, mitochondria exhibit impaired electron transport by energy-generating enzymes in the respiratory chain,^{1,8} causing increased percentages of flavoproteins in the chain to be oxidized and rendered capable of absorbing blue light and emitting green autofluorescence.^{9–11} This phenomenon leads to the hypothesis that increased flavoprotein autofluorescence (FA) may be an early indicator of diabetic metabolic tissue stress.

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The gold standard diagnostic method for diabetes is the oral glucose tolerance test. However this method is cumbersome and is often avoided by patients.¹² Thus, many diabetics may remain undiagnosed until they develop diabetic micro- and macrovascular complications.^{12,13}

We have previously described a non-invasive method of measuring FA to detect early ocular dysfunction due to disease.¹⁴ In this study, we compared retinal FA levels in subjects with diabetes, regardless of disease severity or duration, to that of age-matched healthy controls.

Materials and Methods

To measure retinal FA, a modified fundus camera containing 467 nm excitation and 535 nm emission filters (Omega Optical, Brattleboro, VT), two Photometrics 512B back-illuminated electron-multiplying charge-coupled device (EMCCD) cameras (Roper Scientific, Tucson, AZ), and customized computer hardware and software were used, as previously described.¹⁴

Twenty-one subjects, aged 30–59 years, with established type I or type II diabetes and without ophthalmic disease besides retinopathy were enrolled consecutively between June-September 2007 at the University of Michigan during routine funduscopic examinations (Figure 1). Plasma glucose (obtained at the examination) was assessed by the glucose oxidase method and the hemoglobin $A1_C$ (HbA1_C) levels were measured by high-performance liquid chromatography. Twenty-one age-matched healthy subjects with normal glucose tolerance,¹⁵ normal blood pressure,¹⁶ and normal lipid profile,¹⁷ according to recognized guidelines and standards, were recruited as the control population (Figure 1).

This study was approved by the institutional review board (IRB) at the University of Michigan; all subjects gave written informed consent. The study was organized and performed following the STARD Initiative.^{18,19}

After pupillary dilation, one EMCCD camera was used to visualize the macula using RSImage (Roper Scientific, Tucson, AZ) software. For each eye, the second EMCCD camera, interfaced with MetaVue (MDS Analytical Technologies, Toronto, ON) software, was used to capture three to five 535nm FA readings, each induced by a one millisecond, 467 nanometer incident flash. Imaging required five minutes per patient. The depth of focus of the instrument results in capture of FA from all retinal layers.

FA images, stored as 512x512 pixel files, were analyzed to produce histograms using MetaVue, Adobe Photoshop CS2 (Adobe Systems, San Jose, CA), and Lispix (National Institute of Standards and Technology, Gaithersburg, MD). The histograms of pixel intensities (Figure 2), ranging from 0 to 256 grayscale units (gsu), were plotted for each eye to yield average intensity (AI) and average curve width (ACW) of retinal FA. All images were independently interpreted by two research associates trained in FA image evaluation. If disagreement was encountered, a consensus reading was performed. At the time of imaging and statistical analysis, the research associates knew if the patient had diabetes, but test review bias was minimized by: 1) not excluding any subjects' data and 2) relying on objective results of FA testing. Student's t-test and ANOVA were used to compare AI and ACW of the diabetics and controls. Comparisons of eye-specific AI and ACW levels between diabetics and controls were made using mixed linear regression to adjust for intereye dependency and age (where appropriate). SAS 9.0 software (SAS Institute Inc., Cary, NC) was used for all statistical analyses. A p-value <0.05 was considered significant.

Results

Twenty-one diabetics out of 33 consecutive diabetic subjects referred for imaging met the inclusion criteria (Figure 1) and were imaged to determine AI and ACW for each eye. The mean age for diabetics was 44.8 ± 10.0 years (range 30-59 years), the mean documented diabetes duration was 10.5 ± 9.8 years, and the mean HbA1_C was $8.5\pm1.9\%$. There were six type I and fifteen type II diabetics. Diabetic retinopathy was present in twelve subjects. The mean age for controls was 44.7 ± 9.4 years (range 30-59 years).

As shown in Figure 3 and Table 1, for all three age strata (30–39, 40–49, & 50–59 years), the mean AI level of diabetics was significantly greater than that found in controls (P \leq 0.004). An overall comparison of the mean AI level in all diabetics vs. all controls, with adjustment for age, was consistent with the results found in each age category (P<0.001). Similar findings are seen for mean ACW levels (see Figure 3 and Table 1), which were significantly higher for comparisons of diabetics to controls within each age strata (P \leq 0.006) and in an overall comparison with adjustment for age (P<0.001).

AI and ACW of diabetics and age-matched controls were compared to determine age dependence of FA as other endogenous autofluorescent molecules, such as lipofuscin, accumulate with age and may affect FA intensity. In each age group, AI and ACW of diabetics was greater than those of controls, the latter showing gradual, steady increases of FA with age. However, the relative elevations of FA in diabetics compared to controls appeared to be independent of patient age, strongly suggesting that elevated FA in diabetes is not due to lipofuscin or other similar fluorophores.

Differences in FA values between type I and II diabetics were considered, but FA of the fifteen type II diabetics (AI 59.5 ± 23.6 gsu) and six type I diabetics (AI 55.8 ± 25.9 gsu) did not differ (p=0.654).

Elevated AI and ACW were detected in diabetics regardless of whether any retinopathy was detected on fundus examination by an ophthalmologist specializing in diabetic retinopathy. In fact, nine of the 21 diabetics had no visible retinopathy (Figure 3, \Box), indicating that retinal metabolic stress due to diabetes is present before any visible retinopathy.

We studied the associations between HbA1_C, FA, and the presence of retinopathy (Figure 4). The average HbA1_C for diabetics with retinopathy in at least one eye $(8.9 \pm 2.1\%)$ was not significantly different (p = 0.232) from those without retinopathy in either eye (7.9 ± 1.5%). However, the mean AI and ACW for diabetics with retinopathy in at least one eye (69.7±18.3 and 63.2±14.5 gsu, respectively) were significantly different (p=0.002 and p=0.005, respectively) from those without retinopathy in either eye (43.5±12.2 and 44.8±10.5 gsu, respectively).

To consider the possibility that FA imaging might measure acute fluctuations in plasma glucose rather than the metabolic effects of chronic hyperglycemia, FA of four volunteers was measured in a fasting state and one hour after 75g oral glucose challenge. No significant differences were observed in FA values, indicating that acute elevations in plasma glucose do not influence retinal FA.

Comments

FA imaging of diabetics results in outcomes that differ significantly from age-matched controls. Only one diabetic (Figure 3, first from left, 40–49 age group), an intensively-treated type I diabetic within 1 year of diagnosis and with a $HbA1_C$ of 7%, had AI and ACW values of each eye that overlapped with those of age-matched controls. Thus, even our

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proof-of-concept prototype is able to measure significant differences between groups of controls and diabetics, regardless of disease duration or severity. In several subjects, both control and diabetic (Figure 3), there is a statistical difference in AI and ACW values between their two eyes, suggesting that FA could be detecting increased retinal stress in one eye as opposed to the other. In fact, we have found that high degrees of asymmetry between eyes of the same individual is a strong indicator of disease.¹⁴ Improvements in FA technology, including light sources with low flash-to-flash variability and feedback correction for variability, promise to greatly reduce AI and ACW standard deviations to make FA imaging a sufficiently sensitive screening tool for diabetes. In this scenario, due to the high prevalence of diabetes, individuals with abnormally high FA would undergo glucose tolerance testing that, if negative, would prompt investigation for other causes of ocular tissue dysfunction.

For diabetics, FA levels appear to be associated with the severity of retinal damage (Figure 3). In addition, our limited data suggest that FA may be more strongly associated with retinopathy than HbA1_C levels, which are considered the most reliable measures of metabolic control.^{20–22} The value of FA imaging is supported by two patients with retinopathy (Figure 4) who had elevated FA, but low HbA1_C levels. Thus, future studies may show FA to be useful in monitoring disease progression and its mitigation by treatment. Unlike glucose monitoring, elevations in FA reflect ongoing diabetic tissue damage and, therefore, may provide patient and caregiver motivation for intensifying disease management.

Until our recent development of a non-invasive method for clinical use, FA had not been used in human clinical studies of disease.¹⁴ Ocular emission spectrophotometry has shown that mitochondrial FA^{23,24} constitutes a shoulder of a broad emission spectrum of other fluorescent species, especially lipofuscin.^{25,26} For maximal metabolic contrast, only a narrow emission band at the FA maximum is acquired, effectively excluding most of the emission of lipofuscin.^{25–27} To account for the residual portion of the FA signal derived from age-dependent accumulation of lipofuscin,²⁷ age-matched FA comparisons were used to correct for this variable. Our approach to obtain metabolic contrast appears to satisfy the requirements of a disease-sensitive tool.^{25,2} Nevertheless, careful quantification of the effects of potentially confounding ocular fluorophores on FA measurements is warranted in future studies.

As neuronal loss and microangiopathy occur early in human and animal diabetes,^{8,28–32} tissue damage begins at the earliest stages of the disease, before it is clinically evident or detected by fasting blood glucose screening.^{1,31} Early diagnosis and treatment is likely to prevent this damage.^{32,33} Our data suggest that development of FA imaging for diabetes, including its evaluation in longitudinal clinical trials, is likely to result in a tool that will become increasingly important in disease detection and management.

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Figure 1.

Flow of participants in the study. Volunteers were classified as diabetic or non-diabetic by plasma testing, which served as the reference standard. The index test was retinal FA levels of each volunteers' eyes. Results of the index test were then compared to the reference standard.



Figure 2.

Retinal FA histograms of pixel intensities collected from 3 age-matched volunteers. Control (left), diabetic without visible retinopathy (center), and long duration diabetic with retinopathy (right). Each panel displays 4 histograms of the right (-) and left (-) eye of each volunteer. Right shift of histograms designates increased retinal FA intensity.



Figure 3.

AI (top) and ACW (bottom) of retinal FA from 21 diabetics (with (\blacksquare) or without (\Box) retinopathy) and 21 age-matched controls across three consecutive decades of life. For each volunteer, values for the right and left eyes are shown paired.



Figure 4.

AI (mean of right and left eyes) of 20 out of 21 diabetics from Figure 3 with (\blacksquare) retinopathy in at least one eye and without (\Box) retinopathy in either eye compared to their concurrent HbA1_C (one unavailable).

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Table 1

Mean AI and ACW levels in Diabetics and Controls, by Age Category

FA Measure	Age Category	Diabetic Eyes Mean (SE)	Controls Mean (SE)	P-Value*
AI level (gsu)	30–39 yrs	52.4 (6.1)	18.8 (6.1)	0.002
	40–49 yrs	54.2 (4.7)	30.4 (4.7)	0.004
	50–59 yrs	68.9 (5.7)	35.4 (5.7)	0.001
	Overall	58.4 (3.1)	28.2 (3.1)	< 0.001
ACW level (gsu)	30–39 yrs	49.0 (4.8)	21.3 (4.8)	0.001
	40–49 yrs	52.8 (4.4)	31.8 (4.4)	0.006
	50–59 yrs	64.1 (3.4)	34.2 (3.4)	< 0.001
	Overall	55.2 (2.4)	29.1 (2.4)	< 0.001

N=7 diabetics and controls in each age category

SE = standard error from least square means

 * P-values from mixed linear regression; overall contrast includes adjustment for age