

# Chronic Obstructive Pulmonary Disease: Safety and Tolerability of Hyperpolarized $^{129}\text{Xe}$ MR Imaging in Healthy Volunteers and Patients<sup>1</sup>

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## Purpose:

To evaluate the safety and tolerability of inhaling multiple 1-L volumes of undiluted hyperpolarized xenon  $^{129}\text{Xe}$  followed by up to a 16-second breath hold and magnetic resonance (MR) imaging.

## Materials and Methods:

This study was approved by the institutional review board and was HIPAA compliant. Written informed consent was obtained. Forty-four subjects (19 men, 25 women; mean age,  $46.1 \pm 18.8$  [standard deviation]) were enrolled, consisting of 24 healthy volunteers, 10 patients with chronic obstructive pulmonary disease (COPD), and 10 age-matched control subjects. All subjects received three or four 1-L volumes of undiluted hyperpolarized  $^{129}\text{Xe}$ , followed by breath-hold MR imaging. Oxygen saturation, heart rate and rhythm, and blood pressure were continuously monitored. These parameters, along with respiratory rate and subjective symptoms, were assessed after each dose. Subjects' serum biochemistry and hematology were recorded at screening and at 24-hour follow-up. A 12-lead electrocardiogram (ECG) was obtained at these times and also within 2 hours prior to and 1 hour after  $^{129}\text{Xe}$  MR imaging. Xenon-related symptoms were evaluated for relationship to subject group by using a  $\chi^2$  test and to subject age by using logistic regression. Changes in vital signs were tested for significance across subject group and time by using a repeated-measures multivariate analysis of variance test.

## Results:

The 44 subjects tolerated all xenon inhalations, no subjects withdrew, and no serious adverse events occurred. No significant changes in vital signs ( $P > .27$ ) were observed, and no subjects exhibited changes in laboratory test or ECG results at follow-up that were deemed clinically important or required intervention. Most subjects (91%) did experience transient xenon-related symptoms, most commonly dizziness (59%), paresthesia (34%), euphoria (30%), and hypoesthesia (30%). All symptoms resolved without clinical intervention in  $1.6 \text{ minutes} \pm 0.9$ .

## Conclusion:

Inhalation of hyperpolarized  $^{129}\text{Xe}$  is well tolerated in healthy subjects and in those with mild or moderate COPD. Subjects do experience mild, transient, xenon-related symptoms, consistent with its known anesthetic properties.

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Supplemental material: <http://radiology.rsna.org/lookup/suppl/doi:10.1148/radiol.11102172/-/DC1>

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The field of hyperpolarized gas magnetic resonance (MR) imaging was introduced by using the isotope xenon 129 ( $^{129}\text{Xe}$ ) (1), and the first human studies with hyperpolarized  $^{129}\text{Xe}$  were reported by Mugler et al in 1997 (2). However, the relatively low available  $^{129}\text{Xe}$  polarization (1%–2%) generated only modest image quality, and this, combined with early recognition that  $^{129}\text{Xe}$  would be regulated as a drug, diminished enthusiasm for this agent. As a result, the field transitioned to using helium 3 ( $^3\text{He}$ ), which offered a simpler and more mature polarization technology (3), a large magnetic moment, and absence of physiologic effect. Hyperpolarized  $^3\text{He}$  MR imaging entered clinical research in 1996 (4,5), expanded to multicenter studies (6), has shown significant correlation to conventional techniques (eg, spirometry, diffusing capacity, radiography) (7–10), and has enabled longitudinal studies by virtue of its noninvasive nature (11). Recent years have seen the addition of numerous contrast mechanisms, including the apparent diffusion coefficient as a sensitive marker of emphysema (7,12).

### Advances in Knowledge

- Inhalation of 1-L volumes of undiluted hyperpolarized  $^{129}\text{Xe}$  caused transient symptoms in 40 (91%) of 44 subjects, most commonly dizziness (26 [59%] of 44), paresthesia (15 [34%] of 44), euphoria (13 [30%] of 44), and hypoesthesia (13 [30%] of 44).
- No hypoxic adverse events were observed, and xenon-related symptoms caused no withdrawals and no severe or serious adverse events and resolved without clinical intervention in 1.6 minutes  $\pm$  0.9.
- Inhalation of multiple 1-L volumes of undiluted hyperpolarized  $^{129}\text{Xe}$  caused no significant changes in vital signs ( $P > .27$ ) and no changes in 12-lead electrocardiographic or laboratory test results that study personnel deemed clinically important or to require intervention.

Considerable experience at numerous institutions has proved hyperpolarized  $^3\text{He}$  MR imaging to be safe, not only in healthy subjects, but also in subjects with obstructive airflow (13). Unfortunately, dissemination of  $^3\text{He}$  MR imaging faces challenges given the constrained supply of  $^3\text{He}$  and recent increased  $^3\text{He}$  use for homeland security applications, which has driven up costs from approximately \$100 per liter to approximately \$500 per liter (14). Therefore, it is now recognized that development of imaging with the more readily abundant isotope  $^{129}\text{Xe}$  should be pursued (15,16).

Recent progress in  $^{129}\text{Xe}$  polarization technology (17) led Patz and coworkers (18,19) to reintroduce  $^{129}\text{Xe}$  MR imaging in humans with promising results. Although these studies reported no adverse events, they were not specifically designed to assess the safety and tolerability of hyperpolarized  $^{129}\text{Xe}$ . Xenon, unlike  $^3\text{He}$ , is soluble in blood and tissues, and a fraction of the inhaled gas leaves the lungs and can have systemic effects, including anesthesia (20). Although xenon has a long history of safe use as a contrast agent in x-ray computed tomographic (CT) studies (21), a dedicated study was deemed necessary to rigorously document the effects of inhaled xenon as used for MR imaging. Hence, the purpose of this study was to evaluate the safety and tolerability of inhaling multiple 1-L volumes of undiluted hyperpolarized  $^{129}\text{Xe}$  followed by up to a 16-second breath hold.

### Materials and Methods

This prospective, phase I, nonrandomized study was approved by the institutional review board. Written informed consent was obtained from all participants. This study was Health Insurance Portability and Accountability Act

### Implication for Patient Care

- Inhalation of multiple 1-L volumes of undiluted hyperpolarized  $^{129}\text{Xe}$  is well tolerated by adult subjects, including those with mild or moderate chronic obstructive pulmonary disease.

compliant. The trial was conducted under an investigational new drug application to the U.S. Food and Drug Administration held by GE Healthcare. The study was supported by GE Healthcare through an equipment loan, supplying of  $^{129}\text{Xe}$  gas, and funding. Control and presentation of the data in this article remained with the authors who were not employed by GE Healthcare.

### Research Subjects

Between October 2008 and February 2010, a total of 44 subjects (mean age, 46.1 years  $\pm$  18.8 [standard deviation]) were enrolled according to the inclusion and exclusion criteria detailed in Table 1. The subjects included 25 women (mean age, 42.1 years  $\pm$  17.6) and 19 men (mean age, 51.2 years  $\pm$  19.6). The first consecutive 24 subjects were healthy volunteers (16 women [mean age, 31.7 years  $\pm$  10.7] and eight men [mean age, 32.7 years  $\pm$  12.7]) who participated in the technical run-in phase of the trial. The run-in phase was deliberately conducted slowly to permit time to establish optimal technical imaging parameters for  $^{129}\text{Xe}$  ventilation, apparent diffusion coefficient (22), and  $^{129}\text{Xe}$  distribution in the dissolved phase (15).

### Published online before print

10.1148/radiol.11102172 Content code: CH

Radiology 2012; 262:279–289

### Abbreviations:

COPD = chronic obstructive pulmonary disease

ECG = electrocardiogram

Spo<sub>2</sub> = oxygen saturation as measured by pulse oximetry

### Author contributions:

Guarantors of integrity of entire study, B.D., R.F., M.K., H.P.M.; study concepts/study design or data acquisition or data analysis/interpretation, all authors; manuscript drafting or manuscript revision for important intellectual content, all authors; manuscript final version approval, all authors; literature research, B.D., S.M.J., Z.I.C., D.M.B., C.W.; clinical studies, B.D., S.M.J., Z.I.C., G.M.M., D.M.B., J.C.N., S.S.K., R.F., C.W., J.W., M.K., H.P.M.; statistical analysis, S.M.J., C.W., J.W.; and manuscript editing, B.D., S.M.J., Z.I.C., D.M.B., J.C.N., C.W., J.W., M.K., H.P.M.

### Funding:

This research was supported by the National Institutes of Health (grants P41 RR005959 and R01 HL105643).

Potential conflicts of interest are listed at the end of this article.

Table 1

## Inclusion and Exclusion Criteria

Parameter	Criteria
<b>Inclusion</b>	
Healthy volunteer	Age 18–75 years; <5 pack-years smoking history, no smoking in last 5 years; no known respiratory conditions; normal corrected QT interval at ECG; able to hold breath for 15 seconds without discomfort
Age-matched control subject	Age 45–75 years; $\text{FEV}_1$ and single-breath $\text{D}_{\text{LCO}} > 80\%$ ; $\text{FEV}_1/\text{FVC}$ ratio $\geq 0.70$ ; <5 pack-years smoking history, no smoking in last 5 years; no known respiratory conditions; normal corrected QT interval at ECG; able to hold breath for 15 seconds without discomfort
Subject with COPD	Age 45–75 years; $40\% < \text{FEV}_1 < 70\%$ and single-breath $\text{D}_{\text{LCO}} < 70\%$ ; $\text{FEV}_1/\text{FVC}$ ratio $< 0.70$ ; substantial past smoking history ( $\geq 20$ pack-years); no exacerbation within 30 days of MR imaging*; normal corrected QT interval at ECG; able to hold breath for 15 seconds without discomfort
Exclusion (all subjects)	Lactating or pregnant; respiratory illness of a bacterial or viral origin within 4 weeks of MR imaging; history of asthma, allergy, or hypersensitivity that affected pulmonary function; improvement in $\text{FEV}_1$ and/or FVC of $> 12\%$ and $> 200$ mL after bronchodilator <sup>†‡</sup> ; received any investigational medicinal products within 30 days of MR imaging; cardiac arrhythmias; MR imaging contraindicated (implants, history of metal in the eye, claustrophobia); comorbidities considered to make subject unsuitable for study

Note.— $\text{D}_{\text{LCO}}$  = diffusing capacity of lung for carbon monoxide,  $\text{FEV}_1$  = forced expiratory volume in the first second of expiration, FVC = forced vital capacity.

\* Exacerbations within 30 days of study were determined by querying subjects as to whether they were recently prescribed antibiotics, increased their use of inhaled or oral steroids, or experienced decreased capability to carry out their daily activities.

<sup>†</sup> Did not apply to healthy volunteers.

<sup>‡</sup> Bronchodilator responders were excluded because other aims of the study sought subjects with COPD with primarily emphysematous airflow limitation.

This was followed by an efficacy phase with 10 subjects with chronic obstructive pulmonary disease (COPD) (three women [mean age, 62.7 years  $\pm$  9.5] and seven men [mean age, 67.1 years  $\pm$  4.9]) and 10 age-matched control subjects (six women [mean age, 61.5 years  $\pm$  7.9] and four men [mean age, 65.0 years  $\pm$  7.9]). The subjects with COPD were characterized by Global Initiative for Obstructive Lung Disease criteria as stage I ( $n = 1$ ), II ( $n = 8$ ), and III ( $n = 1$ ). Detailed pulmonary function data for the subjects with COPD and age-matched control subjects are provided in Kaushik et al (22). All 44 individuals were assessed identically for safety and tolerability after inhalation of hyperpolarized  $^{129}\text{Xe}$ , as described below. Subject demographic characteristics are summarized in Table 2.

### Xenon 129 Hyperpolarization

Two prototype polarizers (GE Healthcare; Durham, NC) were installed adjacent to the MR suite and were used to prepare hyperpolarized  $^{129}\text{Xe}$  for all studies. These devices produced 1 L of undiluted  $^{129}\text{Xe}$  (40.9 mmol) polarized to 6%–10% in 1 hour by using rubidium-based spin-exchange optical pumping (23). This modest polarization level relative to what is now becoming available (17) led to the choice of a 1-L dose volume to maximize image signal-to-noise ratio, while anticipating that physiologic effects would remain tolerable. The source gas used for polarization consisted of 1% xenon (isotopically enriched to 83%  $^{129}\text{Xe}$ ), 10%  $\text{N}_2$ , and 89% helium 4 supplied by Spectra Gases (Alpha, NJ) and certified to be more than 99.999% pure. Each dose was prepared by flowing

the gas mixture through the heated optical pumping cell of the polarizer and cryogenically extracting the polarized xenon gas in a cold trap immersed in liquid nitrogen. Once the desired xenon volume had been accumulated, it was thawed into a dose delivery bag (Tedlar; Jensen Inert Products, Coral Springs, Fla) through 3/8-inch tubing (Tygon; Saint-Gobain, Akron, Ohio) and clamped shut with a plastic clip. Final  $^{129}\text{Xe}$  polarization was measured by using a prototype polarimeter (GE Healthcare, Durham, NC). The dose bag was then labeled and delivered to the adjacent MR imaging suite. The gas contents of each dose were fully traceable to the original source gases with detailed batch records. By producing doses by using cryogenic accumulation of hyperpolarized  $^{129}\text{Xe}$ , any possible trace rubidium flowing out of the optical cell was extracted in the cryogenic trap. As an added precaution, this trap was replaced after every 20 batches to prevent potential long-term rubidium accumulation.

### MR Examination

Because the primary focus of this article was to report on hyperpolarized  $^{129}\text{Xe}$  safety, detailed  $^{129}\text{Xe}$  imaging methods and findings are reported separately (15,22). Briefly, subjects were imaged in the supine position, with their arms over their heads (except for one subject who was unable to adopt this position and held arms to the side) with a 1.5-T MR system (Excite 14m5; GE Healthcare, Waukesha, Wis). Subjects were fitted with a flexible vest coil (Clinical MR Solutions, Brookfield, Wis) designed for quadrature transmit-receive at the 17.66-MHz  $^{129}\text{Xe}$  resonance frequency and equipped with a blocking network to permit hydrogen 1 ( $^1\text{H}$ ) MR imaging by using the body coil in the MR imager. Prior to hyperpolarized  $^{129}\text{Xe}$  administration, subjects underwent a free-breathing three-plane localizer examination and a higher resolution 20-second breath-hold steady-state free-precession examination to highlight the pulmonary vessels (field of view = 40 cm,  $128 \times 128$  matrix, 15-mm sections, repetition time msec/

Table 2

## Subject Demographics

Parameter	Healthy Volunteer (n = 24)	Age-matched Control Subject (n = 10)	Subject with COPD (n = 10)	Total (n = 44)
<b>Age (y)</b>				
Mean $\pm$ standard deviation	32.2 $\pm$ 11.2	62.9 $\pm$ 7.6	65.8 $\pm$ 6.4	46.1 $\pm$ 18.8
Median	27.0	65.0	67.5	51.0
Range	19–57	51–74	53–73	19–74
<b>Sex</b>				
Male	8 (33)	4 (40)	7 (70)	19 (43)
Female	16 (67)	6 (60)	3 (30)	25 (57)
<b>Race</b>				
American Indian or Alaskan Native	1 (4)	0	0	1 (2)
Asian	0	0	0	0
Black or African American	12 (50)	1 (10)	0	13 (30)
Native Hawaiian or other Pacific Islander	0	0	0	0
White	10 (42)	9 (90)	10 (100)	29 (66)
Other	1 (4)	0	0	1 (2)
<b>Ethnicity</b>				
Hispanic or Latino	1 (4)	0	1 (10)	2 (5)
Not Hispanic or Latino	23 (96)	10 (100)	9 (90)	42 (95)
<b>Weight (kg)</b>				
Mean $\pm$ standard deviation	77.7 $\pm$ 14.9	83.1 $\pm$ 21.9	72.9 $\pm$ 13.4	77.8 $\pm$ 16.4
Median	80.7	75.8	74.2	77.3
Range	54.0–110.2	56.7–122.9	55.3–91.6	54.0–122.9

Note.—Unless otherwise indicated, data are numbers of subjects, with percentages in parentheses.

echo time msec = 2.8/1.2, flip angle = 45°, bandwidth = 125 kHz).

After  $^1\text{H}$  anatomic imaging, each subject received a calibration dose consisting of 200 mL hyperpolarized  $^{129}\text{Xe}$  and 800 mL  $\text{N}_2$  (99.999% pure, Airgas, Durham, NC), which was used to verify the transmit frequency and set the transmit gain. Each subject then received either three or four (healthy volunteers only) 1-L doses of hyperpolarized  $^{129}\text{Xe}$ , separated by at least 15 minutes. Prior to the first calibration dose, the subject received a bag of air to practice the inhalation and breath-hold maneuver. For each dose (air, calibration, or full dose), the subject was instructed by the technologist to fully inhale and exhale two times. Subjects then received the tube attached to the dose bag in their mouth and were then coached to inhale vigorously. Xenon inhalation was found to be challenging in five of the subjects with COPD as evidenced by them requiring more than 5 seconds to inhale the bag contents. For these subjects, the technologist assisted the respiratory maneuver by physically compressing the bag during inhalation.

Note that xenon has a density 4.55 times greater than air, which dominates pulmonary resistance during turbulent flow (24). After inhalation, subjects held their breath until the imaging finished, at which point they were instructed to exhale. The breath-hold period was kept to less than 16 seconds for all hyperpolarized  $^{129}\text{Xe}$  acquisitions, which was consistent with the common practice for delivering anoxic hyperpolarized  $^3\text{He}$  for MR imaging studies (25).

Each  $^{129}\text{Xe}$  dose was used for either technical development or efficacy evaluation of ventilation, apparent diffusion coefficient, or dissolved-phase  $^{129}\text{Xe}$  imaging, the results of which have been reported elsewhere (15,22). The  $^{129}\text{Xe}$  ventilation images reported here were acquired with the following parameters: field of view of 40 cm, matrix of 128  $\times$  128, 15-mm sections, 7.8/1.9, flip angle of 5°–6°, bandwidth of 8 kHz, and sequential k-space ordering.

### Evaluation of Safety and Tolerability

Detailed clinical parameters were recorded at screening (4 weeks to 24 hours

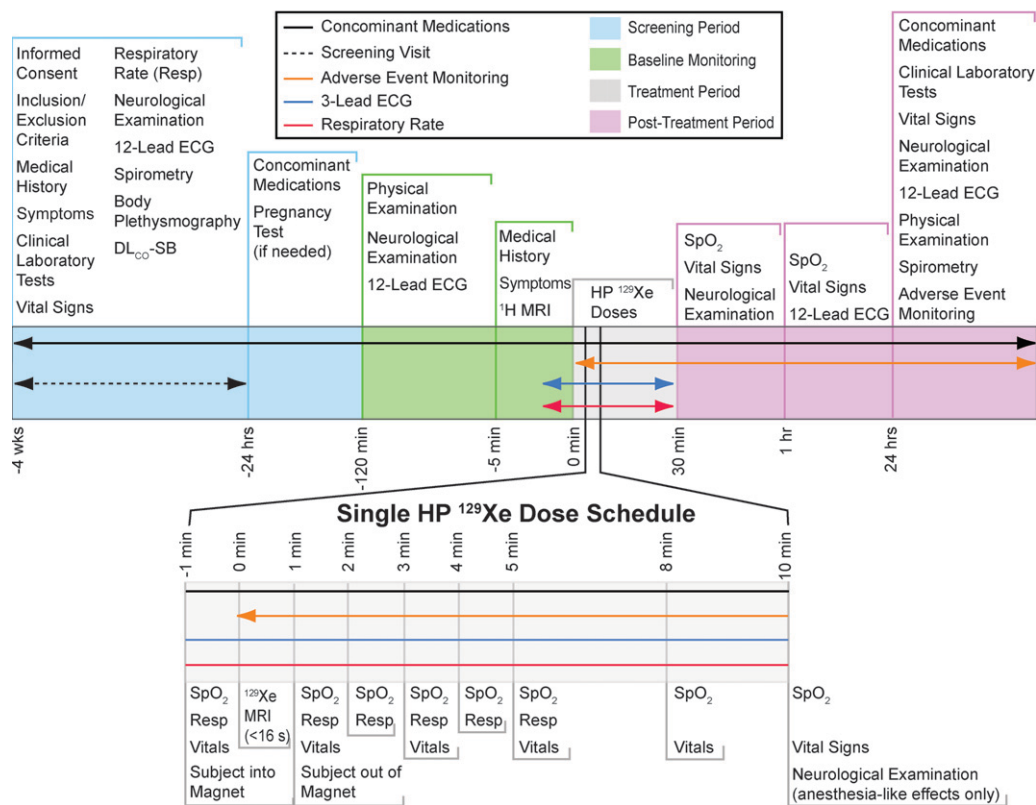
before  $^{129}\text{Xe}$  MR imaging) and at 24 hours after  $^{129}\text{Xe}$  MR imaging, as outlined in Figure 1. At screening, the following were measured, recorded, or performed: any current symptoms, clinical laboratory tests (Appendix E1 [online]), vital signs, respiratory rate, neurologic and neurobehavioral examination, 12-lead ECG, and limited physical examination. For women of childbearing age, a pregnancy test was performed within 24 hours of MR imaging. At 120 minutes before the first  $^{129}\text{Xe}$  dose, the following were conducted: neurologic and neurobehavioral examination, 12-lead ECG, and limited physical examination.

While in the MR imager, subjects were continuously attended to by a physician, nurse, or respiratory therapist, who recorded any reported symptoms, as well as the subject's  $\text{SpO}_2$ , three-lead ECG results, and blood pressure, which were continuously displayed by using an MR-compatible monitoring system (GE Healthcare, Helsinki, Finland).

An adverse event was defined as any unfavorable and unintended sign,



**Figure 1**



**Figure 1:** Schedule of study events. Healthy volunteers during the technical run-in phase received four 1-L doses of hyperpolarized (*HP*) <sup>129</sup>Xe, while age-matched control subjects and subjects with COPD during the efficacy phase received three doses. Age-matched control subjects and subjects with COPD underwent pulmonary function testing, whereas healthy volunteers did not. The specific monitoring schedule for each dose is broken out at the bottom of the figure. *DL<sub>CO</sub>-SB* = single-breath diffusing capacity of lung for carbon monoxide, *ECG* = electrocardiography, *Resp* = respiratory rate recording, *SpO<sub>2</sub>* = oxygen saturation as measured by pulse oximetry.

symptom, or disease temporally associated with the use of hyperpolarized <sup>129</sup>Xe, whether or not considered related to the product or expected on the basis of the known properties of xenon. Adverse events were classified (26) by the medical personnel attending to the subject as mild (tolerable), moderate (interferes with normal activity), or severe (incapacitating, unable to perform usual activity or work). A hypoxic adverse event was specifically defined as a reduction in SpO<sub>2</sub> of 5% or more that had not resolved by 1 minute after <sup>129</sup>Xe inhalation. Adverse events and changes in vital signs or laboratory parameters were considered clinically important if study personnel judged them to require either intervention or further medical evaluation. A serious adverse event was

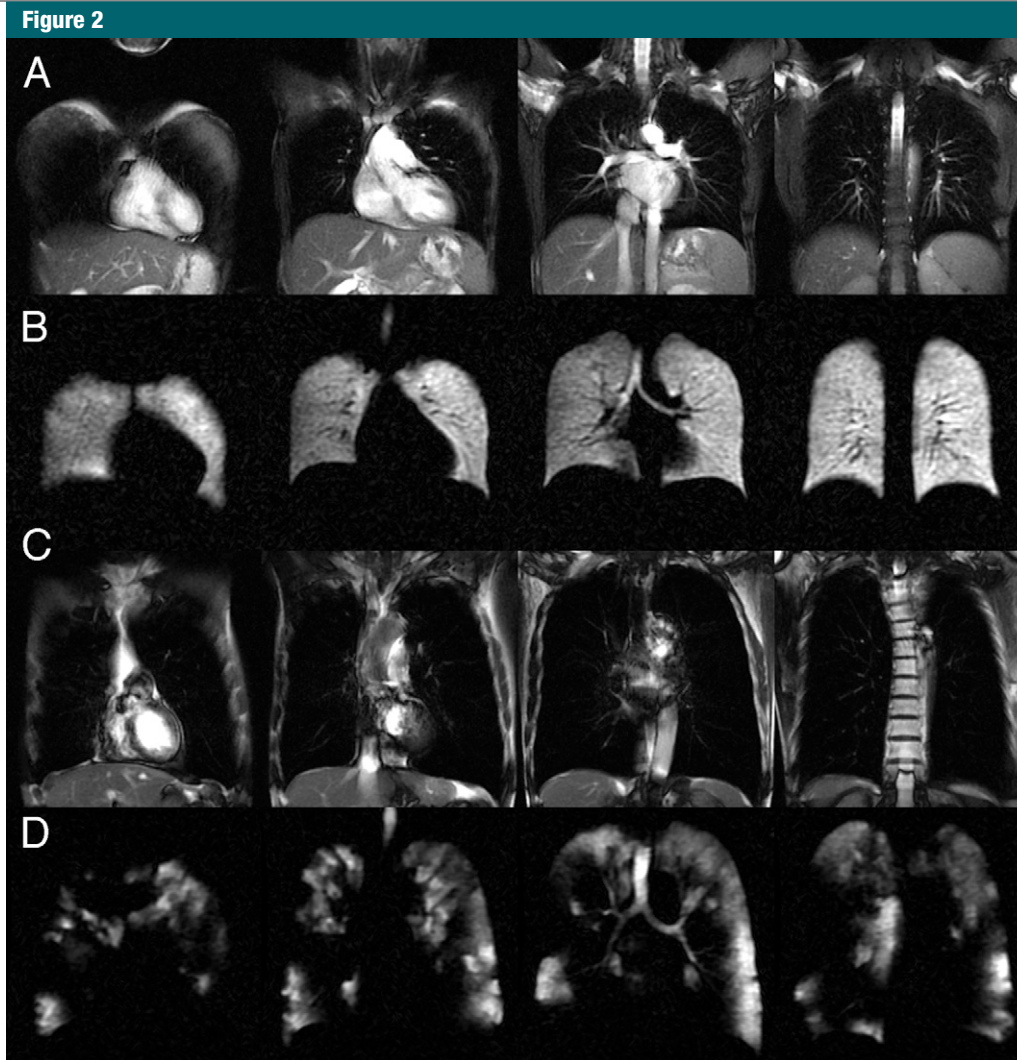
defined as one that resulted in death, was immediately life threatening, required hospitalization, or resulted in persistent or substantial disability or incapacity (26).

At 5 minutes prior to the <sup>129</sup>Xe dose, subjects were queried for symptoms, and at 1 minute before dosing, vital signs and respiratory rate were recorded. During inhalation and imaging, ECG and SpO<sub>2</sub> were monitored by the medical personnel attending to the subject in the MR imaging suite. On completion of the breath hold, the subject was moved out of the magnet and queried for symptoms. At 1, 2, 3, 4, 5, 8, and 10 minutes after inhalation of each dose, vital signs and respiratory rate measurements were repeated, and at 10 minutes, a brief neurobehavioral

examination was conducted. After completion of the imaging session and 1 hour after inhalation of the last <sup>129</sup>Xe dose, vital sign measurements were repeated, and a 12-lead ECG was obtained. The subjects returned for follow-up 24 hours after the imaging session for repeat clinical laboratory tests, measurement of vital signs, neurologic and neurobehavioral examination, 12-lead ECG, and a limited physical examination. In addition, subjects were queried for any adverse events or symptoms.

**Statistical Analysis**

Changes in vital signs were analyzed for significance, and the incidence of xenon-related symptoms was evaluated for relationship to subject group and age by using software (JMP, version 9.0; SAS



**Figure 2:** Selected sections from representative  $^{129}\text{Xe}$  ventilation and  $^1\text{H}$  MR anatomic images in individual subjects. *A*, Steady-state free-precession  $^1\text{H}$  MR images in a healthy volunteer. *B*, Corresponding  $^{129}\text{Xe}$  ventilation MR images in the same healthy volunteer. *C*, Steady-state free-precession  $^1\text{H}$  MR images in a subject with COPD. *D*, Corresponding  $^{129}\text{Xe}$  ventilation MR images in the same subject with COPD show substantial ventilation defects and regions lacking ventilation.

Institute, Cary, NC). To test whether adverse event incidence was different among the subject groups, a  $\chi^2$  test was used, employing the Fisher exact test as necessary. Adverse event incidence was tested for association with age by using a logistic regression analysis. Changes in vital signs after xenon inhalation were tested for statistically significant differences over the course of time and across subject groups by using a repeated-measures multivariate analysis of variance. For all tests, a *P* value less than .05 was considered to indicate a significant difference.

### Results

The 44 subjects tolerated all xenon inhalations (four doses during run-in, three during efficacy). There were no withdrawals, no hypoxic adverse events, no severe adverse events, and no serious adverse events. However, a large fraction of the study population (40 [91%] subjects) did experience transient symptoms associated with xenon inhalation, as detailed next. Representative  $^1\text{H}$  anatomic images and  $^{129}\text{Xe}$  ventilation images obtained from a healthy volunteer and a subject with COPD are shown

in Figure 2. The images show relatively homogeneous ventilation in the healthy volunteer and substantial ventilation abnormalities in the subject with COPD.

### Transient Xenon-related Symptoms

Transient xenon-related symptoms were reported by a total of 40 (91%) subjects for at least one of the three or four xenon doses, as summarized in Table 3. Symptoms were classified according to Medical Dictionary for Regulatory Activities definitions as affecting the nervous (82%) and gastrointestinal (32%) systems, followed by psychiatric

Table 3

## Summary of Adverse Event Intensity

Category	Healthy Volunteer (n = 24)	Age-matched Control Subject (n = 10)	Subject with COPD (n = 10)	Total (n = 44)
Any adverse event	22 (92)	10 (100)	8 (80)	40 (91)
Intensity				
Mild	22 (92)	10 (100)	8 (80)	40 (91)
Moderate	1 (4)	1 (10)	0	2 (5)
Severe	0	0	0	0
Not classified	0	1 (10)	0	1 (2)
Serious adverse event	0	0	0	0
Discontinuation	0	0	0	0

Note.—Data are numbers of subjects, with percentages in parentheses.

disorders (30%) and subcutaneous tissue or skin disorders (11%). These symptoms along with those in other system organ classes, which were reported by fewer than 10% of subjects, are detailed in Table 4. All symptoms were considered to be related to xenon administration, except one incidence of high blood pressure (180/83 mm Hg just prior to the third xenon dose, which decreased after xenon inhalation). The most common symptoms were dizziness (59%), followed by paresthesia (34%), euphoria (30%), and hypoesthesia (30%). All symptoms were classified by medical personnel monitoring the subjects according to the criteria defined in the Materials and Methods section as mild adverse events with the exception of four, which were classified as moderate. The four moderate adverse events were experienced by two subjects—one healthy volunteer and one age-matched control subject. The healthy volunteer experienced extreme dizziness (one event) and paresthesia (one event). The age-matched control subject experienced a depressed level of consciousness (one event) and somnolence (one event). None of the events were considered a serious adverse event, and none required discontinuation from the study. Adverse events recorded for all subjects resolved within 1.6 minutes  $\pm$  0.9 without treatment or clinical intervention. The longest lasting adverse event was that of somnolence experienced by the age-matched control subject, and this resolved within 10 minutes as documented

in detail in Appendix E2 (online). The healthy volunteer who experienced two moderate adverse events was the first subject in the trial, and the adverse events occurred on the first dose, which may have reflected a level of anxiety associated with initiation of the study. There was no significant difference in the incidence of adverse events according to either age ( $P = .45$ ) or subject group ( $P = .29$ ).

#### 24-hour Follow-up Safety Assessments

No subjects exhibited a change in serum biochemistry or hematology values at 24-hour follow-up relative to baseline that met the study definition of clinical importance. No subjects exhibited changes relative to screening at their 12-lead ECG at either 1 hour after the last dose or at 24-hour follow-up that met the study definition of clinical importance. No subject had an abnormal neurologic examination finding at any of the examination times. No subjects had differences in physical examination findings meeting the study definition of clinical importance. There were some fluctuations in clinical parameters consistent with expected normal variation, which are summarized in Appendix E3 (online).

#### Monitoring of Vital Signs during Xenon Administration

Figure 3 plots the mean change from baseline for all vital signs recorded over a period of 10 minutes after each xenon dose and at 30 and 60 minutes after the last dose. The data are delineated by

subject group (healthy volunteer, age-matched control subject, COPD), and the 0–10-minute data are averaged over all doses received. Multivariate analysis of variance of the vital signs measured over the course of time and across groups showed that changes were not statistically significant, whether analyzed within a given subject group ( $P > .40$ ) or over the entire population ( $P > .27$ ).

As shown in Figure 3a and 3b, both systolic and diastolic blood pressure did not change appreciably during the 10 minutes after each xenon dose. The modest changes observed 30 and 60 minutes after the last dose can be attributed to increased physical activity because the subjects were permitted to move about freely prior to measurements taken at those time points (27).

As shown in Figure 1c, average oxygen saturation for each subject group remained stable and within reference range for the 10 minutes after xenon administration. However, the COPD group exhibited a marginally lower  $\text{SpO}_2$  during the period from 1 to 10 minutes after xenon administration ( $-0.54\%$ ) than the healthy volunteer ( $0.26\%$ ) and age-matched control subject ( $0.04\%$ ) groups. This difference in  $\text{SpO}_2$  trend was significantly different among the groups ( $P = .01$ ).

Figure 3d and 3e show the mean change in heart rate and respiratory rate after each xenon dose, respectively. Both remained within normal range ( $60 < \text{heart rate} < 80$  beats per minute,  $12 < \text{respiratory rate} < 20$  beats per minute) at all time points for all groups. There were no abnormal three-lead ECG readings at any point for any subject. For the healthy volunteer group, both heart and respiratory rates did increase slightly from baseline to the 1-minute postdose interval, with a gradual return to near mean baseline levels. A similar trend has been reported for  $^3\text{He}$  MR imaging and attributed to a likely slight anxiety associated with imaging examination (13). There was also a trend toward slightly higher heart rate at the 30- and 60-minute time points after the last dose. These were again likely a result of increased physical activity when subjects were out of the imager.

Table 4

## Classification of Adverse Events

System Organ Class	Healthy Volunteer (n = 24)		Age-matched Control Subject (n = 10)		Subject with COPD (n = 10)		Total (n = 44)	
	No. of Subjects*	No. of Events	No. of Subjects*	No. of Events	No. of Subjects*	No. of Events	No. of Subjects*	No. of Events
Any adverse event	22 (92)	187	10 (100)	43	8 (80)	26	40 (91)	256
Ear and labyrinth disorder (hypoacusis)	1 (4)	1	0	0	0	0	1 (2)	1
Eye disorder	4 (17)	7	0	0	0	0	4 (9)	7
Vision blurred	3 (13)	4	0	0	0	0	3 (7)	4
Visual brightness	1 (4)	3	0	0	0	0	1 (2)	3
Gastrointestinal disorder	8 (33)	27	4 (40)	8	2 (20)	4	14 (32)	39
Hypoesthesia oral	5 (21)	12	2 (20)	4	1 (10)	1	8 (18)	17
Paresthesia oral	5 (21)	14	1 (10)	3	1 (10)	3	7 (16)	20
Dry mouth	0	0	1 (10)	1	0	0	1 (2)	1
Tongue disorder	1 (4)	1	0	0	0	0	1 (2)	1
General disorder and administration site	0	0	4 (40)	9	1 (10)	1	5 (11)	10
No adverse event	0	0	4 (40)	6	0	0	4 (9)	6
Feeling abnormal	0	0	0	0	1 (10)	1	1 (2)	1
Feeling drunk	0	0	1 (10)	1	0	0	1 (2)	1
Feeling hot	0	0	1 (10)	2	0	0	1 (2)	2
Investigation (blood pressure increased)	0	0	0	0	1 (10)	1	1 (2)	1
Nervous system disorder	20 (83)	118	10 (100)	23	6 (60)	14	36 (82)	155
Dizziness	11 (46)	27	9 (90)	16	6 (60)	14	26 (59)	57
Paresthesia	15 (63)	51	0	0	0	0	15 (34)	51
Hypoesthesia	12 (50)	30	1 (10)	1	0	0	13 (30)	31
Somnolence	3 (13)	3	1 (10)	3	0	0	4 (9)	6
Dysgeusia	2 (8)	2	1 (10)	1	0	0	3 (7)	3
Dysarthria	2 (8)	3	0	0	0	0	2 (5)	3
Headache	2 (8)	2	0	0	0	0	2 (5)	2
Amnesia	0	0	1 (10)	1	0	0	1 (2)	1
Depressed consciousness	0	0	1 (10)	1	0	0	1 (2)	1
Psychiatric disorder	10 (42)	28	1 (10)	3	2 (20)	3	13 (30)	34
Euphoria	10 (42)	27	1 (10)	3	2 (20)	3	13 (30)	33
Inappropriate affect	1 (4)	1	0	0	0	0	1 (2)	1
Skin and subcutaneous tissue disorder	4 (17)	5	0	0	1 (10)	2	5 (11)	7
Hypoesthesia facial	4 (17)	5	0	0	0	0	4 (9)	5
Hyperhidrosis	0	0	0	0	1 (10)	2	1 (2)	2
Vascular disorder (flushing)	1 (4)	1	0	0	1 (10)	1	2 (5)	2

\* Data in parentheses are percentages.

In general, vital signs changed more when subjects got up from the imager bed (time points of 30 and 60 minutes) than when they inhaled hyperpolarized  $^{129}\text{Xe}$ .

## Discussion

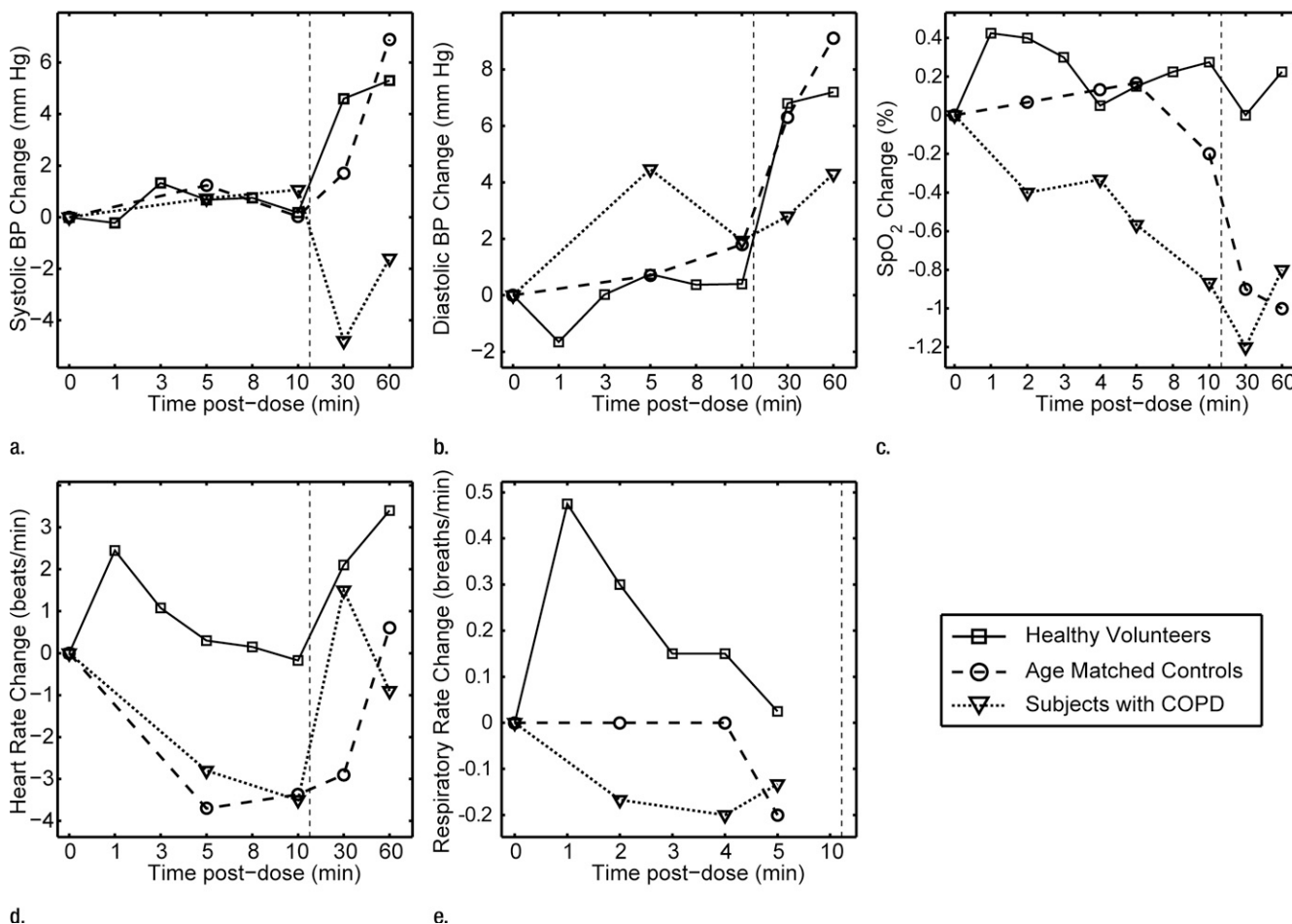
This study was the first to evaluate the safety and tolerability of inhaling

multiple 1-L volumes of hyperpolarized  $^{129}\text{Xe}$  without additional oxygen. The work differs from the approach of Patz et al (18,19) who administered hyperpolarized  $^{129}\text{Xe}$  mixed with  $\text{O}_2$ . Because  $\text{O}_2$  is paramagnetic and rapidly depolarizes  $^{129}\text{Xe}$  (28), administering  $^{129}\text{Xe}$  without oxygen reduces hyperpolarized  $^{129}\text{Xe}$  signal loss until the gas enters the airspaces of the lungs. This delivery

approach was important to preserve our relatively modest  $^{129}\text{Xe}$  polarization and is identical to the one used to administer hyperpolarized  $^3\text{He}$  for many years without adverse consequences (13). The present study has shown that  $\text{O}_2$  saturation after inspiration and breath hold changed, on average, by less than 1% during the 10 minutes of recording after all xenon doses.



Figure 3



**Figure 3:** Graphs show mean change in vital signs after xenon dose administration relative to baseline as a function of time. Data were acquired after inhaling the xenon dose and were averaged over all doses for a given time point (four doses for healthy volunteers, three doses for age-matched control subjects and subjects with COPD) but are separated by subject group. Dashed vertical lines = time after which subjects were permitted to move about freely. Graphs show (a) systolic blood pressure (BP), (b) diastolic blood pressure, (c) blood oxygen saturation (SpO<sub>2</sub>), (d) heart rate, and (e) respiratory rate. No clinically important changes in any of these vital signs were noted for any of the groups.

This study of the safety and tolerability of hyperpolarized  $^{129}\text{Xe}$  inhalation was consistent with previous human experience by using both hyperpolarized and stable xenon. In a study specifically designed to mirror single bolus xenon administration, Liotti et al (29) administered 1-L doses of unpolarized xenon in six healthy volunteers who held their breath up to 1 minute. These subjects reported mild to moderate euphoria and mild tingling in the fingertips that resolved within a timescale of 3–4 minutes. Preliminary work by Mugler et al (2), involving administration of hyperpolarized  $^{129}\text{Xe}$  in three

healthy subjects, reported one subject with numbness and nausea. Kilian et al (30) reported one volunteer inhaling hyperpolarized  $^{129}\text{Xe}$  without any adverse effects. In the largest study of hyperpolarized  $^{129}\text{Xe}$  prior to ours, Patz et al (18,19) reported on five subjects who had undergone at least 18 breaths of hyperpolarized  $^{129}\text{Xe}$ . The subjects experienced no significant changes in SpO<sub>2</sub> measured during  $^{129}\text{Xe}$  inhalation or in blood pressure measured 10 minutes after  $^{129}\text{Xe}$  inhalation, and no other symptoms from xenon inhalation were reported. By contrast, in our studies, 91% of subjects reported

symptoms after xenon inhalation for at least one dose. However, none of these symptoms were long lasting, and none interfered with the subject's ability to complete the study.

Our findings were also consistent with previous literature reports of xenon used in medicine for other applications. Studies using stable xenon for cerebral blood flow imaging with CT require sustained alveolar concentrations of xenon that are higher (approximately 30%) and maintained for a longer time (approximately 4 minutes) than for hyperpolarized  $^{129}\text{Xe}$  MR imaging. Even under these conditions, Latchaw et al

(21) found an acceptably low incidence of adverse reactions that included respiratory rate delay (3.6%), headache (0.4%), seizures (0.2%), nausea and vomiting (0.2%), and change in neurologic status (0.1%). (Note that, in contrast to our study, Latchaw et al did not report symptoms like dizziness and numbness as adverse events because, on the basis of the known anesthetic properties of xenon, they were expected.) In our subject population, the expected total lung capacity was 5–7 L, and thus inhaling 1 L of xenon results in an alveolar concentration of 15%–20%. This is below the 28%–33% maintained for xenon CT and well below the 70% mean alveolar concentration required to initiate anesthesia (20).

This study did have several limitations. First, the population was relatively small (44 total subjects, including only 10 with moderate COPD). It is conceivable that studies of larger populations would uncover new effects not seen in this study, although as already noted, the effects reported here were consistent with expectations based on well-known properties of xenon. Furthermore, the relatively modest  $^{129}\text{Xe}$  polarization led us to administer anoxic  $^{129}\text{Xe}$  to minimize polarization loss. It is conceivable that xenon effects could be diminished if the  $^{129}\text{Xe}$  were administered with oxygen (18). Moreover, each subject received 1 L of  $^{129}\text{Xe}$ , regardless of their lung volume, which likely caused some variability in the alveolar xenon concentrations from subject to subject. While a very detailed panel of vital signs was collected during this study, there was no effort to capture the nadir of  $\text{O}_2$  saturation that may have occurred before the first recording at 1 minute after dose (13). Finally, subjects were only observed for 24 hours. However, on the basis of a detailed understanding of the clearance of xenon from radioisotope studies (31), there was little reason to expect any xenon effects beyond this window.

In summary, while the majority of subjects experienced mild transient symptoms such as dizziness, paresthesia, euphoric mood, and hypoesthesia, a detailed assessment of vital signs,

ECG, physical and neurologic examinations, and laboratory tests showed that inhalation of multiple 1-L volumes of undiluted hyperpolarized  $^{129}\text{Xe}$  was well tolerated in healthy subjects and in those with mild or moderate COPD. The symptoms described here are likely to be diminished by reducing the administered xenon volume, which will be possible without sacrificing signal-to-noise ratio if polarization can be commensurately increased by using improved technology (17).

**Acknowledgments:** We thank Jerry Dahlke, BS, of GE Healthcare for tireless efforts to optimize the 1.5-T clinical imager for  $^{129}\text{Xe}$  MR imaging and Sally Zimney, MS, for careful proofreading of this manuscript.

**Disclosures of Potential Conflicts of Interest:**

**B.D.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: author was consultant to GE Healthcare; author receives money from Princeton University because author is inventor on several patents related to hyperpolarized gas MR imaging; author and institution receive money from Duke University for several patents related to hyperpolarized gas MR imaging; author receives royalties from Princeton University for patents (\$2500/year); author received one-time royalty (\$10,000) for patent licensed by GE Healthcare from Duke University. Other relationships: none to disclose. **S.M.J.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: author receives royalties from Amnysis. Other relationships: none to disclose. **Z.L.C.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **G.M.M.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **D.M.B.** No potential conflicts of interest to disclose. **J.C.N.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **S.S.K.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **R.F.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **C.W.** Financial activities related to the present article: institu-

tion received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **K.T.K.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: none to disclose. Other relationships: none to disclose. **J.W.** Financial activities related to the present article: none to disclose. Financial activities not related to the present article: employed at GE Healthcare. Other relationships: none to disclose. **M.K.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor). Financial activities not related to the present article: institution has grants or grants pending from GSK, Merck, Eumedics, Genentech, and Asthmatx. Other relationships: none to disclose. **H.P.M.** Financial activities related to the present article: institution received grant from GE Healthcare (study sponsor); institution receives provision of writing assistance, medicines, equipment, or administrative support from GE Healthcare. Financial activities not related to the present article: institution receives institutional research grants from GE Healthcare. Other relationships: none to disclose.

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