

## Translational Article

### Special Issue on Muscle Function and Sarcopenia

# Dynapenia and Aging: An Update

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In 2008, we published an article arguing that the age-related loss of muscle strength is only partially explained by the reduction in muscle mass and that other physiologic factors explain muscle weakness in older adults (Clark BC, Manini TM. Sarcopenia  $\neq$  dynapenia. *J Gerontol A Biol Sci Med Sci*. 2008;63:829–834). Accordingly, we proposed that these events (strength and mass loss) be defined independently, leaving the term “sarcopenia” to be used in its original context to describe the age-related loss of muscle mass. We subsequently coined the term “dynapenia” to describe the age-related loss of muscle strength and power. This article will give an update on both the biological and clinical literature on dynapenia—serving to best synthesize this translational topic. Additionally, we propose a working decision algorithm for defining dynapenia. This algorithm is specific to screening for and defining dynapenia using age, presence or absence of risk factors, a grip strength screening, and if warranted a test for knee extension strength. A definition for a single risk factor such as dynapenia will provide information in building a risk profile for the complex etiology of physical disability. As such, this approach mimics the development of risk profiles for cardiovascular disease that include such factors as hypercholesterolemia, hypertension, hyperglycemia, etc. Because of a lack of data, the working decision algorithm remains to be fully developed and evaluated. However, these efforts are expected to provide a specific understanding of the role that dynapenia plays in the loss of physical function and increased risk for disability among older adults.

**Key Words:** Strength—Weakness—Atrophy—Function—Disability.

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IN 2006, there were 37.3 million adults more than the age of 65 years living in the United States (2). Demographers expect the number of older persons to double to 86.7 million—or to 20.6% of the U.S. population—by the year 2050. This surge in growth of the aging population has prompted the Institute on Medicine to develop specific literature focused on retooling medical resources for an aging America (3) to cope with the 42% or 15.6 million who report having one or more limitations performing daily tasks (e.g., walking two to three blocks, transferring from the chair) that are essential for maintaining independence in the community (2). This population growth and associated incidence of physical disability have led to increased scientific interest on the biology of aging. Over the past several decades, the scientific and medical communities have recognized that skeletal muscle dysfunction (e.g., muscle weakness, muscle atrophy, poor muscle coordination, etc) is a debilitating and life threatening condition in older persons. For example, the age-associated loss of muscle strength is highly associated with both mortality and physical

disability (4–8), and maintenance of muscle mass with advancing age is critical because it serves as a metabolic reservoir that is needed to effectively withstand disease (9–11).

In recent years, there has been a growing effort to develop criteria for the clinical diagnosis of “sarcopenia.” For example, a European team recently published a consensus statement on the definition and diagnosis of sarcopenia (12), and a team of scientists and practitioners from the United States are currently working to formulate a similar statement (13). Both of these groups criteria for diagnosing sarcopenia involve incorporating aspects of (a) physical function (i.e., gait speed), (b) muscle strength, and (c) muscle mass. Indeed, the term “sarcopenia,” which was initially defined as the age-related loss of muscle mass (14), has also become synonymous with the age-related loss of muscle strength as well as the age-related loss of physical function. In 2008, we published an article arguing that the age-related loss of muscle strength is only partially explained by the reduction in muscle mass and that other physiologic factors

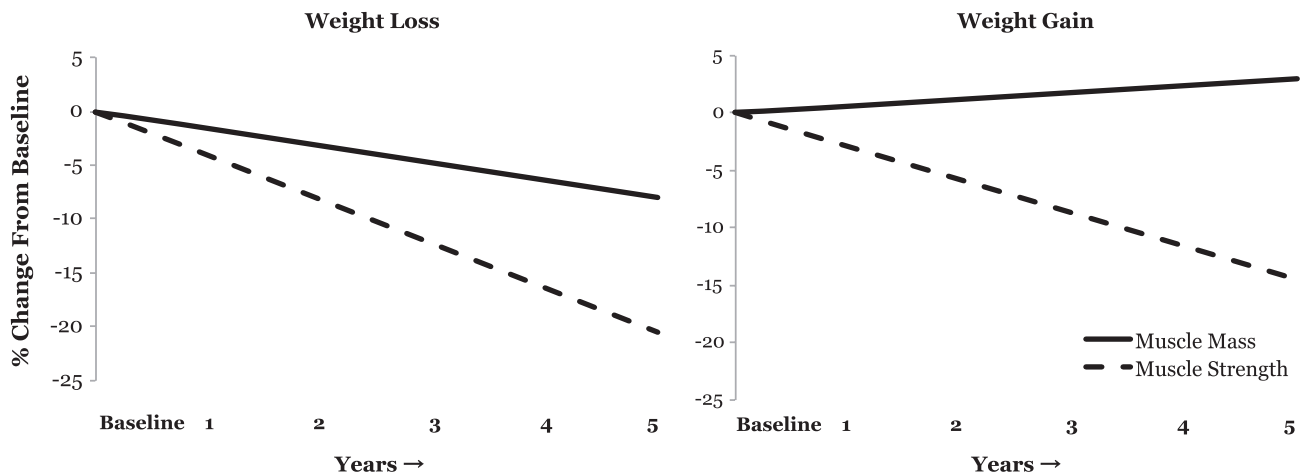


Figure 1. The age-related loss of muscle strength is weakly associated with the loss of muscle mass. These figures were adapted from published data obtained from the Health ABC Study to examine the relationship between changes in knee extensor strength and quadriceps femoris cross-sectional area muscle (measured via computed tomography) in a 5-y longitudinal study of older adults (21). These data represent the annualized rate of loss more than 5 y in older adults that lost body weight (left panel;  $n = 309$  men) and gained body weight (right panel;  $n = 143$ men). Note that (a) muscle strength is lost at a substantially faster rate than muscle mass and (b) that gaining muscle mass does not prevent the aging-related loss of muscle strength (right panel). Adapted from Delmonico and colleagues (21). Created figure approved by the corresponding author (M. J. Delmonico).

explain muscle weakness in older adults (1). Accordingly, we proposed that these events (strength loss and mass loss) need to be defined independently, and in this article we proposed that the term “sarcopenia” be used in its original context to describe the age-related loss of muscle mass and we coined the term “dynapenia” to describe the age-related loss of muscle strength (1). The new terminology is gaining support for use in clinical environments and research settings (15–18); however, despite its growing popularity, there remains some resistance because such new terminology might confuse efforts for building a consensus decision algorithm for sarcopenia.

We have been asked by the editors of the *Journals of Gerontology: Biological and Medical Sciences* to provide an update on dynapenia, and herein we will summarize the salient points made in our original article and provide information on recent findings in this field. Additionally, to stimulate discussion along this line we will propose a working decision algorithm to define dynapenia.

### SARCOPENIA $\neq$ DYNAPENIA

#### *What Is the Relationship Between Skeletal Muscle Mass and Muscle Strength?*

As stated previously, the term sarcopenia was originally defined as the age-related loss of muscle mass (14). However, one of the first articles on sarcopenia explicitly stated in its abstract (19): “Advancing adult age is associated with profound changes in body composition, the principal component of which is a decrease in skeletal muscle mass. This age-related loss in skeletal muscle has been referred to as sarcopenia. Age-related reduction in muscle is a direct cause of the age-related decrease in muscle strength. Muscle mass (not function) appears to be the major determinant

of the age- and sex-related differences in strength. . . Reduced muscle strength in the elderly is a major cause for their increased prevalence of disability.” Thus began the intimate linking of the age-associated changes in muscle mass, muscle strength, and physical function. The linking of changes in muscle mass and strength (maximal voluntary force) via the same word implies that these are causally linked and that changes in skeletal muscle mass are directly and fully responsible for changes in strength. However, it has been known for more than three decades that muscle strength is not solely dependent upon muscle size (20). In fact, recent longitudinal data from the Health ABC Study indicates that the decline in muscle strength is much more rapid than the concomitant loss of muscle mass and that the change in quadriceps muscle area only explains about 6–8% of the between-subject variability in the change in knee extensor muscle strength (21). This finding is consistent with our experimental models of muscle weakness where we observe that the loss of muscle mass associated with disuse explains less than 10% of the associated loss of muscle strength (22,23). Further, maintaining or gaining muscle mass does not prevent aging-related declines in muscle strength (Figure 1; 21). These findings indicate that the loss of muscle strength in older adults is weakly associated with the loss of lean body mass. Rather they suggest that muscle weakness in older adults is more related to impairments in neural (central) activation and/or reductions in the intrinsic force-generating capacity of skeletal muscle (force/unit tissue; for reviews, see 24–26).

#### *What Is the Relationship Between Health Outcomes and Skeletal Muscle Mass and Muscle Strength?*

From a clinical perspective, perhaps the more significant question relates to the relative influence of skeletal muscle

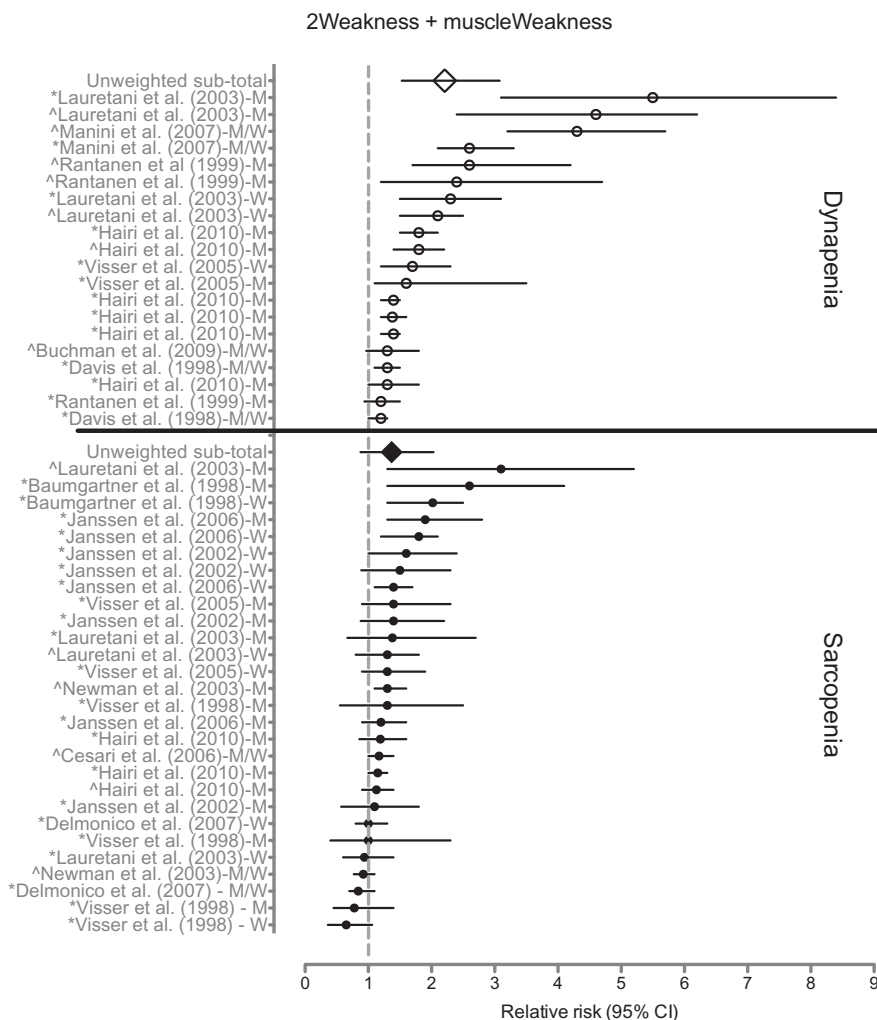


Figure 2. Relative risk of poor physical performance, functional limitation, or physical disability in older adults with dynapenia (low muscle strength), or sarcopenia (low muscle mass). The counterfactuals are older adults with normal muscle strength or mass. Studies investigating multiple outcomes or expressing findings by sex are repeated. The author of each study is followed with whether the relative risk was estimated in men (M), women (W), or both (M/W). Symbols indicate whether outcome was self-report physical function/disability (\*) or observed physical performance (^). Specific information on each study is provided in the Supplementary Table.

mass versus muscle strength on physical disability or poor physical performance (e.g., mobility limitation, poor physical performance in activities of daily living). In an attempt to summarize these associations, we conducted a systematic literature search of MEDLINE articles yielding 2,666 hits, and in Figure 2 we present data from seven studies for muscle strength (5,27–32) and nine studies for muscle mass (29,30,32–39) that met our predetermined criteria for evaluation (for complete details of our methodological approach in identifying the selected studies, see Supplementary Table). Unfortunately, a formal meta-analysis between muscle mass and strength and physical disability is not appropriate because of the following issues: (a) the outcomes were not uniform across studies, (b) there were limited prospective cohorts available, (c) there is excessive variability in the measurement of muscle mass and strength, and (d) there are well-known biases in observational studies. Additionally,

although we acknowledge the pioneering studies that established the association between muscle strength and size on physical function (40–43), we were unable to include them because they did not meet our predefined criteria for inclusion. However, despite these limitations, this analysis did provide some interesting findings. Specifically, studies examining the association between low muscle strength and poor physical performance or disability were significant 90% of the time (18 out of 20 associations), whereas those examining the same association with low muscle mass were significant 35% of the time (10 out of 28 associations). Furthermore, the unweighted average of the relative risks for low muscle strength was 2.20 (95% CI: 1.5–3.1), whereas low muscle mass exhibited a relative risk of 1.37 (0.87–2.0). We should note that there are limitations in presenting unweighted average relative risks (44); however, the findings suggest that the number and magnitude of associations for

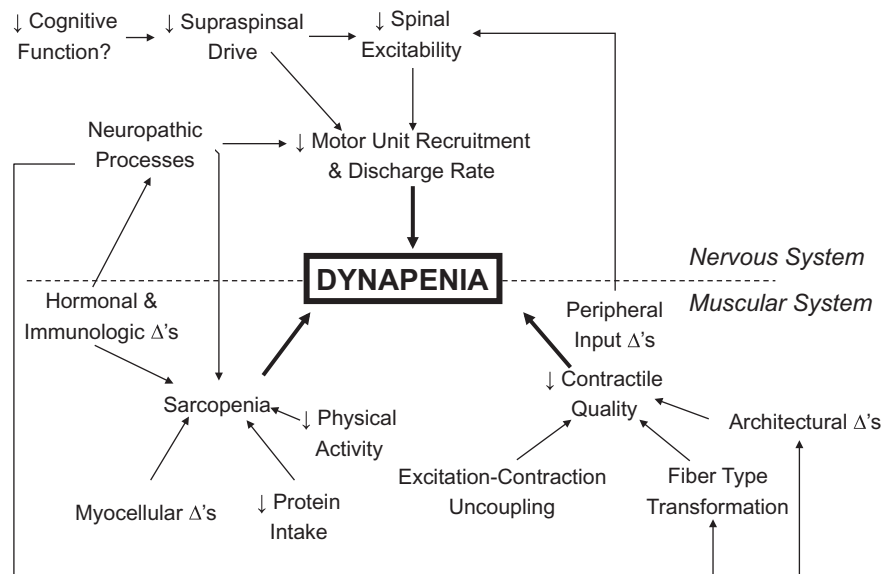


Figure 3. Theoretical model of the potential neurologic and muscular factors leading to dynapenia. Reprinted with permission from (47).

low physical performance or disability are greater for low muscle strength than low muscle mass.

Another important question relates to the association between skeletal muscle mass/strength and mortality, and over the past decade, several longitudinal studies have enhanced our understanding of these associations (4,6,32). The most recent data from the InChianti Study demonstrated that muscle cross-sectional area of the calf was not associated with an increased risk of mortality when covariates were considered (4). Additional analyses that defined sarcopenia according to sex-specific categories through regression techniques confirmed no association with mortality. In another large cohort of older adults, Newman and colleagues (6) found that whole leg-muscle mass and thigh cross-sectional area were not associated with risk of mortality. However, both grip and knee extensor muscle strength was highly associated with mortality, despite accounting for muscle mass, suggesting that sarcopenia may be secondary to the effects of dynapenia (6). Collectively, these findings indicate that muscle strength—and not simply muscle mass—is a critical factor for determining both physical disability and mortality in older adults. However, with this stated, it should be noted that losses in muscle strength with age is not the sole determinant of the loss of physical function, as there are numerous conditions that can dramatically impair physical function (e.g., poor cardiopulmonary function, cognitive deficits, etc.; 45,46).

#### *If Sarcopenia Does Not Cause Muscle Weakness in Older Adults Then What Does?*

The mechanisms accounting for a decline in muscle strength can be attributed to a combination of “neural” and “muscular” factors. For example, impairments in neural

(central) activation, such as that due to a reduction in descending excitatory drive from supraspinal centers and/or suboptimal motor unit recruitment and rate coding, could result in dynapenia. Additionally, a reduction in the intrinsic force-generating capacity of muscle, changes in actomyosin structure and function, and infiltration of adipocytes into muscle fibers could result in dynapenia. Figure 3 depicts a theoretical model of the neurologic and muscular factors potentially leading to dynapenia (this model has been updated since our 2008 article on dynapenia; 1). We will first summarize recent findings on nervous system form and function in the context of muscle force production followed by providing an update on recent findings on muscular factors that are not related to size.

*Neurological mechanisms of muscle weakness.*—There is evidence to suggest that dynapenia is, to some extent, attributable to neurologic mechanisms. For example, function of the cortex, spinal cord, and neuromuscular junction are well-known to influence voluntary activation of muscle fibers (e.g., 48). We will first provide a brief overview of the physiologic processes involved in muscle activation and the assessment of neural activation.

The assessment of muscle strength requires a *voluntary* effort. Volitional activation comprises the recruitment of motor neurons, and hence muscle fibers, by increased descending drive. With an increased force of contraction, there is increased activation of neurons in the primary motor cortex with increased firing of corticospinal neurons (for review, see 49). The larger this descending drive, the greater the number of motor neurons recruited in the spinal cord and the faster they fire. Accordingly, the two primary ways to increase voluntary force output is to recruit additional motor units within a given alpha-motoneuron pool and/or

increase their discharge rate. When these two physiologic properties are optimized, maximal muscle activation results. The most common way to globally investigate whether neural impairments are responsible for a reduction in strength is to deliver a supramaximal electrical stimulus to a peripheral nerve or muscle during a maximal voluntary contraction and evaluate the “added force.” Although this technique is not without limitations (50,51) it does provide insight into the degree of central (voluntary) muscle activation.

There are equivocal reports in the literature on whether or not advancing age reduces central activation capacity. A synthesis of the literature however does provide some insight into potential explanations of these equivocal reports. Several studies examining the effect of age on central isometric activation of the knee extensors and the elbow flexors suggest that older adults, particularly those greater than 70–75 years of age, exhibit a decrease in central activation, whereas investigations on the age-related changes in central activation of the dorsiflexors yield null findings (for review, see 24,52). Due to the functional differences between these muscles, as well as differences in their physiologic profiles (e.g., motor unit innervations and fiber type characteristics), these muscle-group specific effects are not overly surprising. With respect to the elbow flexors, central activation is consistently reported as 1–5% lower in older adults than in young adults, and this difference is significant in over half the studies (53–58). Of particular interest is a study by Jakobi and Rice (56) that reported a novel and interesting finding: that central activation is less consistent across trials in older men compared with younger men. Specifically, they observed no differences between older and younger adults when central activation was compared based on the single best trial; however, when central activation was calculated based on an average of ten trials a dramatic age difference was observed (79% vs 95% activation). With respect to studies on the knee extensors, a number of reports show no differences between old and young adults (59–62), but a few reports stand out as showing a deficit in central activation with aging (63,64). The first of these studies was conducted by Harridge and colleagues (63). This study examined the oldest cohort of individuals that, to our knowledge, has been examined to date ( $n = 11$ , age range: 85–97 years). Here, it was observed that very old adults exhibited significant impairments in central activation (mean: 81%; range: 69–93%). Another study of interest is an article by Stevens and colleagues (64) that combined previously collected data sets on the effect of aging on knee extensor central activation. This study deserves particular attention because it is the largest to date (young adults:  $n = 46$ , 18–32 years, older adults:  $n = 46$ , 64–84 years). Here, central activation in older adults was significantly less than that of young adults (87% vs 98% activation; Figure 4). The previously mentioned findings are interesting because they demonstrate that clinically meaningful deficits in central activation do exist when a population of older individuals is

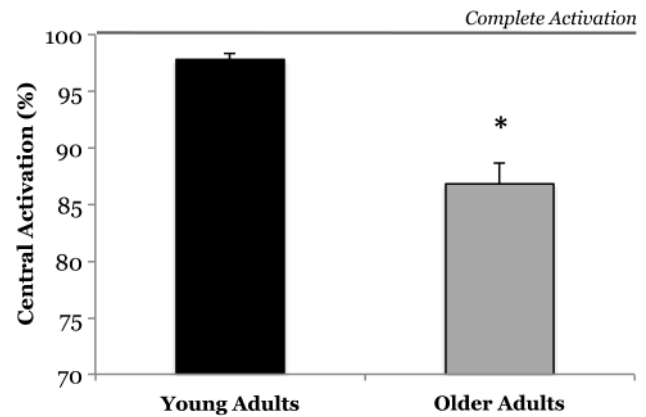


Figure 4. Older adults exhibit impairment in their nervous systems ability to fully activate the knee extensor muscles. This cross-sectional data are the largest study to date examining differences between young and old in central activation and represent data from 46 young (18–32 y) and 46 older (64–84 y) humans. Adapted from Stevens and colleagues (64). Created figure approved by the corresponding author (S. K. Stackhouse).

considered indicating that deficits in neural drive can contribute to some of the muscle weakness observed in older adults—particularly in the very elderly and in certain muscle groups. These findings are particularly meaningful when one considers that older adults, on average, require a relative effort of about 88% to perform a chair rise task (65). Thus, small to modest deficits in neural activation of muscle in older adults may have profound implications on physical function.

In recent years, the specific cortical changes associated with aging have begun to be explored. These findings indicate that aging is associated with widespread qualitative and quantitative changes in the motor cortex and spinal cord. For example, there are an overwhelming number of morphometric changes in the motor cortex with advancing age, including a dramatic volumetric reduction in the premotor cortex neuron cell body size (66), significant cortical atrophy of areas near the primary motor cortex (67), and a reduction in the total length of myelinated fibers and integrity of the brain white matter (68,69). Age-related changes have also been observed in the serotonergic (70,71), cholinergic (72), adrenergic (71), dopaminergic (73–76),  $\gamma$ -aminobutyric acidergic (73,75), and glutamatergic systems (73,75), as well as in reductions in neurotrophic factors within the motor cortex (77). In addition to the age-related anatomical and cellular changes as discussed previously, aging also affects motor cortical properties at the systems level. Specifically, aging has been shown to result in cortical hypoexcitability (78–81), a reduced ability to modulate the activity of inappropriate motor networks when required (82–84) and a reduction cortical plasticity (85,86). Collectively, these changes are likely to contribute to age-related reductions in motor performance although the exact relationship to strength loss is yet to be determined.

In addition to the cortical level changes associated with aging, there are also numerous changes at the spinal level.

For example, advancing age has been shown to be associated with a reduction spinal excitability (78,80,87–89), altered motor unit discharge properties (90–93), and reduced motor unit size and numbers (94,95). For example, age-related remodeling of motor units appears to preferentially result in denervation of type II (fast) skeletal muscle fibers with collateral reinnervation allowing for the type I (slow) motor units to gain control of the denervated muscle fibers (25) and that when denervation outpaces reinnervation the motor unit is rendered functionally useless (26). Furthermore, the behavioral properties of motor units are also altered with aging indicating a reduction in the incidence of motor unit doublets (27) and a reduction in maximal motor unit discharge rate (28). Theoretically, alterations in many of the aforementioned neural factors could be mechanistically linked to muscle weakness exhibited with aging, but longitudinal studies are needed to more clearly delineate the effect.

Interestingly, over the past couple of years, there have been several reports suggesting a link between muscle weakness and cognitive decline (96,97). One of the more intriguing of these studies observed that poor physical function and muscle strength coexisted with cognitive impairment and that this relationship was independent of muscle mass and physical-activity level (96). This finding raises the question of the interrelationship between neural activation and cognitive function, and further work is needed to better understand these associations.

*Muscular mechanisms of muscle weakness.*—Low levels of skeletal muscle mass are associated with muscle weakness in the elderly, but recent longitudinal studies of aging and disuse indicate that the relative influence of muscle mass on muscle strength is substantially less than originally thought (21–23,98,99). However, there is evidence to suggest that dynapenia is, to some extent, attributable to other muscular mechanisms. The majority of human and animal studies indicate that the intrinsic force-generating capacity of skeletal muscle decreases with age (e.g., force/unit tissue; 100–103). For example, recent animal data from Russ and colleagues (102) provides evidence that older skeletal muscle exhibits a 34% reduction in its intrinsic force-generating capacity (Figure 5). In agreement with this work, human studies also frequently report a reduction in single fiber and whole-muscle contractile quality (101,103,104). Accordingly, these findings illustrate that older skeletal muscle exhibits a reduction in intrinsic force capacity (that would contribute to muscle weakness). The causes of this reduction are yet to be determined, but several studies have noted age-related changes in the excitation–contraction coupling processes (105–108) and an association with adipocyte infiltration (109,110).

Excitation–contraction coupling refers to the physiological process of converting the neural signal for muscle

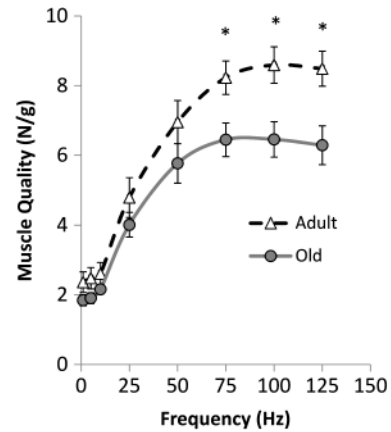


Figure 5. Older animal skeletal muscle exhibits a reduction in its intrinsic force-generating capacity, particularly at high stimulation frequencies. This cross-sectional data were obtained from young (6–8 mos) and older (24 mo) rats by normalizing the electrically stimulated plantarflexor maximal force to the muscle weight. At the higher stimulation frequencies the older—but not yet senescent—muscle exhibited a greater than 30% reduction in its intrinsic force capacity. It should be noted that this reduction occurred in the presence of a very limited amount of atrophy. Modified with permission from Russ and colleagues (102) Modified figure approved by the corresponding author (D. W. Russ).

activation (the sarcolemmal action potential) into muscle contraction and force generation (111). There are a number of key events involved in the excitation–contraction coupling process. Namely, electromechanical transduction in skeletal muscle cells requires the dihydropyridine receptor located at the transverse tubule to activate calcium release from the sarcoplasmic reticulum through the ryanodine receptor. The calcium released into the myoplasm binds to troponin C and—through interactions with troponin I and T along with tropomyosin—results in actomyosin interaction (and the associated sliding of these filaments), which continues until calcium is pumped back into the sarcoplasmic reticulum or competitively bound. Disruption or uncoupling at any point along the excitation–contraction coupling pathway could result in reduced intrinsic force capacity and hence dynapenia.

Over the past 15 years, we have begun to understand the effects of aging on the processes involved excitation–contraction coupling. For example, aging has been shown to result in a reduced number of dihydropyridine receptors, and as a result an uncoupling between these receptors and the ryanodine receptor that results in deficits in calcium release in response to muscle excitation, reduced calcium supply to contractile proteins, and eventually reduced contractile force (105–108). In more recent years, several studies have demonstrated that other skeletal muscle proteins are involved in excitation–contraction coupling that have a direct implication for age-associated muscle weakness. Specifically, results from animal studies suggest that aging results in reduced expression of a protein of the sarcoplasmic reticulum junctional face membrane (JP-45; 112–114). This protein alters the levels of expression of the dihydropyridine

receptor subunits (i.e., reduced expression of  $Ca_v1.1$ , increased expression of  $Ca_v\beta_{1a}$ ; 106,112,114–116) and affects protein–protein interactions involved in excitation–contraction coupling (e.g., ryanodine receptor binding to Fk506-binding protein; 102). It has also been suggested that impaired muscle function with aging may result from structural alterations of myosin causing a change in the kinetics of the cross-bridge cycle (117).

In addition to changes in the excitation–contraction coupling process with aging, changes in muscle morphology have also been observed. Over the past decade, numerous studies have reported that aging increases the adipocyte content between muscle groups (intermuscular adipose tissue) and between muscle fascicles (intramuscular adipose tissue; 21,109,110,118,119). The earliest of these studies suggested that greater muscle fat content was associated with reduced muscle strength (109,110) suggesting a potential mechanistic link between increases in fat infiltration in muscle and muscle weakness. Indeed, cytokine production from adipose tissue has been linked to depressed muscle force production (120,121), thus providing a theoretical basis to this assertion. However, more recent longitudinal data has failed to observe a direct relationship between increased levels of intermuscular adipose tissue and strength loss with age (21).

#### WORKING DECISION ALGORITHM TO DEFINE DYNAPENIA

Recent efforts by the European Working Group on Sarcopenia in Older People have yielded a consensus decision algorithm of sarcopenia aimed at practitioners (12). This algorithm uses the following components to define sarcopenia: age, gait speed, grip strength, and muscle mass. According to the algorithm, all adults more than the age of 65 years should be assessed for gait speed. It is then recommended that individuals with a gait speed slower than 0.80 m/s be tested for appendicular or total muscle mass that is used to diagnose sarcopenia. Older adults without gait speed impairments ( $>0.80$  m/s) would perform a grip strength assessment. Individuals with low grip strength are then referred for measurement of appendicular or total muscle mass to diagnose sarcopenia. The algorithm certainly has some strong points—namely feasibility—as gait speed and grip strength testing could easily be conducted at small clinics. However, the cut points proposed for low muscle mass have not resulted in consistently significant associations with health outcomes (29,38), misclassifies obese older adults (38), and has marginal discriminate ability in identifying older adults at risk of disability (area under the receiver operator curve  $\sim 0.70$ ; 30). It should be noted that the addition of fat mass moderately improves the association with health outcomes (35,38). The algorithm also uses several constructs in defining sarcopenia, and as such resembles a *syndrome* similar to most well-accepted definition of

frailty (e.g., weakness, exhaustion, unintentional weight loss, slow gait speed, and low physical activity; 122). As such, the algorithm is not specific to low muscle mass or low muscle strength, but rather seems to encompass a holistic approach to assessing a geriatric patient.

We have taken a different approach in developing and proposing a working decision algorithm specific for dynapenia. It is well-known that the etiology of poor physical performance (e.g., slow gait speed) is a multifactorial and complex process that manifests itself due to biological (cognitive, musculoskeletal, hormonal, neural etc.), psychological, environmental, and sociological origins (123). As such, multiple conditions and/or risk factors are involved in the loss of physical function, of which dynapenia is only one factor. This philosophy is similar to building a risk profile for cardiovascular disease that is composed of several factors that include: hypercholesterolemia, hypertension, elevated inflammation, and glucose dysregulation. Each risk factor has its own set of clinical criteria and definition that is used to build the risk profile (i.e., Framingham Risk Score). Muscle weakness is one factor involved in the etiology of a complex health problem of functional limitation or physical disability and should be treated as such. We propose that the decision algorithm needs to screen for the specific condition being ascertained—low muscle strength.

#### Description of Proposed Algorithm

In Figure 6, we propose a decision algorithm for dynapenia. This should be considered a *work in progress* because there is lack of empirical support for several of its components. The approach is to use a combination of risk factors and screening tests to advise practitioners and scientists about whether muscle weakness is likely a factor in an individual's disability or poor physical performance profile. Specifically, the algorithm begins by screening individuals greater than 60 years for dynapenia—although it could easily be applied to younger individuals at risk. Next, we propose that individuals with sufficiently severe risk factors for the development of dynapenia be referred for a knee extension strength assessment. Conversely, if an individual has no or low risk factors, it is proposed that they undergo an easy to administer grip strength assessment to determine whether a lower extremity strength test is warranted. It should be noted that our proposed algorithm is designed to first determine whether an individual presents with dynapenia and then recommends follow-up testing based on this outcome to determine the etiology of dynapenia (e.g., is the weakness due to neurologic or muscular origins). In an attempt to facilitate discussions on dynapenia, we have established a website blog where we invite comments and input on general and specific components of the algorithm: <http://dynapenia.blogspot.com>.

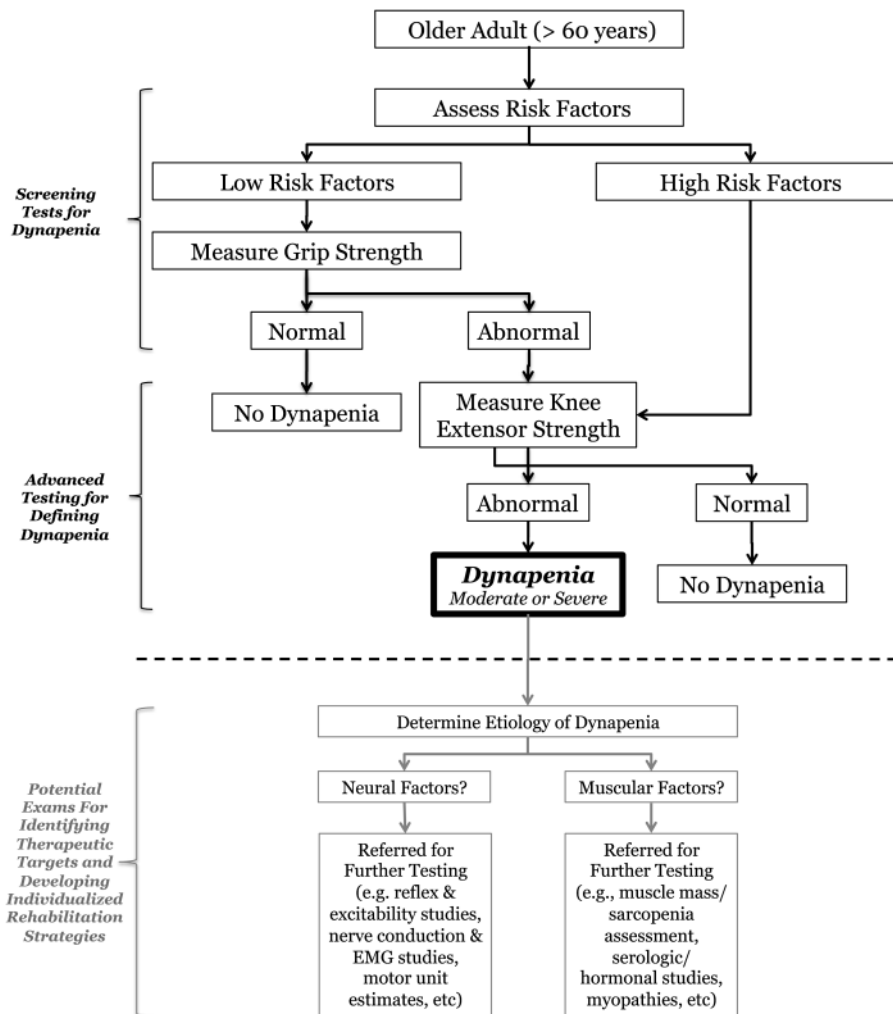


Figure 6. Working decision algorithm to define dynapenia. In an attempt to facilitate discussions on dynapenia, we have established a Web site blog where we invite comments and input on general and specific components of the algorithm: <http://dynapenia.blogspot.com>.

### Frequently Asked Questions About the Proposed Algorithm

Subsequently, we address some anticipated questions and concerns over this algorithm:

1. Is there sufficient data to define a limited series of relevant risk factors of sufficient predictive value for dynapenia? The simple answer to this question is: no, at present, there is insufficient data identifying specific risk factors for the development of muscle weakness with aging. Accordingly, further research is needed to better define risk factors for dynapenia. Potential risk factors may include certain lifestyle or anthropometric factors (e.g., low levels of physical activity, malnutrition, obesity), diseases or health conditions (e.g., osteoarthritis, vitamin D deficiency, anemia, osteoporosis, cardiorespiratory diseases, active cancer, low cognitive function), medical history (e.g., unexplained weight loss, history of falls), psychological factors (e.g., kinesiophobia), and/or self-reported limitations (e.g., self-reported muscle weakness, mobility limitations, fatigue, or exhaustion).

Most of these risk factors can be ascertained in a clinic environment and be used to construct a risk profile.

2. Knee extension strength is difficult to measure in clinical settings, so, why not just use grip strength? We believe that the assessment of knee extension muscle strength is warranted as lower extremity muscle strength is critically relevant to gait speed and physical function (124). We do believe grip strength is important and that it is useful in the screening phase; however, the use of grip strength alone is likely to misclassify individuals as grip strength only explains about 40% of the variance in lower extremity strength (125–128). Indeed sophisticated and sensitive dynamometers for assessing lower extremity muscle strength are not readily available in most clinics; thus, referral to a separate assessment venue would likely be required in many instances. This approach would be similar to that used in cardiology where a practitioner refers patients for stress testing at specialized clinics. In many developed countries, dynamometers are



- commonly available in physical therapy and physiotherapy clinics, and these facilities could serve in this capacity.
3. Is there sufficient data to define the cutoff points for dynapenia? No, one limitation to the proposed algorithm relates to the lack of data to define cutoff points for dynapenia. With this stated, there is already some literature in this area (5,129–133), and epidemiological studies of aging have routinely collected data on upper and/or lower extremity muscle strength. Therefore, it may be feasible to establish cutoff points for defining dynapenia in the near future. Consistent with others (5,126), correction for anthropometric variability is recommended to define dynapenia (e.g., strength normalized to body mass and/or height).
  4. Why haven't you incorporated measurements of gait speed or other indexes of physical function? It is clear that physical function (e.g., gait speed, chair rise time) is a critical risk factor of health in older persons. However, the etiology of poor physical function (e.g. slow gait speed) is a multifactorial and complex process that is influenced by biological, psychological, environmental, and sociological factors (123). As such, multiple factors are involved in the loss of physical function, of which muscle weakness is only one factor. To properly treat a patient with low physical function, researchers and practitioners would greatly benefit from understanding the origin of these functional deficits; thus, an evaluation that is specific to muscle weakness would help to either (a) develop targeted treatments or (b) to discount the involvement of muscle weakness on physical function and allow for other systems to be therapeutically targeted. As such, we propose that this decision algorithm should screen for the specific condition being ascertained (low muscle strength), which is in alignment with criteria commonly used to define such conditions as hypercholesterolemia, hypertension, and hyperglycemia.
  5. Why define dynapenia based on muscle strength as opposed to muscle power? Muscle power is defined as the time rate of doing work (134). Indeed muscle power is strongly correlated to performance of daily tasks (135–137) and declines at a faster rate with increasing age than muscle strength (138–140). However, muscle power performs similarly to muscle strength when identifying individuals with poor physical function and self-reported disability (30,124,140). Additionally, recent work from Bean and colleagues indicates that older adults with mobility limitations who participated in a 16-week “power-training” exercise program increased leg press power about 10% more than a traditional “strength-training” exercise program, but—despite the greater increase in power—both groups exhibited equivalent improvements in muscle strength and mobility performance (141). Another aspect we considered when faced with the choice of defining dynapenia based on muscle strength or power was the availability of data to evaluate statistically valid cut points. Epidemiological studies of aging have rou-

- tinely collected data on upper and/or lower extremity muscle strength (isokinetic or isometric), whereas there is considerably less data available on muscle power. Moreover, the equipment to assess muscle power is less readily accessible than that to assess muscle strength. Based on these previously mentioned factors, we felt that the assessment of muscle strength was reasonable and that it was a more clinically viable assessment tool.
6. Isn't defining the loss of muscle mass as sarcopenia and loss of strength as dynapenia as separate entities just going to lead to confusion? We recognize and respect this commonly expressed concern. However, recent findings strongly suggest that (a) the loss of muscle strength with aging is largely independent of the loss of muscle mass (Figure 1) and (b) muscle weakness poses a greater relative risk for the development of disability than does low muscle mass (Figure 2). As such, it seems scientifically unsound to define a clinical condition based on factors that are weakly associated with one another. Additionally, we should again note that in other medical disciplines, it is common to define and treat specific conditions based on particular biomarkers that are related to a more global disease or disorder. For example, cholesterol, C-reactive protein, and blood pressure are all independently defined conditions—with different treatment strategies—that are used to predict one's risk for heart disease. Similarly, we believe that predicting one's risk for physical disability needs to consist of a combination of independently defined conditions.

## CONCLUSIONS

In this article, we argue that the age-related loss of muscle strength (dynapenia) is only partially explained by the reduction in muscle mass (sarcopenia), and that these two conditions need to be defined independent of one another. Salient points for this argument are that (a) recent data from longitudinal studies on aging indicate that maintaining or gaining muscle mass does not prevent aging-related declines in muscle strength and (b) muscle weakness is independently associated with physical disability and mortality. The physiologic mechanisms of muscle weakness with aging are multifactorial and arise from deficits in neural activation, reductions in the intrinsic force-generating capacity of muscle, as well as muscle wasting. Accordingly, we propose a working decision algorithm for defining dynapenia and address some of the limitations and future directions for improving the algorithm. Specifically, it is suggested that future research is needed to further develop a clinically and scientifically sound decision algorithm (e.g., identification of risk factors for predicting dynapenia, identification of clinically relevant cut points for muscle strength), and to determine the relative contribution of the various segmental components of the neuromuscular system associated with muscle weakness.

## SUPPLEMENTARY MATERIAL

Supplementary table can be found at: <http://biomed.gerontologyjournals.org/>.

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