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# **Biomarkers of neurological status in HIV infection: A three year study**

Ann B. Ragin, PhD<sup>a</sup>, Ying Wu, MD<sup>b</sup>, Renee Ochs, BA<sup>a</sup>, Rachel Scheidegger, BA<sup>c</sup>, Bruce A. **Cohen, MD**d, **Robert R. Edelman, MD**b, **Leon G. Epstein, MD**e, and **Justin McArthur, MBBS, MPH**<sup>f</sup>

aDept. of Radiology, Northwestern University, Chicago, IL, USA

<sup>b</sup>Center for Advanced Imaging, North Shore University Hospital, Evanston, IL, USA

<sup>c</sup>Harvard-MIT Division of Health Sciences & Technology, Cambridge, MA, USA

dDept. of Neurology, Northwestern University, Chicago, Illinois, USA

<sup>e</sup>Division of Neurology, Children's Memorial Hospital, Chicago, IL, USA

<sup>f</sup>Dept. of Neurology, Johns Hopkins University, Baltimore, MD, USA

# **Abstract**

In this investigation, circulating cytokines and chemokines were screened as correlates of brain injury in individuals with advanced Human Immunodeficiency Virus (HIV) infection. Markers were quantified using high throughput multiplexed analysis at baseline and three years later in the clinical course. Neurological status was ascertained based on objective measurements of the brain derived *in vivo* with Magnetic Resonance (MR) segmentation algorithms and with Diffusion Tensor Imaging (DTI). Of the markers examined, Monocyte Chemoattractant Protein-1 (n.b. MCP-1 or CCL-2) was the most prominent correlate of brain injury. At the initial assessment, elevated MCP-1 levels correlated with alterations in brain white matter. The relationship to brain injury was more extensive three years later; MCP-1 was significantly correlated with both microstructural measures (fractional anisotropy and mean diffusivity) and with brain atrophy (in gray matter and overall parenchyma).

**Conclusion—**These findings provide further evidence of the potential importance of MCP-1 as a marker of neurological injury in HIV infection. These observations build on our prior descriptions suggesting that elevated levels of MCP-1 may be a useful predictive marker for HIV-associated neurocognitive disorder (HAND). As a potent chemoattractant, MCP-1 may mediate injury through participation in self-reinforcing cycles of chronic immune activation and cytokine/ chemokine-mediated neurotoxicity.

# **Keywords**

Brain Volumetry; Diffusion Tensor Imaging; HIV-Neurological Disease; MCP-1; Proteomics

Correspondence: Ann B. Ragin, PhD, Department of Radiology, Feinberg School of Medicine; Northwestern University, 737 N. Michigan Avenue Suite 1600, Chicago IL 60611 FAX: 312-926-5991; ann-ragin@northwestern.edu).

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#### **1. Introduction**

Advances in the treatment of HIV infection have enhanced virological control and increased the survival duration of infected individuals. Nevertheless, the risk of cognitive deterioration from brain injury is considerable [1-4], and HAND remains a prevalent condition despite relatively widespread use of potent antiretrovirals. HIV Encephalitis, the pathologic correlate of dementia, is evident in many infected individuals at autopsy [5]. *In vivo* brain imaging studies demonstrate thinning of the cerebral cortex, generalized atrophy and other evidence of injury, for a review, see [6]. Factors underlying increased vulnerability or resistance to these neuropathological changes, however, have been difficult to determine. Ongoing brain injury may be subclinical for long periods or characterized by a fluctuating presentation. While several candidate markers have been proposed [7], there are currently no well-validated laboratory indicators of HIV neurological progression [2]. Furthermore, established markers of systemic HIV disease progression, such as CD4 absolute cell count and viral replication measured in the peripheral circulation (HIV RNA), may not correspond to changes occurring in viral reservoirs or privileged anatomic compartments, such as the brain and bone marrow.

Multiplexed analytic capabilities promise to accelerate identification of molecular biomarkers associated with clinical outcome. With Luminex-based high throughput bulk assay, a large number of bioassays can be performed simultaneously from a single biological specimen. Noninvasive Magnetic Resonance (MR) imaging technologies are also available that can be used to generate objective measurements of the brain *in vivo*, thereby overcoming the difficulty determining the degree of neurological involvement in individual subjects. This investigation combined proteomic and quantitative brain imaging technologies. Objective measurements of the brain were determined in individuals in advanced HIV infection. These measurements were then used to evaluate levels of circulating cytokines and chemokines for patterns of relationship to the degree of brain injury. Luminex-determined marker levels were evaluated at a baseline assessment and then again three years later in the course of HIV infection.

At each timepoint, automated brain segmentation algorithms were used to derive volume fractions of gray matter, white matter and cerebrospinal fluid within the individual cranial cavity, as well as the parenchymal volume relative to normative population brain size [8-10]. The derived measurements, which are sensitive to brain atrophy and loss of specific tissue types, have been determined to be robust and accurate in simulation studies against known tissue volumes [8-10]. Segmentation-derived brain volumetric measures have been used to investigate brain changes in HIV infection [11, 12].

Diffusion Tensor Imaging (DTI) was also used to quantify brain status at baseline and at three year follow-up. DTI is based on Brownian motion and statistical principles for the diffusion of protons formalized by Einstein, extended to the interrogation of human brain tissue with Magnetic Resonance [13]. In the usual time scale of the acquisition, water molecules in the brain move measurable distances approximating sizes of cells and subcellular structures. Because neuropathological changes systematically alter the microscopic displacement distributions of water molecules, measurements that can be determined with DTI confer information concerning microstructural alterations in the interrogated tissue. Commonly derived DTI measurements include the mean diffusivity (MD), the average diffusion of water molecules in all directions, and the fractional anisotropy (FA), the directionally-dependent diffusion. Owing to its intrinsic organization in directionally aligned fiber tracts, the latter measure, FA, is particularly sensitive to detection of pathological changes in white matter (e.g. to axons). DTI parameters can be calculated for specific regions of interest or across extremely large numbers of volume elements (voxels) to derive

aggregate measures of microstructural injury for the whole brain. DTI measurements have been shown to be sensitive to brain changes occurring in HIV infection and to correlate with dementia [14-18].

#### **2. Materials and Methods**

Subjects for this imaging study were recruited from the longitudinal Northeast Aids Dementia (NEAD) cohort study of neurological outcome in HIV infection (8 Males, 2 Females; mean age  $47 \pm 7.3$ ). Exclusion criteria for this cohort include history of chronic neurological disorders, stroke, head trauma, opportunistic CNS infection or psychosis. The study was conducted with approval of the Institutional Review Board. All subjects signed an informed consent. Blood samples were collected from participants at baseline and at three year follow-up. Seropositivity was confirmed by enzyme-linked immunosorbent assay and Western blot. All subjects were in advanced infection, meeting criteria for AIDS (absolute CD4 cell counts: 24 to  $427/\text{mm}^3$ ; plasma viral load: undetectable to 154,938 copies/mL) and were on antiretroviral regimens (including protease inhibitors for 9 subjects). Neurological examinations were conducted by a board-certified neurologist and included the Macro-Neurological Examination and the motor portion of the Unified Parkinson's Disease Rating Scale to assess extrapyramidal signs. The Karnofsky Performance Scale was used to assess functional status. The severity of cognitive impairment was evaluated using the Memorial Sloan Kettering (MSK) Staging for HIV associated cognitive impairment [19]. The MSK takes into account CNS abnormalities on examination, impairment in work, self-care, and mobility status reported by the patient, and results of a neuropsychological test battery designed to assess decline in verbal memory, visual memory, constructional skills, psychomotor skills, motor skills, reaction time, frontal systems, and general intellectual performance. A reported deficit in at least one of the eight instrumental activities of daily living is required as minimal functional criterion for MSK staging. The neurological evaluation used in the NEAD study has been described more extensively elsewhere [4, 20, 21]. Table 1 presents clinical information for each patient, including MSK ratings.

Marker levels were quantified at Johns Hopkins in the HIV Neuroscience laboratory using high throughput biological testing, in which a large number of proteins can be analyzed simultaneously. Luminex-based technology uses fluorescent color-coded beads or microspheres coated with assay-specific reagents. The microspheres pass through lasers and high speed digital signal processors to measure the degree of fluorescence. The analyzer reads multiplex assay results by reporting multiple colors on each microsphere particle. Multiplexed assay kits and beads were obtained from Bio-Rad (Hercules, CA). Calibration curves from recombinant cytokine standards were prepared with three-fold dilution steps using the same matrix as the samples. Measurements and data analysis of assays were performed with the Luminex-100 system in combination with Luminex manager software (Bioplex manager, Bio-Rad, Hercules, CA). Each sample was assayed in duplicate on a Bio-Plex 100 Suspension Array Analyzer following standard assay protocols and instructions supplied by the manufacturer. Samples were measured two times, and blank values were subtracted from all readings. The biomarker quality assurance program at the Johns Hopkins HIV Neuroscience Laboratory uses standard operating procedures to review results for unexpected or unacceptable variance (evidence of bead clumping; coefficients of variation greater than 20%; unusual distributions of values; outliers more than 4 standard deviations from the mean). Approximately 85-90% of concentrations meet these guidelines for accurate results at first assay, with repeated assays required in approximately 10-15%. Factors examined in this investigation (measured in pg/mL) included: Eotaxin, granulocyte macrophage colony-stimulating factor (GM-CSF), interferon gamma (IFNγ), interleukins (IL): IL-10, IL-12(p40), IL-12(p70), IL-13, IL-15, IL-1α, IL-1β, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IP-10, monocyte chemoattractant protein-1 (MCP-1), macrophage inflammatory

protein (MIP-1 $\alpha$ ), regulated on activation normal T cell expressed and secreted (RANTES) and tumor necrosis factors- $\alpha$  (TNF $\alpha$ ).

Neuroradiologic examinations were performed on a 1.5 Tesla twinspeed unit (Milwaukee, USA) with high performance gradients using a quadrature birdcage headcoil for RF transmission and signal reception.  $T_2$ - and proton-density-weighted images were acquired using dual spin echo sequences, with repetition time of TR=3300 msec, echo times of TE=20 msec and 90 msec. Other parameters were as follows: flip angle: 90°, matrix size: 256×256, field of view: 24×18 cm, NEX=2, slice thickness/gap: 3.5/0, 42 contiguous slices covering whole brain. DTI was performed with an echo planar sequence and bandwidth of  $\pm$ 125kHz. A b=0 reference image and six diffusion-weighted images with a b-value of 1000sec/mm<sup>2</sup> were acquired at each slice. DTI images were acquired for the entire brain using 22 contiguous 7-mm axial sections (field of view: 24cm, matrix size: 128×128, NEX:4 TR:baseline-7000/followup-6200).

Quantitative image analysis was performed off-line. Image processing algorithms developed at Oxford University were used to determine volumetric measurements (8, 10). The normalized brain parenchyma volume (NBPV), which adjusts for individual differences in brain size, was determined based on  $T_2$ -weighted images (8). To calculate volume fractions of gray matter (GM), white matter (WM) and cerebrospinal fluid (CSF), the volume (in  $mm<sup>3</sup>$ ) of each tissue type was divided by total brain volume (as measured by the intracranial cavity), obtained from the  $T_2$  and proton-density weighted MR data (Figure 1). DTI metrics were calculated using customized image-processing routines written in MATLAB (Mathworks, Natick, MA). The background noise was first segmented from tissue by applying an automated thresholding technique to the diffusion-weighted images. This also removed voxels containing predominantly CSF which have low intensity on diffusionweighted images. Remaining extracranial structures were excluded by manual editing to refine the skull stripping process. Mean diffusivity (MD) and fractional anisotropy (FA) were derived for all remaining voxels, according to standard equations [13]. MD (in units of 10<sup>-3</sup> mm<sup>2</sup>/s) and FA (ranging from 0 to 1) values were calculated across the whole brain (for all non-masked voxels, as described above) and summarized for each subject based on the mean value. Figure 2 presents an FA map at a single slice level and a whole brain FA histogram for an individual subject.

#### **Statistical analyses**

Primary variables for analysis included levels for markers within the limits of detection. Quantitative MR measurements included the normalized parenchyma brain volume (NBPV), as well as volume fractions of gray matter (GM), white matter (WM) and cerebrospinal fluid (CSF). The DTI measurements included MD and FA averaged for whole brain as defined above. Distributional assumptions were evaluated for all variables prior to analysis. Relationships between marker levels and MR brain measurements were determined using Pearson correlation coefficients. Analyses were executed with SPSS (Chicago, IL).

# **3. Results**

Means and standard deviations for the MR measurements and cytokine/chemokine levels are presented in Table 2 and the correlations are presented in Tables 3 and 4. Many markers fell uniformly beyond the limits of assay detection in all participants, particularly at baseline (Table 3). More markers were within limits of detection three years later in the clinical course (Table 4). Several were detected in only a subset of the sample (IFN $\gamma$  and IL-1 $\alpha$  at baseline and IFNγ and IL-6 at follow-up).

At the initial baseline assessment, significant correlations were identified between plasma MCP-1 and whole brain fractional anisotropy ( $r = -0.69$ ;  $p = 0.03$ ). Correlations between MCP-1 and volume fractions of CSF (r=0.55; p=0.10) and GM (r=-0.53; p=0.11) approached significance. No other significant relationships were identified at baseline. At three year follow up (Table 4), significant or nearly significant correlations were identified between concurrent serum MCP-1 and increased CSF (r=0.77; p=0.02), reduced GM fraction ( $r=-0.83$ ;  $p=0.004$ ) and reduced normalized parenchymal brain volume ( $r=-.64$ ;  $p=$ . 06). MCP-1 was also significantly correlated with both DTI measurements: increased MD  $(r=0.66; p=.05)$  and reduced FA  $(r=.0.63; p=.0.05)$ . Finally, at follow-up several other analytes demonstrated significant or nearly significant correlations with WM volume fraction, including eotaxin ( $r = -0.66$ ;  $p = 0.05$ ); granulocyte macrophage colony-stimulating factor (r=-0.62; p=0.07), IL-4 (r=-0.60; p=0.07) and RANTES (r=-0.77; p=0.07). A correlation between normalized parenchymal brain volume and IL-7 ( $r=0.83$ ;  $p=0.04$ ) was also observed at follow-up.

# **4. Discussion**

Of the markers examined, MCP-1 (Monocyte Chemoattractant Protein-1 or CCL-2) was the most prominent correlate of brain injury in individuals in advanced HIV infection. At baseline, elevated MCP-1 levels correlated with reduced anisotropy, a DTI measure reflecting loss of white matter integrity from destruction or disruption of axons. Demyelination, sublethal injury or displacement of axonal fibers with less ordered cells, such as multinucleated giant cells (the HIV-D pathologic hallmark), will reduce the directional diffusion, or FA. HIV-Encephalitis, the pathological correlate of dementia, involves heterogeneous inflammatory and degenerative changes. Whole brain DTI parameters, such as FA, afford measures of aggregate microstructural changes in brain tissue. Of the studied MR measures, FA may be the most sensitive to sublethal, microstructural brain changes.

The relationship of plasma MCP-1 to brain injury was more extensive three years later. Follow-up MCP-1 levels correlated with both whole brain DTI measurements, including reduced anisotropy, replicating the baseline findings, and with increased mean diffusivity. Membranes, membrane permeability and the relative volume and morphology of the extracellular space are determinants of MD [22]. The relationship of MCP-1 with increased MD is consistent with neuronal loss, or secondary destruction of white matter fibers due to neuronal death, loss of structural barriers and expansion of the extracellular space. At follow-up, MCP-1 levels also demonstrated a marked relationship to brain volumetric measurements derived with segmentation, including reduced gray matter and increased CSF volume fractions, and with brain parenchyma volume relative to normative population brain size. These findings are also consistent with irreversible neuronal loss and brain atrophy, a common finding in autopsy and imaging studies of HIV-Dementia [1]. The relationship with increased CSF reflects such changes as the widened sulcus filled with CSF corresponding to shrinkage of cortical gray matter and enlargement of ventricles associated with shrinkage of subcortical brain tissue. MCP-1 has also been implicated in neurodegeneration in other disorders [23-25], including multiplexed findings in Alzheimer's Disease [26].

These findings add to evidence of MCP-1 involvement in HIV brain injury and associated cognitive deterioration [27]. MCP-1 levels predict cognitive deterioration [4] as well as encephalitis in animal models [28, 29]. Plasma MCP-1 levels correlate with diffusion abnormalities in basal ganglia and deep white matter, regions that are vulnerable to injury in infected individuals [30]. MCP-1 levels are elevated in brain tissue and CSF in association with HIV-Dementia [31] and HIV-Encephalitis [32]. Elevated CSF MCP-1 levels correlate with microvascular changes and blood brain barrier impairment determined by MR

perfusion [33], with glial metabolites [34, 35] and, among untreated subjects, with neuronal dysfunction/loss determined by MR spectroscopy [35].

Escalation in trafficking of activated monocytes into the brain may be a critical determinant of dementia in HIV infection [36]. MCP-1 is a potent monocyte chemoattractant that enhances both trafficking and ingress of these cells into the brain [37-42]. Levels of activated monocytes (macrophage precursors) in the circulation, as well as the extent of activated macrophages in brain tissue [43], correlate with dementia. HIV is imported into the brain via blood-borne monocytes (macrophage precursors). Monocytes entering the brain activate uninfected macrophages and resident microglia and as this escalates, vicious cycles of macrophage dysregulation may ensue [36]. CNS production of proinflammatory cytokines, chemokines and neurotoxic viral gene products may lead to extensive brain injury [44]. MCP-1 may influence viral replication within the brain [45], which occurs primarily in cells of monocytic lineage (monocyte-derived macrophages and microglia), alter the inflammatory cascade [46] or otherwise interact with viral proteins [38, 47-52]. Experimental evidence suggests that MCP-1 primes the responsiveness of glia to inflammatory stimuli [53] and an MCP-1 antagonist delays onset and reduces neurological signs associated with neuroinflammation [54]. Both MCP-1 levels (plasma and CSF) and symptomatic neurological progression have been identified as predictors of death in HIV infection (e.g. [3, 20].

A polymorphism MCP-1 allele has been linked to high levels of MCP-1, accelerated systemic HIV disease progression and markedly increased risk of dementia [55]. Some individuals may mount more exaggerated responses to infection and secrete higher levels of cytokines/chemokines across the clinical course. Serial plasma studies from pre-infection to seroconversion indicate marked individual differences in induction of plasma cytokines and chemokines during exponential viral amplification of acute HIV[56]. All subjects in this small intensive study were in advanced infection (AIDS). Factors serving neuroprotection or repair may become neurotoxic under excessive or chronic upregulation [57]. Some experimental evidence, for example, suggests that MCP-1 may allay neuronal and astrocyte apoptosis by the neurotoxic HIV viral protein, *tat* [58]. Given the dynamic nature of immune mediators, the prognostic significance of a specific marker may change across infection, depending on whether relevant disease activity is active or quiescent, the degree of immunosuppression or other factors.

In this investigation, some markers fell uniformly beyond limits of assay detection in all participants, particularly at baseline (Table 2). Several markers (IFNγ and IL-1α at baseline and IFNγ and IL-6 at follow-up) were detected only in a subset of participants. Other MR/ marker relationships were noted: for white matter volume (e.g. eotaxin, GM-CSF, IL-4 and RANTES) and for brain volume (IL-7). Findings for these analytes require further replication. The limited number of subjects does not allow definitive conclusions regarding all markers analyzed here. The neuropathophysiologic significance of MCP-1, however, is supported by the consistent pattern of findings with multiple MR brain status measurements at two separate timepoints across three years of infection.

Concluding remarks. Determination of factors underlying variability in HIV neurological outcome is imperative for preservation of the brain and cognitive function. Studies using proteomic profiling have uncovered new markers of interest for further study [59-62]. This investigation demonstrates the synergistic potential of multiplexed analysis used together with quantitative brain imaging strategies for evaluating markers. This approach enhances efficiency of marker screening with smaller sample sizes. The automated image analysis tools used in this investigation require minimal operator input and can also be adapted to large-scale investigations to illuminate meaningful interactions between markers of interest.

Multiple factors are likely to be associated with, or predictive of neurological progression in HIV infection. Proteomic applications promise to accelerate identification of risk and protective markers associated with individual differences in susceptibility, progression and clinical outcome in HIV infection and other CNS disorders.

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## **List of Abbreviations**



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#### **FIGURE 1. AUTOMATED BRAIN SEGMENTATION**

BRAIN ATROPHY CAN BE SEEN ON CONVENTIONAL MR IMAGES OF HIV INFECTED PATIENTS. IT IS DIFFICULT TO DETERMINE OF INJURY BASED ON VISUAL INSPECTION. AUTOMATED IMAGE PROCESSING ALGORITHMS CAN BE USED TO QUANTIFY THE BRAIN PARENCHYMA FRACTION, RELATIVE TO NORMATIVE POPULATION VALUES. VOLUME FRACTIONS OF GRAY MATTER, WHITE MATTER AND CSF CAN BE DETERMINED FOR EACH SUBJECT RELATIVE TO THE INDIVIDUAL INTRACRANIAL CAVITY (ICC) VOLUME (RIGHT). THESE ALGORITHMS REQUIRE MINIMAL OPERATOR, THEREBY MINIMIZING INTER- AND INTRA-RATER VARIATION IN BRAIN VOLUMETRIC MEASUREMENT.



#### **FIGURE 2.**

LEFT: A FRACTIONAL ANISOTROPY (FA) MAP AT A SINGLE SLICE, COLORIZED TO ILLUSTRATE VARIABILITY IN VALUES (RED LOWEST; BLUE HIGHEST). RIGHT: WHOLE BRAIN DISTRIBUTION OF FA VALUES (RANGING FROM 0 to 1), SHOWN FOR AN INDIVIDUAL SUBJECT IN A HISTOGRAM. THE MEAN WAS USED TO SUMMARIZE "WHOLE BRAIN" FA. DIFFUSION MAPS CAN ALSO BE USED TO CALCULATE MEAN DIFFUSIVITY (MD).

**Table 1**

Clinical Characteristics

Clinical Characteristics





BMI: Body Mass Index. MSK: Memorial Sloan Kettering Dementia Scale. KPS: Karnofsky Performance Scale. nance Уроя BINI.

*\** Based, on available clinical information for an average of 5 prior years.

#### **Table 2**

Means and Standard Deviations for MR and Marker Measures



Abbreviations: NBPV:normalized parenchymal brain volume, WM: white matter, GM: gray matter, CSF: cerebrospinal fluid, MD: mean diffusivity, FA: fractional anisotropy.

*---*Beyond limits of assay detection (uniformly low) in all subjects. Markers in pg/mL.

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Correlations between Markers and Brain Status at Baseline Correlations between Markers and Brain Status at Baseline





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*†* Beyond limits of detection (low) in at least half of the subjects.  $\overline{\phantom{a}}$  =  $\overline{\phantom{a}}$  Beyond limits of assay detection (uniformly low) in all subjects.

"Beyond limits of assay detection (uniformly low) in all subjects.  $^\dagger$  beyond limits of detection (low) in at least half of the subjects.

fluid, MD: mean diffusivity, FA: fractional anisotropy.

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