

leuron. Author manuscript: available in PMC 2012 December 8.

Published in final edited form as:

Neuron. 2011 December 8; 72(5): 832–846. doi:10.1016/j.neuron.2011.09.025.

Attentional enhancement via selection and pooling of early sensory responses in human visual cortex

Franco Pestilli^{1,2,3,4}, Marisa Carrasco², David J. Heeger², and Justin L. Gardner^{1,2}
¹Gardner Research Unit, RIKEN Brain Science Institute, 2-1 Hirosawa, Wako, Saitama 315-0198, JAPAN

²Department of Psychology and Center for Neural Science, New York University, New York, NY, 10003 USA

³Mahoney-Keck Center for Brain and Behavior Research, Department of Neuroscience, Columbia University, 1051 Riverside Drive, Unit 87, New York, New York, 10032 USA

Summary

To characterize the computational processes by which attention improves behavioral performance, we measured activity in visual cortex with functional magnetic resonance imaging as humans performed a contrast-discrimination task with focal and distributed attention. Focal attention yielded robust improvements in behavioral performance that were accompanied by increases in cortical responses. Using a quantitative analysis, we determined that if performance were limited only by the sensitivity of the measured sensory signals, the improvements in behavioral performance would have corresponded to an unrealistically large (approximately 400%) reduction in response variability. Instead, behavioral performance was well characterized by a pooling and selection process for which the largest sensory responses, those most strongly modulated by attention, dominated the perceptual decision. This characterization predicts that high contrast distracters that evoke large sensory responses should have a negative impact on behavioral performance. We tested and confirmed this prediction. We conclude that attention enhanced behavioral performance predominantly by enabling efficient selection of the behaviorally relevant sensory signals.

Introduction

Spatial attention allows us to see better by enhancing behavioral sensitivity and is associated with increased neural activity in early visual cortex. But what is the relation between these changes in behavioral performance measured psychophysically and the changes in neural activity measured physiologically? A satisfactory answer remains elusive because there is a paucity of empirical studies in which enhancement in behavioral sensitivity has been quantitatively linked to concurrently measured changes in neural activity (Cohen and Maunsell, 2009; Cook and Maunsell, 2002; Sapir et al., 2005). Many psychophysical studies have documented changes in contrast sensitivity with attention but without measuring

Correspondence: Franco Pestilli, fp2190@brain.riken.jp and Justin L. Gardner, justin@brain.riken.jp.

⁴Current address: Department of Psychology and Stanford Center for Cognitive and Neurobiological Imaging, Stanford University, Stanford, California, 94305, USA

Publisher's Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

^{© 2011} Elsevier Inc. All rights reserved.

corresponding changes in neural activity (Carrasco et al., 2000; Lee et al., 1999; Lu and Dosher, 1998; Morrone et al., 2002; Pestilli et al., 2009). Single-unit monkey physiology (Martinez-Trujillo and Treue, 2002; McAdams and Maunsell, 1999; Mitchell et al., 2009; Reynolds and Heeger, 2009; Reynolds et al., 2000; Williford and Maunsell, 2006) and human neuroimaging (Buracas and Boynton, 2007; Li et al., 2008; Murray, 2008) studies have reported various effects of attention on neural response amplitudes and variability. These studies, however, have not quantitatively assessed whether measured neural changes could fully account for the improved behavioral performance with attention. Understanding how changes in cortical activity give rise to enhanced behavioral sensitivity requires concurrent measurements of behavioral sensitivity and cortical responses during tasks for which models can quantitatively link the two measurements.

Contrast discrimination is a standard task for which plausible linkage hypotheses exist to relate amplitude and variability of neural responses in early sensory areas to behavioral sensitivity (Boynton et al., 1999; Geisler and Albrecht, 1997; Legge and Foley, 1980; Nachmias and Sansbury, 1974; Zenger-Landolt and Heeger, 2003). Neural responses in early visual cortex increase monotonically with contrast (see Fig. 1A for an idealized example; Albrecht and Hamilton, 1982; Boynton et al., 1999; Zenger-Landolt and Heeger, 2003), suggesting that the brain can discriminate differences in contrast (Fig. 1A, blue arrows) by monitoring differences in stimulus-evoked response amplitudes (Fig. 1A, green arrows; Boynton et al., 1999; Legge and Foley, 1980; Nachmias and Sansbury, 1974; Zenger-Landolt and Heeger, 2003). According to this linkage hypothesis, attention may improve discrimination performance by increasing the slope of the contrast-response function: we refer to this as "response enhancement" (Fig. 1B). Response enhancement would increase the difference in neural responses for the two corresponding contrasts and therefore improve discriminability (d'). Attention may also improve discrimination by reducing the noise in the sensory responses; we refer to this as "sensory noise reduction" (Fig. 1C). Neural responses are inherently variable; slightly different responses are evoked on each presentation of the same stimulus, resulting in response distributions that can be characterized by their standard deviations (σ). Sensory noise reduction (smaller σ), which can be achieved either by reducing response variability in individual neurons and/or by reducing correlated noise across a population of neurons, would result in less overlap between two response distributions and would increase signal discriminability. Both these possibilities would increase contrast-discrimination performance with attention by improving the sensory representation – what we refer to as "sensitivity enhancement."

Attention may also improve behavioral performance by excluding irrelevant sensory signals from the decision process – what we refer to as "efficient selection." If attention were distributed across multiple stimuli (Fig. 1D distributed condition), signals from relevant- and irrelevant-locations would be pooled together resulting in a large response variance, diluting the response differences between stimuli, and reducing stimulus discriminability. If, instead, attention were directed only to the target stimulus (Fig. 1D, focal condition), and if doing so selected only the relevant sensory signals (red arrow), then behavioral performance would be improved. Psychophysical experiments suggest that perceptual decisions can be described by a class of pooling rules by which decisions are based on the neuronal subpopulations (or psychophysical channels) with the largest responses (Eckstein et al., 2000; Palmer et al., 2000). Under such pooling rules, increasing responses to behaviorally relevant stimuli would improve performance accuracy by selecting those stimuli for decision and action.

Sensitivity enhancement and efficient selection are not mutually exclusive and the degree to which each could, in principle, account for behavioral enhancement depends on what limits performance in any given task. We measured concurrently the psychophysical and physiological effects of spatial attention in a task that required high sensory discrimination

and included multiple stimuli, thus potentially allowing attention to act via either, or both, sensitivity enhancement and efficient selection. By quantitatively linking the psychophysical and physiological measurements, using models of sensitivity enhancement (Fig. 1B,C) and efficient selection (Fig. 1D), we concluded that efficient selection plays the dominant role in improving visual sensitivity.

Results

Psychophysical contrast-discrimination functions

Contrast-discrimination thresholds were measured concurrently with fMRI responses in early visual cortex. Each trial started with either a focal or distributed attention cue (Fig. 2, interval 1). This was followed by two 0.6 s stimulus presentations (Fig. 2, intervals 2 and 4) of four sinusoidal gratings with 8 "pedestal" contrasts (0-84%). Different pedestal contrasts were selected for each of the 4 locations on each trial. During one of the two stimulus intervals, one of the four gratings (target, chosen at random) had a contrast increment, Δc , added to the pedestal contrast. After the second stimulus interval, a small green arrow at fixation pointed to the target location (Fig. 2, interval 6) and the observers reported with a button press whether the first or second stimulus interval at that location had a higher contrast. An adaptive staircase procedure was used to find the Δc that resulted in 76% correct performance, i.e., the contrast-discrimination threshold (see Supplemental Experimental Procedures: Behavioral protocol). Contrast-discrimination thresholds were determined separately for each of the 8 pedestal contrasts and 2 cue conditions by running independent and randomly interleaved staircases.

The contrast-discrimination functions (Fig. 3, contrast-discrimination threshold as a function of pedestal contrast) had characteristics consistent with previous findings. First, as pedestal contrast increased from 1.75% to 28%, thresholds monotonically increased. This behavior is reminiscent of Weber's law, which predicts that discrimination thresholds maintain a constant ratio with the stimulus intensity (a slope of 1 plotted on a log-log axis). We found slopes <1 (blue curve, distributed-cue, target stimulus, 0.73 ±0.04; red curve, focal-cue target stimulus, 0.78 ±0.08; mean ±standard error across observers), consistent with previous studies (Gorea and Sagi, 2001). Second, thresholds decreased for lower pedestal contrasts, resulting in a characteristic dipper shape of the contrast-discrimination function (Legge and Foley, 1980; Nachmias and Sansbury, 1974). Because we tested a large range of mid-to-high contrasts to reliably compare any slope changes in the fMRI measurements, we did not sample low enough contrast pedestals to fully characterize the dipper (compare blue and red curves). Third, thresholds decreased above 28-56% with a slope on a log-log axis of -2.9 ± 0.18 (blue curve, mean $\pm s.e.m.$ across observers) and -3.22 ± 0.67 (red curve). This decrease in threshold at high contrast may be explained by the selection model presented below (see last section or Results).

The effect of focal attention on contrast-discrimination thresholds was characterized using spatial cues. On half of the trials, a focal cue (Fig. 2A, small black arrow) was shown before the stimuli to be discriminated. This focal cue indicated the target location with 100% validity, but did not provide information regarding the stimulus interval containing the higher contrast target. Observers were instructed to use this cue to direct spatial attention to the target. On the rest of the trials (randomly interleaved), a distributed cue was shown (Fig. 2B, four small black arrows), which did not provide information about the target location; observers were instructed to distribute their spatial attention across the four stimuli. To minimize uncertainty about the target location, in both cases a response-cue (green arrow) indicated the target location after stimuli offset. Contrast-discrimination thresholds were lower at all pedestal contrasts on focal- than on distributed-cue trials (Fig. 3, red and blue curves, respectively). This vertical shift of the contrast-discrimination functions was

reflected in smooth function fits to the data (Fig. 3, solid curves; see Experimental Procedures: Psychophysical contrast-discrimination functions) in which the parameter controlling vertical offset increased significantly for the distributed-cue compared to the focal-cue trials (g_r , p=0.02, student's t-test across observers), but other parameters did not change (g_c , p=0.58; s, p=0.17; q, p=0.4, student's t-test). Enhanced contrast discrimination could not be attributed to any change in eye position between focal- and distributed-cue trials (see **Experimental Procedures: Eye position monitoring**).

Testing response enhancement

Contrast-response functions were measured, in each of several visual cortical areas, for each of four stimulus-cue combinations (Fig. 4): focal-cue target, focal-cue non-target, distributed-cue target, and distributed-cue non-target. fMRI responses increased monotonically with stimulus contrast (Fig. 4A,B representative observer), and depended on the stimulus-cue combination (Fig. 4B,C representative observer and average respectively). Response amplitudes were smallest for unattended stimuli (Fig. 4C, green, focal-cue non-target), larger when attention was distributed (purple, distributed-cue non-target; blue, distributed-cue target), and largest for attended targets (red, focal-cue target).

There was no evidence for response enhancement in any of the visual cortical areas. We fit the data by adopting a parametric equation for the contrast-response functions (see Experimental Procedures: fMRI contrast-response functions). Only one of the fitted parameter values differed significantly across the four stimulus-cue combinations, the baseline response (b) that determined the vertical positions of the contrast-response functions. Allowing only this parameter to vary across cue conditions provided a fit that was statistically indistinguishable from the fit allowing all parameters $(g_c, g_r, \text{ and } b)$ to vary across cue conditions (V1, V2, V3 and hV4 each F(14,8), p=0.3). Thus, we did not observe a change in gain or slope of the contrast-response functions, consistent with previous reports (Fig. 1B; Buracas and Boynton, 2007; Murray, 2008). Instead, the cue effect was well described as a vertical additive shift of the contrast-response functions. The amount of additive offset increased across the hierarchy of visual cortical areas. Values for b increased from the focal-cue non-target curve to the distributed-cue non-target curve by 0.04, 0.08, 0.14 and 0.25 (% fMRI signal change) in visual areas V1, V2, V3 and hV4, respectively. The values increased from focal-cue non-target to distributed-cue non-target by 0.11, 0.18, 0.27 and 0.34, and they increased from focal-cue non-target to focal-cue target by 0.29, 0.39, 0.52 and 0.51. Therefore, the effect of attention was about 6×, 3× or 1.75× larger in hV4 than in V1, depending on which pair of conditions was compared.

There was a small but reliable difference in responses between distributed-cue target and non-target stimuli (Fig. 4C; blue and purple). Values for *b* in V1-hV4 differed by 0.07, 0.10, 0.13 and 0.10% signal change, respectively. These response differences were evident even though these trials differed only after the stimuli had been removed from the display for 400 ms (Fig. 2B), when the response-cue was presented. This effect cannot be the result of differences in neural responses during the first interval, because the response-cue defined the target only after the second interval. Observers could have inferred the target location during the second interval, before the response-cue, if they noticed where the change in contrast occurred between the two intervals. Consequently, they would have attended more to the identified target location during the second stimulus interval. However, we found no difference between correct and incorrect trials, either for the distributed-cue target or for distributed-cue non-target responses (quantified by the *b* parameter; p>0.1, paired student's t-test across subjects and visual areas). Thus, this small response difference likely originates from a post-stimulus modulation during the response phase (Sergent et al., 2010).

Testing sensory noise reduction

To test whether sensory noise reduction alone can account for enhanced behavioral performance with focal attention, fMRI and behavioral data were fit using the sensitivity model depicted in Fig. 1 (see Experimental Procedures: Testing sensory noise reduction). The sensitivity model fit the fMRI (contrast response) based on parameterized behavioral (contrast discrimination) data with two key parameters; the baseline response (b), and the sensory noise standard deviation (σ).

For the distributed-cue condition (Fig. 5A,B), the psychophysical contrast-discrimination data were again fit with a smooth function (Fig. 5A, blue line), and then the σ and b parameters were optimized to find the best fit to the fMRI contrast-response function (Fig. 5B, blue line). This procedure was repeated for each visual cortical area. The sensitivity model fit well the contrast-response measurements in each visual area (V1, r^2 =0.95, Fig. 5B; V2, r^2 =0.97; V3, r^2 =0.97; hV4, r^2 =0.98; average across observers), and for each individual observer (observer 1, r^2 =0.98; observer 2, r^2 =0.94; observer 3, r^2 =0.97; average across visual areas).

Having fit the sensitivity model parameters to the data in the distributed-cue condition, we asked whether these parameters could account for the data in the focal-cue condition. Had the slope of the contrast-response function changed in a way that could account for the behavioral data, then fixing the σ and b parameters to what had been estimated in the distributed-cue condition would have provided a good fit in the focal-cue condition. It did not. To show this, we used the σ and b that were fitted to the distributed-cue condition (Fig. 5A) to predict the contrast-response function from the focal-cue condition. The resulting contrast-response function had a much steeper slope than that measured in the focal-cue condition, and did not fit well the measured contrast-response functions in any of the visual areas (V1, r^2 =0.58, Fig. 5D, blue curve; V2, r^2 =0.63; V3, r^2 =0.63; hV4, r^2 =0.64; average across observers), nor for any observer (observer 1, r^2 =0.63; observers 2, r^2 =0.59; observer 3, r^2 =0.64; average across visual areas).

Allowing the standard deviation (σ) and the baseline response (b) to be adjusted for the focal-cue condition resulted in good fits to the contrast-response functions for each visual area (Fig. 5F; V1, r^2 =0.89; V2, r^2 =0.85; V3, r^2 =0.89; hV4, r^2 =0.83; average across observer) and for each individual observer (observer 1, r^2 =0.90; observer 2, r^2 =0.77; observer 3, r^2 =0.91; average across visual areas). For V1, the best-fit value of the sensory noise standard deviation (σ) was 0.085% signal change for the distributed-cue and 0.016% signal change for the focal-cue condition. The best-fit value of the baseline response (b) was 0.34% signal change for the distributed cue and 0.55% signal change for the focal-cue condition. Thus, there was no evidence for a change in the response gain of the fMRI responses, only for a change in the sensory noise standard deviation and baseline response parameters.

A similar result was found for each visual area and observer; the ostensible effect of the focal-cue was to decrease sensory noise and increase the baseline response. These two model parameters were fit separately for the distributed-cue and focal-cue conditions for each visual area and each observer. The average σ value for the distributed-cue (σ_d) condition was 0.064% ± 0.02 and 0.016% ± 0.01 for the focal-cue (σ_f) condition. The ratio of σ_d to σ_f was significantly greater than 1 in all observers and visual areas (p<0.01, bootstrap test, see Supplemental Experimental Procedures: Statistical tests in individual observers) and implied approximately a 4-fold reduction in sensory noise (Fig. 6A). The average b value increased from 0.58 $\pm 0.02\%$ for the distributed-cue condition to 0.74 $\pm 0.04\%$ for the focal-cue condition (Fig. 6B, b_d and b_f respectively). The difference between b_d and b_f was

significantly different from 0 in all observers and visual areas (p<0.05, except for hV4 in one observer, p=0.38, bootstrap test).

Testing efficient selection

The approximately 400% reduction in σ between the distributed- and focal-cue conditions could be due to a decrease in early signal-to-noise ratio, to greater inefficiencies in "reading out" the sensory signals, or to a combination of the two. Monkey electrophysiology experiments have shown that attention can reduce sensory noise, but not by such a large amount. For visual cortical area hV4, attention decreases both trial-to-trial variability in firing rates in individual neurons and trial-to-trial correlations in firing rates across neurons, such that an overall reduction in sensory noise of approximately 50% is achieved when averaged across a pool of neurons (Cohen and Maunsell, 2009; Mitchell et al., 2009). This suggests that inefficiencies in sensory pooling and decision-making play a large role in explaining the difference in performance accuracy for focal- and distributed-cue trials.

We propose a particular example of a model that exhibits such inefficiencies, which we call the "selection model." The selection model pools sensory responses across the four stimulus locations according to a max-pooling rule (it weighs the largest response the most). This ensures that decisions on focal-cue trials are based primarily on responses to the target stimuli (which are larger than responses to non-targets because the baseline responses are larger for attended stimuli), leading to good behavioral performance. On distributed-cue trials, one of the non-target stimuli evokes the largest responses (noting that in our experimental protocol one of the non-targets typically had a higher contrast than the target). Max-pooling thereby causes decisions to be based primarily on irrelevant sensory signals corresponding to incorrect locations, leading to correspondingly poor behavioral performance.

We begin by considering attentional selection via max-pooling in a focal cue trial. Fig. 7A shows simulation results, idealized sensory response distributions for the two intervals in the task at each of the four target locations. Each location elicited some response as measured by the contrast-response function for target and non-target stimuli. Only the target location had an actual difference in mean response between the two intervals (because there was a contrast increment added only at this location). For these simulations, the means of the sensory response distributions in Fig. 7 were set to be the mean fMRI response amplitudes (from V1) for the target and non-target locations, and the standard deviation of the sensory response distributions was set to the best-fit value from the sensitivity model fit (see above, **Testing sensory noise reduction**) for the focal-cue condition. To read-out the responses, the max-pooling operation weighted responses differently depending on their relative amplitude (Fig. 7B):

$$R_{p} = \frac{1}{4} \sqrt[k]{\sum_{i=1}^{4} r_{i}^{k}}$$
 [1]

where r_i was the response at each of the four stimulus locations, R_p was the pooled read-out of the responses, and k was a model parameter that changed the pooling operation from averaging (k=1) to maximizing (k= ∞). With a large k, the largest amplitude response dominated the read-out distribution from which the decision was made. For focal-cue trials, attention served to boost the target response above the non-target responses, and therefore the read-out distribution was dominated by the response to the target (i.e., the read-out distributions in Fig. 7C are virtually identical to the sensory response distributions at the target location in Fig. 7A).

The max-pooling rule with exactly the same k value predicted a larger threshold contrast (Δc , i.e., worse-performance) on distributed-cue trials. On distributed cue trials, a much larger Δc evoked a larger sensory response difference at the target location (Fig. 7A, compare sensory response distributions corresponding to the target location, top-left, for focal and distributed cues). In spite of the much larger target contrast difference on distributed-versus focal-cue trials, and the correspondingly larger separation between the sensory response distributions at the target location, the read-out distributions were virtually identical (Fig. 7C, compare response distributions for focal versus distributed cues). Because of the max-pooling rule, the read-out distributions were dominated by the stimulus location evoking the highest response. For focal-cue trials, this was nearly always the target location. For distributed cue trials, none of the sensory responses were preferentially increased by attention so the max-pooling rule biased the read-out distributions to correspond to the stimulus with the highest contrast, which was not usually the target. A larger Δc was consequently needed in the distributed-cue trials compared to focal-cue trials, to get the same separation between the read-out distributions and correspondingly the same performance accuracy.

Unlike the sensitivity model described above, this selection model quantitatively predicted behavioral enhancement based on the measured differences in cortical response amplitudes without any sensory noise reduction. We adjusted the k and σ parameters to fit the contrastdiscrimination functions (see Experimental Procedures: Testing efficient selection). We used a single σ value across both focal-cue and distributed-cue conditions, and found that the selection model provided excellent fits (e.g., Fig. 8A plots behavioral data and V1 contrastresponse functions averaged across observers). Fitting the k and σ parameters across individual observers and visual areas, we found k values with a mean near the maximizing end of the spectrum (k=68.08). We used An Information Criteria (AIC) and cross-validated r² to compare the quality of the model fits (see **Experimental Procedures: Model** comparisons). Across all visual areas, the fits to the data averaged across observers were significantly better (AIC difference = -23.94, -10.90, -59.88, -21.09 V1-hV4) for the selection model using a single σ value for both focal-cue and distributed-cue conditions (cross-validated r²=0.84, 0.88, 0.89, 0.89, V1-hV4 respectively) compared to the sensitivity model (fit without allowing σ to vary; cross-validated r²=0.06, 0.20, 0.13, 0.16). The selection model also provided better fits than the sensitivity model for the data from individual observers (selection model cross-validated r²=0.82, 0.83, 0.84, 0.83, V1-hV4 respectively, computed separately for each individual observer and then averaged across observers; sensitivity model cross-validated r²=0.10, 0.41, 0.34, 0.40; AIC difference= -23.21, -33.20, -40.26, -41.03).

We confirmed the result that the max-pooling selection rule accounted for contrast-discrimination performance, by adopting a single k value (the mean across V1-hV4) for each observer and applying it to all visual areas. It is not necessarily the case that each visual area should have exactly the same balance of maximization versus averaging as implied by a single k value. Nonetheless this analysis was used to test how well a fixed pooling rule could account for the behavioral data. With a fixed k for each observer, σ for the distributed- and for the focal-cue trials was allowed to vary separately to fit the contrast-discrimination functions. The ratio of $\sigma_{\rm d}$ to $\sigma_{\rm f}$ (1.04±0.05, mean and s.e.m across visual areas and observers) was statistically indistinguishable from 1 (p=0.56; bootstrap test), demonstrating that the selection model could account for the difference in behavioral performance between the two conditions without requiring any sensory noise reduction. This result is contrasted with the sensitivity model in which $\sigma_{\rm d}$ was on average about 4 times larger than $\sigma_{\rm f}$ (4.12±0.23, mean and s.e.m. across visual areas and observers; Fig. 8C, a recapitulation of the result in Fig. 6).

A combination of sensory noise reduction with our selection model also fit the data well. As noted above, the largest sensory noise reduction reported in the literature is about 50% (Cohen and Maunsell, 2009), but our contrast-discrimination functions were not adequately fit with a 50% sensory noise reduction, disregarding pooling of the sensory responses (Fig. 8B; cross-validated r^2 =0.46, 0.55, 0.52, 0.53, V1-hV4 respectively). However, this amount of sensory noise reduction when coupled with the selection model provided good fits to our data (cross-validated r^2 =0.89, 0.92, 0.92, 0.92), resulting in slightly smaller k values (61.03, averaged over areas) than the selection model alone. This combined model also provided a good fit to the data from individual observers (cross-validated r^2 =0.86, 0.84, 0.86, 0.85, V1-hV4 respectively, computed separately for each individual observer and then averaged across observers). This fit was virtually indistinguishable from the selection model alone (compare to selection model r^2 , two paragraphs above), but it was better than the sensitivity model with noise reduction (cross-validated r^2 =0.40, 0.63, 0.60, 0.63; AIC difference= -19.05, -18.06, -17.35, -23.78).

Robustness of results

We confirmed the robustness of our conclusions via the following analyses. (1) We removed anticipatory hemodynamic effects separately for focal-cue and distributed-cue trials (see Supplemental Data Fig. S2A). (2) We varied the sizes of the regions of interest in each visual area, corresponding to each stimulus location, using different statistical thresholds (see Supplemental Data Fig. S2B). (3) We used different functional forms (polynomial, a skewed Gaussian, and the form fit by the sensitivity model) to parameterize contrast-discrimination functions. These changes made quantitative but not qualitative changes in the parameter estimates of the various models and did not affect the overall conclusions (see Supplemental Data Fig. S2C).

Testing high contrast distracter prediction

One important prediction of our selection model is that behavioral performance is not determined by the properties of the target alone - the configuration of distracters also affects behavior. For example, a distracter with high contrast that evokes a large response will preferentially pass through the selection mechanism and therefore be expected to disrupt behavioral performance more than a distracter that evokes a smaller response. We confirmed this prediction in the following two ways.

First, we found that our selection model, given the configuration of distracter contrasts in the main experiment, predicted the prominent dip at high contrast of the measured contrast discrimination functions (Fig. 3). Distracter contrasts were always randomized around the target contrast. However, for the highest contrast pedestal, physical constraints (a maximum of 100% contrast is achievable) necessitated presenting lower contrast distracters. Thus, these high contrast pedestals were paired with distracters that evoked comparatively smaller responses and therefore were excluded to a great extent by our selection rule. This resulted in a prediction of better performance at high than at lower pedestal contrasts. This effect was even more pronounced given that contrast-response functions saturated at higher contrast, resulting in comparatively weaker distracter responses. Thus, our selection model predicted a prominent dip at high contrast for the distributed-cue condition (Fig. 8, blue curve), despite the fact that the form of the contrast-response functions used in the model fits did not include any accelerating non-linearity at high contrast. The dip in the modeled distributedcue discrimination function was due solely to the selection mechanism excluding the smaller response of the distracters at high contrast from the read-out distributions. Our selection model also predicted that the focal-cue condition would be less susceptible to these distracter affects due to the enhanced response at the focal-cue target (Fig. 8, red curve). While our selection model over-predicts the ability of focal-attention to overcome the effect

of distracters (i.e. predicts no, rather than a small, dip), there was indeed a much smaller dip in the contrast-discrimination performance at high-contrast for the focal-cue condition (Fig. 3, red curve).

As a second, more direct confirmation of the prediction of our selection model, we conducted behavioral experiments similar to the ones described above, but added a second set of conditions in which we replaced the lowest contrast distracter in each condition with a distracter of 84% contrast (see Supplemental Experimental Procedures: Behavioral Protocol for details). As before, thresholds were lower for the focal-cue condition than the distributed-cue condition (Fig. 9A); indeed, there was a ~4.2 fold difference(Fig. 9B, p<0.001, two-way nested ANOVA main effect of cue), thus replicating the behavioral effect of focal attention. As predicted, behavioral performance for both distributed-cue and focal-cue conditions was worse when there was a high contrast distracter (Fig. 9A, p<0.001, two-way nested ANOVA main effect of distracter condition), with no evidence of any individual differences between subjects (p=0.49, two-way nested ANOVA). The effect of distracter contrast was greater for the distributed-cue condition than the focal-cue condition (Fig. 9B) as expected by our selection-model given that in the focal-cue condition the target location was predicted (by the model) to have an enhanced response that could better compete with the high-contrast distracter.

Discussion

The behavioral and cortical effects of attention were concurrently measured using psychophysics and fMRI and a computational analysis was used to quantitatively link these measurements. Cortical responses in early visual areas increased when spatial attention was focused on a single location as compared to when attention was distributed across all stimuli, consistent with previous studies (Buracas and Boynton, 2007; Li et al., 2008; Liu et al., 2005; Murray, 2008). Concurrent behavioral performance also improved (contrast-discrimination thresholds decreased) when observers were cued to the target location, also consistent with previous studies (Foley and Schwarz, 1998; Lee et al., 1999; Lu and Dosher, 1998; Morrone et al., 2002; Pestilli et al., 2009). We considered whether sensitivity enhancement, in the form of response enhancement or noise reduction, and efficient selection, in the form of a max-pooling selection rule, could quantitatively link the two measurements. We concluded that efficient selection played the dominant role in accounting for the behavioral enhancement observed in the contrast discrimination task. Finally, we confirmed one prediction of our selection model, that high contrast distracters disrupt behavioral performance.

fMRI and neural activity

In describing our effort to quantitatively link fMRI responses and behavioral enhancement with attention, an underlying assumption of our analysis is that the fMRI responses were approximately proportional to a measure of local average neuronal activity (Boynton et al., 1996; Heeger and Ress, 2002). It has been claimed that fMRI responses are most closely related to synaptic input and intracortical processing within a cortical area, not the spiking output (Logothetis and Wandell, 2004). Cortical circuits are, however, dominated by massive local connectivity in which most synaptic inputs originate from nearby neurons (Douglas and Martin, 2007). Thus, synaptic "inputs" in cerebral cortex are mostly produced by local spiking of neighboring neurons, leading typically to a tight coupling between synaptic and spiking activity, as well as vascular responses. It is not surprising, therefore, that fMRI responses have been found to be highly correlated with neural spiking (Heeger et al., 2000; Mukamel et al., 2005). Even suppression of neuronal activity, which probably involves an increase in synaptic inhibition, has been found to be correlated with smaller fMRI responses (Shmuel et al., 2006; Zenger-Landolt and Heeger, 2003).

There are clear demonstrations that vascular responses can be dissociated from spiking activity. A striking example of such dissociation is a spatially-global anticipatory hemodynamic modulation during regularly-paced trials that is not reflected in spiking activity (Sirotin and Das, 2009). Our methodology removed such anticipatory hemodynamic modulation by randomizing the inter-trial intervals and subtracting a spatially homogenous component of the responses (see Supplemental Data Fig. S1A). After subtracting this spatially-global component, the residual vascular responses are tightly linked with spiking activity, such that the magnitude of the vascular responses evoked by different stimulus contrasts are linearly proportional to the magnitude of spiking activity as assumed by our analysis (A. Das, personal communication).

Attentional modulation of activity in visual cortex: fMRI versus electrophysiology

We considered whether potential conflicts between fMRI and single-unit measurements of the effect of attention on contrast-response suggests another possible dissociation of vascular and spiking activity. Attention has been reported to have a wide variety of effects on the contrast-response functions of neurons in visual cortex. Contrast-gain changes (Martinez-Trujillo and Treue, 2002; Reynolds et al., 2000; Williford and Maunsell, 2006), response-gain changes (Lee and Maunsell, 2010; Williford and Maunsell, 2006), activity-gain changes (Williford and Maunsell, 2006), additive offsets dependent on visibility (Pooresmaeili et al., 2010; Thiele et al., 2009) and baseline shifts in the absence of a stimulus (Reynolds et al., 2000; Williford and Maunsell, 2006) have all been observed, even different changes in different neurons during the same experiment (Williford and Maunsell, 2006).

Some of these inconsistent results from single-unit studies may be due to uncontrolled task parameters. For example, the normalization model of attention predicts different effects (response-gain changes, contrast-gain changes, or a combination of the two that can mimic a baseline shift) in different neurons depending on stimulus size and attention field size (i.e., the spatial and featural extent of attention), with respect to receptive field size (Reynolds and Heeger, 2009). But stimulus size and attention field size have only been manipulated systematically in one behavioral and neuroimaging study (Herrmann et al., 2010), and have not been systematically manipulated in electrophysiology experiments. In addition, task-difficulty is known to modulate neuronal responses (Boudreau et al., 2006; Chen et al., 2006), and task difficulty varies with contrast (e.g., orientation discrimination is typically harder at low contrast than at high contrast; Lu and Dosher, 1998; Pestilli et al., 2009). In our experiment, separate staircases were run for each contrast, thus ensuring the same threshold level of discrimination difficulty at each contrast.

Whereas attentional modulation of single-unit firing rates have shown inconsistent effects across and within experiments, the results may be more uniform when averaged across a large population of neurons. Our results are consistent with those from previous fMRI experiments (Buracas and Boynton, 2007; Murray, 2008) reporting additive offsets with attention as well as a voltage-sensitive dye experiment which reached a similar conclusion about selection (E. Seidemann, personal communication). Equal increases in responses at all contrasts may result when responses are averaged across populations of neurons for at least two reasons. First, if some neurons show enhancement primarily at low and intermediate contrasts (contrast-gain like changes) and other neurons show enhancement primarily at high contrasts (response-gain like changes), then the overall sum of activity (and consequently any population read-out that depends on this sum) would be expected to show enhancement at all contrasts (i.e., an additive offset). Indeed, an electrophysiological study has reported that some neurons exhibit contrast-gain, others response-gain and yet others exhibit additive changes in the same experiment (Williford and Maunsell, 2006). Moreover, the normalization model of attention (Reynolds and Heeger, 2009) can yield contrast-gain or

response-gain like changes in different neurons dependent on the locations and sizes of their receptive fields. These effects in individual neurons can appear as an additive offset change when averaged across neurons (unpublished simulations). Second, the majority of singleunit electrophysiology experiments used stimulus parameters that were matched to the tuning properties of the individual units being recorded. But in fact, any stimulus that is the target of attention will give rise to activity in many neurons whose receptive fields and tuning properties may only partially match with the stimulus. Small baseline shifts with attention (Luck et al., 1997; Reynolds et al., 2000; Williford and Maunsell, 2006) in each of many neurons may sum to a large effect in the overall population output, evident in the fMRI responses. The behavioral performance improvements with attention may, for some stimuli and tasks, depend primarily on this component of the population responses that is correlated across neurons (not the response- and/or contrast-gain changes evident in each individual neuron's responses). Our max-pooling selection rule exemplifies how such a baseline shift can lead to improved behavioral performance. Hence, it is possible to reconcile the attentional modulation effects that have been measured with fMRI with those measured electrophysiologically.

Response Enhancement

Is it possible that behavioral performance improvements with attention are due to response enhancement (Fig. 1B), but that we were simply unable to measure the response enhancement with fMRI? In particular, is it possible that increases in fMRI responses that we and others (Buracas and Boynton, 2007; Murray, 2008) have measured with attention were entirely due to neuromodulatory input, and therefore did not reflect signals used by the brain for contrast discrimination? We considered three specific possibilities to address these questions.

First, it could be that the fMRI measurements were dominated by attention-related synaptic input that was constant for all stimulus contrasts and hence looked like an additive offset. Such would be the case if the fMRI measurements reflected only the neuromodulatory input that specified the attention field (i.e., the changes in synaptic gain corresponding to the spatial extent of attention), which would be only indirectly evident in extracellular electrophysiological measurements of spiking activity. However, we measured a monotonically increasing contrast-response function in all task conditions (Fig. 4) which indicated that at least part of the fMRI responses was driven by the stimulus. Moreover, the gain changes that would have been needed to account for the behavioral enhancement with attention were approximately 4-fold (Fig. 5) and should have been easily measurable as they would have been much larger than contrast-gain changes with adaptation measured with fMRI using similar procedures (Gardner et al., 2005).

Second, could it be that the contrast-response functions we measured reflected only bottom-up input? Had this been the case, gain changes within a cortical area would not have been evident in the fMRI responses from that area, but rather those gain changes would have been displaced to a later visual area. For example, even if one area, say V1, were dominated by bottom-up inputs, e.g., from the LGN, we would have expected to see gain changes in the areas to which V1 projects. However, no gain changes were observed in V2, V3 and hV4.

Third, could it be that signals used to perform the contrast-discrimination task were encoded at a spatial scale below the resolution afforded by hemodynamic measurements? Whereas we cannot fully rule-out this possibility, it is unlikely because single-unit studies (Martinez-Trujillo and Treue, 2002; McAdams and Maunsell, 1999; Mitchell et al., 2009; Reynolds et al., 2000; Williford and Maunsell, 2006) have uniformly measured gain changes that are too modest to explain the large (~4 fold) response-gain changes needed to account for the observed behavioral effect. Indeed, population sensitivity measures from single-unit data

agree with our conclusion that gain changes can account for only a very small fraction of behavioral enhancement (Cohen and Maunsell, 2009).

Sensory noise reduction

Sensory noise reduction (Fig. 1C) is another possible mode of sensitivity enhancement, which could have been missed by fMRI measurements (Cohen and Maunsell, 2009; Mitchell et al., 2009). Direct measurements of the variability of neural responses with fMRI are difficult if not impossible as fMRI is corrupted by various other sources of noise (thermal, physiological, movement artifacts, hemodynamic, etc.). Indeed, the sensitivity model estimated that the trial-to-trial fluctuations of the fMRI signal needed to account for behavior (i.e. the estimate of the noise variance due to neural sources that influence perception) was less than 0.1% change in fMRI image intensity - an order of magnitude smaller than the overall trial-to-trial variability in the fMRI responses we measured (approximately 1%).

However, although fMRI may not be able to measure changes in neural variability directly, the sensitivity model estimated that an unrealistically high 400% reduction in noise was needed to account for behavioral enhancement. This amount of noise reduction was an order of magnitude larger than the reduction in the response variance inferred from monkey electrophysiology (Cohen and Maunsell, 2009; Mitchell et al., 2009). We note, however, that there are still few studies that have examined changes in response variation and correlation between neurons with attention and that there is considerable uncertainty about how much reduction in variability at the level of populations of neurons can be inferred from the existing data. Nonetheless, our analysis suggested that response enhancement coupled with a realistic amount of noise reduction, would not suffice to account for the behavioral performance improvements that we observed.

We assumed additive noise when estimating neural variability to link the contrast-discrimination and contrast-response functions, but single-unit studies have found that firing rate response variances scale with the mean firing rates, similar to a Poisson process (Softky and Koch, 1993). Therefore it might seem that contrast discrimination should be modeled with multiplicative noise, which scales with response. However, because perceptual decisions are likely based on populations of neural activity, behavioral performance is not necessarily limited by Poisson-like noise evident in single neurons. If the neural noise that scales with the response amplitudes is independent across neurons, then the Poisson-like noise will be averaged out and only correlated components of the noise will remain. This remaining correlated noise component might be additive. Indeed, the standard deviation of the population response measured with voltage sensitive dyes does not change with contrast in V1 (Chen et al., 2006). Moreover, psychophysical data suggest that perceptual performance is limited by an additive noise component (Gorea and Sagi, 2001).

Efficient selection

Not being able to account for the behavioral enhancement with the forms of sensory enhancement discussed above, we considered the possibility that attention improved behavioral performance by efficiently selecting relevant sensory signals (Eckstein et al., 2000; Palmer et al., 2000). And we found that a simple max-pooling selection mechanism could fully and realistically account for the behavioral enhancement. The selection model we implemented did not change the pooling rule across focal and distributed cue trials (a fixed k and σ across both trial types could account adequately for the behavioral data), and therefore does not suggest that the pooling mechanism itself becomes more efficient for focal cue trials. Instead we hypothesize that larger responses (in the form of an additive

offset) aid in propagating the relevant visual information through a static pooling rule leading to more efficient selection of relevant signals.

Whereas the particular form of max-pooling selection rule used was not essential, we used it because it has a plausible neural implementation. We implemented a continuum of selection rules from averaging to max-pooling by taking the sum of the exponent of input signals. Other selection rules such as a soft-max operator (Kouh and Poggio, 2008) could have been used to achieve the same function. However, an exponential relation to inputs has been observed for visual neurons in sensory areas; these neurons are well modeled as linear operators with a static output nonlinearity in the range of 2-4 (Albrecht and Hamilton, 1982). Higher exponent values might be achieved as sensory signals pass from one area to the next, each area contributing a part of the full exponent value. Our selection rule also includes a root operator, the purpose of which was simply to keep the output of the selection rule in the same range as the input, and could also be achieved by other computations such as divisive normalization (Heeger, 1992).

A prediction of our selection model is that distracters that evoke large responses (for example those presented with higher contrast) will be better able to pass through the selection mechanism and thus disrupt performance. We tested and confirmed this prediction. These results parallel other reports (Palmer and Moore, 2009; Yigit-Elliott et al., 2011) that show that high contrast distracters (foils) can be incorrectly selected, leading to errors in behavioral performance. Similarly, searching for a high contrast target among low contrast distracters is less impaired relative to searching for a low contrast target among high contrast distracters when attention is allocated elsewhere (Braun, 1994) or V4 is lesioned (Schiller and Lee, 1991). These results all suggest that high contrast stimuli preferentially access perception (but see Jonides and Yantis, 1988). Efficient selection with winner-take-all like selection mechanisms as described here and elsewhere (e.g. Koch and Ullman, 1985; Lee et al., 1999) provide a unified framework which can explain both these types of bottom-up effects as well as top-down effects of focal attention.

Working memory

Our conceptualization of the processes involved in the contrast discrimination task did not consider the limits of working memory in changing behavioral performance. To perform a two-interval discrimination task, observers must hold the contrast perceived in the first interval in working memory to compare with the perceived contrast in the second interval. Our task was designed to minimize demands put on working memory by using a very brief ISI (200ms; Fig 2) and a small set size (4) that has been shown to minimize performance decrements due to working memory (Luck and Vogel, 1997). Nonetheless, performance may have improved for the focal cue because working memory was needed only to hold the relevant one item instead of all four items with the distributed cue. If this account holds, it raises the question of what process acts to exclude irrelevant information from working memory in the focal cue condition. One possibility is that efficient selection in a matter akin to what we have formulated here acts as a gatekeeper that excludes irrelevant information from working memory. Indeed, exclusion of irrelevant items in working memory is a key factor improving performance in working memory tasks (Vogel et al., 2005), thus suggesting that attentional enhancement in the form of efficient selection may be a key process in determining the efficacy of working memory.

Selection and sensitivity

Whether attention improves performance through sensory enhancement or efficient selection may critically depend on the types of tasks used to probe attentional effects. Sensory enhancement and efficient selection are not mutually exclusive, rather they are both likely to

contribute to the computational processes by which attention improves performance (Eckstein et al., 2000; Lu and Dosher, 1998; Palmer et al., 2000). On the one hand, many experiments have limited the number of behaviorally relevant stimuli; for example by presenting one or two stimuli on a blank background, thus limiting demand on the neural processes that govern the efficiency of selection (Carrasco et al., 2000; Lu and Dosher, 1998; Morrone et al., 2002; Pestilli et al., 2009). For these types of tasks, the bottleneck in performance may therefore be in the fidelity of the stimulus representation. Correspondingly, single-unit studies using such tasks have reported signal enhancement in the form of gain changes (Martinez-Trujillo and Treue, 2002; McAdams and Maunsell, 1999; Reynolds et al., 2000; Williford and Maunsell, 2006), and reductions of correlated noise (Cohen and Maunsell, 2009; Mitchell et al., 2009). On the other hand, tasks in which the relevant signals must be selected out of many possible alternatives place higher demands on selection efficiency (Eckstein et al., 2000; Palmer et al., 2000). For these tasks, the bottleneck in performance may not be the fidelity of the stimulus representation, but the efficiency of selection. Moreover, tasks in which relevant and irrelevant stimuli are placed in near proximity to each other may result in selection of relevant signals and suppression of irrelevant signals at stages of the visual system in which both stimuli are within the same receptive field (c.f., "biased-competition;" Desimone and Duncan, 1995). Real world situations usually involve complex and cluttered visual environments in which efficient selection mechanisms may be particularly crucial for optimal behavior.

Experimental Procedures

Observers

Three healthy males (age 33-36) with normal or corrected-to-normal vision who provided written informed consent participated in the study. Experimental procedures were in compliance with the safety guidelines for MRI research and were approved by the University Committee on Activities Involving Human Subjects at New York University. Each observer participated in multiple fMRI experiments: one 1.5-hour long session of retinotopic mapping and five 2-hour long sessions of the contrast discrimination experiment. To test the effect of high contrast distracters, we conducted behavioral experiments on six observers (ages 23-39, one female), including two from the main experiment, all with normal or corrected-to-normal vision. Experimental procedures were conducted with the written consent of each observer and were approved by the RIKEN Brain Science Institute Functional MRI Safety and Ethics Committee.

Behavioral Protocol

The behavioral protocol is described in the **Results** and in detail in the Supplemental Experimental Procedures.

Stimulus presentation

Visual stimuli were generated using Matlab (The Mathworks Inc., Natick, MA) and MGL (URL:http://justingardner.net/mgl) and presented via an LCD projector. See Supplemental Experimental Procedures.

MRI acquisition and preprocessing

MRI data were acquired on a 3 Tesla Allegra head-only scanner (Siemens, Erlangen, Germany) using standard procedures. See Supplemental Experimental Procedures.

Psychophysical contrast-discrimination functions

Contrast-discrimination thresholds were computed separately for each pedestal contrast and each cue condition and the resulting contrast-discrimination functions were then fit, following previous research (Boynton et al., 1999; Legge and Foley, 1980; Nachmias and Sansbury, 1974; Zenger-Landolt and Heeger, 2003), by assuming that behavioral performance is limited by the fixed difference in response amplitude (ΔR) divided by the standard deviation of sensory noise (σ). Then the contrast-discrimination threshold for a pedestal contrast, $\Delta c(c)$, satisfies:

$$d' = \frac{R(c + \Delta c(c)) - R(c)}{\sigma}$$
 [2]

where *R* is the underlying contrast-response function. The contrast-response functions were parameterized as:

$$R(c) = b + g_r \left(\frac{c^{s+q}}{c^q + g_c^q}\right),$$
 [3]

where b is the baseline response, g_r is the response-gain that determines the maximum response, g_c is the contrast gain that determines the horizontal position of the function along the contrast axis, and s and q are exponents that control how quickly the function rises and saturates. For the sensitivity and selection model fits, g_r (the response gain of the contrast-response function, Eq. 3) and ΔR (the response difference at threshold, Eq. 2) were constrained by measurements of the contrast-response functions. However, ΔR , σ , and g_r were codependent variables when fitting the contrast-discrimination functions on their own. We therefore set σ and ΔR to 1 and fit (nonlinear least squares) the other parameters of the contrast-response function to the measured contrast thresholds. Increasing or decreasing either ΔR , σ or g_r resulted in a vertical shift upwards or downwards of the fit to the contrast-discrimination function, and b (baseline response; Eq. 3) had no effect on the contrast-discrimination function and was set to 0.

We show that the decrease in contrast-discrimination thresholds at high contrast is explained by the selection model (see **Results**), but we also fit the data without ascribing it to any particular mechanism, by multiplying the thresholds, $\Delta c(c)$, from the above model (Eq. 2 and 3) with a scaling factor:

$$\Delta c'(c) = \Delta c(c) e^{-(c/\gamma)^{\rho}}$$
 [4]

where γ is the contrast at which threshold has decreased by 37%, and ρ is the slope of the decrease on a log-log axis.

In summary, the contrast-discrimination functions were fit (nonlinear least-squares) using a combination of Eq. 2-4 (see Fig. 3). There were a total of 8 data points for each of 2 cue conditions (focal and distributed). These data were fit with 6 free parameters for each cue condition: g_r (response-gain), s, q (exponents), g_c (contrast-gain shift), γ , and ρ (center and slope of threshold dip at high contrast, Eq. 4).

fMRI contrast-response functions

While observers performed the contrast-discrimination task, cortical responses to the stimuli were measured in visual areas V1, V2, V3 and hV4. In a separate scanning session, we

identified the 4 subregions of each visual area corresponding to each of the 4 stimulus apertures (see Supplemental Experimental Procedures, Retinotopic mapping and Visual field quadrant localizer). Responses corresponding to each stimulus contrast, for each stimulus-cue combination (i.e., focal-cue target, focal-cue non-target, distributed-cue target and distributed-cue non-target, see Fig. 1), were then averaged across these 4 subregions of each visual area.

The mean fMRI response time-courses were estimated using deconvolution, i.e., linear regression, baseline normalized to the non-target focal-cue condition and the amplitude of response was estimated. These amplitudes were then fit using Eq. 3. See Supplemental Experimental Procedures.

Testing sensory noise reduction

The sensitivity model (Fig 1) was fit (nonlinear least-squares) to the contrast-response functions (see Fig. 5A-F), using Eqs. 2-4. The particular parameterization of the contrast discrimination functions was not essential for our results in that simplified forms (with fewer parameters, see Supplemental Experimental Procedures: Alternate functional forms used to fit contrast-response) did not qualitatively change the results (See Supplemental Data Fig. S2C).

To perform the fit, the contrast-discrimination functions were numerically integrated, using the following procedure, to predict the contrast-response functions. Given values for the noise, σ , and baseline response, b, a contrast-discrimination function uniquely specified a contrast-response function. The first point on the contrast-response function at 0% contrast was simply the baseline response:

$$R(0) = b, [5]$$

We assumed that behavioral sensitivity (d') was equal to the neural response difference, $R(c + \Delta c) - R(c)$, divided by σ (see Eq. 2). The interpolated contrast discrimination functions gave the threshold contrast, Δc , for any contrast, c, for a behavioral sensitivity of d'=1 (see above, **Psychophysical contrast-discrimination functions**), thus:

$$R(c+\Delta c) = R(c) + \sigma \tag{6}$$

To compute the next point on the contrast-response function, we thus applied Eq. 6, for c=0 and Δc as estimated from the interpolated contrast discrimination function, i.e. $R(\Delta c) = b + \sigma$. Subsequent values of R were computed by repeated application of Eq. 6 in which each new c was set to c+ Δc from the previous iteration and Δc for that new contrast c, was retrieved from the interpolated contrast discrimination function (see Supplemental Experimental Procedures, for more details on the fitting procedure). σ and b were adjusted to produce the best fit of the contrast-response functions in the least-squares sense.

Testing efficient selection

The contrast-discrimination functions were fit (nonlinear least-squares) by the selection model, using Eqs. 1 and 3. To perform the fit, the contrast discrimination performance of the selection model (% correct) was computed by simulating synthetic trials based on responses computed from the measured contrast-response functions. Contrast-response functions were interpolated with a simplified version of Eq. 3 (a Naka-Rushton type equation), which lacked the exponent s. The exact form of the interpolation function was not essential (see Supplemental Experimental Procedures). For any fixed value of k (Eq. 1) and value of the

sensory noise (σ) , the selection model performance (% correct) was computed as follows. For each pedestal contrast, Gaussian response distributions were computed for each stimulus location and each interval of the task (Fig. 7A). The mean of each response distribution was determined according to the interpolated contrast-response functions. The standard deviations of the Gaussian response distributions were set to the σ parameter. Responses were then combined into "read-out" distributions using the max-pooling rule (Eq. 1) and the parameter k (Fig. 7B). On each of 10,000 simulated trials, a response was taken from the read-out distribution for each interval. If the larger of these two responses was in the same interval as the increment in contrast, the trial was marked as correct. The Δ c that produced 76% correct values using this procedure was taken as the discrimination threshold. Values of k and σ were adjusted to produce the best fit of the contrast discrimination functions in the least-squares sense.

We also computed two variations of the above model (see Fig. 8). One variation included two σ values $(\sigma_f$ and $\sigma_d)$, one for the focal-cue and one for the distributed-cue trials. The second variation of the model used a pooling rule in which the read-out distribution was taken solely from the correct target location on both focal-cue and distributed-cue trials. This version had both σ_d and σ_f parameters, but no k parameter.

Model comparisons

Model fits were compared using two different measures that account for differences in number of model parameters: cross-validated $\rm r^2$ and AIC. See Supplemental Experimental Procedures.

Eye position monitoring

Eye position was monitored during the experiments and analysis of the data did not reveal any potential artifacts. See Supplemental Experimental Procedures.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

This work was supported by a Career Award in the Biomedical Sciences from the Burroughs Wellcome Fund and a National Research Service Award (NRSA) from the National Eye Institute (F32-EY016260) to J.L.G., National Institutes of Health Grants R01-MH069880 (D.J.H.), R01-EY016200 (M.C.) and R01-EY019693 (D.J.H. and M.C.). F.P. was supported by Gardner Research Unit, RIKEN Brain Science Institute, The Italian Academy for Advanced Studies in America, and training grants from the National Institute of Mental Health (T32-MH05174) and National Eye Institute (T32-EY1393309). We thank the Center for Brain Imaging at New York University for technical assistance, Aniruddha Das, Adam Kohn and J. Anthony Movshon for helpful comments on previous versions of the manuscript, and Vince Ferrera and Brian A. Wandell for generous support and advice.

References

Albrecht DG, Hamilton DB. Striate cortex of monkey and cat: contrast response function. J Neurophysiol. 1982; 48:217–237. [PubMed: 7119846]

Boudreau CE, Williford TH, Maunsell JH. Effects of task difficulty and target likelihood in area V4 of macaque monkeys. J Neurophysiol. 2006; 96:2377–2387. [PubMed: 16855106]

Boynton GM, Demb JB, Glover GH, Heeger DJ. Neuronal basis of contrast discrimination. Vision Res. 1999; 39:257–269. [PubMed: 10326134]

Boynton GM, Engel SA, Glover GH, Heeger DJ. Linear systems analysis of functional magnetic resonance imaging in human V1. J Neurosci. 1996; 16:4207–4221. [PubMed: 8753882]

Braun J. Visual search among items of different salience: removal of visual attention mimics a lesion in extrastriate area V4. J Neurosci. 1994; 14:554–567. [PubMed: 8301354]

- Buracas GT, Boynton GM. The effect of spatial attention on contrast response functions in human visual cortex. J Neurosci. 2007; 27:93–97. [PubMed: 17202476]
- Carrasco M, Penpeci-Talgar C, Eckstein M. Spatial covert attention increases contrast sensitivity across the CSF: support for signal enhancement. Vision Res. 2000; 40:1203–1215. [PubMed: 10788636]
- Chen Y, Geisler WS, Seidemann E. Optimal decoding of correlated neural population responses in the primate visual cortex. Nat Neurosci. 2006; 9:1412–1420. [PubMed: 17057706]
- Cohen MR, Maunsell JH. Attention improves performance primarily by reducing interneuronal correlations. Nat Neurosci. 2009; 12:1594–1600. [PubMed: 19915566]
- Cook EP, Maunsell JH. Attentional modulation of behavioral performance and neuronal responses in middle temporal and ventral intraparietal areas of macaque monkey. J Neurosci. 2002; 22:1994–2004. [PubMed: 11880530]
- Desimone R, Duncan J. Neural mechanisms of selective visual attention. Annu Rev Neurosci. 1995; 18:193–222. [PubMed: 7605061]
- Douglas RJ, Martin KA. Mapping the matrix: the ways of neocortex. Neuron. 2007; 56:226–238. [PubMed: 17964242]
- Eckstein MP, Thomas JP, Palmer J, Shimozaki SS. A signal detection model predicts the effects of set size on visual search accuracy for feature, conjunction, triple conjunction, and disjunction displays. Percept Psychophys. 2000; 62:425–451. [PubMed: 10909235]
- Foley JM, Schwarz W. Spatial attention: effect of position uncertainty and number of distractor patterns on the threshold-versus-contrast function for contrast discrimination. Journal of the Optical Society of America. 1998; 15:11. Section A.
- Gardner JL, Sun P, Waggoner RA, Ueno K, Tanaka K, Cheng K. Contrast adaptation and representation in human early visual cortex. Neuron. 2005; 47:607–620. [PubMed: 16102542]
- Geisler WS, Albrecht DG. Visual cortex neurons in monkeys and cats: detection, discrimination, and identification. Vis Neurosci. 1997; 14:897–919. [PubMed: 9364727]
- Gorea A, Sagi D. Disentangling signal from noise in visual contrast discrimination. Nat Neurosci. 2001; 4:1146–1150. [PubMed: 11687818]
- Heeger DJ. Normalization of cell responses in cat striate cortex. Vis Neurosci. 1992; 9:181–197. [PubMed: 1504027]
- Heeger DJ, Huk AC, Geisler WS, Albrecht DG. Spikes versus BOLD: what does neuroimaging tell us about neuronal activity? Nat Neurosci. 2000; 3:631–633. [PubMed: 10862687]
- Heeger DJ, Ress D. What does fMRI tell us about neuronal activity? Nat Rev Neurosci. 2002; 3:142–151. [PubMed: 11836522]
- Herrmann K, Montaser-Kouhsari L, Carrasco M, Heeger DJ. When size matters: attention affects performance by contrast or response gain. Nat Neurosci. 2010; 13:1554–1559. [PubMed: 21057509]
- Jonides J, Yantis S. Uniqueness of abrupt visual onset in capturing attention. Percept Psychophys. 1988; 43:346–354. [PubMed: 3362663]
- Koch C, Ullman S. Shifts in selective visual attention: towards the underlying neural circuitry. Hum Neurobiol. 1985; 4:219–227. [PubMed: 3836989]
- Kouh M, Poggio T. A canonical neural circuit for cortical nonlinear operations. Neural Comput. 2008; 20:1427–1451. [PubMed: 18254695]
- Lee DK, Itti L, Koch C, Braun J. Attention activates winner-take-all competition among visual filters. Nat Neurosci. 1999; 2:375–381. [PubMed: 10204546]
- Lee J, Maunsell JH. The effect of attention on neuronal responses to high and low contrast stimuli. J Neurophysiol. 2010; 104:960–971. [PubMed: 20538780]
- Legge GE, Foley JM. Contrast masking in human vision. J Opt Soc Am. 1980; 70:1458–1471. [PubMed: 7463185]

Li X, Lu ZL, Tjan BS, Dosher BA, Chu W. Blood oxygenation level-dependent contrast response functions identify mechanisms of covert attention in early visual areas. Proc Natl Acad Sci U S A. 2008; 105:6202–6207. [PubMed: 18413602]

- Liu T, Pestilli F, Carrasco M. Transient attention enhances perceptual performance and FMRI response in human visual cortex. Neuron. 2005; 45:469–477. [PubMed: 15694332]
- Logothetis NK, Wandell BA. Interpreting the BOLD signal. Annu Rev Physiol. 2004; 66:735–769. [PubMed: 14977420]
- Lu ZL, Dosher BA. External noise distinguishes attention mechanisms. Vision Res. 1998; 38:1183–1198. [PubMed: 9666987]
- Luck SJ, Chelazzi L, Hillyard SA, Desimone R. Neural mechanisms of spatial selective attention in areas V1, V2, and V4 of macaque visual cortex. J Neurophysiol. 1997; 77:24–42. [PubMed: 9120566]
- Luck SJ, Vogel EK. The capacity of visual working memory for features and conjunctions. Nature. 1997; 390:279–281. [PubMed: 9384378]
- Martinez-Trujillo J, Treue S. Attentional modulation strength in cortical area MT depends on stimulus contrast. Neuron. 2002; 35:365–370. [PubMed: 12160753]
- McAdams CJ, Maunsell JH. Effects of attention on the reliability of individual neurons in monkey visual cortex. Neuron. 1999; 23:765–773. [PubMed: 10482242]
- Mitchell JF, Sundberg KA, Reynolds JH. Spatial attention decorrelates intrinsic activity fluctuations in macaque area V4. Neuron. 2009; 63:879–888. [PubMed: 19778515]
- Morrone MC, Denti V, Spinelli D. Color and luminance contrasts attract independent attention. Curr Biol. 2002; 12:1134–1137. [PubMed: 12121622]
- Mukamel R, Gelbard H, Arieli A, Hasson U, Fried I, Malach R. Coupling between neuronal firing, field potentials, and FMRI in human auditory cortex. Science. 2005; 309:951–954. [PubMed: 16081741]
- Murray SO. The effects of spatial attention in early human visual cortex are stimulus independent. J Vis. 2008; 8(2):1–11.
- Nachmias J, Sansbury RV. Letter: Grating contrast: discrimination may be better than detection. Vision Res. 1974; 14:1039–1042. [PubMed: 4432385]
- Palmer J, Moore CM. Using a filtering task to measure the spatial extent of selective attention. Vision Res. 2009; 49:1045–1064. [PubMed: 18405935]
- Palmer J, Verghese P, Pavel M. The psychophysics of visual search. Vision Res. 2000; 40:1227–1268. [PubMed: 10788638]
- Pestilli F, Ling S, Carrasco M. A population-coding model of attention's influence on contrast response: Estimating neural effects from psychophysical data. Vision Res. 2009; 49:1144–1153. [PubMed: 18926845]
- Pooresmaeili A, Poort J, Thiele A, Roelfsema PR. Separable codes for attention and luminance contrast in the primary visual cortex. J Neurosci. 2010; 30:12701–12711. [PubMed: 20861375]
- Reynolds JH, Heeger DJ. The normalization model of attention. Neuron. 2009; 61:168–185. [PubMed: 19186161]
- Reynolds JH, Pasternak T, Desimone R. Attention increases sensitivity of V4 neurons. Neuron. 2000; 26:703–714. [PubMed: 10896165]
- Sapir A, d'Avossa G, McAvoy M, Shulman GL, Corbetta M. Brain signals for spatial attention predict performance in a motion discrimination task. Proc Natl Acad Sci U S A. 2005; 102:17810–17815. [PubMed: 16306268]
- Schiller PH, Lee K. The role of the primate extrastriate area V4 in vision. Science. 1991; 251:1251–1253. [PubMed: 2006413]
- Sergent C, Ruff CC, Barbot A, Driver J, Rees G. Top-Down Modulation of Human Early Visual Cortex after Stimulus Offset Supports Successful Postcued Report. J Cogn Neurosci. 2010
- Shmuel A, Augath M, Oeltermann A, Logothetis NK. Negative functional MRI response correlates with decreases in neuronal activity in monkey visual area V1. Nat Neurosci. 2006; 9:569–577. [PubMed: 16547508]

Sirotin YB, Das A. Anticipatory haemodynamic signals in sensory cortex not predicted by local neuronal activity. Nature. 2009; 457:475–479. [PubMed: 19158795]

- Softky WR, Koch C. The highly irregular firing of cortical cells is inconsistent with temporal integration of random EPSPs. The Journal of neuroscience: the official journal of the Society for Neuroscience. 1993; 13:334–350. [PubMed: 8423479]
- Thiele A, Pooresmaeili A, Delicato LS, Herrero JL, Roelfsema PR. Additive effects of attention and stimulus contrast in primary visual cortex. Cereb Cortex. 2009; 19:2970–2981. [PubMed: 19372142]
- Vogel EK, McCollough AW, Machizawa MG. Neural measures reveal individual differences in controlling access to working memory. Nature. 2005; 438:500–503. [PubMed: 16306992]
- Williford T, Maunsell JH. Effects of spatial attention on contrast response functions in macaque area V4. J Neurophysiol. 2006; 96:40–54. [PubMed: 16772516]
- Yigit-Elliott S, Palmer J, Moore CM. Distinguishing blocking from attenuation in visual selective attention. Psychol Sci. 2011; 22:771–780. [PubMed: 21551339]
- Zenger-Landolt B, Heeger DJ. Response suppression in v1 agrees with psychophysics of surround masking. J Neurosci. 2003; 23:6884–6893. [PubMed: 12890783]

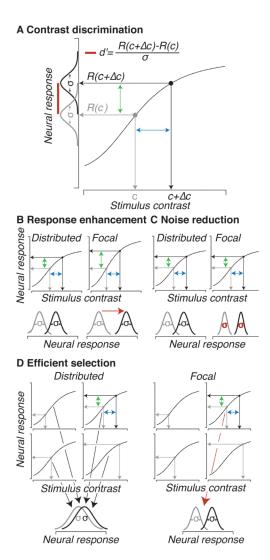


Figure 1. Signal detection theory, sensitivity enhancement, and efficient selection

A. Contrast-discrimination performance depends on the contrast-response function (black curve) and variability of response. Stimuli of contrast c and $c+\Delta c$ evoke neural responses with mean amplitude R(c) and $R(c+\Delta c)$, respectively. Across trials, presentation of the same stimuli elicit slightly different responses, depicted by the distributions on the ordinate which have standard deviation σ . Behavioral sensitivity (d', see equation) is theorized to be equal to the difference between the responses to the two stimuli (green arrow) divided by σ . **B-D.** Top panels, possible effects of attention on the contrast-response functions. Bottom panels, distributions of responses for two stimuli of different contrasts, showing how focal attention may affect stimulus discriminability. **B.** Focal attention increases the slope of the contrast-response function. **C.** Focal attention reduces the trial-to-trial variability in sensory neural responses **D.** Focal attention selects the relevant sensory signal (red arrow), so irrelevant information is ignored.

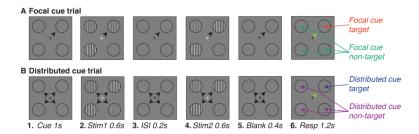


Figure 2. Behavioral protocol

Observers performed a 2-interval forced-choice contrast-discrimination task. On each trial, 4 gratings appeared in two temporal intervals (Stim1 and Stim2). Only one grating (target) had a slightly higher contrast in one of the intervals. A response cue (green arrow in Resp) appearing after stimulus offset indicated the location of the target. Observers reported the interval in which the target was higher in contrast. There were two kinds of attentional cues. **A.** Focal cue, a single arrow indicating the correct target location with 100% validity, **B.** Distributed cue, four arrows indicating that the target was equally likely to appear in any quadrant. The protocol thus defined four stimulus-cue combinations: (1) focal-cue target, red arrow; (2) focal-cue non-target, green arrows; (3) distributed-cue target, blue arrow; and (4) distributed-cue non-target, purple arrow.

Contrast-discrimination performance

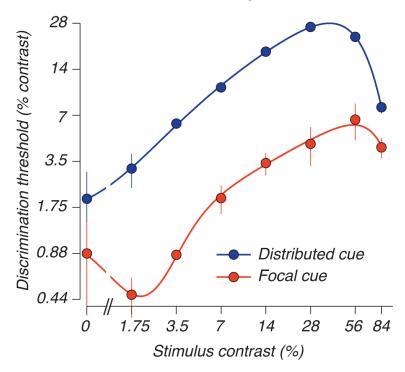


Figure 3. Contrast-discrimination performance

Contrast-discrimination thresholds for the focal-(red) and distributed-cue (blue) conditions (mean ±s.e.m. across observers; some error bars are smaller then symbols) are plotted as a function of stimulus contrast. Thick curves are best fit of Eqs. 2-4.

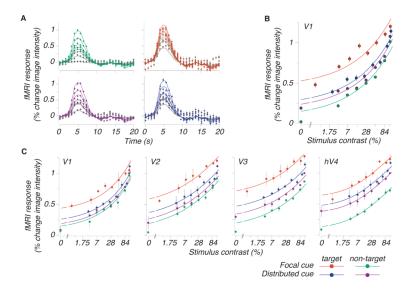


Figure 4. Testing response enhancement

A. Example fMRI response time-courses from one observer's V1. Colors indicate different stimulus-cue combinations (see legend at bottom right). Shading indicates stimulus contrast; darker colors correspond to lower contrasts. Error bars, s.e.m. over repeated trials. **B.** Example contrast-response functions, corresponding to the response time-courses in **A**. Continuous curves, best fit of Eq. 3. **C.** Contrast-response functions for each visual area and stimulus-cue combination averaged across observers (mean ± 1 s.e.m.) for each visual area. See also Supplemental Data Fig. S1.

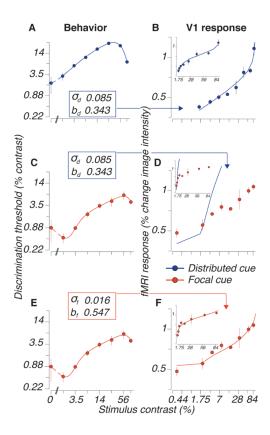


Figure 5. Testing sensory noise reduction

Left column, contrast-discrimination functions (mean \pm s.e.m. across observers) for distributed (blue, **A**) and focal (red, **C**, **E**) cues. Right column, V1 contrast-response functions (mean \pm 1 s.e.m. across observers) for distributed (blue, **B**) and focal (red, **D**, **F**) cues. Contrast-response functions are plotted on log-linear axis in the large panels and on linear-linear axis in the insets. The smooth curves are fits of the model, with parameter values listed in each box (σ , sensory noise; b, baseline response).

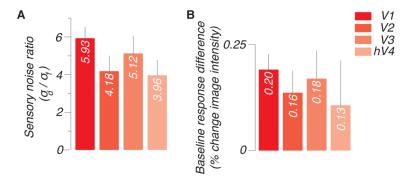


Figure 6. Noise estimates and baseline responses inferred from model fits **A.** Ratio of sensory noise on distributed cue trials (σ_d) to focal cue trials (σ_f) . **B.** Difference between baseline on focal cue trials (b_f) and distributed cue trials (b_d) . Error bars, ± 1 s.e.m. across observers.

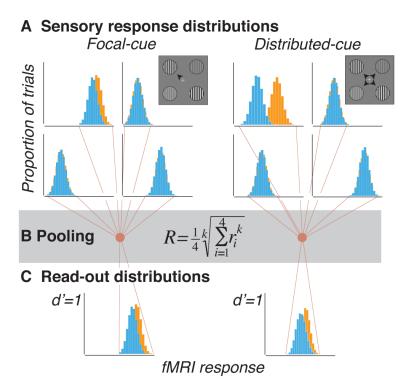


Figure 7. Selection model

A. Sensory response distributions. Each panel plots simulated response distributions, the proportion of trials on which a given response was evoked, for each stimulus location. Blue, first stimulus interval. Orange, second stimulus interval (that included the contrast increment at the target location). Left, focal-cue trials. Right, distributed-cue trials. Insets, schematics of stimulus displays and attention cues (see Fig. 2). **B.** Max-pooling operation. Sensory responses to each stimulus interval were pooled across locations according to the max-pooling rule (best-fit k=68.08). **C.** Read-out distributions after pooling across locations.

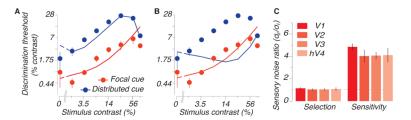
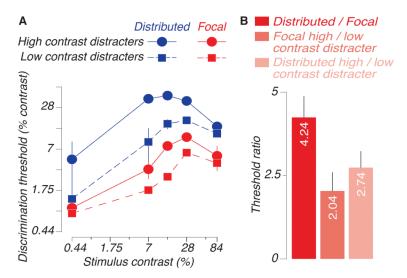


Figure 8. Comparison of sensory noise reduction and efficient selection

A. Contrast-discrimination functions (data re-plotted from Fig. 3) fit with the selection model (continuous curves), constrained by the average V1 contrast-response functions (not shown, but see Fig. 4). The two free parameters, k (max-pooling parameter, Eq. 1) and σ (sensory noise standard deviation) were constrained to be the same for the focal- and distributed-cue conditions. **B.** Contrast-discrimination functions fit with the sensitivity model, again constrained by the V1 contrast-response functions. Noise standard deviation (σ) was constrained to be 50% smaller for focal- than distributed-cue trials. **c.** Changes in noise standard deviation (σ) for focal- and distributed-cue trials estimated by the selection (left) and the sensitivity (right) models. Error bars, ± 1 s.e.m. across observers. See also Supplemental Data Fig. S2.



 $\label{eq:figure 9.} \textbf{Testing prediction of selection model that high contrast distracters disrupt behavioral performance } \\$

A. Contrast discrimination thresholds (mean ±s.e.m. across observers; some error bars are smaller then symbols) for focal-(red) and distributed-(blue) cue conditions as a function of distracter condition (see legend). **B.** Ratio of thresholds averaged across pedestal contrast. Error bars are s.e.m. across observers.