

# Kruppel-like factor 5 (KLF5) is critical for conferring uterine receptivity to implantation

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**A blastocyst will implant only when the uterus becomes receptive. Following attachment, luminal epithelial cells undergo degeneration at the site of the blastocyst. Although many genes critical for uterine receptivity are primarily regulated by ovarian hormones, *Kruppel-like factor 5 (KLF5)*, a zinc finger-containing transcription factor, is persistently expressed in epithelial cells independently of ovarian hormones. Loss of uterine *Klf5* causes female infertility due to defective implantation. *Cox2* is normally expressed in the luminal epithelium and stroma at the site of blastocyst attachment, but luminal epithelial *COX2* expression is absent with loss of *Klf5*. This is associated with the retention of the epithelium around the implantation chamber with arrested embryonic growth. These results suggest that *Klf5* is indispensable for normal implantation.**

decidualization | fertility | pregnancy

Ovarian estrogen and progesterone ( $P_4$ ) direct phases of uterine sensitivity to implantation. In mice, the uterus is prereceptive on days 1–3, assumes receptivity on day 4 of pregnancy or pseudopregnancy (day 1 = vaginal plug), and becomes refractory to implantation by day 5 (1). The implantation process, which is initiated by blastocyst attachment to the receptive uterine luminal epithelium, occurs in the evening of day 4 and becomes more prominent on the morning of day 5. This coincides with an increased uterine vascular permeability at the site of the blastocyst. The event can be visualized as discrete blue bands (sites of increased vascular permeability) by an i.v. injection of a blue dye solution before sacrifice (2, 3). By day 6, epithelial cells lining the implantation chamber disintegrate, presumably due to apoptosis in response to the invading blastocyst (4). The blastocyst is in direct contact with underlying stromal cells that are then undergoing proliferation and differentiation into decidual cells. A previous report indicated that trophoblasts do not directly partake in epithelial cell degeneration (5), but the epithelium is programmed to apoptosis at the site of the blastocyst following the attachment reaction (6). However, the epithelium away from the attachment site remains intact, suggesting that the blastocyst signals trigger epithelial cell death (5).

In mice, uterine receptivity is achieved when the uterus is exposed to estrogen after 24–48 h of  $P_4$  priming (7). However, the gene network that confers uterine receptivity is complex, making it difficult to manipulate the window of receptivity by changing the expression of several critical genes (8). Genetic studies in mice have shown that certain genes, including *Lif* (9), *Ptgs2* (10), *Ihh* (11), *Msx* (12), and *Fkbp52* (13), are critical to uterine receptivity. However, it is still unclear whether the signaling pathways work independently, in parallel, or converge onto a common pathway to confer uterine receptivity.

The luminal epithelium plays a critical role in implantation: it transmits embryonic signals to underlying stromal cells to initiate and progress the implantation process. In mice, the luminal epithelium around the embryo is still intact on the morning of day 5, when stromal cells have already initiated decidualization. This suggests that embryonic signals are transmitted to the stroma via epithelial cells. In fact, removal of the epithelium prevents decidualization (14). Although the exact nature of this signal has

not been clearly identified, prostaglandins (PGs) are considered to play a role in triggering decidualization (10), and *COX2*-deficient females have decidualization failure (10). However, it is not clearly understood how uterine *COX2* is regulated during implantation and decidualization.

The Kruppel-like factors (KLFs) belong to a family of zinc finger-containing transcription factors that regulate diverse cellular processes, including development, differentiation, proliferation, and apoptosis. Similar to the other 16 members in the family, KLF5 contains three zinc-finger domains that function in DNA binding (15). KLF5 is expressed in the human and mouse digestive tract, pancreas, placenta, testis, prostate, skeletal muscle, and lung (15). Studies in cell culture and animal models show that KLF5 has essential roles in cell proliferation, apoptosis, migration, differentiation, and stemness in a context-dependent manner. *Klf5* expression is regulated by estrogen and  $P_4$  in breast cancer cells: although estrogen facilitates KLF5 degradation (16),  $P_4$  induces its expression, and KLF5 mediates  $P_4$ 's effects on breast epithelial cell proliferation and dedifferentiation (17). However, it is not known whether *Klf5* is expressed in the uterus or whether it has a role in pregnancy events.

*Klf5* null (*Klf5*<sup>-/-</sup>) mice show embryonic lethality due to defects in preimplantation embryo development (18). In its absence, trophectoderm development is defective, resulting in developmental arrest at the blastocyst stage. In addition, the expression of Oct4 and Nanog, two pluripotency markers, is down-regulated in the inner cell mass, whereas Sox17 expression is increased in the primitive endoderm. These results suggest that *Klf5* is a key regulator of all three lineages in preimplantation embryos (19). The generation of a conditional deletion mouse model has helped to study *Klf5*'s function in adulthood (20). To study KLF5's role in female fertility, we established a mouse line with uterine deletion of *Klf5* (*Klf5*<sup>did</sup>) using floxed *Klf5* mice (*Klf5*<sup>fl/fl</sup>) crossed with mice carrying a *Cre* gene driven by the progesterone receptor (*Pgr*<sup>cre/+</sup>). We found that *Klf5*<sup>did</sup> females are mostly infertile due to defective implantation and decidualization.

## Results

### KLF5 Is Expressed in a Spatiotemporal Manner in the Periimplantation Uterus.

Embryonic expression of *Klf5* and developmental arrest of *Klf5*<sup>-/-</sup> blastocysts provide evidence that *Klf5* has a critical role in stem cell differentiation and development of various organs. Conditional deletions in mice show that *Klf5* is required for perinatal lung morphogenesis (20), formation and differentiation

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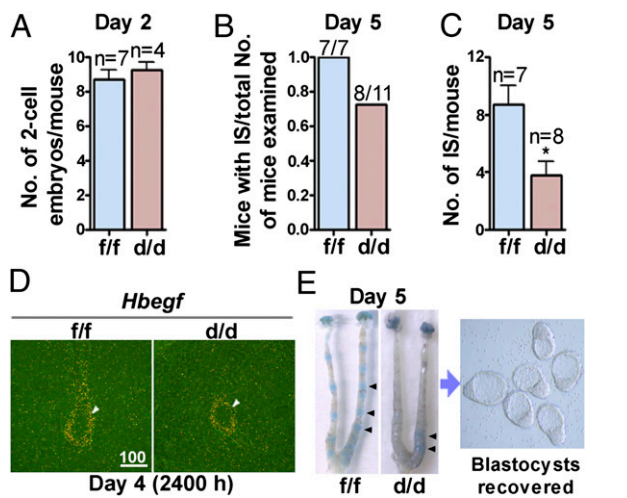
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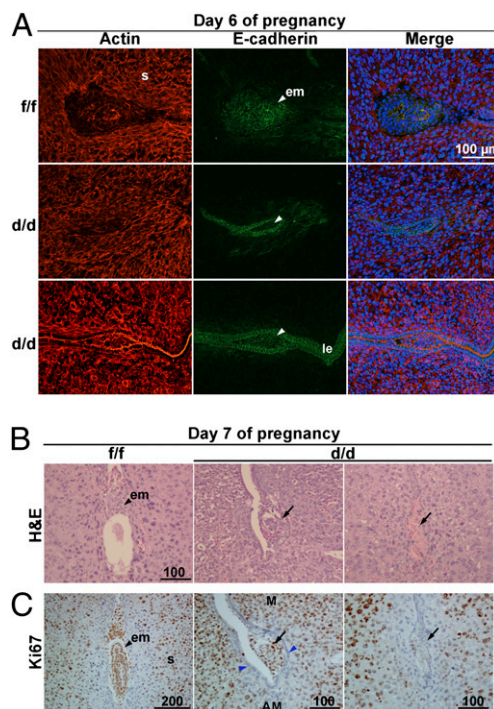


**Fig. 3.** *Klf5* is critical for normal implantation. (A) Ovulation and fertilization in *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* mice. (B and C) Implantation rate and number of implantation sites in *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* mice on day 5. (D) In situ hybridization of *Hbegf* at the implantation site at 2400 hours on day 4. Arrowheads indicate the position of embryos. (E) Representative uteri from *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* females on day 5 after blue dye injection and embryos recovered from *Klf5<sup>d/d</sup>* uteri. Arrowheads indicate the implantation sites. IS, implantation sites.

expressed in the luminal epithelium surrounding the blastocyst before and at the time of attachment reaction. In situ hybridization results show that *Hbegf* expression is comparable between *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* mice (Fig. 3D), suggesting that initiation of the embryo–uterine interplay was not delayed in *Klf5<sup>d/d</sup>* females. We then examined the status of implantation in these mice on day 5. After an i.v. injection of a blue dye solution, the implantation sites are demarcated as discrete blue bands along the uterus (2). We found that the number of *Klf5<sup>d/d</sup>* mice with implantation sites was lower than that of *Klf5<sup>f/f</sup>* females (Fig. 3B), but the most dramatic effect seen in *Klf5<sup>d/d</sup>* females was the remarkably reduced number of implantation sites (Fig. 3C). In addition, blue bands in *Klf5<sup>d/d</sup>* uteri were not as distinct as those in the *Klf5<sup>f/f</sup>* group (Fig. 3E). When flushed with saline, *Klf5<sup>d/d</sup>* uteri with weak or no blue bands yielded many blastocysts (Fig. 3E). These results suggest that, although embryos develop to blastocysts in *Klf5<sup>d/d</sup>* uteri, most fail to implant or show defective implantation. We then sought to explore the reason for implantation failure in *Klf5<sup>d/d</sup>* females.

**Luminal Epithelium Surrounding the Blastocyst in *Klf5<sup>d/d</sup>* Females Is Retained Past the Timing of Implantation.** The above observations led us to examine the implantation status at later stages of pregnancy in *Klf5<sup>d/d</sup>* females. On day 6, the epithelium around the implantation chamber degenerates in *Klf5<sup>f/f</sup>* females, as is evident from the disappearance of E-cadherin, an established marker of epithelial cells. However, blastocysts remain entrapped within the lumen with the intact epithelium in *Klf5<sup>d/d</sup>* females, and the epithelium shows distinct expression of E-cadherin (Fig. 4A).

Interestingly, the persistent epithelial barrier is associated with retarded embryonic growth in *Klf5<sup>d/d</sup>* females. This is further evident from H&E staining of sections of day 7 implantation sites; embryos in *Klf5<sup>d/d</sup>* females are either very small or resorbed (Fig. 4B). Ki67 immunostaining further confirmed our histological observations. Some embryos in *Klf5<sup>d/d</sup>* females were still surrounded by epithelial cells, but showed signs of degeneration (Fig. 4C and Fig. S5). Collectively, these data show that an intact epithelium surrounding the implantation chamber in *Klf5<sup>d/d</sup>* females past the normal window of implantation is not conducive to blastocyst implantation and growth.

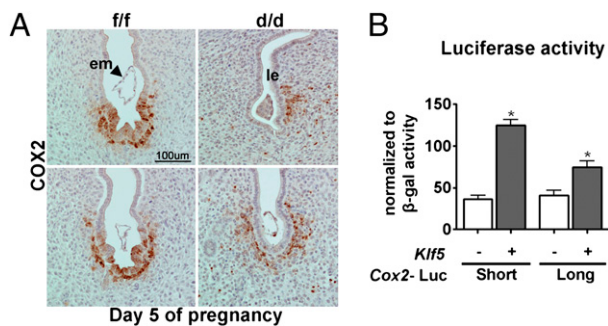


**Fig. 4.** Blastocysts remain entrapped within the luminal epithelium past the window of implantation in *Klf5<sup>d/d</sup>* females. (A) Confocal images of E-cadherin and actin colocalization. Although the luminal epithelium (le) in *Klf5<sup>d/d</sup>* mice at the site of the blastocyst on day 6 of pregnancy is retained, it disintegrates in *Klf5<sup>f/f</sup>* mice with the loss of E-cadherin. Nuclei are shown in blue color. s, stroma; em, embryo. (B) H&E staining of *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* implantation sites on day 7. (C) Immunostaining of Ki67 in *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* implantation sites on day 7. M, mesometrial pole; AM, antimesometrial pole. Arrowheads indicate the location of blastocysts. Arrows indicate the degenerating embryos or residue of embryo debris, and the blue arrowheads indicate the luminal epithelium demarcation in which a blastocyst is retained.

***Klf5<sup>d/d</sup>* Females Show Compromised Decidualization.** We found that the number of implantation sites on day 6 is similar to that seen on day 5 in *Klf5<sup>d/d</sup>* mice (Fig. 5A), suggesting that those implantation sites on day 5 with weak blue bands continued to grow in size. This increase in size is attributed to stromal growth (Fig. S6) because embryonic growth is arrested at the implantation sites in *Klf5<sup>d/d</sup>* females. Actin cytoskeleton reorganization is critical for normal decidualization (25). On day 4 before decidualization, actin is distributed around individual stromal cells without any obvious pattern (Fig. S7). In day 6 implantation sites, actin expression is up-regulated and becomes more organized, forming a network around the embryo (Fig. 4A). A similar pattern of cytoskeletal reorganization was observed in day 6 implantation sites in both *Klf5<sup>f/f</sup>* and *Klf5<sup>d/d</sup>* females (Fig. 4A), suggesting that *Klf5<sup>d/d</sup>* stromal cells were proliferating and differentiating, despite the presence of the intact luminal epithelium. Most *Klf5<sup>d/d</sup>* implantation sites showed continued growth until day 8, and the number of sites was similar on day 8 (Fig. 5B) compared with those on days 5 and 6 (Figs. 3C and 5A). However, decidual response in *Klf5<sup>d/d</sup>* implantation sites was dramatically compromised, and implantation sites were smaller compared with those in *Klf5<sup>f/f</sup>* mice (Fig. 5C and D). Although KLF5 is expressed in decidual cells (Fig. 1), the results suggest that stromal cells are able to initiate decidualization in the absence of KLF5. However, the decidual response of *Klf5<sup>d/d</sup>* stromal cells is greatly attenuated in the absence of trophoblast penetration through the luminal epithelium.

To further delineate the decidualization process in these mice, we examined the expression of *Bmp2* and *Hoxa10*, which are





**Fig. 7.** *Klf5* differentially regulates COX2 expression in the uterus during implantation. (A) Immunohistochemistry of COX2 in day 5 implantation sites of *Klf5<sup>fl/fl</sup>* and *Klf5<sup>d/d</sup>* females. Immunoreactive COX2 is absent in *Klf5<sup>d/d</sup>* luminal epithelial cells. (B) Luciferase assay as evident from the luciferase gene activity driven by a short and long form of the *Ptgs2* promoter. Ishikawa cells were transfected with a construct spanning the full-length coding region of *Klf5* or control plasmid together with luciferase reporter constructs. A CMV- $\beta$ -galactosidase plasmid was served as a control for transfection efficiency.

plement our *in vivo* observation, we examined whether KLF5 can regulate *Ptgs2* expression in cells *in vitro*. We transfected a human endometrial epithelial cell line (Ishikawa cells) with *Ptgs2* promoter constructs fused to a luciferase gene together with a full-length construct of the *Klf5*-coding region. We found that KLF5 up-regulated luciferase activity under the control of *Ptgs2* promoters (Fig. 7B). These results suggest that COX2 expression in luminal epithelial cells requires KLF5 activity.

## Discussion

Successful implantation requires an intimate cross-talk between the blastocyst and the receptive uterus. However, the molecular basis for the initiation of uterine receptivity is not fully understood. The uterine luminal epithelium is the first cell type to interact with the blastocyst trophoblast to initiate the attachment reaction. Thus, a receptive and functional epithelium is essential for implantation. In WT females, the demise of the luminal epithelium surrounding the blastocyst with the progression of the attachment reaction helps trophoblast invasion into the stroma for continued embryonic growth. We found that *Klf5* is persistently expressed in the *Klf5<sup>fl/fl</sup>* uterine epithelium throughout the preimplantation period and that deletion of uterine *Klf5* confers female infertility due to defective and/or failed implantation. The epithelium at the site of blastocysts fails to undergo degeneration in most *Klf5<sup>d/d</sup>* females, thus preventing further progression of the implantation process and embryonic growth.

The data suggest that *Klf5* is critical to making the uterine luminal epithelium conducive to blastocyst implantation and growth. *Klf5* is unique compared with other genes critical for implantation. For example, *Ihh* and *Lif* are transiently expressed before and during implantation and are regulated by  $P_4$  or  $E_2$  (9, 11, 29). However, *Klf5* is present in the uterine epithelium even in the absence of ovaries (Fig. S1), suggesting that *Klf5* signaling may be parallel to  $E_2$  and  $P_4$  signaling. This raises the possibility that there are other unidentified genes that are independent of  $E_2$  and/or  $P_4$  regulation, but critical for implantation.

*Klf5* is expressed mainly in the epithelia of various tissues (15, 30), but is also expressed in cardiovascular smooth muscle cells (31), lymphoid cells (32), and neuronal cells (33). Our present study shows that *Klf5* expression is not limited to the epithelium, but also occurs in stromal cells undergoing decidualization, suggesting that *Klf5* has a role in stromal cell transformation. However, stromal decidualization, albeit at a reduced level, with the expression of decidualization markers in *Klf5<sup>d/d</sup>* uteri suggests that *Klf5* has a limited role in decidualization. Because *Klf5<sup>d/d</sup>*

epithelium seems to compromise decidualization, the definitive role of *Klf5* in decidualization warrants further investigation using a mouse model with stromal cell-specific deletion of *Klf5*.

We speculate that *Klf5* regulates uterine receptivity via luminal epithelial expression of COX2, which is an inducible proinflammatory gene. A recent study also shows that *Klf5* expression in the renal collecting duct is essential for inflammatory responses to unilateral ureteral obstruction (34). The apoptotic cell fractions and glomerular sclerosis and tubular injury scores were all reduced in the absence of *Klf5*. Implantation is also considered a proinflammatory response, and COX2-derived PGs are important for implantation (10). Our study suggests that the absence of luminal epithelial COX2 in *Klf5<sup>d/d</sup>* females may contribute to implantation failure. Because KLF5 is known to exert an array of context-dependent functions, COX2 signaling may not be the only pathway that is dysregulated in the absence of *Klf5*. Furthermore, pregnancy phenotype in *Ptgs2<sup>-/-</sup>* mice is genetic-background-dependent, and COX1 can compensate for the loss of COX2, partially rescuing pregnancy failure in *Ptgs2<sup>-/-</sup>* females on certain backgrounds. These studies and COX2's conserved role in implantation in various species, including humans, have previously been discussed (35). Future studies will determine which PG ligands and corresponding receptors interact with KLF5 in regulating pregnancy events.

In *Lif* mutant females or mice with uterine deletion of *Msx1/Msx2*, epithelial COX2 expression persists around the embryo on d 5 of pregnancy, but stromal COX2 is lost (12, 29). In the present study, only epithelial COX2 expression was affected, although *Klf5* was deleted in both epithelial and stromal cells. Collectively, the findings suggest that COX2 in the epithelium and stroma is differently regulated and affects implantation in diverse ways.

It is interesting to note that *Klf5<sup>d/d</sup>* uteri show an initial response to decidualization in the presence of a blastocyst, but at a reduced rate. In contrast, oil-induced decidual response is very poor in *Klf5<sup>d/d</sup>* uteri. Similar phenotype is observed in several other knockout mouse models in which implantation is aberrant (12, 13). It is possible that the blastocyst stimulates stromal cell decidualization indirectly via its interaction with the epithelium in addition to sending paracrine signals to stromal cells. We speculate that stromal cells respond to a paracrine signaling from the blastocyst, although the stimulus arising from the *Klf5*-deficient epithelium is disturbed. Collectively, the results suggest that a circuitry connecting a viable blastocyst with the functional epithelium and stroma is essential for successful decidualization and establishment of pregnancy. Because KLF5 is expressed in both the epithelium and the stroma, it would be exciting to define the relative contribution of epithelial vs. stromal KLF5 in female fertility. By deleting floxed *Klf5* by *Cre* driven by the Müllerian inhibiting substance type II receptor (36, 37) or *Wnt7a* promoter (38) in the stroma or the epithelium, future experiments may help in dissecting the roles of epithelial vs. stromal KLF5 in implantation and decidualization.

Nearly 1 in 12 US married couples under 45 y of age are infertile; 40% of such infertility results from female reproductive disorders. Despite significant improvement in *in vitro* fertilization technology, implantation failure is still high. There is a need to better understand the mechanism of uterine receptivity to develop the means to extend uterine receptivity. Uteri in *Klf5<sup>d/d</sup>* females are mostly unfavorable for normal implantation, providing evidence that *Klf5* is a key player in uterine receptivity. *Klf5* is unique in that it is crucial for implantation, but not influenced by ovarian hormones. It would be interesting to see whether KLF5 is expressed in the human endometrium similarly to that in mice. If so, this may have an implication in regulating the window of implantation in humans by manipulating *Klf5* expression independently of ovarian hormones.

## Materials and Methods

**Animals and Treatments.** To generate mice with uterine deletion of *Klf5*, *Klf5<sup>loxP/loxP</sup>* mice (20) were crossed with *Pgr<sup>Cre/+</sup>* mice (39). *Klf5<sup>fl/fl</sup>* and *Klf5<sup>d/d</sup>* mice were housed in the animal care facility of the Cincinnati Children's Hospital Medical Center according to National Institutes of Health and institutional guidelines for laboratory animals. All protocols of the present study were approved by the Cincinnati Children's Hospital Research Foundation Institutional Animal Care and Use Committee.

**Immunostaining.** Immunohistochemistry was performed in paraffin-embedded sections using specific antibodies as indicated. Tissues fixed in 4% cold paraformaldehyde were used for KLF5 immunostaining; tissues fixed in 10% neutral buffered formalin were used for other stainings. Antigen retrieval using microwave heating is required for KLF5 staining. A Histostain-Plus kit (Invitrogen) was used to visualize specific antigens.

**In Situ Hybridization.** In situ hybridization was performed as previously described (40) and is detailed in *SI Text*.

**Cell Transfection and Luciferase Assay.** Ishikawa cells maintained in DMEM plus 10% FBS were transfected with specific plasmids (0.3  $\mu$ g) and CMV- $\beta$ -galactosidase (0.06  $\mu$ g), as transfection efficiency control (20), using polyethylenimine (2.4  $\mu$ g). KLF5 overexpressing and control plasmids have been described (19). Plasmids containing two regions of the *Ptgs2* promoter fused with the luciferase gene were used to measure *Ptgs2* transcriptional activity. The long and short forms of the promoter containing 3.2- and 1-kb fragments, respectively, upstream of the translation start site were used. The promoter activity was determined by luciferase activity normalized to  $\beta$ -galactosidase activity 48 h after transfection. All experiments were done in duplicates ( $n = 3$ ).

**Statistical Analysis.** Comparison of means was performed by using Student's *t* test. Data are shown as mean  $\pm$  SEM.

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