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THE ROLE OF HOX PROTEINS IN LEUKEMOGENESIS; INSIGHTS INTO KEY REGULATORY EVENTS IN HEMATOPOIESIS

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Abstract

Acute myeloid leukemia (AML) is a heterogeneous disease with highly variable prognosis. Identification of recurring chromosomal translocations provides some prognostic information for individual AML subjects. Population based gene expression profiling studies also identified abnormalities relevant to prognosis. Such studies associate increased expression of a set of homeodomain transcription factors with poor prognosis in AML. This set includes HoxB3, B4, A7–11 and Meis1, which are dysregulated as a group in the bone marrow in poor prognosis AML. Aberrant expression of these homeodomain transcription factors is found in AML with chromosomal translocations involving the MLL, MYST3 and CREBBP genes, and in a poor prognosis subset with normal cytogenetics. Studies in murine models suggest that Hox protein overexpression is functionally significant for myeloid malignancies. Overexpression of individual Hox proteins expanded various bone marrow populations in vitro, leading to myeloproliferation and in some cases differentiation block and AML in vivo. Therefore, dysregulated expression of key Hox target genes may contribute to adverse prognosis in AML. Identification of these genes will provide insights into the pathobiology of prognosis in AML. Studies are beginning to identify Hox target genes which may be rational targets for therapeutic approaches to this poor prognosis leukemia subset.

Keywords

leukemogenesis; gene regulation; transcription factor; Hox; myelopoiesis

I. HOX PROTEINS ARE HOMEODOMAIN TRANSCRIPTION FACTORS

A. Characteristics of Hox Proteins

Gene expression profiling studies in human AML correlated increased expression of a subset of Hox proteins with poor prognosis.^{1–5} These studies led to increased interest in understanding this family of homeodomain transcription factors and their roles in normal and leukemic myelopoiesis.

1. Highly Conserved Homeodomain Transcription Factors—*HOX* genes encode homeodomain transcription factors which are highly conserved from Drosophila to man. *HOX* genes were initially identified due to homology to Drosophila *HOMC* genes, and naming of mammalian *HOX* genes follows this homology (Figure 1) (reviewed ^{6,7}). Human and murine *HOX* genes are arranged in four groups (referred to as paralog groups A–D) which are found on four different chromosomes. Numbering of individual *HOX* genes follows homology between groups, with greatest similarity between Hox proteins of

different groups with the same number. During embryogenesis, Hox1–4 are most highly expressed in the head, Hox5–7 in the thorax and Hox8–11 in the abdomen and pelvis. ^{6,7} This tight spacial regulation is hypothesized to result in regulation of organ specific genes by groups of Hox proteins, although few such genes have been identified.

Similarly, *HOX* gene transcription during definitive hematopoiesis is tightly regulated, but in a temporal manner. Maximal expression of Hox1–4 occurs in hematopoietic stem cells (CD34⁺CD38⁻ in humans), with down regulation of these genes during CD38⁺ differentiation. Hox7–11 expression is maximal in lineage committee progenitors (CD34^{+/-}CD38⁺) with down regulation as differentiation proceeds.^{2,8} In AML, increased expression of HoxB3, B4, A7–11 is found in the most primitive progenitors with expression of A7–11 aberrantly sustained in differentiating progenitors.^{1,2} These observations suggest that identification of Hox target genes would provide useful insights into stem cell biology, myelopoiesis, and myeloid leukemogenesis. Recent studies have identified Hox target genes which begin to explain the crucial role these proteins play in hematopoiesis.

2. Mechanisms of Gene Regulation by Hox Proteins

a. Conserved domains in Hox proteins: Hox proteins with the same number but from different groups are well conserved in comparison to adjacent Hox proteins in the same group (Figure 2). Hox proteins bind to DNA through a homeodomain (HD) which is found in the C-terminus of the protein. The HD is highly conserved between Hox proteins, and Hox-HD are highly conserved between species. The HD includes conserved tyrosine residues which may regulate Hox activity.^{9–11} For example, HD-tyrosine phosphorylation of HoxA10 decreases the binding affinity for cis elements in phagocyte effector genes, but increases the binding affinity of HoxA9 to the same genes.^{9,10} Tyrosine phosphorylation of HoxA9 and HoxA10 occurs in a Jak2 dependent manner in myeloid progenitor cells in response to differentiating cytokines.¹² HD-tyrosine phosphorylation alters both protein-DNA and protein-protein interactions which may change the profile of interacting target genes.¹³

Hox proteins also include a conserved hexapeptide domain which is N-terminal to the HD (Figure 2). This domain interacts with proteins of the Pbx family of transcription factors. Pbx proteins are frequent DNA-binding partners for Hox proteins, and participate in binding site selection, as is discussed below.¹⁴ Meis proteins are also frequent Hox partners, but the domains involved in Hox/Meis interactions have not been well defined.

Hox proteins can either activate or repress transcription, depending on the sequence of the binding site, the partner proteins, and cellular context. An activation domain was identified for HoxA10 which is conserved with other Hox10 proteins. This domain facilitates interaction with the Creb-binding protein (CBP) and is homologous to PQ domains in E1a interacting proteins.¹⁵ This domain does not exist in HoxA9, suggesting that another mechanism is used for transcriptional activation by this protein. A HoxA10 repression domain was described between the activation domain and the hexapeptide (Figure 2). This domain facilitates interaction between a HoxA10/Pbx1 heterodimer and histone deacetylase 2.¹⁶

b. Binding site consensus sequences: Even before identification of the first genuine Hox target gene, studies were performed to identify the Hox-DNA binding site consensus sequences. These studies used iterative binding site selection techniques to identify DNA sequences preferentially selected by various Hox proteins. A relatively loose consensus (5'-TNATNN-3') was identified by these studies.¹⁷ These studies also determined a binding site preference across each Hox locus from 5'-TTAT-3' on the Hox1 end of each locus to 5'-TGAT-3' on the Hox13 end of the locus.¹⁷ Given the extensive homology between Hox-

c. DNA-binding partners: Hox proteins often bind DNA as heterodimers with Pbx proteins.¹⁴ DNA-binding consensus sequences for Hox-Pbx heterodimers were also identified by binding site selection studies.¹⁷ In these studies, 5'-ATGATTNATNN-3' was identified as the composite consensus sequence, with Pbx proteins recognizing the 5'-ATGAT-3' end of the sequence.¹⁷ Identified target genes for Hox-Pbx dimers include the *ITGB3* and *DUSP4* (activated by HoxA10+Pbx2), and *CYBB* and *NCF2* (repressed by HoxA10+Pbx1).^{15,16,18,19} Pbx proteins have not been found to directly influence transcriptional repression or activation of any target gene, but are hypothesized to assist the Hox protein in selecting DNA-binding sites and/or increasing affinity of Hox-DNA binding.

AbdB-Hox proteins (i.e. A9–13) may also bind DNA as a heterodimer with Meis proteins, or as a trimer with both Pbx and Meis. Binding site selection studies determined that the consensus for the Meis half of the DNA-binding site is 5'-TGACAG-3'; quite different than the Pbx recognition sequence.²⁰ However, activation of the *CYBB* gene by HoxA9 involves interaction with Meis1 at a binding site which overlaps the HoxA10-Pbx1 repressor element on this gene.¹¹

B. Mechanisms of HOX Gene Regulation

Differentiation stage specific transcription of various *HOX* genes is an important mechanism which regulates Hox protein activity. Although expression proceeds 5' to 3' through each *HOX* locus during hematopoiesis, mechanisms which regulate this process have not been completely defined. No control regions for *HOX* loci have been identified, but several transcription factors have been identified which regulate the promoter regions of a number of *HOX* genes.

1. Regulation by the Mixed Lineage Leukemia (MII) Protein—Increased and sustained Hox expression is found in a subset of leukemia with chromosomal translocations involving the *MLL* gene.^{1–3} This observation suggested that the *MLL* gene product (Mixed Lineage Leukemia or MII protein) might be involved in *HOX* regulation. Consistent with this hypothesis, MII binds to the promoter region of multiple *HOX* genes of the AbdA and AbdB groups.²¹ MII is a complex proteins with AT hooks (involved in DNA binding), DNA methyltransferase domain (DNMT), a PHD domain, and a SET domain (reviewed ²²). Functional studies suggest that MII binding to *HOX* promoters maintains differentiation stage specific transcription, but does not initiate transcription.²¹ Leukemia associated MII-fusion proteins are hypothesized to induce aberrant *HOX* transcription because the MII domains involved in maintaining transcription are present in the fusion protein, but domains essential for stage specific down regulation are not. The SET domain has been implicated in this latter function, since it is reproducibly deleted in leukemia associated MII-fusion proteins.

Since "anterior" *HOX* genes (Hox1–5) also exhibit aberrant transcription in AML with *MLL* translocations, Mll-fusion proteins must influence events which indirectly dysregulate transcription of these genes. Conditional knock out of the *MLL* gene is an embryonic lethal at day 16.5 in mice. These mice have a reduced number of hematopoietic stem cells (HSC) which are unable to compete in repopulation assays.²³ These results also suggest that Mll influences 5'*HOX* genes.

2. Regulation by Cdx Proteins—Another family of transcription factors which influence *HOX* gene transcription and/or Hox protein expression are Cdx proteins. Cdx are HD transcription factors and increased expression of Cdx1, 2 and 4 have been variously documented in human AML. Gene disruption studies identified roles for Cdx proteins in *HOX* gene regulation and hematopoiesis. Knock out of individual *CDX* genes impairs hematopoiesis during embryogenesis in murine models, and impairs transcription of multiple *HOX* genes, including HoxB2–5 and HoxA7–13.^{24,25} Conversely, overexpression of Cdx4 in murine bone marrow induces a myeloproliferative disorder and AML in murine transplantation experiments.²⁶ Interestingly, overexpression of Cdx4 rescues the hematopoietic defect in murine MII–/– bone marrow.²⁷

Mechanisms for cross regulation by Cdx and Hox proteins have been recently defined. HOXA10 has been identified as a direct Cdx4 target gene in myeloid progenitor cells.²⁸ In these studies, Cdx4 overexpression activated the HOXA10 promoter and increased HoxA10 expression. Additionally, these studies identified CDX4 as a HoxA10 activation target gene, establishing a positive feedback relationship between Cdx and Hox proteins.²⁸ This has implications for understanding myeloid leukemogenesis with Hox overexpression.

4. Regulation by Polycomb Proteins—Studies in Drosophila determined that *HOMC* gene transcription is regulated by Polycomb Group (PcG) proteins (reviewed ²⁹). Subsequent studies in mammalian models determined that PcG proteins bind to *HOX* promoter regions where they are thought to generally repress transcription. This has been described for YY1 binding to the *HOXB4* promoter and Bmi1 binding to the *HOXA9* promoter.^{30,31} PcG knockout in murine models impairs HSC function in various assays, which is hypothesized to be due to dysregulated *HOX* gene transcription.²⁹ Molecular mechanisms for these effects remain to be clarified.

C. Function of Hox Proteins During Hematopoiesis

Investigation of specific activities for various Hox proteins is complicated by functional redundancy between groups and between adjacent members of the same group. This makes gene disruption studies difficult to interpret unless multiple members of a group and knocked out, which produces additional complications for expression of other members of the locus. More information has been obtained from overexpression studies, as is discussed below.

1. HoxB3 and B4

a. Studies in knockout mice: Homologous recombination was used to generate murine models with disruption of *HOXB4* or double knockout of *HOXB3/B4*.³² These mice have a minor hemato-phenotype and mild abnormalities in hematopoiesis. These abnormalities include impairment of HSC function as indicted by decreased competitive repopulating activity. The results of studies with either *HOXB4* or *HOXB3/B4* knockout are similar, suggesting functional redundancy between these two Hox proteins. The mild nature of the phenotype suggests there is redundancy with other HoxB proteins, or anterior Hox proteins of other groups.

b. Overexpression studies: More information was obtained with gain of function studies for HoxB3 and HoxB4. These studies determined that overexpression of either HoxB3 or HoxB4 in murine or human bone marrow expanded the most immature cell populations *in vitro*.^{33–35} Consistent with these results, mice that were transplanted with HoxB3 or HoxB4 overexpressing bone marrow developed a myeloproliferative neoplasm (MPN) with expansion of the long term repopulating HSC population in the bone marrow.^{33–35} However, the MPN did not progress to AML in these mice.

2. HoxA9 and A10

a. Studies in knockout mice: Constitutive knockout of the *HOXA9* or *HOXA10* gene in mice results in abnormal development of the genitourinary system, impaired fertility in heterozygous knockout animals, and a very mild hemato-phenotype. Mice with knockout of either gene are reported to have either mild pancytopenia, or unremarkable blood counts, depending upon the report. Studies of bone marrow function are similarly unremarkable. There is some decrease in competitive repopulating ability in *HOXA9*–/– bone marrow compared to normal, but the difference is not profound.³⁶ Similar studies have not been performed with *HOXA10*–/– bone marrow, but serial plating assays are only slightly less efficient in comparison to Wt bone marrow. Double knockout of *HOXA9* and *HOXA10* has not been studied nor has conditional knockout in bone marrow cells only.

b. Overexpression studies: Investigations of HoxA9 and HoxA10 by *in vitro* and *in vivo* overexpression have been quite informative. *In vitro*, overexpression of either protein in murine or human bone marrow preferentially expands the granulocyte/monocyte progenitor (GMP) population and immortalizes the cells.^{37–40} Mice transplanted with HoxA10-overexpressing bone marrow develop a MPN with mature neutrophils which progresses to differentiation block and AML over 6–8 mos.⁴⁰ Mice transplanted with HoxA9-overexpressing bone marrow also develop a MPN which only progresses to AML after an extremely long lag time, or if the bone marrow is co-overexpressing Meis1.³⁶ These results suggest some redundancy and some unique functions for HoxA9 and HoxA10. Additional studies of murine models suggest the hypothesis that HoxA10 induces differentiation block while HoxA9 is involved in selection of myeloid versus lymphoid lineage commitment.

II. HOX PROTEINS ARE ABERRANTLY EXPRESSED IN AML

A. Association Between Hox Expression and MLL-Translocation

The MLL gene is involved in leukemia associated translocations with over 70 different partner genes (the most common of which are listed in Table 1) (reviewed ²²). These leukemias are characterized by expression of a fusion protein which include the same set of domains from the Mll N-terminus, and C-terminal domains from the fusion partner. Gene expression profiles and clinical characteristics fairly similar for all leukemias with translocations involving the MLL gene, suggesting that Mll domains are the dominant factor driving pathogenesis. Since MLL is located on the q23 region of chromosome 11, these are referred to as 11q23 leukemias. In adults, 11q23 leukemia is often associated with prior exposure to topoisomerase II inhibitors and has extremely poor prognosis, even among therapy related leukemias. In pediatric patients, *MLL* translocations are found predominantly in a poor prognosis subset of infant leukemias with both lymphoid and myeloid blasts (hence the term mixed lineage leukemia).³ As discussed above, 11q23 leukemias are associated with increased expression of a set of HD transcription factors in CD34+ bone marrow cells and CD34+CD38+ circulating blasts; therefore expression of these genes is both increased and aberrantly sustained in differentiating myeloid cells. This set includes HoxB3, B4, A7-11 and Meis1. A similar gene expression profile is found in AML with normal cytogenetics, but tandem duplication of the MLL gene.⁴¹

B. Other Forms of Leukemia with Increased Hox Expression

Studies of the pathogenesis of 11q23 leukemias suggest the hypothesis that aberrant HDtranscription factor expression is prognosis driving in AML. Additional studies in forms of leukemia without *MLL* translocations confirmed this hypothesis. Increased expression of similar sets of Hox proteins and Meis1 were also described in AML with translocations involving *MYST3* and *CREBBP* genes.⁵ Statistical analysis also revealed a poor prognosis subset of AML subjects with normal cytogenetics (and without tandem duplications of *MLL*)

C. Murine Models to of Leukemogenesis

The functional contribution of various Hox proteins to myeloid leukemogenesis has been studied in murine models. These murine models have been crucial to develop the causal links between increased Hox expression and the pathogenesis of myeloid malignancy.

1. HoxA10 and Shp2-PTP—As discussed above, overexpression of HoxA10 or HoxA9 + Meis1in murine bone marrow expands the GMP population in vitro and results in a myeloproliferative neoplasm in murine transplantation experiments. However, AML does not occur immediately in mice transplanted with HoxA10 or HoxA9 overexpressing bone marrow, but develops over the course of months.^{38,40} These results suggest that Hox protein overexpression is sufficient to induce myeloproliferation, and also predisposes to acquisition of additional mutations which are necessary for differentiation block and AML. Post translational modification of HoxA10 prevents the overexpressed protein from participating in gene regulatory activities which might lead to differentiation block. This suggests the hypothesis that mutations which impair cytokine induced tyrosine phosphorylation of HoxA10 might lead to AML in HoxA10 overexpressing bone marrow.

HoxA10 is a substrate for Shp2 protein tyrosine phosphatase.¹⁰ Down regulation of Shp2-PTP activity during myelopoiesis is one factor which contributes to HoxA10 tyrosine phosphorylation in response to differentiating cytokines. Leukemia associated mutations in the gene encoding Shp2 have been described which result in constitutive activation of the PTP⁴². These mutations are found in 10% of AML and perhaps a higher percent of 11q23-AML. Consistent with this hypothesis, mice transplanted with bone marrow overexpressing HoxA10 plus a constitutively active form of Shp2 develop leukemia immediately.⁴³

2. MII-Fusion Proteins—Various leukemia associated MII-fusion proteins have been overexpressed in murine bone marrow cells and analyzed *in vitro* and *in vivo*. Similar to overexpression studies with individual Hox proteins, MII-fusion protein expressing murine bone marrow has increased serial plating capacity and is immortalized in vitro. Mice transplanted with such bone marrow develop a MPN with predominance of mature neutrophils. This MPN progresses to differentiation block and AML over a 6–8 mos.^{44,45} This *in vivo* process is delayed in mice transplanted with MII-fusion protein expressing, Cdx4–/– bone marrow.²⁷ Various *MLL*-fusion partners have been tested in such experiments, and aberrant Hox expression has been identified which follows a very similar pattern to that observed in human 11q23-AML.⁴⁶ Results from studies of MII-fusion protein expression in murine bone marrow with knock out of various Hox proteins has been inconsistent, but the majority indicate development of MPN and AML in mice transplanted with HoxA7–/– or HoxA9–/– bone marrow expressing various MII-fusion proteins.^{47, 48}

III. HOX TARGET GENES AND DOWNSTREAM REGULATORY EVENTS

A. HoxB3 and B4

Despite the documented importance of HoxB3 and HoxB4 in HSC maintenance and function, relatively few relevant target genes have been identified which explain these activities (Table 2). HoxB3 interacts with the promoter region of *OTX2* which encodes a homeodomain protein involved in regulating development of eye structures, but without a

documented role in hematopoiesis.⁴⁹ The gene encoding DNA methyltransferase 3B is activated by HoxB3 and may be involved in epigenetic regulation of HSC relevant genes.⁵⁰ A number of HoxB4 target genes have been identified which impact cell proliferation and survival (Figure 2), including the genes encoding myc, IGF binding protein 1, FLASH and Rap1.^{51–54} Interestingly, expression of myc and Rap1 are relatively decreased in 11q23-AML. HoxB4 also activates the *HEMGN* gene encoding a nuclear protein of unknown significance which is expressed in bone marrow progenitor cells.⁵⁵

B. HoxA9

A number of target genes for HoxA9 have been identified, generally using expression microarray screening for altered gene expression in HoxA9 overexpressing cells. HoxA9 activates several genes of potential significance for the pathogenesis of AML, including genes encoding Flt3, Pim1 and miR155. $^{56-60}$ Flt3 is the receptor for Flt3L and is expressed on HSC and myeloid progenitor cells. Aberrant activation of this receptor due to *FLT3* gene mutation is associated with poor prognosis in AML. Gene expression studies indicate a statistically significant association between the presence of *FLT3* mutation and HoxA9 and HoxA10 overexpression in AML. 61 Pim1 is a kinase which is frequently activated by the Moloney murine leukemia virus and participates in leukemic transformation of such cells. Expression of miR155 has been described in AML and a number of putative target mRNAs have been identified which may mediate a functional contribution to leukemogenesis. HoxA9 also activates several genes which confer the mature phagocyte phenotype, including genes encoding the NADPH oxidase component gp91phox, and E selectin. $^{11.62}$ This is consistent with the hypothesis that HoxA9 is involved in selection of myeloid lineage commitment.

C. HoxA10

A slightly larger number of HoxA10 target genes have been identified (Table 4). Similar to HoxA9, HoxA10 activates a number of genes involved in cell proliferation and survival.⁶³ HoxA10 activates transcription of several genes involved in proliferation of HSC and myeloid progenitors, including the genes encoding Tgf β 2 and one a cognate receptor, and the gene encoding β 3 integrin.^{11,64} HoxA10 also activates the *CDX4* gene in myeloid progenitor cells, which suggests an important role for HoxA10 in regulation of the Hox-code.⁶³ HoxA10 also activates the gene encoding Mkp2 (the *DUSP4* gene) in myeloid progenitors.⁶⁵ Mkp2 inactivates Jnk and p36 Map-kinases, resulting in HoxA10-dependent apoptosis resistance of progenitor cells. Hoxa10 also regulates genes which confer the mature phagocyte phenotype (Table 4). However, in contrast to HoxA9, HoxA10 represses transcription of these genes in myeloid progenitor cells. This is consistent with descriptive observations suggesting that HoxA10 induces differentiation block and identifies potentially antagonistic functions for HoxA9 and HoxA10 for regulating this aspect of myelopoiesis.

IV SUMMARY

Dysregulated Hox expression is found in a subset of poor prognosis AML, including leukemias with *MLL* gene translocations. Studies in murine models and human primary cells suggest that increased and sustained expression of Hox proteins plays a functional role in leukemogenesis through dysregulation of HSC and GMP populations. Studies have identified some Hox target genes which may be relevant to this process. The products of such genes, and cognate pathways, may be rational therapeutic targets for personalized therapeutic approaches to AML with dysregulated Hox expression.

ABBREVIATIONS

AML	acute myeloid leukemia
MLL	mixed lineage leukemia
HD	homeodomain
Jak2	Janus kinase 2
CBP	CREB-binding protein
PCG	polycomb group proteins
MPN	myeloproliferative neoplasm
GMP	granulocyte/monocyte progenitors
DNMT	DNA-methyl transferase

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Figure 1. Homology of mammalian *HOX* **genes to Drosophila** *HOMC* **genes** Mammalian *HOX* genes are found on four different chromosomes which are arranged similarly to homologous Drosophila *HOMC* genes.

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Figure 2. Hox proteins share conserved domains

HoxA9 and HoxA10 share conserved domains, including the DNA-binding homeodomain and the hexapeptide domain which mediates interaction with Pbx proteins. The remainder of the HoxA9 and HoxA10 proteins are divergent.

Table 1

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Mll Fusion Proteins			
MLL-ELL	MLL-SEPTIN6		
MLL-GAS	MLL-AF4		
MLL-CBP	MLL-AF6		
MLL-EEN	MLL-AF9		
MLL-LTG9	MLL-AF17		

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Target Genes				
HoxB3	HoxB4			
DNMT3B [^]	FLASH			
OTX2 [^]	HEMGN*			
	IGFBP1 [^]			
	MYC [#]			
	RAP1 [#]			

^ increased in 11q23

#decreased in 11q23

* no difference

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Table 2

Table 3

HoxA9 Target Genes				
Cytokines/receptors	Proliferation	Inflammation		
EPHB4 [#]	miR155	CYBB*		
FLT3 [^]	PIM1 [^]	NCF2*		
OPN		SELE*		

^increased in 11q23,

#decreased in 11q23,

* no difference

HoxA10 Target Genes					
Cytokines/receptors	Proliferation	Inflammation			
ITGB3*	MAPK6PS1*	ATPGV1H			
SELL*	NUDT6 [^]	CYBB*			
TGFB2*	PLCB1 [#]	<i>IL11</i> ^			
TGFBR3*	PRKAR2A [^]	NCF2*			
TMEM8 [^]		TBXAS1*			
Ubiquitination	DNA-binding proteins	Apoptosis			
ARIH2 [^]	$CDX4^{\wedge}$	$DUSP4^*$			
UBE2S [^]	CUX1*	BRSK1#			
	MEIS1 [^]	PDCD5 [^]			
	PBX2 [^]				

Table 4

^ increased in 11q23,

#decreased in 11q23,

* no difference