

## NIH Public Access

**Author Manuscript**

*Gastroenterology*. Author manuscript; available in PMC 2013 January 1.

#### Published in final edited form as:

Gastroenterology. 2012 January ; 142(1): 71–77.e1. doi:10.1053/j.gastro.2011.09.048.

### **Deviations from the norm of germline** *APC* **allele-specific expression associate with colorectal cancer**

**Maria Cristina Curia**a,b, **Sabrina De Iure**a, **Laura De Lellis**a,b, **Serena Veschi**b,c , **Sandra Mammarella**a, **Marquitta J. White**g, **Jacquelaine Bartlett**g, **Angelo Di Iorio**d, **Cristina Amatetti**e, **Marco Lombardo**e, **Patrizia Di Gregorio**<sup>f</sup> , **Pasquale Battista**<sup>c</sup> , **Renato Mariani-Costantini**a,b, **Scott M. Williams**g,1, and **Alessandro Cama**a,b

<sup>a</sup>Department of Oncology and Experimental Medicine, "G. d'Annunzio" University, Chieti-Pescara, Chieti, Italy

<sup>b</sup>Aging Research Center (Ce.S.I.) University "G. d'Annunzio" Foundation, Chieti, Italy

<sup>c</sup>Department of Human Movement Sciences, "G. d'Annunzio" University, Chieti-Pescara, Chieti, Italy

<sup>d</sup>Department of Medicine and Sciences of Aging, University "G. d'Annunzio" Foundation, Chieti, Italy

<sup>e</sup>Division of Oncology, "S. Spirito" Hospital, Pescara, Italy

<sup>f</sup>Division of Immunotransfusion, "SS. Annunziata" Hospital, Chieti, Italy

<sup>g</sup>Department of Molecular Physiology and Biophysics, Center for Human Genetics Research, Vanderbilt University, Nashville, Tennessee, USA

#### **Abstract**

**Background and Aims—**Germline variation in allele-specific expression (ASE) is associated with highly penetrant familial cancers, but its role in common sporadic cancers is unclear. ASE of

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<sup>1</sup>**Corresponding author**: Department of Molecular Physiology and Biophysics, Center for Human Genetics Research, Vanderbilt University, 519 Light Hall, 37232 Nashville, Tennessee, USA. Telephone 615 322 8036; scott.williams@chgr.mc.vanderbilt.edu.

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**Author contributions**:

**Maria Cristina Curia:** generation, collection, and assembly of data; drafting of the manuscript.

**Sabrina De Iure:** generation, collection and assembly of data.

**Laura De Lellis:** generation, collection and assembly of data.

Serena Veschi: generation, collection and assembly of data.

**Sandra Mammarella:** generation, collection and assembly of data.

**Marquitta J. White:** analysis and interpretation of data.

**Jacquelaine Bartlett:** analysis and interpretation of data.

**Angelo Di Iorio:** collection of data.

**Cristina Amatetti:** collection of data.

**Marco Lombardo:** collection of data.

**Patrizia Di Gregorio:** collection of data.

**Pasquale Battista:** collection of data.

**Renato Mariani-Costantini:** critical revision of the manuscript for important intellectual content.

**Scott M. Williams:** statistical analysis and interpretation of data; drafting and revision of the manuscript. **Alessandro Cama:** conception and design of the study; drafting and revision of the manuscript.

**Conflict of interest**: The authors disclose no conflicts of interest.

the adenomatous polyposis coli (*APC)* gene plays a role in familial adenomatous polyposis coli. We hypothesized that moderate ASE variation in *APC* contributes to common forms of colorectal cancer (CRC).

**Methods—**Denaturing high performance liquid chromatography (DHPLC) was employed for germline *APC* ASE analysis in CRC cases (n=53) and controls (n=68). Means, medians, and variances of ASE were compared. Mutation analysis and SNP genotyping were performed.

**Results—**ASE distributions differed significantly between groups; cases had a significantly larger variance than controls ( $p = 0.0004$ ). Importantly, CRC risk increased proportionally with the degree of deviation from the mean. Individuals with ASE deviating more than 1 SD from the mean had an odds ratio (OR) of 3.97 (1.71, 9.24 95% CI;  $p = 0.001$ ); those deviating more than 1.645 SDs had an OR of 13.46 (1.76, 609.40 95% CI; p = 0.005). In support of these findings, sequence analysis revealed that a patient with marked ASE, who was negative for CRC family history, carried a nonsense *APC* mutation (p.Arg216X). Furthermore, *APC* genotyping showed that multiple SNPs were associated with ASE values and/or ASE variance in cases, but not in controls. Thus, cis variants may explain at least some of the ASE results.

**Conclusion—**Our results indicate that imbalanced germline ASE of *APC* is more frequent in CRC patients than controls, and represents an indicator of risk for common forms of CRC.

#### **Keywords**

ASE; APC gene; colorectal cancer; risk

#### **Introduction**

Variation in gene expression contributes to human phenotypic variability and can play a role in the etiology of disease  $(1, 2)$ . This variation can be related to mechanisms affecting allelespecific expression (ASE) (3, 4, 5, 6). Germline ASE plays a role in relatively rare, but highly penetrant forms of cancer (3, 7, 8). For example, imbalanced germline ASE, affecting different genes, is known to be associated with familial breast cancer (9), male breast cancer (10), familial chronic lymphocytic leukemia (11), familial pancreatic cancer (12), familial adenomatous polyposis coli (FAP) (3, 7, 13, 14), hereditary non-polyposis colorectal cancer (HNPCC) (8, 15), and hereditary diffuse gastric cancer (HDGC) (16). The potential role of ASE in the more common, mostly sporadic, forms of cancer has recently received increasing attention, but the evidence supporting association, although intriguing, is still controversial (9, 17, 18, 19, 20, 21, 22, 23, 24). Four reports provided evidence that moderate degrees of germline ASE associate with sporadic cancer. Three suggested that altered germline ASE in *TGFBR1* associates with colorectal cancer (CRC) (19, 22, 24) and another report indicated that ASE in *BRCA1*, and to a lesser extent in *BRCA2*, may affect risk of breast cancer, even in the absence of a *BRCA1/2* mutation (9). However, three other reports failed to replicate the association between altered germline ASE in *TGFBR1* and CRC (20, 21, 23).

In our study we investigated whether altered ASE of the adenomatous polyposis coli (*APC*) gene contributes to disease risk in a consecutive series of CRC patients. We chose to analyze *APC* for two reasons related to its crucial role in the etiology of both familial and sporadic CRC: 1) altered germline expression of *APC* is involved in monogenic CRC (i.e.: classical and attenuated FAP); 2) somatic *APC* mutations are found in most low-penetrance sporadic CRCs. In addition, while the role of germline ASE of *APC* has been documented in classical forms of FAP (3, 7, 13, 14, 25), its role in unselected CRC has not been previously investigated. We have also previously shown that allele-specific transcript dosage effects in *APC* may modulate clinical expression of FAP resulting in classical (>500 polyps) or attenuated (<30 polyps) phenotypes (13). Therefore, we hypothesized that less extreme ASE

than that associated with FAP provides a level of CRC risk intermediate to that of the general population and FAP cases. Intriguingly, previous studies conducted in control individuals suggested that the range of ASE of the *APC* gene may be narrower in the general population than in FAP cases (3, 14, 25), supporting the hypothesis that modest variation in ASE may associate with pathogenic effects. Our results confirm that the range of variation in control individuals is relatively narrow and provide evidence that altered germline ASE of the *APC* gene associates with CRC risk.

#### **Patients and Methods**

#### **Patients and nucleic acid preparation**

Patients analyzed for ASE derive from a series of 334 consecutive consenting CRC patients diagnosed at the Division of Oncology of the "Santo Spirito" Hospital in Pescara, Italy, between December 2001 and July 2009. Consenting blood donors and geriatrics patients declaring no personal or family history for CRC were recruited as controls. All study participants gave written informed consent after verbal counseling; the research protocol was approved by the Human Investigations Committee of the "G. d'Annunzio" University of Chieti-Pescara.

The study included 127 individuals (70 controls and 57 patients) with available DNA and RNA from blood, who were heterozygous for the c.1458C>T (rs2229992) marker employed for subsequent ASE measurements. DNA and RNA were extracted as previously described (26). Synthesis of cDNA was performed using DNase I-treated RNA, random hexamers and the Superscript-II Reverse Transcriptase kit according to manufacturer specifications (Invitrogen, Carlsbad, CA).

#### **ASE analysis**

ASE analyses were carried out using a previously described method based on denaturing high performance liquid chromatography (DHPLC) (26). Primer sequences are provided in Supplementary Table 1.

We tested the reproducibility of the primer extension assay used for ASE with gDNA from the 127 heterozygous individuals included in the study (Supplementary Table 2). The mean ratio of peak heights corresponding to the two alleles was 0.88 (SD 0.04) and the overall coefficient of variation (CV) was 4.99%. Peak ratios deviating from the expected 1:1 using templates with equimolar allelic representation, such as gDNA, are commonly observed and potential explanations have been previously discussed (26). The mean ratio obtained with gDNA was employed to normalize the data generated in primer extension experiments conducted using cDNA templates. These normalized cDNA/gDNA ratios were designated as ASE values. Six individuals (two controls and four cases) with available gDNA data were not included in the statistical analysis for ASE because the CV% of their individual cDNA measures was large, indicating poor quality or insufficient quantity of target in the cDNA template (mean CV 33.68%; range 24.21%–50.94%). Inclusion of these individuals yielded virtually identical results (analyses not shown).

ASE measures were performed multiple times (mean 4.55; minimum 3, max 10) to test for assay consistency. Each primer extension experiment conducted for ASE analysis included gDNA and cDNA samples.

In controls ASE CVs of intra-individual determinations ranged from 0.02 to 16.80%, with a mean CV of 7.41% (Supplementary Table 3). Similar reproducibility was obtained for cases with CV ranging from 0.63 to 17.52%, with a mean CV of 9.24% (Supplementary Table 3). When multiple blood draws from the same cases were available the mean CV between

independent ASE replicates was 7.85% (range = 0.63%–17.15%). These data support the overall reproducibility of our assays.

#### **Screening for sequence variants and SNP genotyping**

The entire coding sequence (exons 2–16) and intron-exon borders of *APC* were amplified in cases with altered ASE as previously described (27). The non-coding exon 1 was amplified using primers designed *ad hoc* (available upon request). Amplified fragments were analyzed by DHPLC (Wave 1100, Transgenomic, Omaha, NE) followed by sequencing as previously described (27, 28). SNP genotyping was conducted using the MassArray technology (Sequenom, San Diego, CA) (Supplementary Table 4). Linkage disequilibrium among SNPs was determined using Haploview (29).

#### **Statistical analyses**

ASE values were compared between cases and controls asking two distinct questions. First, we tested whether the ASE values (mean or median) differed between groups. Next, we asked whether the distribution of ASE values differed between cases and controls. Prior to testing for differences, the distribution within each group was tested for normality using a Shapiro Wilks test. Because the controls deviated from normality, comparison of ASE values (median) was done using the non-parametric Wilcoxon rank sum test. A second set of tests was performed to assess differences in distribution of ASE between cases and controls. This was done first with a Bartlett's equal variance test, followed by tests to determine whether different proportions of individuals were a set distance from the mean in cases and controls (1.645 SDs from the mean and 1.00 SD from the mean). This was assessed with a Chi-square or Fisher's exact test. Odds ratio of individuals in these categories was assessed with logistic regression. All analyses were performed with STATA (Version 10).

Association between single SNPs and ASE was analyzed using ANOVA. The Bartlett's test was used to assess differences in variances between genotypes. STATA software was also used for these analyses.

#### **Results**

#### **ASE measurements**

ASE values were determined in 121 individuals (68 controls and 53 CRC cases) (Supplementary Table 3). The means of ASE values obtained in cases and controls were similar (Table 1). The distribution of ASE was tested for normality and the cases were normally distributed ( $p = 0.15$ ), but the controls were not ( $p = 0.01$ ). Of note the controls had smaller standard deviations, suggesting that the range of ASE values in the controls was tighter than in the cases. That is, the distribution of ASE in controls was more "canalized" than in cases. Because of the deviation from normality in the controls, a non-parametric test was performed to assess differences in ASE values between clinical groups. Median values were equivalent between cases and controls  $(1.27 \text{ and } 1.20 \text{ respectively, } p = 0.45)$  (Table 1). Similar results were found comparing the two groups with a t-test, assuming unequal variance ( $p = 0.57$ ). These results clearly show that the mean and median ASE values do not differ significantly between groups.

In contrast to the data indicating similar ASE medians and means in CRC patients and in control individuals, the distributions of ASE values differed significantly in the two groups, with cases having a larger ASE variance  $(p= 0.0004)$  (Table 1, Figure 1). Additional analyses confirmed this observation. Cases had a significantly larger proportion of individuals more than 1.645 and 1.0 standard deviations from the overall mean than controls

 $(p = 0.005$  and  $p = 0.001$ , respectively with Fisher's Exact test) (Table 2). These analyses collectively demonstrate a wider distribution of ASE values in cases than in controls.

#### **ASE and CRC risk**

Deviation of ASE values from the overall mean was associated with increased risk of CRC. Individuals who deviated more than 1 SD from the mean had an odds ratio (OR) of 3.97  $(1.71, 9.24, 95\% \text{ CI}; p = 0.001)$  compared to those within 1 SD. Those who deviated from the mean by more than 1.645 SDs had an OR of 13.46 (1.76, 609.40 95% CI;  $p = 0.005$ ), compared to those within 1.645 SDs. These data support a role of *APC* ASE distribution in CRC risk.

#### **Sequence analysis**

All patients showing pronounced or moderate ASE (falling outside of 1.645 or 1 SDs from the overall mean, respectively) were screened for germline variants in the *APC* coding sequence and intron-exon borders. Remarkably, one of the patients with more marked ASE (CASE 19, age at CRC diagnosis 43 years, Table 3) had a nonsense *APC* mutation (p.Arg216X) previously reported in classical FAP (30, 31). Thus, the patient, who reported a negative family history for CRC, was a putative *de novo* FAP case occurring in our unselected series. *A posteriori* examination of the surgical and pathological records confirmed that the patient had diffuse polyposis.

Additionally, 11 sequence variants were detected in 17 patients, including 2 novel intronic nucleotide substitutions (c.1-111T>C and c.220+4G>A) and 9 previously described variants (Table 3). The novel intronic variants were not predicted to have any effects on splicing or transcription. The missense variant rs1801166 (p.Glu1317Gln commonly designated E1317Q), whose association with cancer is controversial (32, 33), was detected at a relatively high frequency in cases with ASE (2 of 23) relative to all cases and to controls (Table 3, Supplementary Table 4), but our sample size does not allow us to draw conclusions regarding its association with disease.

#### **SNPs and ASE**

Of the 23 single nucleotide polymorphisms (SNPs) initially genotyped only eight were analyzed for association with ASE after excluding variants that were monomorphic or near monomorphic (minor allele frequency,  $MAF < 0.03$ ) (Supplementary Table 4). The results are presented in Table 4 and Supplementary Table 5. In the analysis that included both cases and controls, rs41115, rs467033 (in a dominant model), rs971517 and rs2431507 were all associated with ASE (Table 4, Supplementary Table 5). For rs41115, individuals homozygous for the TT allele had highest ASE values compared to CC and CT, or combined CC and CT genotypes. For rs467033 the T allele was associated with a higher ASE value in a dominant model; for rs971517 the relationship between ASE and genotype appeared to be additive with the C allele associating with higher ASE values ( $p = 0.001$ ). Comparisons of the variances with SNP genotypes showed that rs41115, rs459552, rs2431238 and rs10428710, all had p values for the Bartlett's test indicating that also the variances were significantly different among genotypes in the combined data set (Table 4, Supplementary Table 5).

In the "control only" group there was no evidence of significant association of any SNP with ASE or ASE variance (Table 4, Supplementary Table 5). However, most SNPs that showed association with ASE or with ASE variance in the combined group were only statistically significant in the "case only" group, suggesting that the signal detected in the combined analyses was primarily due to the case group (Table 4, Supplementary Table 5). A difference between the genetic effects on ASE in these two groups is further supported by

the observation that linkage disequilibrium patterns appear to differ in cases and controls (Supplementary Figure 1).

#### **Discussion**

Mendelian syndromes predisposing to CRC are estimated to account for at most 5–10% of the overall incidence of the disease and the nature of the residual susceptibility is presently undefined (34). Multiple germline mutations in several, often unrelated genes, including *APC*, have been linked to familial CRC (34). In our study we tested the hypothesis that ASE of *APC* associates with risk of CRC in patients unselected for family history.

Our mean and median values of *APC* ASE were similar in cases and controls, indicating that there were no differences in average values between the two groups. However, the ORs for CRC increased with the size of the deviation from the ASE mean, supporting the conclusion that having imbalanced ASE increased disease risk. In agreement with this finding, ASE in FAP may be even more extreme (13, 14), which is consistent with a continuum model where CRC risk increases as *APC* ASE imbalance increases. Data from other studies, that reported an excess of "outliers" among FAP cases as compared to controls, support such a model. For example, in Castellsagué *et al.* (Figure 3 in reference 14) no controls are outside of the range they define as normal. Also, other reports (3, 4, 7, 13) are compatible with a model that implicates ASE imbalance in *APC* as a marker of CRC risk, although in those studies differences of ASE distributions between cases and controls were not explicitly tested.

Our data are also consistent with studies on other tumor-predisposing genes, suggesting that imbalanced allelic expression of *TGFBR1, BRCA1* and *BRCA2* increases risk of sporadic colorectal or breast cancer (9, 19). However, while altered germline ASE of *TGFBR1* was found to associate with CRC in two subsequent studies (22, 24), these findings were not replicated in three other studies (20, 21, 23). Important for the interpretation of our results: 1) several *TGFBR1* studies focused on the analyses of mean and/or median ASE values, and it is possible that differences in these parameters do not affect risk, as our data on *APC* suggest; 2) ASE in *TGFBR1* might be more tolerated than ASE of *APC* and therefore could be a less important risk factor of CRC; 3) discrepancies among *TGFBR1* studies may be due to differences in study design and to the use of assays and/or samples yielding inconsistent results, as suggested by Tomsic *et al.* (22). It is noteworthy that a narrow range of *APC* ASE variation in controls was consistently reported in all studies that assessed this variability (3, 4, 14, 25), supporting the hypothesis that ASE of *APC* is likely to be pathogenic. With respect to the ASE assays we point out that the consistency of the *APC* assay used in our study is very close to that of the method considered state of the art (average SD of 0.1 in our study, compared to 0.094 obtained by pyrosequencing in reference 22) and samples yielding inconsistent ASE measurements were not considered in our analyses.

We note that in our and other studies not all individuals with allelic imbalance were affected by CRC (19, 21, 22, 23). The occurrence of ASE in *APC* among controls may relate to the possibility that allelic imbalance *per se* is predisposing, but with low penetrance. According to this hypothesis, other interacting factors, such as genetic and environmental modifiers, modulate penetrance of ASE imbalances. Another possibility, more consistent with the oncosuppressor nature of *APC*, is that ASE of this gene is pathogenic only when it reflects inappropriately decreased allelic expression. In fact, it has been shown that in FAP pathogenic ASE of *APC* may reflect virtually monoallelic expression (13, 14). Conversely, imbalanced ASE would be non-pathogenic when one allele is within the normal range and the other allele is upregulated. Thus, ASE will track pathogenic decreases in allelic expression in some but not all cases. However, ASE is more reliably measured than absolute allelic expression and can serve as an excellent marker for pathogenic decreases in allelic

expression (9). In any case, the relevance of *APC* ASE as a marker of pathogenic imbalances is supported by the narrow range of ASE variation in the control population and by the significantly higher frequency of imbalanced ASE in cases.

In our study, independent support for a pathogenic role of imbalanced ASE in *APC* derives from sequence and SNP analyses. Sequencing of cases with imbalanced ASE showed that a patient with one of the most pronounced ASE values, but reporting negative family history, carried a stop codon in *APC*. Furthermore, SNP analyses showed associations between ASE and its variance with several SNPs in the *APC* gene. This implies that genetic variation in *APC* can play a role in ASE. This result was only observed in the cases, but the control samples often had similar trends in genotype-ASE relationships, which is not unexpected considering that as discussed above, ASE may reflect different phenomena in cases (pathogenic) versus controls (non-pathogenic). It is also reasonable to postulate that the case-control differences in patterns of association are driven by factors, either environmental or genetic, not assessed in our study. The genetic factors might be either cis or trans acting, but it is of interest that one of the most significant SNP, rs971517, is in the upstream region of *APC*, perhaps in linkage with some as yet unknown variant affecting regulatory control at the transcriptional level. This SNP is in linkage disequilibrium (LD) with another upstream SNP (rs10428710), as well as with other SNPs (rs467033, rs2431507, and rs41115) showing evidence of association with ASE in the combined case and control data (Supplementary Figure 1, Table 4). These data indicate that cis regulatory elements may explain at least some of the ASE results.

In conclusion, we detected wider variation in ASE of *APC* among CRC patients as compared to controls, which was reflected also by a greater proportion of individuals among cases having ASE more than 1 and 1.645 SDs from the overall means. Moreover, CRC risk appeared to increase proportionally with the degree of *APC* ASE, indicating that this is a novel promising marker for CRC predisposition. These data support the conclusion that the same genes that predispose to rare highly penetrant familial cancer may act in apparently sporadic cancer by mechanisms causing ASE.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

#### **Acknowledgments**

We thank the DNA Resources Core Center for Human Genetics Research, Vanderbilt University, Nashville, TN, for SNP genotyping analyses.

**Grant support**: The study was supported by Italian Ministry of Instruction, University and Research to M.C.C. and A.C.

#### **Abbreviations**



#### **SD** standard deviation

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#### **Figure 1. Boxplot for ASE values in cases and controls**

Boxplots of ASE distribution for individuals heterozygous at rs2229992. Note the outlying samples (cases) shown by filled circles.

**Table 1**

Mean and median ASE values for cases and controls Mean and median ASE values for cases and controls



# **Table 2**

Distribution of cases and controls at increasing distance from the overall mean ASE Distribution of cases and controls at increasing distance from the overall mean ASE









 $\overline{z}$ 

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ASE values outside 1.645 SD are in bold ASE values outside 1.645 SD are in bold  $^7$ Predicted effects on splicing and transcriptional regulation of these novel APC variants were evaluated in silico using fruitfly software (www.fruitfly.org) and a transcriptional regulatory element database<br>(www.rulai *†*Predicted effects on splicing and transcriptional regulation of these novel APC variants were evaluated in silico using fruitfly software [\(www.fruitfly.org](http://www.fruitfly.org)) and a transcriptional regulatory element database [\(www.rulai.cshl.edu](http://www.rulai.cshl.edu)), respectively.





*\**



In situations where there were few homozygotes of one class, analyses were performed using all three genotypes, as well as on heterozygotes pooled with the rare homozygotes (dominant model).

*#*Kruskal-Wallis test used because of deviations from equal variance

 $^{\#}K$ ruskal-Wallis test used because of deviations from equal variance

 $\hat{K}$ ruskal-Wallis used because of deviation from normality Kruskal-Wallis used because of deviation from normality