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Human DNA polymerase η is pre-aligned for dNTP binding and catalysis

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Abstract

Pre-steady state kinetic studies on Y-family DNA polymerase η (Pol η) have suggested that the polymerase undergoes a rate-limiting conformational change step before the phosphoryl transfer of the incoming nucleotide to the primer terminus. However, the nature of this rate-limiting conformational change step has been unclear, due in part to the lack of structural information on the Pol η binary complex. We present here for the first time a crystal structure of human Pol η (hPol η) in binary complex with its DNA substrate. We show that the hPol η domains move only slightly on dNTP binding and that the polymerase by and large is pre-aligned for dNTP binding and catalysis. We also show that there is no major reorientation of the DNA from a nonproductive to a productive configuration and that the active site is devoid of metals in the absence of dNTP. Together, these observations lead us to suggest that the rate-limiting conformational change step in the Pol η replication cycle likely corresponds to a rate-limiting entry of catalytic metals in the active site.

Introduction

A comparison of the binary and ternary crystal structures of replicative DNA polymerases has revealed many of the hallmarks of classical induced-fit mechanism wherein the binding of dNTP causes a large conformational change in the enzyme^{1; 2; 3}. That is, when the polymerase binds the DNA template/primer to form the binary complex, it adopts an open conformation in which the fingers domain is oriented away from the active site. On subsequent dNTP binding to form the ternary complex, the fingers domain rotates inward toward the active site to form the closed conformation^{1; 2; 3}. This serves to both orient the functional groups and helps to discriminate the correct from the incorrect incoming nucleotide, and thereby achieve error rates as low as 1 in 10⁵ nucleotide incorporation events.

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Coordinates

Coordinates and structure factors have been deposited in the PDB with an accession code 3TQ1.

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The Y-family DNA polymerases lack the fidelity of replicative polymerases but they maintain the continuity of the replication fork by replicating through distorting DNA lesions^{4; 5}. Humans have four Y-family polymerases – Polη, Polι, Polκ, and Rev1 – each with a unique DNA damage bypass profile. Polη is unique in its ability to replicate through an ultraviolet (UV)-induced *cis-syn* thymine-thymine (T-T) dimer by inserting two As opposite the two Ts of the dimer with the same efficiency and accuracy as opposite undamaged Ts⁶. And, recent genetic studies have indicated that Polη replicates through UV-induced cyclobutane pyrimidine dimers (CPDs) in a highly error-free manner in mouse and human cells⁷. Because of the involvement of Polη in promoting error-free replication through CPDs, its inactivation in humans causes the variant form of xeroderma pigmentosum^{8; 9}, a genetic disorder characterized by a greatly enhanced predisposition to sun induced skin cancers¹⁰. Polη is the only DNA polymerase demonstrated to act as a tumor suppressor in humans.

Does Polη, like the classical replicative polymerases, undergo a major conformational transition on dNTP binding? Pre-steady state kinetic studies on yeast Polη (yPolη) have suggested that the polymerase undergoes a rate-limiting conformational change step before the phosphoryl transfer of the incoming nucleotide to the primer terminus¹¹. However, the nature of this rate-limiting conformational change step has been unclear, due in part to the lack of structural information on the Polη binary complex. From the crystal structures of apo yPolη¹² and that of more recent ternary complexes of yeast and human Polη^{13; 14} the rate-limiting step does not appear to correspond to a conformational change in the fingers domain, which occupies almost the same position with respect to the palm (and the active site residues) as in the apo-enzyme structure. Alternatively, based on ternary structures of yPolη with cisplatin adducts, it has been suggested that the DNA “rotates” from a nonproductive to a productive configuration on dNTP binding¹⁵. To help resolve these various models, we present here for the first time a crystal structure of human Polη (hPolη) in binary complex with its DNA substrate. The structure shows that the fingers and other domains in hPolη are generally pre-oriented for dNTP binding and catalysis. The most significant change in the polymerase corresponds to the local reorientation of some side chains and to the binding of catalytic metals to the active site. We conclude from the structure that the rate-limiting conformational change step observed in pre-steady state kinetic studies on Polη does not correspond to any major conformational transition in the protein or the DNA but, instead, likely corresponds to the rate-limiting binding of catalytic metals to the active site.

Results

Structure determination

The catalytic core of hPolη (residues 1 to 432) was crystallized with a 9-nt/13-nt primer/template from a mix containing Mg²⁺, but lacking dNTPs. Diffraction quality crystals of the binary complex were obtained after multiple rounds of micro seeding from solutions containing 30% PEG 3350 (w/v). Like the ternary complex¹³, the binary complex belongs to space group P6(1) and has similar unit cell dimensions (a=98.9Å, b=98.9Å, c=81.2Å). The structure was solved by molecular replacement (MR) using the structure of the hPolη ternary complex of (with DNA and dATP omitted) as a search model¹³. Clear electron density was observed for the primer and template strands in the initial maps, and after subsequent refinement, no electron density was observed for any metal ion in the active site despite the inclusion of Mg²⁺ in the protein-DNA mix used for crystallization.

The refined hPolη binary complex (R_{free} of 24.8%; R_{work} of 18.6%) contains protein residues 1 to 432 (residues 154–159 of the palm domain, and residues 410–412 of the PAD domain could not be modeled due to lack of electron density), template strand nucleotides

2–13, primer strand nucleotides 2–9, and 76 waters. The structure has good stereochemistry, with 96.9% of the residues in the most favored regions of the Ramachandran plot, based on MolProbity Ramachandran analysis¹⁶.

Overall arrangement

As in the ternary complex¹³, hPol η embraces the template-primer with its palm (residues 1–13 and 90–238), fingers (residues 17–87), and thumb (residues 241–301) domains, as well as the polymerase associated domain (PAD (residues 319–432; also known as the little finger domain) unique to Y-family polymerases (Fig. 1). The palm carries the active site residues, Asp13, Asp115 and Glu116, which catalyze the nucleotidyl transfer reaction upon dNTP binding. The fingers domain lies above the templating base, while the thumb and the PAD straddle the duplex portion of the template-primer (Fig. 1). The thumb skims the minor groove surface of the substrate DNA, while the PAD is anchored in the major groove. The majority of DNA interactions are mediated by the PAD, wherein the main chain amides on the “outer” β -strands of the PAD β -sheet make a series of hydrogen bonds with the template and primer strands.

Conformational changes

To assess the conformational changes in hPol η on dNTP binding, we superimposed the binary and ternary complexes via their palm domains. The superposition reveals a small (~ 1.5 Å) outward movement of the fingers domain on dNTP binding (Fig. 2), which slightly enlarges the active site to bind dNTP more comfortably. That is, without this slight outward movement, the Ile48 main chain carbonyl and the Ala49 side chain would partially overlap with the sugar of dNTP. Also, Arg61 in the binary complex extends into the space that would normally be occupied by the base of incoming dNTP and makes van der Waals contacts with the templating base (Fig. 2A). By contrast, Arg61 adopts three different rotamers in the ternary complex¹³, making contacts with the base 5' to the templating base as well as to the incoming nucleotide (Fig. 2B). The PAD is the only other domain that shows significant movement on dNTP binding (~ 2.3 Å) (Fig. 3). However, the contacts that the PAD makes with the template and primer strands are essentially the same as in the ternary complex (Fig. 4). Curiously, the C α of K376 in the loop between the residues 372 to 380 in the PAD moves outward by ~ 4 Å as compared to the ternary complex (Fig. 3). This loop contains residues such as L378 that are involved in direct interactions with the DNA in the ternary complex (Fig. 4). By contrast, L378 is disordered in the binary complex in accordance with the local rearrangement of the loop in the absence of dNTP (Fig. 3).

In the hPol η ternary complex¹³, incoming dNTP is bound with its triphosphate moiety interlaced between the fingers and palm domains, making hydrogen bonds with Tyr52 and Arg55 from the fingers domain and Lys231 from the palm domain (Fig. 2B). In the binary complex, Tyr52 and Arg55 are in almost the same position as in the ternary complex and Lys231 requires only a change in rotamer to interact with dNTP (Fig. 2A). The catalytic residues Asp13, Asp115, and Glu116 also require only a change in rotamer to coordinate to the two Mg²⁺ (Mg²⁺A and Mg²⁺B) observed in the active site of the ternary complex (Fig. 2). Significantly, Glu116 is buttressed in the binary complex via hydrogen bonds with a conserved Lys224.

There is no indication of metals in the active site in the binary complex (despite the presence of 1 mM MgCl₂ in the crystallization mix) (Figs. 1 & 2). In the hPol η ternary complex¹³, Mg²⁺B is coordinated by the unesterified oxygens of dNTP α -, β - and γ phosphates, the carboxylates of Asp13 and Asp115, and the main chain carbonyl of Met14, while Mg²⁺A is coordinated by the α -phosphate, the carboxylates of Asp13, Asp 115, and Glu116 and the 3'OH at the primer terminus (Fig. 2B). Thus, even though the three catalytic residues are

positioned similarly to those in the ternary complex (Fig. 2A), they are insufficient by themselves to bind the catalytic metals in the absence of dNTP.

The duplex portion of the DNA has a similar B-DNA-like conformation in both the binary and ternary complexes (Figs. 1 & 5). The average helical twist and rise values in the binary complex are $\sim 31.5^\circ$ and 3.31\AA , respectively, as compared to $\sim 31.2^\circ$ and 3.34\AA in the ternary complex. The most significant local conformational change in the DNA corresponds to an $\sim 2.4\text{\AA}$ shift in the primer 3'OH - towards the "empty" dNTP binding site in the binary complex (Fig. 5). The base 5' to the templating T also changes in conformation. Thus, like the polymerase, changes in the DNA are limited to local adjustments rather than any gross re-orientation of the DNA. The shift in the primer terminus on dNTP (and metal) binding appears to be important in aligning the 3' OH for its in-line nucleophilic attack on the α -phosphate of incoming dNTP.

Discussion

Despite differences in sequence, structure, and function, all DNA polymerases follow essentially the same reaction pathway^{1; 2; 3; 4; 5; 17; 18}. That is, preceding phosphoryl transfer, DNA polymerases first bind the template-primer to form the preinsertion polymerase-DNA binary complex, and then bind incoming dNTP to form the preinsertion polymerase-DNA-dNTP ternary complex. This is then followed by a step leading to the formation of a phosphodiester bond between the α -phosphate of dNTP and the 3'OH at the primer terminus. Together, these transitions preceding phosphoryl transfer serve as kinetic checkpoints for the rejection of incorrect nucleotides early in the reaction pathway². From pre-steady state kinetic studies it has been inferred that classical polymerases as well as Pol η undergo a rate-limiting conformational change step before the phosphoryl transfer reaction^{2; 3; 11}. In the case of classical polymerases, this rate-limiting step was considered to be the large "open-to-closed" conformational transition in the fingers domain observed in crystal structures, which brings the domain closer to the active site residues^{1; 2; 3}. However, from recent kinetic studies, the motion of the fingers appears to be relatively fast^{19; 20; 21; 22; 23}, and it has been suggested that the basis of the rate limiting step in the catalytic cycles of these classical polymerases may correspond to a change in metal ion occupancy in the active site^{24; 25; 26}. A similar mechanism may operate in Pol η . From a comparison of the binary and ternary hPol η structures presented here, the fingers domain occupies almost the same position with respect to the palm domain (and the active site residues) in the presence or absence of dNTP. The residues that normally interact with the triphosphate moiety of dNTP (Tyr52, Arg55 and Lys231) and the active site residues Asp13, Asp115, and Glu116) are similarly disposed in the binary and ternary complexes, suggesting that Pol η is, for the most part, pre-configured for dNTP binding and catalysis upon binding the template-primer. A notable difference between the structures is the absence of catalytic metals in the binary complex. Analogous to the more classical polymerases, we suggest that the rate-limiting conformational change step in Pol η may correspond to the rate-limiting entry of catalytic metals, $\text{Mg}^{2+\text{A}}$ and $\text{Mg}^{2+\text{B}}$, in the active site.

The mechanism we propose here for Pol η differs from that suggested previously¹⁵. Based on the observation of more than one DNA orientation in the ternary structures of yPol η with cisplatin adducts, it has been suggested, for example, that the DNA "rotates" from a nonproductive to a productive configuration on dNTP binding¹⁵. However, there is no indication from our comparison of the hPol η binary and ternary structures that the DNA binds in more than one configuration, with the PAD maintaining a tight and almost identical grip on the DNA in both complexes. Interestingly, when Dpo4, an archaeal homolog of Pol κ , binds 8-oxoG modified template-primer, the PAD slides or translocates by one nucleotide step in the presence of dNTP, while the thumb domain-DNA contacts remain

fixed²⁷. By contrast, the hPol η PAD maintains the same register with respect to template-primer and other domains in the absence or presence of dNTP. Overall, the hPol η structures are more reminiscent of binary and ternary of complexes human Polt²⁸, wherein the catalytic pocket is essentially preformed and the binding of dNTP imposes a local rather than any large conformational change in the inter-domain positions.

In summary, we show here that the hPol η domains move only slightly on dNTP binding and that the polymerase by and large is pre-aligned for dNTP binding and catalysis. We also show that there is no major reorientation of the DNA from a nonproductive to a productive configuration, as previously suggested¹⁵. Instead, as with the classical polymerases, we suggest that the rate-limiting conformational change step in the Pol η replication cycle likely corresponds to a rate-limiting entry of catalytic metals in the active site. A similar conclusion was reached for Dpo4 based on structures with matched or mismatched incoming dNTP²⁹, suggesting that metal ion coordination may be the key rate-limiting step for most DNA polymerases.

Materials and Methods

Crystallization

The catalytic core of hPol η (residues 1–432) harboring an N-terminal hexa-histidine (6XHis) tag and a C406M mutation was overexpressed in *E.coli* and purified as previously described by Biertumpfel et al¹³. Briefly, the 6XHis tag was removed via overnight incubation with PreScission protease and the protein subsequently purified via ion-exchange (MonoS) and size-exclusion (Superdex75) chromatography, prior to concentration of the protein for crystallization experiments. A 13-nt template strand (5'-TCATTATGACGCT-3') was annealed to a 9-nt primer strand (5' – TAGCGTCAT – 3') to yield the 9-nt/13-nt primer/template. The binary complex was prepared by mixing hPol η with the primer/template in a 1:1.1 molar ratio. 1 mM MgCl₂ was added to the mixture and the complex incubated for at least an hour and then concentrated to ~6 mg/ml. Initial crystallization trials performed using various commercially available crystallization screens. Thin needle like crystals were obtained in 0.1M Bis-Tris (pH 5.5) and 20%–35% PEG 3350 (w/v) by using the sitting-drop vapor diffusion method. Several rounds of micro-seeding were required to produce good quality crystals. For data collection, the crystals were cryoprotected by soaks for 5 min in mother liquor solutions containing 5%, 10%, and 15% glycerol (v/v), respectively, and then flash frozen in liquid nitrogen. X-ray diffraction data were collected on beamline X25 at Brookhaven National Laboratory (BNL). The crystals diffract to 2.55 Å resolution with synchrotron radiation and belong to space group P6(1) with unit cell dimensions a = 98.9 Å, b = 98.9 Å and c = 81.2 Å. Matthew's coefficient calculation indicates one protein-DNA complex in the asymmetric unit. The ternary complex crystals also belong space group P6(1) and have similar unit cell dimensions as the binary complex¹³.

Structure determination and refinement

The structure of hPol η binary complex was solved by molecular replacement (MR), using the hPol η ternary structure (PDB Id. 3MR2)¹³, with the DNA, incoming nucleotide, and water molecules omitted, as the starting model. The program PHASER gave a unique MR solution³⁰. The first round of refinement and map calculation was carried out without the DNA using PHENIX³¹. The electron density maps (2Fo-Fc and Fo-Fc) showed unambiguous densities for the DNA, which was then built into the map using COOT³². Iterative rounds of refinement and water picking were performed with PHENIX and REFMAC³³, and model building with COOT. The final model has good stereochemistry, as

shown by Molprobit with 96.9% of the residues in the most favored regions of the Ramachandran plot¹⁶. Figures were prepared using PyMol³⁴

- First structure of tumor suppressor human Pol η in binary complex with DNA
- Surprisingly, the polymerase is pre-aligned for dNTP binding and catalysis
- No reorientation of the DNA from a nonproductive to a productive configuration
- The active site is devoid of metals in the absence of dNTP
- Replication cycle likely limited by rate-limiting entry of metals in the active site

Acknowledgments

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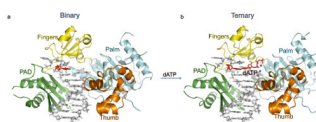


Fig. 1. Human Polη–DNA binary and ternary complexes. (A) structure of hPolη in binary complex with undamaged DNA. The palm, fingers, thumb domains and the PAD are shown in cyan, yellow, orange and green, respectively. The DNA is in grey and the templating 3'T is in red. (B) structure of hPolη in ternary complex with undamaged DNA and incoming dATP. The templating 3'T and incoming dATP are shown in red. The putative Mg²⁺ ions are shown in dark blue.

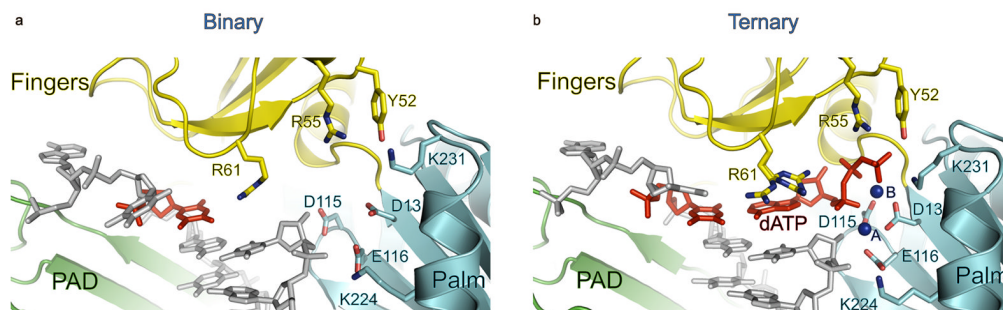


Fig. 2.

Close-up views of the active site regions of human Polη-DNA binary and ternary complexes. (A) the active site region in the binary complex, and (B) the active site region in the ternary complex. The palm and fingers domains and the PAD are shown in cyan, yellow and green, respectively. The DNA is colored grey, and the putative Mg²⁺ ions (A and B) are dark blue. The undamaged templating T is shown in red in both of the structures and incoming dATP is in red for the ternary structure. Highlighted and labeled are the catalytic residues (D13, D115 and E116), and residues that interact with the triphosphate moiety of incoming dATP (Y52, R55 and K231). R61 has one conformation in the binary complex where it interacts with the templating T, in contrast to multiple conformations in the ternary complex. The catalytic residues are configured slightly different when compared to the ternary complex and do not bind Mg²⁺ in the absence of dNTP. The residues are colored to match the color of their respective domains.

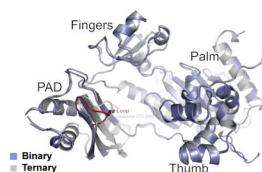


Fig. 3. Comparison of human Pol η in binary and ternary complexes. The two complexes are superimposed based on the hPol η palm domain. hPol η in the binary and ternary complexes is shown in dark blue and gray, respectively. The superposition reveals subtle conformational changes in the fingers and the PAD domain. The loop region encompassing residues 372 to 380 in the PAD domain of the binary complex is shown in red.

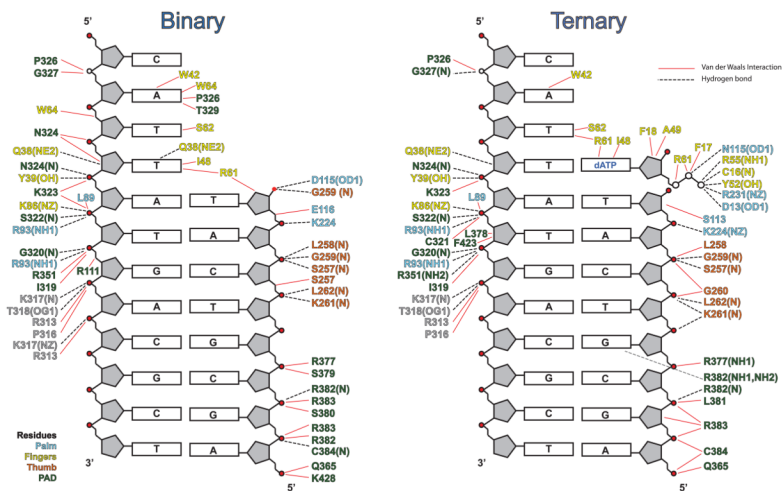


Fig. 4. A schematic comparison of hydrogen bonds and van der Waals interactions between human Pol η and DNA in the binary and ternary complexes. Hydrogen bonds are indicated by broken black lines (determined by interaction distance $< 3.2\text{\AA}$) and van der Waals interactions are indicated by continuous red lines (determined by interaction distance $< 4.2\text{\AA}$). The residues are colored to match the domain they belong to: cyan for the palm domain, yellow for the fingers domain, orange for the thumb domain, and green for the PAD.

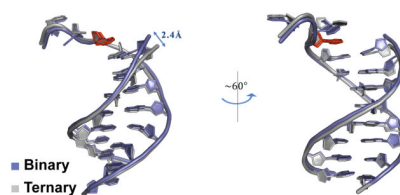


Fig. 5. Comparison of the DNA template and primer strands in the human Pol η binary and ternary complexes. The two complexes are again superimposed based on the hPol η palm domain. The DNA is shown in blue and gray in the binary and ternary complexes, respectively. The templating base is shown in red in both binary and ternary template strands in both the complexes. The view on the right is obtained by $\sim 60^\circ$ rotation along the DNA axis.

Table 1

Data collection and refinement statistics

Data collection	
Space group	P6 ₁
Cell dimensions	
<i>a</i> , <i>b</i> , <i>c</i> (Å)	98.9, 98.9, 81.2
α , β , γ (°)	90.0, 90.0, 120.0
Resolution (Å) ^a	42.27-2.55 (2.64-2.55)
R _{sym} (%) ^b	10.1 (44.1)
<i>I</i> /σ(<i>I</i>)	14.7 (2.6)
Completeness (%)	99.8 (99.1)
Redundancy	4.4 (3.9)
Refinement	
Resolution (Å)	42.27-2.56 (2.62-2.56)
Number of reflections	13,569
<i>R</i> _{work} / <i>R</i> _{free} ^{c, d}	0.186/0.248
Number of atoms	
Protein	3191
DNA	389
Water	76
B-factors (Å ²)	
Protein	58.8
DNA	74.7
Water	35.4
R.m.s deviations	
Bond lengths (Å)	0.007
Bond angles (°)	1.034
Ramachandran Plot Quality	
Most favored (%)	96.9%
Generously allowed	2.9%
Disallowed (%)	0.2%

^aValues for outermost shells are given in parentheses

^b $R_{\text{sym}} = \sum |I - \langle I \rangle| / \sum I$, where *I* is the integrated intensity of a given reflection.

^c $R_{\text{work}} = \sum ||F_{\text{observed}}| - |F_{\text{calculated}}|| / \sum |F_{\text{observed}}|$

^dFor *R*_{free} calculations 7.5% of the data excluded from refinement were used.