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# What we have learned: the impact of quality from a clinical trials perspective

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# Abstract

In this review article we address the radiation oncology process improvements in clinical trials and review how these changes improve the quality for the next generation of trials. In recent years we have progressed from a time of limited data acquisition to the present in which we have real time influence of clinical trials quality. This enables immediate availability of the important elements including staging, eligibility, response and outcome for all trial investigators. Modern informatics platforms are well designed for future adaptive clinical trials. We review what will be needed in the informatics architecture of current and future clinical trials.

# Introduction

Oncology clinical trials, including those sponsored by the National Cancer Institute (NCI), have become a cornerstone to improvements in patient care and clinical outcome. Clinical trials have touched on every disease site with radiation oncology playing an important role in nearly all areas of epithelial and liquid oncology. Radiation oncology has been either the primary focus of clinical trials or has served as a valuable co-partner with surgical, imaging, and medical endpoints.

Through the NCI, cooperative groups and radiation therapy quality assurance centers have been established, restructured and re-organized. The Radiation Therapy Oncology Group (RTOG) was established as a cooperative group in the late 1960's. RTOG established case sampling initial radiation therapy review in the late 1970's.<sup>1</sup> The Radiological Physics Center 1 (RPC) has been funded by the NCI continuously since 1968 to provide quality auditing of dosimetry practices at institutions participating in NCI cooperative clinical trials. The RPC was formed through the American Association of Physicists in Medicine (AAPM) and radiation oncologists through the Committee on Radiation Therapy Studies.<sup>2</sup> The RPC provides thermoluminescent dosimetry (TLD) services with phantoms to validate dose per machine and physical evidence that dose can be accurately delivered with sophisticated radiotherapy techniques including IMRT and radiosurgery.<sup>2,3,4</sup>

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The Quality Assurance Review Center (QARC) began as a part of the Radiation Oncology Committee for the original Cancer and Leukemia Group B (CALGB) in 1976. At that time, radiation guidelines and protocol compliance were non-uniform and data, including films and radiation treatment plans, were not routinely collected for review. Within a short period, a data collection process was developed by QARC, and study investigators began interventional and retrospective reviews for all subjects registered on clinical trials with radiotherapy review.<sup>5,6,7,8</sup> QARC was later independently funded through the NCI Cancer Therapy Evaluation Program (CTEP) in a manner identical to the cooperative groups.

In the 1980's, three-dimensional (3D) treatment planning matured as computed tomography (CT) became universally available. The use of CT and 3D imaging to define radiation therapy volumes introduced new QA issues. Benchmarks (treatment planning exercises used to assess equipment, staff and capabilities.) were established to provide QA centers with baseline knowledge of the participating RT centers. Target volume and critical organ definition, dose prescription and delivery were issues of the 3D treatment planning era. Protocol guidelines adapted to these changes. As this process matured, the targeting and language for treatment became image driven rather than based on the traditional anatomical guidelines of the previous generation of studies. ICRU 50 and 62 further refined targeting to include areas of clinical concern, internal motion, and patient set up reproducibility. This led to new strategies for both target definition and dose coverage uniformity to a volume.

As radiation therapy technologies and image validation of treatment matured, the use of expanded volumes and intended target volume coverage have further changed to demonstrate the precision of image validation. The radiotherapy data and review required for the advanced radiotherapy protocol guidelines have evolved as the technology has progressed. In 1999, the Advanced Technologies Consortium (ATC) was created to support the development and conduct of advanced-technology clinical trials. The ATC is a partnership of four QA offices including: the Quality Assurance Review Center (QARC) in Lincoln, RI; the RPC in Houston, TX; the Image-Guided Therapy QA Center (ITC) in St. Louis; and the Radiation Therapy Oncology Group (RTOG) dosimetry group in Philadelphia. The ATC was organized so that the centers concerned with these issues could develop uniform standards in a collaborative manner.

The QA centers ensure that institutions participating in clinical trials deliver prescribed radiation doses that are clinically comparable and consistent. They have helped improve compliance with protocols, reduce minor and major deviations, detect systematic errors in clinical practice, as well as identify misunderstanding, misinterpretation, and equipment failure modes. These endeavors have indirectly improved the quality of patient care at participating institutions by training and educating personnel in the safe implementation of new radiotherapy methodologies and techniques in radiotherapy.

There are many challenges in the development and management of clinical protocols to reflect the expanding use of radiation therapy technology and the variety of technology applications in clinical use. Technology and the application of treatment strategies within institutions must be credentialed for use in clinical trials.<sup>9,10,11</sup> This insures uniform dose calibration and treatment execution. The process evaluates and validates treatment planning and radiation dose computation capability within each institution. For specific areas within radiation therapy and for potentially varied radiation therapy treatment techniques, credentialing is expanded to include other technologies and treatment techniques including intensity modulation, image guidance, and pediatric applications. As volumes have become better defined through imaging, radiation oncology is re-visiting altered treatment fractionation programs and compressed treatment schedules. Image guidance and motion management have now become important issues in the radiation delivery management of

several disease sites for patients treated with altered fractionation treatment programs. As technology has matured, quality assurance has adapted to validate the expanded role of technology in clinical trials. This is balanced by the need to complete the trial, not limit study accrual, and define what technologies are deemed reasonable for specific clinical trial execution. Quality assurance may be different if one is asking a specific radiation therapy treatment question or if radiation therapy is serving as a co-partner in a clinical trial evaluating systemic therapy. We have learned that quality assurance is important whether or not radiation therapy is the primary study endpoint.

Having established the role of quality assurance, we will now explore lessons learned from the quality assurance process and what we can do to improve protocols and adjust quality assurance strategies to reflect changes in treatment standards and execution. Protocols are written and developed to ask a specific study question and may ask a specific question for radiation therapy. We have witnessed an extraordinary change in treatment technology with multiple treatment strategies developed for small field therapy, image validation, and target motion management. Each protocol experience teaches us how investigators use technology in the execution of patient care at their institutional sites. We can build from this experience for the next generation of clinical trials. One goal of quality assurance program must find the common ground between establishing a uniform study population for review while not limiting accrual to study. Lessons learned from the quality assurance of clinical trials better define protocol parameters which lead to improvements in providing uniformly treated study populations that answer trial objectives.<sup>13,14,15</sup>

In this paper, we summarize many of the critical QA elements necessary to perform clinical trials: credentialing, protocol development, data acquisition, case review, data management, informatics, and remote review of objects, and then describe several of the problems/issues which these have demonstrated.

# **Clinical Trial QA Methods**

#### Credentialing

Credentialing is the vehicle used to evaluate treatment planning and execution for each institution planning on participating in clinical trials. Credentialing can include evaluation of dose and treatment planning as well as evaluation of advanced technology. Credentialing was originally designed to make certain dose was uniform between treatment units and that planning could be performed per study guidelines. In early iterations of clinical trials, this was important because there was clear ambiguity in computational algorithms and disparity in using these algorithms among institutions. Accordingly, deviations were largely computational in nature as volume analysis was largely based on anatomical guidelines written into the study. QARC developed a process in the early iterations of clinical trials to review all data within the first three days of treatment in order to insure compliance to study objectives. The timing required for this process was due to carrier delivery of paper and image copy. As imaging became the vehicle used for target volume definition in clinical trials, disparities between site and central imaging reviews often led to target volume deviations on study. Credentialing has now matured to benchmark all aspects of clinical trials that may create ambiguity in study interpretation.

While the RPC validates machine dose and accurate dose delivery with sophisticated radiotherapy techniques, QARC provides complementary credentialing strategies for advanced technology radiation therapy including MR/CT fusion as well as benchmarks to provide uniformity in target volume definition for both adult and pediatric protocols. Credentialing establishes that institutions have the computational and informatics

infrastructure to participate in clinical trials.<sup>4</sup> When institutions commission new planning systems, benchmarks are repeated to make certain study compliance objectives continue to be met. From 2001–2009, the RPC reviewed 752 anthropomorphic phantom irradiations from 472 institutions.<sup>2</sup> QARC has over 4000 approved benchmarks on file. Figure 1 illustrates the current QARC benchmark portfolio.

Credentialing does not always insure compliance to study objectives. Even with established benchmarks, an institution may perform differently on site in the execution of a clinical trial. These issues may be more apparent as we enter the next generation of clinical trials with full international participation. In one head and neck clinical trial with international participation monitored at QARC, sites that had passed credentialing processes had to cease participation as they could not complete treatment in the protocol specified timeframe. This was largely due to cultural habit and how patients were managed when treated to a sensitive mucosal surface. As part of the QA process QARC acquires the complete clinical record and is able to identify the deviation early in clinical trial execution minimizing on- study deviations.

It became important in clinical trials fully dependent on imaging for target volume definition (i.e. involved field therapy for Hodgkins lymphoma) to adjust the quality assurance strategy to perform pre-treatment review of objects. Deviation rates were as high as 30% on study when data was reviewed in retrospect.<sup>16</sup> Pre-treatment review of objects became a very successful strategy for decreasing treatment deviations on study. Accordingly, credentialing has further matured to address issues such as drawing of both tumor and normal tissue objects including image fusion/integration for target definition. As needs mature for modern clinical trials, process improvements in credentialing are required to reflect the changing environment and needs. In the next generation of clinical trials, radiation oncology will need to integrate with our diagnostic radiology colleagues for credentialing in image acquisition and interpretation. Targets for primary and supplemental therapy will be defined by imaging metrics. Metabolic imaging indices and benchmarks will need to be performed for interpretation of these metrics for studies such as positron imaging and contrast associated magnetic resonance imaging.

#### **Protocol Development**

It is important for clinical trial QA to involve the QA centers at the time of development and design of the trial concept sheet. QARC radiation therapy and diagnostic radiology templates are used by investigators to place the protocol into language supported by Cancer Therapy Evaluation Program (CTEP). This facilitates the development and subsequent approval of the protocol. Timely completion of guidelines is now an essential component to protocol success. Working with these templates permits facile development of data acquisition and data management strategies and enables uniformity of treatment execution. Every protocol provides an opportunity to improve the templates as problems and pitfalls from previous studies can be corrected and adjusted to meet the needs of the current study with potentially improved strategies.<sup>8</sup>

#### Data Acquisition

Protocols need clear and concise definitions of the required data and when/how it is to be transmitted to the QA center. Digital data submissions in clinical trials have grown considerably in the last decade. As trials have matured over the past decade, digital media via multiple formats has become the preferred method to transmit diagnostic imaging and radiation therapy treatment objects. In order to meet accrual standards, QARC accepts data via multiple media formats. Digital transmission can be performed via secure file transfer protocol (FTP) sites as more than 390 institutions have established accounts at QARC. Imaging can be received through multiple mechanisms including direct transfer through the

QARC- developed and supported software known as Dicommunicator. This program has the advantages of de-identification, study management and electronic data submission for the research personnel at the participating sites. Many institutions prefer computer disc (CD) as a vehicle for data transmission as it does not require site information technology (IT) interactions. Imaging received on CD is de-identified at the QA center. As we move into global international participation in clinical trials, the QA centers must be prepared to accept protocol objects via multiple methods as efforts towards more uniform informatics transmission platforms evolve.

#### Individual Case Review (ICR) - Data Integrity QA

Protocol case digital data submitted to a QA Center undergoes what is now referred to as a "Digital Data Integrity QA (DDIQA)" review.<sup>17,18</sup> Submitted data are checked for completeness and consistency. Ensuring completeness of protocol required elements, assessing data format and potential format of data, possible data corruption, uniformity in Organ at Risk (OAR)/ Tumor Volume (TV) contour names, and recalculation of dose volume histogram (DVH) have been shown to be important elements of the overall protocol QA review process. Experience has shown that submitted DVHs lack consistency due to algorithmic differences among treatment planning systems (TPSs)<sup>19</sup>. Thus, re-calculation of DVHs is necessary for consistent correlation of dosimetry with outcomes.

#### Individual Case Review (ICR) - Protocol Compliance QA

Once the digital data has been processed, the case undergoes what is called "protocol compliance QA (PCQA)" review. The PCQA is a review of the target volume, OAR contours and dose/dose heterogeneity compliance. The current process used for RTOG ATC supported protocols is that contours are reviewed by the study chairs and dosimetry compliance is reviewed by either RTOG Headquarters (HQ) dosimetry staff, or by RPC dosimetry staff (for brachytherapy cases). For QARC-monitored protocols, study chairs or QARC clinical staff reviews the volumes for interventional cases. Retrospective volume case review is performed by the study chairs. QARC dosimetry staff provides integrated dosimetry review for both the interventional and final case reviews. Figures 2 and 3 illustrate the QARC interventional and final review process.

#### **Data Management**

Data management is the integration and presentation display of data once it has been acquired by the QA center. Funded clinical research associates (CRA) are crucial to the success of data management. These highly skilled individuals are fluent in the study strategy and objectives, identify and acquire protocol required data and participate in the daily process of integrating the acquired data and information into the study database in an established uniform format. As protocols develop, interactions between study sponsor, cooperative group, and QA centers identify informatics processes that will be used for the trial execution. Delineation of responsibility among the partners is defined for the confidentiality of subject data as well as the data acquisition, management and storage. Often responsibilities are divided among involved parties. For example, patient care information and clinical outcome data are acquired by the study sponsor/cooperative group while the QA center is responsible for image and radiation therapy object acquisition. The imaging and radiation therapy QA objects are acquired and formatted by the QA center and defined elements are integrated into the study sponsor/cooperative group database in a uniform format. These interactions are essential to the execution of the trial. Modern protocols demand real time assessment of imaging response and approval of intended radiation therapy treatment fields therefore exchange of information is done in real time. (Figure 2) In the COG AHOD0031 Hodgkins lymphoma intermediate risk trial, central review assessment of imaging objects for clinical response to chemotherapy was performed

at QARC and entered into the COG website in real time. The assessment of response was the gateway for both secondary and tertiary randomizations imbedded in the trial.<sup>20,21</sup> Processes required for the successful execution of the modern clinical trial must be built for adaptive strategies.

#### Informatics

The informatics platform is essential for clinical trial operation. It becomes the center for trial operations and the primary vehicle for data exchange and real time/retrospective review. Databases need to be secure and include query functions. These elements become crucial as we move towards adaptive clinical trial design. The importance of being able to query, both during and at study completion, cannot be overstated. Protocols of the future will be living documents with mechanisms imbedded to add/subtract therapies as interim assessment of results become more commonplace and meaningful.

Systems fully compliant with 21 CFR Part 11 are critical to clinical trial function. This law defines the standards for which electronic records and signatures can be used. It is essential for this full compliance when clinical trials require real time data review with corresponding therapy adjustment and submission of response validation to the FDA.

#### **Remote Review of Objects**

In the early generation of clinical trials management, review of data by study investigators and sponsors was largely retrospective and performed well after completion of the clinical trial. In the modern trial, informatics processes must be agile and available for both on site and remote investigator review throughout the world. To achieve this objective QARC developed access to the QARC database through virtual private networking (VPN) accounts to a terminal server. Using an internet browser enables the remote investigator to log in and view the custom designed interface which lists their cases ready for review. Clicking on the case number opens the record. Clicking on the links to the subject's imaging studies and RT treatment plans, objects are reviewed. The functionality is designed to support exactly what is required for a remote review.<sup>22</sup> The areas of the database that the remote reviewer can access are limited to the specific tasks required for them to complete. Only subject records specifically assigned to the reviewer are viewable. The fields necessary for the remote reviewer's evaluation are editable, images can be annotated and saved, and all other fields and imaging are "read-only" and cannot be edited. Remote reviewer activity within the database is audited to maintain 21 CFR Part 11 compliance.

Enabling investigators to review their assignments at a time of their convenience essential to modern clinical trial function. The goal moving forward will be to insure appropriate radiotherapy planning and delivery as well as staging, eligibility, response, and validation of disease progression/failure on all protocol subjects. A retrospective review of failure images in a medulloblastoma clinical trial revealed that 8 of 60 failure images were consistent with treatment effect, not failure, on central review.<sup>23</sup><sub>[JT1]</sub> Moving these processes into real time function will be essential to the future success of adaptive clinical trial design.

# **Results and Discussion**

#### The Importance of Quality in Clinical Trials: Real Time Review of Hodgkins Lymphoma

Hodgkins lymphoma (HL) is a model demonstrating process improvements of quality assurance in clinical trials for radiation therapy. Pediatric Oncology Group (POG) clinical trial 8725 was a trial designed to test the importance of consolidation radiation management in intermediate and high-risk patients with HL. The protocol randomized patients for radiation therapy to all sites of original disease defined on imaging after completing 8 cycles

of alternating chemotherapy. The final data published in the Journal of Clinical Oncology in 1999 revealed no difference in survival between those who underwent radiation therapy or those who received chemotherapy only.<sup>24</sup> A retrospective analysis at QARC evaluated the differences in patients who had treatment delivered per protocol or had treatment delivered in a non-study compliant manner. The study required that all sites of original disease be included in the radiation therapy treatment fields. Investigators off study may prefer to exclude areas of involvement such as pulmonary/hepatic parenchyma, pericardial effusions, axilla in female patients, and other sites of involvement due to preconceived concerns about both acute and late normal tissue toxicity. In this cohort of intermediate and advanced patients, patient survival was affected in a significant manner when radiation therapy was not delivered in a study compliant manner. Of more importance, patient survival and disease free status were statistically improved (Figure 4)<sup>8</sup> when radiotherapy given in accordance with protocol specifications. In this study most deviations from protocol compliance were related to excluding areas of original involvement from the intended treatment target. Because there was a perceived disparity in image interpretation, involved disease sites at diagnosis and the subsequent design of the radiation therapy treatment fields, a decision was made by the POG for pre-treatment approval at QARC of the intended radiation therapy treatment targets. In the next iteration of clinical trials in HL (P9425 and P9426), radiation therapy treatment objects were reviewed with imaging pre-treatment in order to insure protocol compliance. The deviation rate was under 10% (improved from 30%) which was considered good from a historical perspective. The compliance rate for pre-therapy data submission for this effort was 90%, again considered excellent.<sup>25</sup>

Protocol P9426 was a response adaptive clinical trial for pediatric early stage HL. Chemotherapy was abbreviated to 2 cycles if the study subjects had a rapid early response to chemotherapy based on review of images. The protocol was completed in the era prior to the routine use of positron emission tomography (PET). Also analyzed was the assessment of the difference between central and site review of image response. The concordance rate was only 50% for response status; creating a clear need for image reviews to be done on a real time basis to assure consistent interpretation of response. Preliminary data suggests that in this favorable group of patients 2 cycles of chemotherapy with 2100 cGy RT to involved fields had an identical clinical outstanding outcome as those who received 4 cycles of chemotherapy and radiation treatment (paper submitted); therefore the need for uniform interpretation of response is clear.

Based on data from this and other clinical trials, a response adaptive treatment strategy with attenuated chemotherapy for patients deemed both rapid early responders to 2 cycles of chemotherapy and complete responders to 4 cycles of chemotherapy was implemented in the current generation of clinical trials for HL patients with intermediate risk features. AHOD0031 became the first study to employ real time review of anatomic and metabolic images for central review evaluation of response coupled with pre-treatment review of radiation therapy treatment objects. The study accrued 1733 patients with greater than 90% compliance to submission of objects both for imaging and radiation therapy treatment review. If there was a difference between site and central review, the issue was resolved using web conferencing in real time. This provided the Study Chairs the opportunity to review the data in real time and adjudicate issues such as staging, relapse, response, and other matters associated with the trial conduct in an upfront manner, thereby significantly decreasing imaging and radiation therapy deviations and limiting the number of ineligible patients. This important step forward has altered study design and the quality assurance strategy for many studies. Real time review of objects has now become the routine, providing investigators the opportunity to remain in close observation to the conduct of their studies.

The tools for this review have also improved. For example, the initial real time remote review process required QARC staff to be on site to facilitate the review. QARC has developed a terminal server mechanism that permits study investigators to log into the server via a web based mechanism and review and annotate their images. The investigators have full utility of the QARC database system and can log on at any time at their convenience. The annotations and measurements are saved and stored for future review. This maintains 21 CFR Part 11 compliance, which is important for retrospective review of data if needed by the FDA. Many studies now employ real time review of objects performed both on and off site through this mechanism.

#### **Opportunities Lost**

Multi-institutional clinical trials involving radiotherapy encompass a range of trial designs and objectives, from investigating the safety or efficacy of new radiotherapy technologies or fractionation schemes to examining more conventional radiotherapy approaches as an adjuvant to novel chemotherapy or biologic agents to studying symptom management interventions. While current QA processes in the United States tend to be "one size fits all," there may be opportunities to tailor clinical trial QA to the objectives of the trial under study.

Not all potential study issues can be anticipated and opportunities may be lost in not acquiring data sets on study patients. From 1988–2000 the CALGB, together with the intergroup mechanism, developed a sequential series of outstanding clinical trials evaluating adriamycin based chemotherapy in breast cancer. These studies established the role of dose dense chemotherapy and also validated the use of taxol in breast cancer patient care. It was determined in the study, 9344, not to acquire data concerning radiation therapy if treated, nor to inquire as to whether or not the patient received radiation therapy. This was due to the perception that local care did not affect survival and that there was no synergism between local and systemic care with respect to patient survival.

As these studies matured, closed, and re-opened in a sequential manner, data became independently available concerning the survival advantage to node positive breast cancer patients treated with radiation therapy.<sup>26</sup> This created limitations in interpreting the studies as no data was captured whether the patients on study received radiation therapy nor to what volume/dose if they were treated with radiation. Dr. Carolyn Sartor made a strong effort to capture this information in retrospect with the support of QARC. Information was captured on the patients treated through the CALGB mechanism. There was a clear trend for patients who received taxol to also be irradiated thus making the overall study data more difficult to interpret.<sup>27</sup> Today, as a result of several clinical trials, we are beginning to question the extent of surgery in the axilla. This may alter the role of radiation therapy in breast cancer care and may change the manner in which we approach the axilla from a dose/volume perspective. If we had captured volumetric data on the thousands of node positive patients on trial including images of local regional relapse, we might be much further along and in a better position to define and assign dose/volume constraints to the volume defined axilla in clinical trials. Therefore this lost opportunity will place limitations on our knowledge base for the future. We do not always have to score data as part of a clinical trial. This is well documented in registration trials. If quality assurance centers can build an archive with clinical trial information, this might prove to be an invaluable resource.

#### A New Paradigm Based on Real Time Review

The success of AHOD0031 has led to a new paradigm for radiation therapy in the treatment of HL. In the current advanced stage clinical trial, AHOD0831, the patients have stage 3B and 4B disease. They receive chemotherapy adjusted to response seen on PET followed by

radiation therapy delivered to sites of bulk disease and regions that did not achieve CR status (> 2 cm) to chemotherapy. This strategy moves radiation therapy into a different position with this unique patient cohort as not all areas of original disease are intentionally treated as part of consolidation management. Therefore pre-treatment review of radiation therapy treatment objects is directly involved. The conduct and execution of this trial has been built on the success of the real time review of objects in AHOD0031.

Standardized descriptions of radiotherapy volume and dose definitions and standardized reporting of data and QA results enable the creation, application and update of consensus standards as revealed in clinical trial evidence.<sup>28</sup> Adaptive treatments based on centrally confirmed response would not be an option without the standard QA processes for central review, data acquisition, collection, review and reporting.<sup>21,29,30</sup>

The clinical trials experience for HL over the past 25 years reflects the change in the structure of clinical trials with movement towards real time review of objects in order to insure compliance to study objectives. We have been able to use real time review of imaging and radiation therapy treatment objects to insure appropriate staging and patient eligibility. Real time review ensures uniform interpretation of objects when evaluating response. Clinical trials are now increasingly complex, with multiple endpoints imbedded into study objectives. Endpoints can include imaging, radiation therapy, genomics/proteomics, and other trial objectives beyond traditional patient outcome with chemotherapy. Modern investigators now require patient objects be available on a real time basis for both secondary randomization events and adaptive protocol design. Thus in thirty years of HL clinical trials, we can see how the quality assurance process has evolved from retrospective review of objects to real time integration of a broad informatics portfolio for review of imaging and radiation therapy treatment objects. Integrating pathology objects is the next step forward.

#### **Opportunity for Process Improvements**

The need for real time review of imaging and radiation therapy treatment objects on an international scale became visible in the Head START clinical trial. This head/neck phase 3 clinical trial evaluated the hypoxic cell sensitizer, Tirapazamine, for locally advanced head/ neck cancer. Because the clinical trial was international, a decision was made to review objects within the first three days of treatment in order to provide time for sites to compile data without delaying patient initiation of treatment. The images and plans were reviewed at QARC with evaluation sent to investigators. As can be seen in Figure 5, patient survival was directly correlated to the quality of the treatment plan with a near thirty percent decrease in patient survival if the plan did not meet study objectives on review. Most study deviations were related to incomplete coverage of what was thought to be tumor targets on review. If a plan was revised by site investigators and was thought to be compliant at the time of the final review there was a clear improvement in patient survival, but not as good as if the plan was approved up front. The clinical trial management committee asked QARC to review deviations and score them as to whether or not the clinical intent and execution met a reasonable clinical standard for patient care.

For example, in this group were patients whose objects were drawn too close to the skin which meant that those patients did not meet dose-volume constraints for DVH analysis and were scored as deviations. On secondary review these patients were deemed as being treated in a manner consistent with standard clinical practice. Deviations often remained in patients with photon-electron matches over asymmetric lymph node regions as coverage at depth was not always uniform and largely cold. These patients had a similar survival to those patients who had their plans adjusted for study compliance, again improved but not as good as patients with plans initially compliant to study objectives. The data for local control (Figure 6) is similar to patient survival.

This study took place at a time (2002–2005) when radiation oncologists were beginning to use image driven objects in the execution of treatment plans for head and neck cancer. IMRT was not permitted on this study. This may emphasize one key element to quality assurance. As we go through changes in radiation therapy, including changes in both technology and target definition, quality assurance in clinical trials needs to anticipate how sites will adjust to changes in technique including target definition and provide as much support as possible to facilitate the change and insure compliance to study objectives.<sup>31</sup> This can include real time review of objects, use of web-based media for providing examples of target definition, and media workshops. The primary objective of quality assurance needs to be limitation of study deviations in order to provide a uniform study population for protocol analysis. With the use of digital media, these objectives can be met in real time.

#### **Future objectives**

Clinical translational investigators will soon need to have many tools at their fingertips in order to perform modern research. Digital pathology objects including Digital Imaging and Communications in Medicine (DICOM) compatible genomic/proteomic micro arrays will be linked in a single database with images, RT objects, and patient outcome data. Investigators will review and integrate response data with the pathology objects for protocol analysis. Protocols will be designed to acknowledge genomic/proteomic data including protocols involving radiation therapy. For example, ECOG has a current protocol intentionally decreasing radiation dose to head and neck cancer patients who are positive to HPV and demonstrate a complete response to induction chemotherapy. This protocol requires both validation of pathology data and real time review of imaging data to validate response prior to initiating radiation therapy. International participation will further emphasize the need for integrated informatics formats and data harmonization. Current protocols in high grade glioma require analysis of molecular expression products integrated with advanced technology metabolic imaging to assess response to treatment and disease progression as traditional imaging cannot fully validate early progression or response. Protocols in lung and colo-rectal cancer will integrate selected targeted therapy with RT based on mutation analysis. The current list is extensive and will require an integrated database to facilitate protocol success. Radiation therapy clinical trials will be able to take advantage of this collective knowledge to further promote our discipline in the next generation of clinical trials.

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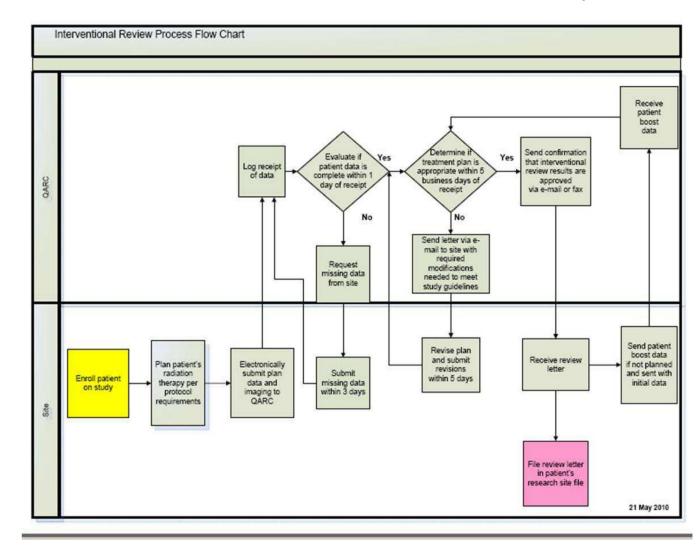
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**Figure 1.** The QARC Benchmark Portfolio

FitzGerald



**Figure 2.** QARC Interventional Review Process

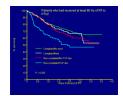
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**Figure 3.** QARC Final Review Process

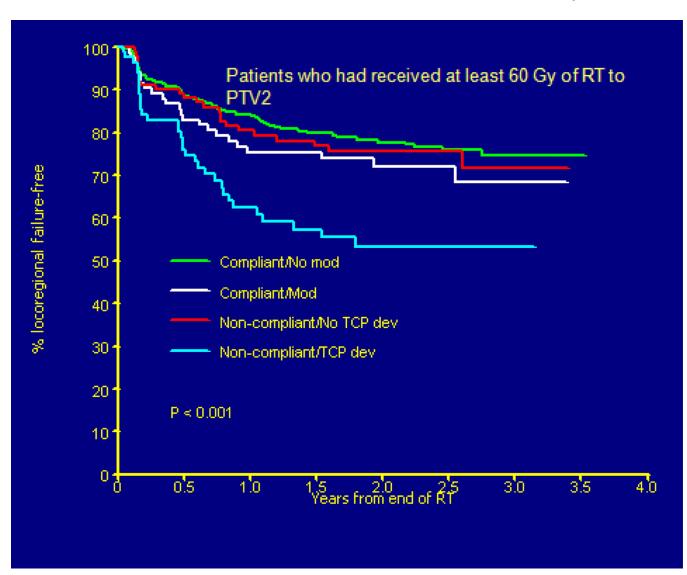
Survival According to Treatment* (POG 8725)			
Treatment	5 Year Relapse Free Survival (%)		
Arm 1: Chemotherapy Alone	85		
Arm 2: Chemotherapy + RT:			
Appropriate RT volume	96		
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# Figure 4.

Relapse-free survival indicating significantly better results



**Figure 5.** (Peters L, O'Sullivan B)Head START Trial Results (Overall Survival) by Protocol Deviation Status



#### Figure 6.

(Peters L, O'Sullivan B) Head START Trial Results (Failure-Free Survival) by Protocol Deviation Status