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## Notch pathway regulation of neural crest cell development *in vivo*

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### Abstract

The function of Notch signaling in murine neural crest-derived cell lineages *in vivo* was examined. Conditional gain (*Wnt1Cre;Rosa<sup>Notch</sup>*) or loss (*Wnt1Cre;RBP-J<sup>ff</sup>*) of Notch signaling in neural crest cells (NCCs) *in vivo* results in craniofacial, cardiac, and trunk abnormalities. Severe craniofacial malformations are apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* embryos, while less severe skull abnormalities are evident in *Wnt1Cre;RBP-J<sup>ff</sup>* mice. Deficient cardiac neural crest migration, resulting in cardiac outflow tract malformations, occurs with increased or decreased Notch signaling in NCCs. Smooth muscle cell differentiation also is impaired in pharyngeal NCC derivatives in both *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* embryos. Neurogenesis is absent and gliogenesis is increased in the dorsal root ganglia of *Wnt1Cre;Rosa<sup>Notch</sup>* embryos, while neurogenesis is increased and gliogenesis is decreased in *Wnt1Cre;RBP-J<sup>ff</sup>* embryos. Together, these studies demonstrate essential cell-autonomous roles for appropriate levels of Notch signaling during NCC migration, proliferation, and differentiation with critical implications in craniofacial, cardiac, and neurogenic development and disease.

### Keywords

Notch signaling; neural crest; cardiovascular malformation; migration; differentiation; neurocristopathy

### Introduction

Neural crest cells (NCCs) are multi-potent progenitor cells that form by inductive interactions at the border of the neural plate and epidermal ectoderm (Knecht and Bronner-Fraser, 2002). After undergoing an epithelial to mesenchymal transition (EMT), NCCs delaminate from the dorsal neural tube and migrate along distinct paths laterally and ventrally in the embryo (Farlie et al., 2004). Cranial NCCs populate the pharyngeal arches and differentiate into bone, cartilage, cranial ganglia, and connective tissue of the head and face (Chai et al., 2000; Trainor, 2005). Cardiac NCCs contribute to the smooth muscle layer of pharyngeal arch arteries (PAA) 3, 4, and 6 and also to the cardiac outflow tract (OFT), where they are required for aorticopulmonary septation (Bergwerff et al., 1999; Waldo et al., 1998; Hutson and Kirby, 2007). NCCs also contribute to the thymus, thyroid, and parathyroid glands of the pharynx, as well as the enteric nervous system of the developing gut and dorsal root ganglia (Hutson and Kirby, 2007; Marmigere and Ernfors, 2007). Dysregulation of NCC development occurs in human neurocristopathy syndromes, including DiGeorge/22q11 deletion syndrome, characterized by cardiac, craniofacial, neurogenic, and thymic malformations, as well as Hirschprung's disease, a deficiency in enteric nervous

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system development (Lindsay et al., 2001; Southard-Smith et al., 1998). The molecular mechanisms of NCC determination, migration, proliferation, and differentiation are not fully understood, but several signaling pathways including Wnt, BMP, Sox, Fgf, Shh, and Notch signaling, have been implicated in their development (Heeg-Truesdell and LaBonne, 2004; Sauka-Spengler and Bronner-Fraser, 2008).

The canonical Notch signaling pathway consists of five ligands (Delta ligand 1, 3, 4, Jagged1, 2) that interact with four Notch receptors (Notch1-4) (Gridley, 2003). Upon Notch ligand-receptor binding between neighboring cells, the Notch receptor is cleaved, and the resulting Notch intracellular domain (NICD) translocates to the nucleus. NICD binds to the recombination signal binding protein for immunoglobulin kappa J (RBP-J, also known as Rbpsuh) transcription factor for the activation of canonical Notch pathway responsive genes (Kopan and Ilagan, 2009; Kovall, 2008). NICD generated from any of the four Notch receptors can bind to RBP-J, and loss of RBP-J inhibits canonical Notch signaling from all four Notch receptors in mice (Kato et al., 1996; Kato et al., 1997). *In vivo* studies of gain or loss of Notch pathway activity have demonstrated a wide variety of developmental functions in many tissues and organ systems. Target genes of activated Notch signaling include critical regulators of cell migration, proliferation, and differentiation (Bolos et al., 2007; Iso et al., 2003).

Specific inhibition of the Notch signaling pathway in NCCs has demonstrated requirements for Notch signaling in vascular smooth muscle differentiation, gliogenesis, and enteric nerve cell maintenance, but the effects of increased Notch signaling in NCCs *in vivo* have not been reported previously (High et al., 2007; Okamura and Saga, 2008; Taylor et al., 2007). Here we report a comprehensive analysis of the effects of increased or decreased Notch pathway activity on NCC migration, proliferation, and differentiation *in vivo*. Mice were generated with over-expression (*Rosa<sup>Notch</sup>*) or inhibition (*RBP-J<sup>fl/fl</sup>*) of Notch signaling specifically in NCCs with the use of *Wnt1Cre* (Danielian et al., 1998; Han et al., 2002; Murtaugh et al., 2003). These studies demonstrate Notch pathway regulation of NCC migration, proliferation, and differentiation in multiple derivative cell lineages. In addition, manipulation of Notch signaling *in vivo* results in cranial, cardiac, and enteric NCC deficiency with characteristic congenital malformations. Therefore, precise levels of Notch signaling are necessary for regulation of NCC-derived cell lineages in multiple tissues and organ systems.

## Results

### Mice with gain or loss of Notch signaling in neural crest cell lineages do not survive after birth

The Notch signaling pathway is active in NCCs, but the precise roles of Notch signaling in murine NCC migration, proliferation, and differentiation have not been fully defined (Tsarovina et al., 2008; Wakamatsu et al., 2000; Williams et al., 1995; High et al., 2007). *Wnt1Cre* transgenic mice were utilized to selectively manipulate Notch signaling in NCCs and their derivatives. In these mice, Cre recombinase is expressed in pre-migratory NCCs starting at embryonic day (E)8.0-8.5 in the neural tube, and recombination is apparent in cranial, cardiac, and trunk NC derivatives (Chai et al., 2000; Danielian et al., 1998; Jiang et al., 2000). Notch pathway gain-of-function was achieved with Cre-dependent NICD (Notch1 intracellular domain) expression using the *Rosa<sup>Notch</sup>* line (Murtaugh et al., 2003). *RBP-J<sup>fl/fl</sup>* mice were used for loss of canonical Notch signaling from all of the Notch receptors, and specific loss of Notch1 was examined using *Notch1<sup>fl/fl</sup>* mice (Cheng et al., 2007; Han et al., 2002). *Wnt1Cre;Rosa<sup>Notch</sup>* double transgenic embryos were obtained in expected Mendelian ratios through E14.5. However, resorbed embryos were apparent by E14.5, and no viable *Wnt1Cre;Rosa<sup>Notch</sup>* embryos were recovered at E18.5 (Table 1). *Wnt1Cre;RBP-J<sup>fl/fl</sup>* and

*Wnt1Cre;Notch1<sup>fl/fl</sup>* mice were obtained in predicted Mendelian ratios at E18.5, but no live pups with these genotypes were recovered at post-natal day (PND) 1 (Table 1). Therefore increased or decreased Notch pathway activity in NCCs leads to developmental anomalies incompatible with life after birth.

### Increased Notch signaling in neural crest cells results in severe craniofacial malformations, whereas loss of Notch signaling leads to reduced cranial skeletal elements

Cranial NCCs are required for craniofacial morphogenesis and contribute to the frontonasal process, palate, jaw, and skull bones, as well as cranial ganglia (Farlie et al., 2004). Craniofacial development was examined in mice with Notch pathway activation or inactivation in NCCs. *Wnt1Cre;Rosa<sup>Notch</sup>* embryos exhibit neural tube closure defects at E10.5, along with exencephaly and a reduced jaw, resulting in micrognathia, as compared to controls at E12.5-14.5 (Fig. 1A-D; Fig. S1A-B, D-E, G-H; data not shown). Additional defects in *Wnt1Cre;Rosa<sup>Notch</sup>* embryos include an absent skull vault, reduced frontonasal bones, and shortened nasal cartilage (Fig. 1C-F; data not shown). A severe cleft face and palate also is evident with deficient fusion of the facial midline occurring in 100% of *Wnt1Cre;Rosa<sup>Notch</sup>* embryos (Fig. S1E, H; data not shown). Furthermore, *Wnt1Cre;Rosa<sup>Notch</sup>* embryos exhibit reduced expression of NCC migration marker *Crabp1*, as well as craniofacial differentiation markers *Twist1*, *Sox9*, and *Msx2*, in the frontonasal region and pharyngeal arches (PA) 1 and 2, as determined by whole-mount in situ hybridization compared to controls (Fig. S2A-F, arrows; data not shown). The severe craniofacial defects and reduction of NCC marker expression observed in *Wnt1Cre;Rosa<sup>Notch</sup>* embryos are indicative of reduced cranial NCC contributions to head structures. Therefore, ectopic expression of NICD in the cranial NC results in severe craniofacial abnormalities in NC-derived structures.

In contrast to *Wnt1Cre;Rosa<sup>Notch</sup>* embryos, the craniofacial structures in *Wnt1Cre;RBP-<sup>J</sup>fl/fl* mice with Notch pathway loss of function appear relatively normal throughout early embryogenesis. No defects in head or face development are apparent at E14.5 (Fig. S1C, F, I). In addition, examination of embryos at E18.5 reveals that all the major cranial and jaw bones are present (Fig. 1G-J). However, there is defective bone formation in the developing craniofacial elements in *Wnt1Cre;RBP-<sup>J</sup>fl/fl* animals at E18.5. Alcian blue (cartilage) and Alizarin red (bone) stained skeletal preparations of E18.5 *Wnt1Cre;RBP-<sup>J</sup>fl/fl* heads illustrate craniofacial malformations consisting of widened cranial frontal sutures and reduced frontal bone formation in *Wnt1Cre;RBP-<sup>J</sup>fl/fl* fetuses, relative to controls (Fig. 1G-H', arrows). However coronal suture closure is relatively unaffected (Fig. 1I-J, asterisks). In addition, the *Wnt1Cre;RBP-<sup>J</sup>fl/fl* mandible is reduced in length (10% reduction; n=4; \* P ≤ 0.05) and area (20% reduction; \* P ≤ 0.01), with accompanying reduction of nasal cartilage (Fig. 1I-J, black bars). In contrast, no craniofacial abnormalities were noted in *Wnt1Cre;Notch1<sup>fl/fl</sup>* mice at E18.5, suggesting that multiple Notch ligands are active in these tissues. Together these results demonstrate that loss of Notch signaling in the cranial NC does not affect initial NCC contributions to the head or morphogenesis of craniofacial structures prior to E14.5. However, loss of Notch signaling with deletion of *RBP-J* in NCC-derived skeletal elements does result in defective frontal cranial suture closure and reduced bone formation in craniofacial skeletal structures apparent at E18.5, consistent with known roles for Notch signaling in cartilage lineage development and osteogenesis (Loomes et al., 2007; Mead and Yutzey, 2009).

### Cardiac outflow tract malformations result from increased or decreased Notch signaling in cardiac neural crest

The process of conotruncal septation results in the division of the cardiac OFT into the aorta and pulmonary trunk (Kirby and Waldo, 1995; Le Lievre and Le Douarin, 1975). Cardiac

OFT septation and conotruncal alignment were analyzed in mice with increased or decreased Notch signaling in NCC derivatives. As early as E12.5, defective conotruncal development is evident in mice with either gain or loss of Notch pathway function in the cardiac NC. During normal development, the OFT is septated with the pulmonary trunk aligned with the right ventricle and aortic root continuous with the left ventricle. Whole mount and sections of E12.5 and E14.5 *Wnt1Cre;Rosa<sup>Notch</sup>* hearts illustrate a failure of the OFT to septate. The lack of septation results in a single OFT, known as persistent truncus arteriosus (PTA), with an accompanying ventricular septal defect (VSD), as compared to normal septation observed in the controls (**Fig. 2Aa-b; Ba-c**). In control embryos at E12.5 and E14.5, two endocardial cushions are visible in a single sagittal section. In contrast, 3-4 individual endocardial cushions are visible in comparable *Wnt1Cre;Rosa<sup>Notch</sup>* sagittal sections of E12.5 and E14.5 embryos, indicative of abnormal OFT morphogenesis (**Fig. 2Ad, e; Ba-b, asterisks**). Therefore, increased Notch signaling in the cardiac NC leads to OFT malformations consistent with NC deficiency.

Cardiac OFT development was additionally investigated in mice with NCC Notch loss-of-function. While the *Wnt1Cre;RBP-J<sup>ff</sup>* OFT septates to a greater extent than is observed in *Wnt1Cre;Rosa<sup>Notch</sup>* embryos, OFT malalignment is apparent beginning at E12.5 (**Fig. 2Ac, f**). A spectrum of OFT defects is observed in *Wnt1Cre;RBP-J<sup>ff</sup>* embryos, including double outlet right ventricle (DORV) with pulmonary stenosis, in which the great vessels are transposed and align over the right ventricle (**Fig. 2Ac, f; Fig. 2Cc, f**). *Wnt1Cre;Notch1<sup>fl/fl</sup>* mice with specific loss of Notch1 in the NC exhibit less severe OFT malformations, including overriding aorta (OA) in which the aorta is aligned over the interventricular septum resulting in a membranous VSD, apparent as early as E14.5 (**Fig. 2Cb,e, arrows**, data not shown). These conotruncal malalignment and ventricular septal defects could be due to indirect effects of NC-deficiency on secondary heart field derivatives (Hutson and Kirby, 2007). Thus NC-related cardiac OFT defects occur in 100% of the mice with increased or decreased Notch signaling in the NC; however the severity of the malformations is dependent on the specific signaling alteration (**Fig. 2D**).

NCC contributions to the cardiac OFT were examined in mice with gain or loss of Notch signaling in NCCs. To monitor the presence of NCC derivatives in the cardiac OFT, *ROSA26R (R26R)* Cre-dependent LacZ reporter mice were bred into *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* backgrounds (Soriano, 1999). In both *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* and *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* embryos at E14.5, there is a paucity of NCCs present in the heart, apparent as a significant decrease of  $\beta$ -Gal positive cells in the OFT and semilunar valves, as compared to *Wnt1Cre;R26R* controls (**Fig. 3A-F**). This deficiency of NC-derived cells is consistent with cardiac OFT malformations observed in mice with increased or decreased Notch signaling in the NC.

### Neural crest cell migration is aberrant with Notch pathway gain- or loss-of-function

Decreased NCC-derivatives are apparent in the cardiac OFT structures at E14.5 in mice with increased or decreased Notch signaling in NCCs (**Fig. 3**). Embryos were examined at E10.5 in order to determine if alterations in Notch signaling levels affect NCC migration into the pharyngeal arches (PAs) and more distal cardiac and enteric structures. Cardiac NCCs migrate towards PA 3 and 4 in two distinct streams that are apparent in E10.5 *Wnt1Cre;R26R* lineage reporter control embryos (**Fig. 4A, D**). However, in *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* embryos, NCC-derivatives are present in one large solid region of  $\beta$ -Gal positive NCCs around the PAs (**Fig. 4B, E**). In addition, there is a severe deficiency of NCCs present in the OFT, and the distance of NCC migration into the OFT is decreased by 48% in *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* embryos, as compared to controls (**Fig. 4G-H, Fig. S3A**). In *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* embryos, NCCs are present in two distinct streams of  $\beta$ -Gal positive cells as they migrate into PAs 3-4, similar to control embryos (**Fig. 4A, C, black**

arrows). However, the NCC migration distance into the OFT is significantly decreased, as compared to controls (**Fig. 4I, G**, white bars, **Fig. S3A**), and later contribution to OFT structures is obviously reduced (**Fig. 3C, F**). Therefore, *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* embryos have aberrant migration paths of NCCs, as well as decreased contributions to the cardiac OFT. In contrast, *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* embryos have apparently normal NCC migration trajectories, but also have reduced NCC contribution in the cardiac OFT. Therefore, increased or decreased Notch signaling in NCCs leads to deficient NC contribution to the developing cardiac OFT.

NCCs arising at the level of somites 1-7 contribute to the enteric nervous system (ENS) consisting of neurons and glia that innervate the gastrointestinal tract (Farlie et al., 2004). As compared to control embryos, the midgut ENS is almost completely lacking NCCs in *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* embryos (**Fig. 4J-O**, **Fig. S3B**). Likewise, NCC migration into the midgut ENS also is significantly reduced in *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* embryos, as measured by  $\beta$ -Gal positive NCCs (**Fig. 4L,O**, **Fig. S3B**). Therefore, gain or loss of Notch signaling in the NC results in NCC-deficiency in the ENS, similar to that observed in the cardiac OFT.

### Notch signaling in NCCs regulates pharyngeal arch artery development and smooth muscle cell differentiation

Pharyngeal arch artery (PAA) malformations are characteristic of NC deficiency in mouse models and human syndromes (Lindsay et al., 2001; Porras and Brown, 2008; Wurdak et al., 2005). Cardiac NCCs invest PAAs 3, 4, and 6, providing growth support via differentiation into PAA tunica media smooth muscle cells (Kirby and Waldo, 1995). To visualize and evaluate the growth and remodeling of PAAs, intracardial ink injections were performed in E10.5 embryos (McCright et al., 2002). PAA malformations observed in embryos with increased or decreased Notch signaling in the NC include hyperplastic 3<sup>rd</sup>, hypoplastic and non-patent 4<sup>th</sup>, as well as hypoplastic 6<sup>th</sup> PAA (**Fig. 5Aa-c**). PAA defects were noted in 100% of the embryos with either gain or loss of Notch signaling in NCC (**Fig. 5Ad**). Most, but not all, embryos had more than one PAA anomaly, with hypoplasia or aplasia of the 6<sup>th</sup> PAA being the most frequent, but the combinations of malformations observed were variable. Taken together, these studies demonstrate that canonical Notch signaling in NCCs is required for normal PAA development. In addition, increased Notch signaling affects the development of these NC-derived structures, resulting in PAA anomalies similar to those observed with decreased Notch signaling.

Cardiac NCCs differentiate into smooth muscle cells that surround the developing PAAs to provide stability and support for proper blood flow in the vessels (Bergwerff et al., 1999; High et al., 2007; Jiang et al., 2000). Therefore, we examined PAA smooth muscle cell differentiation by immunostaining for  $\alpha$  smooth muscle actin (SMA) in embryos with gain or loss of Notch signaling in NCCs. In E10.5 control embryos, NCC derivatives differentiate into smooth muscle cells surrounding the PAAs apparent in a multi-cell layer of concentric and organized SMA expression (**Fig. 5Ba**, arrows). However, in both *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* embryos, SMA staining is observed only partially around PA4 (**Fig. 5Bb-c**, arrows). Deficient smooth muscle cell differentiation is also apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* PAAs in E12.5 sections, as compared to controls (data not shown). Co-staining for  $\beta$ -Gal and SMA in *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* and *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* embryos demonstrates that  $\beta$ -Gal-positive NCCs are present in the PAA, but these cells do not express SMA (**Fig. 5Bd-f**). In addition, not all of the smooth muscle cells of the PAA are NC-derived, and the cells that do not express  $\beta$ -Gal in *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* and *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* embryos do express SMA. Therefore, NCCs with increased or decreased Notch signaling, that have properly migrated to the PAAs, do not differentiate into smooth muscle cells. These results demonstrate a cell-autonomous role for Notch signaling in controlling NCC-specific smooth muscle



differentiation in the PAA. Importantly, too much or too little Notch signaling in NCCs inhibits smooth muscle cell differentiation *in vivo*.

### Notch signaling promotes neural crest cell proliferation

NCCs migrate to discrete locations in the embryo where they proliferate prior to NCC differentiation (Murphy et al., 1994; Nagy and Goldstein, 2006). To investigate if altered Notch pathway activation affects NCC proliferation, immunohistochemistry for BrdU incorporation was performed on *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* and *Wnt1Cre;R26R;RBP-<sup>J</sup>/f* embryos at E10.5. At this stage, the dorsal root ganglia (DRG), derived from trunk NC, are apparent lateral to the neural tube (**Fig. 6A-C**). *Wnt1Cre;Rosa<sup>Notch</sup>* mice have a 2-fold increase in proliferation of cells in the DRG, while *Wnt1Cre;RBP-<sup>J</sup>/f* DRG have a significant decrease in proliferation, as determined by BrdU staining compared to control (**Fig. 6A-G**, arrows). Cell death also was investigated as a potential contributing factor to the NCC deficiency observed in *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-<sup>J</sup>/f* embryos. Apoptosis, as determined by anti-cleaved caspase 3 antibody reactivity, revealed no change in cell death with either gain or loss of Notch signaling in the NC, as compared to controls (**Fig. S4**). Therefore, Notch signaling promotes cell proliferation, and loss of Notch signaling results in decreased proliferation of NCCs in the DRG.

### Notch signaling is necessary and sufficient to promote the glial cell fate, while repressing the neuronal cell fate, in cranial and dorsal root ganglia

NCCs of the peripheral nervous system contribute to glial cells and proximal neurons of the cranial and dorsal root ganglia (D'Amico-Martel and Noden, 1983; Le Douarin and Smith, 1988). The distribution and differentiation of NC-derived neurons in cranial ganglia and DRG was examined at E10.5 in embryos with increased or decreased Notch pathway activity. Whole-mount immunohistochemistry with anti-neurofilament 2H3 antibody was used to detect differentiated neurons (Swiatek and Gridley, 1993). *Wnt1Cre;Rosa<sup>Notch</sup>* embryos exhibit defective cranial gangliogenesis, including severely reduced trigeminal (V), geniculate (VII), and vestibulo/cochlear (VIII) ganglia, with decreased neural projections, as compared to controls (**Fig. 7A-B**, arrows). The mandibular (Vmn) and maxillary (Vmx) segments of the trigeminal ganglia in *Wnt1Cre;Rosa<sup>Notch</sup>* embryos are absent, as is the oculomotor nerve (III), as compared to controls (**Fig. 7A-B**, arrows). Likewise, *Wnt1Cre;Rosa<sup>Notch</sup>* embryos lack anti-neurofilament antibody staining in the DRG, indicative of deficient neuronal differentiation (**Fig. 7D-E**, arrows). In *Wnt1Cre;RBP-<sup>J</sup>/f* embryos, the cranial ganglia appear to form normally, but the size of distal neural projections is reduced (**Fig. 7C**, arrows), consistent with a previous report (Hu et al., 2011). Together, these data demonstrate that gain of Notch signaling in the NC results in hypoplasia and mispatterning of cranial ganglia, as well as decreased neuronal differentiation in the DRG. In contrast, patterning and neuronal differentiation of cranial ganglia and DRG in embryos with loss of Notch signaling in the NC is relatively normal, but specific neuronal elements are reduced in size.

The NC-derived DRG includes progenitors of neurons and glial cells that differentiate from a common NCC-progenitor pool (Stemple and Anderson, 1992). Condensed DRG are apparent in control and *Wnt1Cre;RBP-<sup>J</sup>/f* embryos at E10.5, but the DRG of *Wnt1Cre;Rosa<sup>Notch</sup>* embryos are disorganized with fragmented cellular condensations (**Fig. 7G-I**, arrows). Neuronal progenitors in the DRG express Islet1 in DRG condensations in control and *Wnt1Cre;RBP-<sup>J</sup>/f* embryos (**Fig. 7J**, L, arrows). In contrast, there is essentially no Islet1 antibody reactivity in the fragmented *Wnt1Cre;Rosa<sup>Notch</sup>* DRG (**Fig. 7K**, arrows). Therefore, increased Notch signaling results in a lack of neurons and abnormal DRG morphogenesis.

*Sox10* expression is essential for the generation of glial cells in the DRG, and glial progenitors expressing *Sox10* normally surround the Islet1-positive neuronal DRG cells (Fig. 7M, P) (Britsch et al., 2001). In *Wnt1Cre;Rosa<sup>Notch</sup>* embryos, the DRG exhibit a dramatic increase in glial cells apparent in increased *Sox10* expression, accompanying the essential absence of neurons, evident in loss of Islet1 expression (Fig. 7M-N, P-Q). In contrast, the *Wnt1Cre;RBP-J<sup>fl/fl</sup>* DRG contain fewer *Sox10* expressing cells surrounding the Islet1 positive DRG cells, indicative of fewer supporting glial cells as compared to controls (Fig. 7M, P, O, R, arrows and arrowheads). However, there is no reduction in the total number of DRG cells in *Wnt1Cre;RBP-J<sup>fl/fl</sup>* embryos relative to controls (data not shown). Analysis of neuronal progenitors demonstrates that there are additional Islet1-positive cells on the periphery of the *Wnt1Cre;RBP-J<sup>fl/fl</sup>* DRG, where the *Sox10*-positive glial cells are normally present (Fig. 7O, arrows). Therefore, there is an increase of neuronal cells and an accompanied decrease of glial cells in the *Wnt1Cre;RBP-J<sup>fl/fl</sup>* DRG, as compared to controls (Fig. 7M, O, P, R), consistent with a previous report (Hu et al., 2011). Likewise, increased *Sox10*-expressing glial cells are apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* cranial ganglia, while *Sox10* expression is reduced in *Wnt1Cre;RBP-J<sup>fl/fl</sup>* mice cranial ganglia, as compared to controls (data not shown). Therefore, activation of Notch signaling in cranial ganglia and DRG results in decreased neuronal and increased glial cells, while loss of Notch signaling results in a reduction of glial cells with an increase of neuronal cells.

## Discussion

Here we demonstrate that the Notch signaling pathway has multiple critical roles in NCC migration, proliferation, differentiation, and cell fate determination *in vivo*. Cre-mediated gain or loss of Notch signaling in NCCs and their derivatives leads to craniofacial, cardiovascular, and neurogenic abnormalities that are reminiscent of NC ablation models and pathological presentation of NC-related diseases including DiGeorge syndrome (High and Epstein, 2008; Kirby et al., 1983; Lindsay et al., 2001; Porras and Brown, 2008). While gain or loss of Notch signaling in NCCs results in cranial, cardiac, and trunk abnormalities, the mechanisms of the malformations differ. Gain of Notch signaling in NCCs results in abnormal and deficient cell migration, increased cell proliferation, decreased PAA smooth muscle cell differentiation, and promotion of a glial cell fate in cranial ganglia and DRG. Loss of Notch signaling in NCCs results in decreased cell proliferation, deficient migration, decreased PAA smooth muscle differentiation, and promotion of a neuronal cell fate, with accompanying loss of glial cells, in the DRG. Together, these studies demonstrate multiple functions for proper levels of Notch signaling in NCCs during cranial, cardiac, and trunk development.

Manipulation of Notch signaling in NCCs leads to craniofacial malformations; however, there is remarkably little evidence for the necessity for endogenous Notch signaling in cranial NCC migration or initial contributions to developing head structures. Gain of Notch signaling in NCCs results in severe craniofacial malformations including cleft face and palate, exencephaly, and micrognathia as a result of deficient cranial NCC migration and lack of differentiation. In striking contrast, the craniofacial malformations in *Wnt1Cre;RBP-J<sup>fl/fl</sup>* mice are much less severe with apparently normal development up to E14.5. In addition, no craniofacial abnormalities were noted in *Wnt1Cre;Notch1<sup>fl/fl</sup>* embryos. Therefore, canonical Notch signaling is not required for cranial NCC migration or initial contributions to the skull and face, consistent with reported lack of defective craniofacial development in *Xenopus* or zebrafish embryos with altered Notch signaling in NCCs (Cornell and Eisen, 2005). The increased severity of craniofacial defects in the *Wnt1Cre;Rosa<sup>Notch</sup>* relative to *Wnt1Cre;RBP-J<sup>fl/fl</sup>* embryos could be due to signaling through a RBP-J-independent noncanonical pathway, but this signaling mechanism has not yet been implicated in embryonic neural crest development (D'Souza, et al., 2008). At later stages of development,

loss of Notch signaling affects cranial frontal suture formation as well as frontal bone formation. These defects are likely due to known requirements for Notch signaling in proliferation and differentiation of osteochondro progenitors (Dong et al., 2010; Mead and Yutzey, 2009; Zanotti and Canalis, 2010). Together, these studies demonstrate that endogenous canonical Notch signaling has a relatively minor role in cranial NCC migration and differentiation *in vivo*, in contrast to more severe malformations observed in cardiac and trunk NC derivatives.

Cardiac NCCs contribute to the septation and proper alignment of the cardiac OFT. Increased Notch pathway activation in NCCs results in a lack of OFT septation evident in PTA and embryonic lethality at approximately E14.5. Embryos with loss of RBP-J or specific loss of Notch1 in the NC have less severe cardiac malformations and are viable throughout gestation. However, these mice do not survive after birth likely due to insufficient cardiovascular function associated with conotruncal anomalies and ventricular septal defects prevalent in these animals. Fetuses with loss of RBP-J in NCCs exhibit DORV and OA, whereas loss of Notch1 leads to only OA. The reduced severity of *Wnt1Cre;Notch1<sup>fl/fl</sup>* relative to *Wnt1Cre;RBP-J<sup>fl/fl</sup>* OFT malformations suggests that other Notch receptors, potentially Notch2, or noncanonical ligands could be involved in cardiac NCC regulation (Varadkar et al., 2008; D'Souza et al., 2008). Therefore, precise levels of Notch signaling are required in NCC for conotruncal septation and alignment of the developing OFT.

Gain or loss of Notch signaling results in deficient NCC migration and contribution to the cardiac OFT and ENS. A previous study reported that loss of Notch signaling in the NCCs, utilizing a dominant-negative Notch pathway co-activator (*Wnt1Cre;DNMAML*), results in cardiovascular defects, predominantly pulmonary stenosis (High et al., 2007). However, no defects in NCC migration were observed in these mice, and they were viable after birth. In the current study, decreased NCC migration in *Wnt1Cre;RBP-J<sup>fl/fl</sup>* embryos is associated with a range of OFT alignment malformations, including DORV, and these mice do not survive after birth. Therefore, the loss of RBP-J results in a more severe NC deficiency than the expression of DNMAML in these analyses. In addition, the trajectory of NCC migration is affected by induction of Notch signaling. Normally, the NCCs migrate into the PAs in two predominant streams, however, in the PAs of *Wnt1Cre;Rosa<sup>Notch</sup>* animals, migrating NCCs do not organize into distinct streams and are present in greater numbers. Likewise, NCC migration into the cardiac OFT and ENS is deficient in embryos with increased Notch signaling in NCCs. These data support a previous study suggesting that Notch signaling is required for proper migration of enteric NCCs (Okamura and Saga, 2008). Therefore, it would be of interest to examine the role of Notch signaling in Hirschsprung disease, characterized by a lack of NCC-derived ganglia in the gut (Southard-Smith et al., 1998). Together, these data indicate that proper levels of Notch signaling are required for normal NCC migration and cellular contributions to the heart and ENS.

In the DRG, Notch activation in NCCs results in increased proliferation, while loss of Notch signaling results in decreased NCC proliferation. These results point to a cell autonomous role for Notch signaling in promoting cell proliferation of NC derivative cell types. Similarly, Notch pathway activation through forced expression of NICD promotes cell proliferation, and Notch inhibition reduces cell proliferation in cultured quail NCCs (Wakamatsu et al., 2000). In many cases, increased Notch signaling maintains multipotential progenitor cells in a proliferative, undifferentiated state, as is apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* NCCs (Hansson et al., 2004; Hurlbut et al., 2007). In some cell types, increased Notch signaling leads to cell death, but we observed no change in apoptosis as a result of manipulation of Notch signaling in NCCs. In *Wnt1Cre;RBP-J<sup>fl/fl</sup>* mice, it is likely that decreased NCC proliferation results in an overall decreased number of NCCs, leading to



characteristic cardiac and pharyngeal malformations associated with NCC deficiency. In contrast, increased Notch signaling results in increased proliferation and more cells adjacent to the neural tube and PAAs. However, these cells have deficient migration and contribution to the cardiac OFT and ENS that is the likely cause of malformations characteristic of NC deficiency in *Wnt1Cre;Rosa<sup>Notch</sup>* animals.

Terminal NCC differentiation occurs as cells reach their target destination. Smooth muscle differentiation of NCCs is necessary to stabilize the PAAs and, in the absence of smooth muscle, the PAAs are rapidly destabilized and become hypo- or aplastic (Waldo et al., 1996). Here we show that gain or loss of Notch signaling in cardiac NCCs results in decreased smooth muscle cell differentiation of NC derivative cells in multiple PAAs. This is the first demonstration that high levels of activated Notch signaling in NC derivatives inhibit smooth muscle differentiation in the PAAs by a cell autonomous mechanism *in vivo*. This inhibition could occur through Notch induction of Hairy/Enhancer-of-split related with YRPW motif (Hey) transcription factors that have been shown to inhibit myocardin in smooth muscle cell cultures (Gridley, 2007; Proweller et al., 2005; Tang et al., 2008). Loss of RBP-J also leads to decreased NC-derived smooth muscle differentiation in the PAAs, consistent with cell culture studies demonstrating that Notch induction of RBP-J transcriptional activity promotes expression of smooth muscle differentiation genes (Tang et al., 2010). The observed decrease in smooth muscle differentiation with NCC loss of RBP-J is consistent with a previous study in which inhibition of Notch signaling via *DNMAML* in *Pax3Cre* mice results in decreased smooth muscle gene expression in a subset of the PAAs (High et al., 2007). Taken together, these studies demonstrate that high levels of Notch signaling inhibit smooth muscle differentiation, while loss of Notch signaling also leads to reduced smooth muscle cell differentiation *in vivo*. Therefore, precise levels of Notch signaling in cardiac NCCs are required for PAA smooth muscle cell differentiation in post-migratory NCCs.

NCC derivatives contribute to glial and neuronal cells of the peripheral nervous system, including those in the cranial ganglia and DRG, via a binary cell fate decision (Taylor et al., 2007). Here, we report that Notch signaling is necessary and sufficient for the generation of glial cells in cranial ganglia and DRG. In addition, we observe that Notch signaling inhibits neurogenesis, as indicated by loss of neuronal differentiation in mice with increased Notch activity in NCC-derivatives. Likewise, there is an apparent conversion of glial progenitors to a neuronal cell fate with loss of Notch function. The reduction in glial cells in *Wnt1Cre;RBP-J<sup>ff</sup>* mice suggests that endogenous canonical Notch signaling is required to promote gliogenesis in the DRG, consistent previous reports (Taylor et al., 2007; Hu et al., 2011). In cultured avian NCCs, Notch activation suppresses neurogenic differentiation of NCCs and accelerates glial differentiation via promotion of *Sox10* expression, but this has not been demonstrated previously *in vivo* (Lassiter et al., 2010; Morrison et al., 2000; Wakamatsu et al., 2000). Our data demonstrate that increased Notch signaling in NCCs promotes gliogenesis through the promotion of *Sox10*, while inhibiting neurogenesis in cranial ganglia and DRG, *in vivo* in mice. This is the first *in vivo* demonstration in a mammalian system of an apparent cell fate conversion of DRG progenitors regulated by increased Notch pathway activity. Together, these studies support a mechanism whereby Notch signaling controls the cell fate of murine NCC-derived DRG cells through the promotion of gliogenesis, while inhibiting neurogenesis, *in vivo*.

The comparison of Notch pathway over-expression with loss of endogenous Notch signaling elucidates the multiple roles of Notch signaling in NCC development. We report that Notch signaling regulates NCC migration, proliferation, differentiation, and cell fate decisions in mammalian NC, and that perturbations in these processes result in malformations characteristic of neurocristopathies. Congenital defects associated with DiGeorge Syndrome,

including craniofacial, cardiac OFT, and thymic malformations (data not shown), are all observed in mice with gain or loss of Notch signaling in the NC (Lindsay et al., 2001). Related smooth muscle cell deficiencies also occur in human CADASIL and Alagille Syndromes that result from Notch pathway gene mutations (Gridley, 2003). Therefore, Notch signaling may have an underappreciated role in human neurocristopathy syndromes, and future studies of the specific requirements of Notch signaling in the NC could have potential therapeutic applications.

## Experimental Procedures

### Mouse strains

*Wnt1Cre* (Danielian et al., 1998), *Rosa<sup>Notch</sup>* (Murtaugh et al., 2003), *RBP-J<sup>ff</sup>* (Han et al., 2002), *Notch1<sup>fl/fl</sup>* (Cheng et al., 2007), and *Rosa26R* (Soriano, 1999) mouse lines and genotyping have been described. *RBP-J<sup>ff</sup>* mice were obtained with permission from the Riken BioResource Center, Kyoto. *Wnt1Cre* transgenic mice were interbred with *Rosa<sup>Notch</sup>*, *RBP-J<sup>ff</sup>*, or *Notch1<sup>fl/fl</sup>* mice to obtain *Wnt1Cre;Rosa<sup>Notch</sup>*, *Wnt1Cre;RBP-J<sup>ff</sup>*, and *Wnt1Cre;Notch1<sup>fl/fl</sup>* mice. *Rosa26R* mice were utilized to monitor NCC expression. Control mice used in these studies are wild type, *Rosa<sup>Notch</sup>*, or *RBP-J<sup>+/+</sup>* littermates without the *Wnt1Cre* transgene. E0.5 was designated by the morning presence of a vaginal plug. Animals were maintained in accordance with the National Institutes of Health Guide for the Care and Use Laboratory Animals, and were used according to Institutional Animal Care and Use Committee approved protocols.

### Skeletal preparation and histology

Whole mount skeletal preparations were stained with Alcian blue 8GX (Sigma-Aldrich) and Alizarin red S (Sigma-Aldrich) as previously described (Kuczuk and Scott, 1984; Mead and Yutzey, 2009).

### In situ hybridization

The generation of *Sox9*, *Twist1*, *Sox10*, and *Crabp1* RNA probes has been described (Dixon et al., 2006; Ma et al., 2005; Mead and Yutzey, 2009; Ruberte et al., 1991). In situ hybridizations of whole embryos or histological sections were performed as previously described (Lincoln et al., 2004; Shelton and Yutzey, 2007).

### $\beta$ -Galactosidase detection and calculation of neural crest lineage migration distance

Whole-mount X-Gal staining for  $\beta$ -Galactosidase ( $\beta$ -Gal) activity was performed on *Wnt1Cre;R26R* embryos as described with modifications (Lincoln et al., 2004; Sanes et al., 1986). Whole embryos were developed in staining solution in the dark for 2 hours at 37°C, fixed in 4% PFA in PBS, and washed in methanol, isopropanol, and tetrahydronaphthalene (Fisher Scientific) to clear the embryo. After whole-mount photography, embryos were paraffin embedded, sectioned at 10 $\mu$ m, dehydrated, and mounted in Cytoseal 60 (Richard-Allen Scientific). Neural crest migration distance in the cardiac OFT was calculated as the linear extent of X-Gal staining in the OFT/total length of the OFT for each genotype using ImageJ analysis of photomicrographs of whole embryos. There were no statistically significant differences in the total length of the OFT among genotypes. Neural crest migration distance in the gut was similarly calculated as the length of X-gal staining in the gut/total length of the gut using Image J analysis.

### Intracardial ink injections

India Ink was injected to visualize the cardiac OFT and PAAs, as described with modifications (McCright et al., 2002). Briefly, 1:1 PBS / India Ink (American MasterTech)

was injected into the left ventricle of E10.5 embryos using a Pico-Injector (Harvard Apparatus) with a microinjection needle. The embryos were fixed in 4% PFA /PBS overnight, dehydrated in ethanol, and cleared in 1:1 benzyl benzoate (Acros Organics) / methyl salicylate (Acros Organics). Pharyngeal arch artery malformations were assessed photographically (Macatee et al., 2003).

### Immunohistochemistry

Immunohistochemical staining on sections was performed as described previously (Mead and Yutzey, 2009). Sections were processed with an ultrasensitive ABC IgG Staining Kit (Pierce) and visualized with diaminobenzidine (DAB) staining. Antisera used include, anti-cleaved caspase-3 (1:100; Cell Signaling), anti- $\alpha$  smooth muscle actin (Sigma), and anti-Islet1 (1:50; 39.4D5 Developmental Studies Hybridoma Bank). Monoclonal anti-neurofilament antibody 2H3 (1:1000; Developmental Studies Hybridoma Bank) was utilized for whole-mount immunohistochemistry as described (Swiatek and Gridley, 1993). Alcian blue staining of histological sections was performed as previously described (Mead and Yutzey, 2009).

### BrdU labeling and calculation of proliferative indices

Pregnant mice were injected intraperitoneally with 5-bromo-2-deoxyuridine (BrdU) labeling reagent (Invitrogen) at 0.1ml /10g body weight and sacrificed 2 hours later. BrdU staining was performed following the manufacturer's protocol (Invitrogen BrdU staining kit). The proliferation indices of experimental and control sections were determined from photomicrographs as percent BrdU positive nuclei / total number of nuclei.

### Statistical Analysis

Statistical significance was determined by Student's *t*-test. Data are reported as mean with Standard Error of the Mean (SEM).  $p \leq 0.01$

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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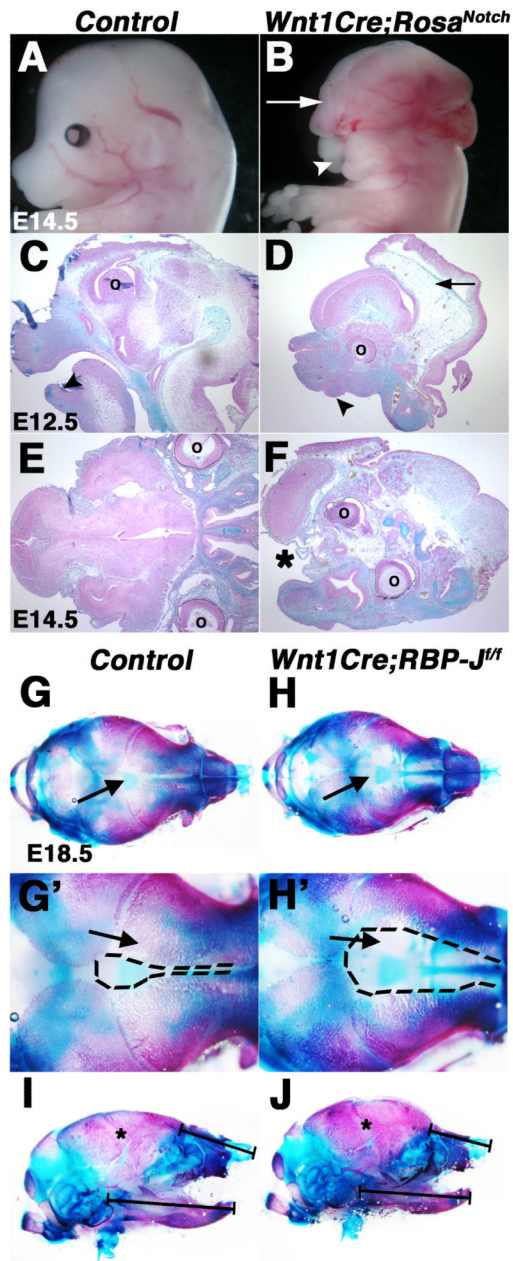
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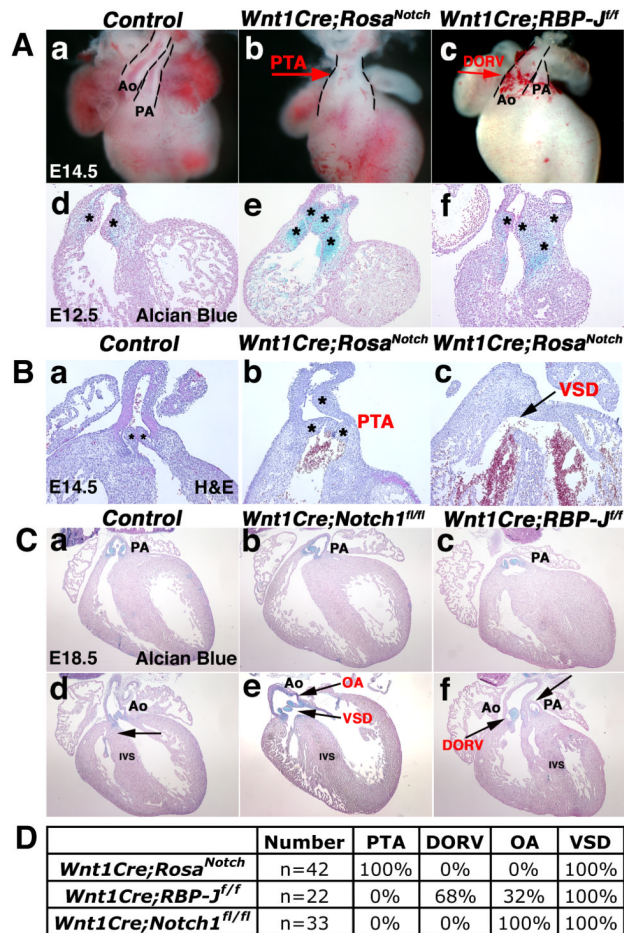
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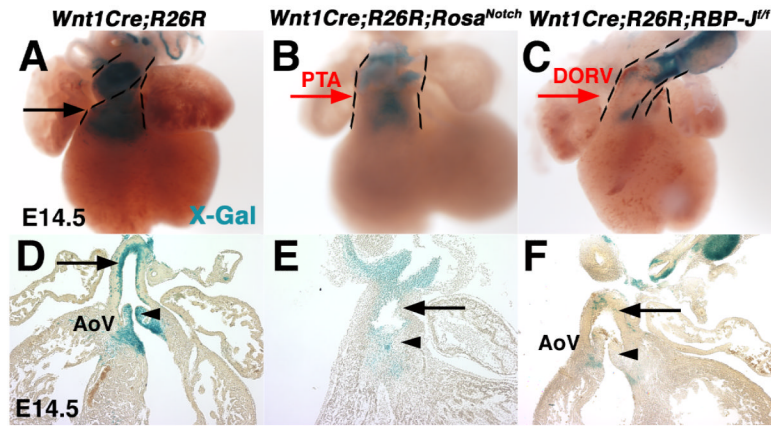
**Fig. 1.** Craniofacial malformations result from activation or inactivation of Notch signaling in the neural crest. (A-F) *Wnt1Cre;Rosa<sup>Notch</sup>* embryos (n=4) exhibit craniofacial malformations, consisting of exencephaly (B, D, arrows), micrognathia (D, arrowhead), cleft face (B, arrowhead) and palate (F, asterisk). Embryos are shown in whole mount (A,B) and in Alcian blue and nuclear fast red counter stained cranial sections (C-F). (G-H') Alcian blue and Alizarin red stained cranial skeletal preparations of E18.5 *Wnt1Cre;RBP-J<sup>fl</sup>* embryos exhibit expanded frontal cranial sutures as a result of deficient formation of craniofacial frontal bones apparent in reduced Alizarin red-stained structures, as compared to a wildtype control littermate (arrows) (n=4). (I-J) Mandible size and nasal cartilage are reduced in *Wnt1Cre;RBP-J<sup>fl</sup>* embryos (n=6), as compared to a wildtype control littermate (n=6). ° denotes eye placement. Dotted lines (G', H') represent the area of proximal frontal bones. \*

indicate apparently normal coronal suture closure (I,J). Black brackets (I, J) denote length of mandible and nasal cartilage.



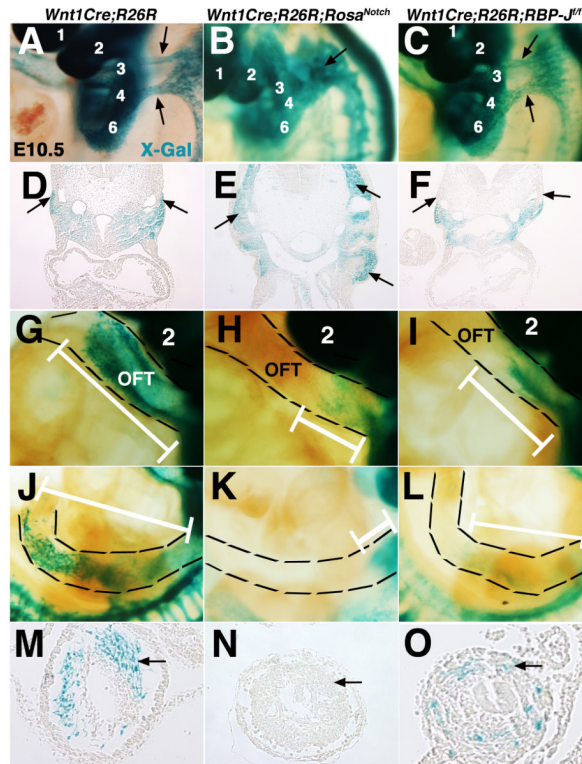
**Fig. 2.** Neural crest-specific gain or loss of Notch signaling results in cardiac outflow tract malformations. (A) *Wnt1Cre;Rosa<sup>Notch</sup>* hearts exhibit defective cardiac OFT septation resulting in PTA (b, red arrow; e). DORV is apparent in *Wnt1Cre;RBP-J<sup>fl/fl</sup>* hearts (c, red arrow; f) as compared to a wildtype control littermate (a,d). Endocardial cushions are stained with Alcian blue (d-f). (B) *Wnt1Cre;Rosa<sup>Notch</sup>* hearts exhibit PTA and an accompanied VSD (black arrow) at E14.5 apparent in H&E stained sections as compared to a *Wnt1Cre-negative Rosa<sup>Notch</sup>* control littermate (a-c). (C) *Wnt1Cre;Notch1<sup>fl/fl</sup>* hearts exhibit OA and VSD (e, black arrows), while *Wnt1Cre;RBP-J<sup>fl/fl</sup>* heart sections exhibit DORV with pulmonary stenosis (f, black arrows), as compared to E18.5 control sections (a,d). The intact IVS is indicated by an arrow in d. Valve leaflets are stained with Alcian blue (a-f). (D) *Wnt1Cre;Rosa<sup>Notch</sup>* mice exhibit 100% penetrance of PTA and VSD at E14.5. 100% of *Wnt1Cre;RBP-J<sup>fl/fl</sup>* embryos have OFT malformations with the majority containing DORV and the minority exhibiting OA from E14.5 until birth. *Wnt1Cre;Notch1<sup>fl/fl</sup>* mice exhibit 100% OA from E14.5 until birth. Dashed lines outline OFT (A). \* Denotes number of heart cushions in section plane (B). Ao, aortic root; PA, pulmonary artery root; PTA, persistent truncus arteriosus; DORV, double outlet right ventricle; VSD, ventricular septal defect; OA, overriding aorta; IVS, interventricular septum. (n=8 for each genotype at each age analyzed)





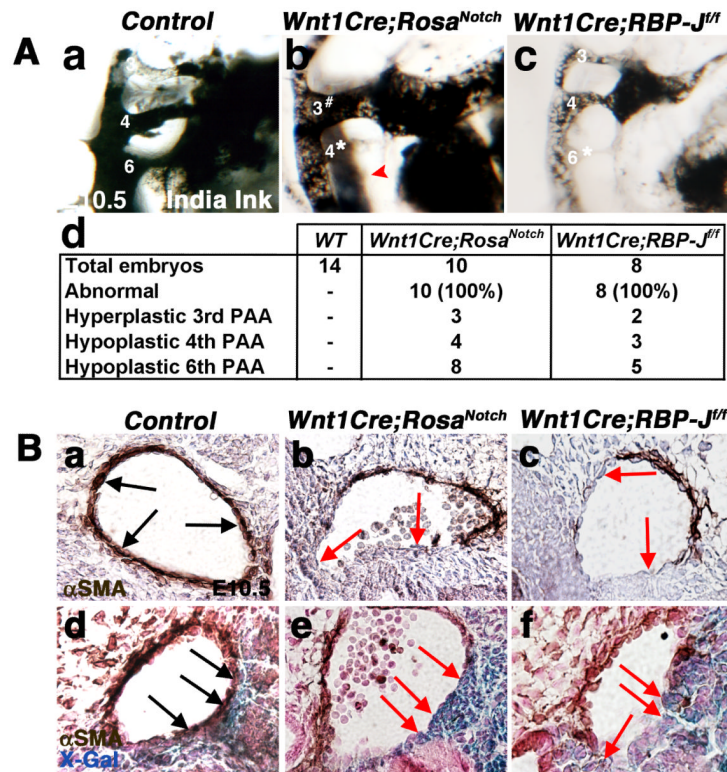
**Fig. 3.**

The presence of cardiac neural crest cell derivatives is reduced in the developing OFT in mice with gain or loss of Notch signaling in the NCC associated with congenital cardiac malformations. Expression of  $\beta$ -gal from the *R26R* allele was detected by blue X-gal staining of isolated hearts. *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* (B,E) and *Wnt1Cre;R26R;RBP-J<sup>ff</sup>* (C,F) embryos have reduced presence of neural crest-derived cells in the cardiac outflow tract and aortic valves at E14.5, as compared to *Wnt1Cre;R26R* controls (A, D, arrows, arrowheads). Dashed lines outline the outflow tract. PTA, persistent truncus arteriosus; DORV, double outlet right ventricle; AoV, aortic valve. (n=6 for each genotype at each age analyzed)

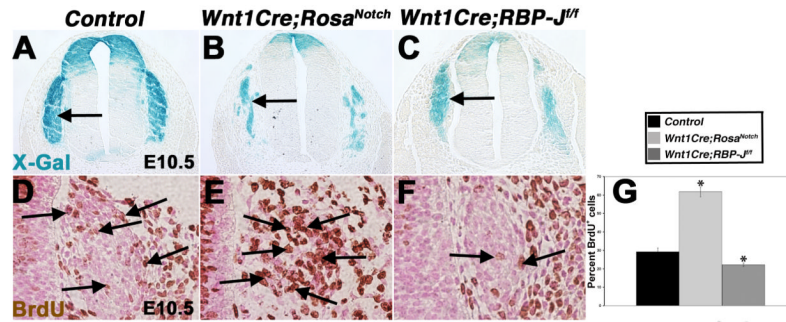


**Fig. 4.**

Cardiac and trunk neural crest cell migration is defective in mice with gain or loss of Notch signaling in neural crest derivatives. (A-F) X-Gal-stained E10.5 embryos and sections illustrate abnormal NCC migration into PA3 and 4 of *Wnt1Cre;R26R;Rosa<sup>Notch</sup>* embryos as compared to two streams of migrating cardiac NCCs in the *Wnt1Cre;R26R* control (A, B, D, E, arrows). (G-I) Reduced cardiac NCC contribution is apparent in the *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>fl</sup>* OFT, as compared to Cre-negative control littermates. (J-O) Reduction of NCC contribution in the enteric nervous system of the gut is apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>fl</sup>* embryos (arrows). OFT, outflow tract. White brackets show migration distance. Dashed lines outline the OFT (G-I) and enteric nervous system of the midgut (J-L). PAs are labeled numerically in panels A-C and G-I. (n=6 for each genotype at each age analyzed)

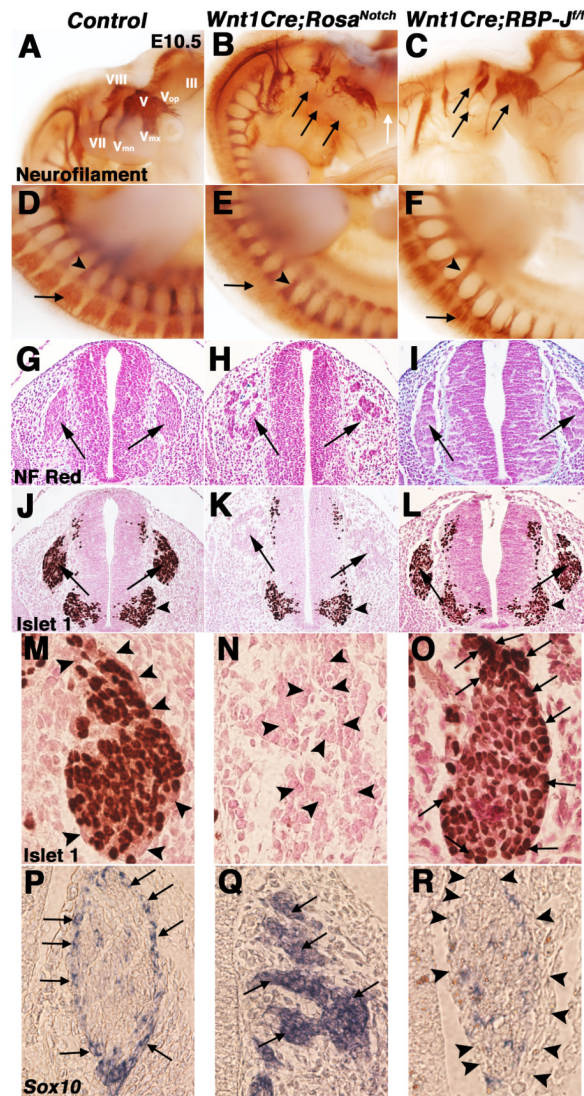
**Fig. 5.**

Pharyngeal arch artery malformations and deficient smooth muscle cell differentiation are evident in E10.5 *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* embryos. (A) Ink injected intracardially into E10.5 embryos illustrates pharyngeal arch artery (PAA) defects including hyperplastic 3<sup>rd</sup> PAA, hypoplastic 4<sup>th</sup> PAA, and hypoplasia of the 6<sup>th</sup> PAA (red arrowhead) in *Wnt1Cre;Rosa<sup>Notch</sup>* embryos (b). Hypoplasia of the 6<sup>th</sup> PAA is apparent in *Wnt1Cre;RBP-J<sup>ff</sup>* hearts (c), as compared to Cre-negative control littermates (a). The frequency and spectrum of PAA defects in *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* embryos with number of embryos analyzed is shown in (d). All of the mutant embryos were affected with one or more PAA abnormality and the incidence of specific PAA malformations is indicated. (B) Decreased PAA4 anti- $\alpha$ SMA reactivity is apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* and *Wnt1Cre;RBP-J<sup>ff</sup>* embryos, as compared to controls (a-c, arrows). Double labeling of X-Gal labeled NCCs and anti- $\alpha$ SMA illustrates a lack of NC-derived smooth muscle cell differentiation in PAA3, as compared to controls (d-f, arrows). # increased PAA size; \* reduced PAA size; hypo, hypoplastic. (n=6 for each genotype)



**Fig. 6.** Notch signaling promotes cell proliferation of neural crest derivatives. (A-C) The DRG (arrows) are NCC-derived structures, as evident by X-Gal staining of *Wnt1Cre;R26R*, *Wnt1Cre;R26R;Rosa<sup>Notch</sup>*, and *Wnt1Cre;R26R;RBP-J<sup>fl</sup>* E10.5 sections. (n=8 for each genotype) (D-G) Notch activation results in increased NCC proliferation, while Notch pathway inactivation results in significant reduction of NCC proliferation in the DRG, as determined by BrdU incorporation (arrows), compared to *Wnt1Cre*-negative control littermates. (n=6 for each genotype) \*  $p \leq 0.01$





**Fig. 7.** Notch signaling regulates neuronal and glial cell fates in cranial and dorsal root gangliogenesis. (A-F) Whole-mount anti-neurofilament 2H3 staining illustrates defective and reduced V, VII, and VIII cranial ganglia branching (black arrows) and loss of III formation (white arrow) in a *Wnt1Cre;Rosa<sup>Notch</sup>* embryo (B) compared to a *Wnt1Cre*-negative *Rosa<sup>Notch</sup>* control littermate (A). *Wnt1Cre;Rosa<sup>Notch</sup>* embryos also lack dorsal root ganglia (DRG) neurofilament staining (arrow in E) at E10.5. Neurofilament patterning appears normal in *Wnt1Cre;RBP-J<sup>ff</sup>* embryos but specific neuronal projections appear to be reduced in size (C, F, arrows), as compared to wildtype littermate controls (A, D). (n=5 embryos were analyzed for each genotype) Arrowheads in D-F note spinal nerve neurofilament marker expression. (G-I) Nuclear fast red stained sections of E10.5 *Wnt1Cre;Rosa<sup>Notch</sup>* embryos demonstrate defective DRG morphogenesis (H, arrows), while *Wnt1Cre;RBP-J<sup>ff</sup>* DRG appear normal (I, arrows). (J-L) Lack of neurogenic marker Islet1 protein expression is apparent in *Wnt1Cre;Rosa<sup>Notch</sup>* DRG (K, arrows). Arrowheads in J-L denote Islet1 expression in non-NC derived motor neurons. (M-R) 60x objective magnification of control DRG show Islet1-positive cells in the center of the DRG with *Sox10*-expressing glial cells surrounding (M, P, arrowheads). *Wnt1Cre;Rosa<sup>Notch</sup>* DRG lack



Islet1 (N, arrowheads), but have increased *Sox10* expression in the DRG (Q, arrows). *Wnt1Cre;RBP-J<sup>fl</sup>* DRG have reduced *Sox10* (R, arrowheads) and increased Islet1 expression (O, arrows) in the DRG outer cell layer. (n=6 embryos were analyzed for each genotype)

**Table 1**

Frequency of genotypes obtained and timing of lethality for mice with altered Notch pathway activation in NCC.

	Expected%	% Embryos (n/total) obtained for indicated genotype						PND1
		E9.5	E10.5	E12.5	E14.5	E18.5		
<i>Wnt1Cre;Rostq<sup>Notch</sup></i>	50%	55% (24/44)	52% (68/130)	64% (28/44)	46% (42/92)	0%	0%	NA <sup>a</sup>
<i>Wnt1 Cre;RBP-J<sup>fl</sup></i>	25%	13% (8/60)	37% (21/57)	14% (7/50)	11% (3/38)	22% (19/85)	0%	0% (0/57)
<i>Wnt1Cre;Notch1<sup>fl/fl</sup></i>	25%	33% (11/33)	30% (8/27)	25% (7/28)	21% (18/86)	23% (15/66)	0%	0% (0/29)

<sup>a</sup> NA=not applicable