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Genetic and epigenetic influence on the response to environmental particulate matter

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Abstract

Ambient air pollution, including particulate matter, and gaseous pollutants, are important environmental exposures that adversely affect human health. Because of their heritable and reversible nature, epigenetic modifications provide a plausible link between environment and alterations in gene expression that might lead to disease. Epidemiologic evidence supports that environmental exposures in childhood impact susceptibility to disease later in life, supporting that epigenetic changes can impact ongoing development and promote disease long after the environmental exposure has ceased. Indeed, allergic disorders often have their roots in early childhood and early exposure to particulate matter has been strongly associated with the subsequent development of asthma. The purpose of this review is to summarize recent findings on the genetic and epigenetic regulation of responses to ambient air pollutants, specifically respirable particulate matter, and their association with the development of allergic disorders. Understanding these epigenetic biomarkers and how they integrate with genetic influences to translate the biologic impact of particulate exposure is critical to developing novel preventative and therapeutic strategies for allergic disorders.

Keywords

air pollution; particulate matter; genetics; epigenetics; allergy; asthma

Introduction

Environmental air pollution is a serious public health concern throughout the world. Numerous studies have demonstrated a strong link between exposure to ambient air pollution and human morbidity and mortality $1-3$. Major air pollutants include particulate matter, ozone and nitrogen dioxide. The regulation of cellular responses in response to pollutant gases including ozone and sulphur dioxide have been previously reviewed⁴⁻⁶. In this review, we will focus on particulate matter (PM). Chronic exposure to particulate matter is associated with increased risk of cardiovascular disorders, chronic obstructive pulmonary disease, cancer, neurological disease and asthma⁷⁻¹⁰. Increased asthma incidence and

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Ambient PM is a complex mixture of chemicals and particles, of which the largest single source is traffic related and derived from diesel exhaust. Diesel exhaust particles (DEP) consist of an elemental carbon core with a large surface area to which hundreds of chemicals and transition metals are attached. Particulate matter is classified as coarse (PM_{10}) : particles of 10μm or less in aerodynamic diameter), fine (PM₂ 5: 2.5-0.1μm) or ultrafine (PM_{0 1}: $< 0.1 \mu m$). The majority of DEP are fine or ultrafine particles. Studies have revealed profound adjuvant effects of these particles on the development and intensity of allergic inflammation, which is regulated via genetic and epigenetic mechanisms. In this review, we will summarize recent genetic studies identifying candidate genes conferring susceptibility to allergic diseases in response to particulate matter especially DEP. Furthermore, we will review epigenetic changes induced by DEP exposure, the epigenetic regulation of biological pathways mediating responses to DEP, and their association with clinical outcomes. Finally, we will discuss and propose potential approaches for future genetic and epigenetic studies related to PM exposure.

Effect of DEP exposure on inflammation

Several lines of evidence have shown that PM can act both on the upper and lower airways to initiate and exacerbate cellular inflammation. There are increased levels of proinflammatory cytokines and chemokines, and increased numbers of neutrophils in the bronchoalveolar lavage fluid of healthy individuals exposed to DEP, and in nasal washes from subjects following nasal provocation challenge with DEP13-15. Acute DEP exposure induced NF-κB-related inflammatory and cytokine gene expression including *TNFa*, *IL8* and *IL6*¹⁶ .

DEP can also exacerbate *in vivo* allergic responses. When house dust mite (HDM) was coadministered with DEP into dust mite sensitive human subjects, nasal histamine levels increased three-fold compared to HDM alone and only 20% of the amount of intranasal dust mite normally required resulted in a symptomatic response¹⁷. Furthermore, DEP dramatically increased the production of allergen-specific IgE^{18} . In mice, DEP delivered intranasally or intratracheally with allergen results in increased airway inflammation, goblet cell hyperplasia and airway hyperresponsiveness $(AHR)^{19, 20}$. In summary, DEPs can promote sensitization and aggravate airway responses.

DEP can target multiple cell types including human B cells²¹, eosinophils²², pulmonary alveolar macrophages^{23, 24}, airway epithelial cells and endothelial cells²⁵. DEP can act directly on cells or indirectly by alternatively activating macrophages or dendritic cells (DC). Indeed, mice instilled with DEP increased the number of activated DC in the bronchoalveolar lavage and in the lung²⁶; and DEP-exposed DCs induced Th2 polarization^{27, 28}.

The mechanism by which DEP exposure modulates innate and adaptive immune responses remains unclear. Many of the effects of DEPs are attributed to chemicals in the DEP, including metals, organic compounds and biological fractions²⁹. A hierarchical oxidative stress model has been postulated such that low dose DEP exposure leads to the formation of reactive oxygen species (ROS), which activate an antioxidant response and upregulate $\frac{12}{1}$. A higher dose exposure would overwhelm the cell's cytoprotective system and activate NF-κB/AP-1 mediated signaling, which results in increased expression of proinflammatory cytokines (TNF- α , IL-8 and IL-6) and adhesion molecules³⁰. This

enhanced inflammation would lead to additional generation of ROS. In support of this model, *in vitro* studies demonstrated that macrophages, neutrophils, eosinophils, and epithelial cells generated ROS after stimulation by DEP or its chemical constituents¹¹. Furthermore, the proinflammatory and adjuvant effects of DEP in animal and cell culture models were neutralized in part by thiol antioxidants^{31, 32}. Thus, it has been proposed that oxidative stress-induced inflammation is one of the contributors to PM-induced respiratory diseases³³. The chemical composition of DEP also affects the immune responses that are induced. Samples with different organic content induce distinct inflammatory allergic phenotypes^{34, 35}, possibly through activating distinct transcriptional regulatory pathways (e.g., AP-1 or NF-κB). Another factor that modifies the biological effects of DEP is particle size. Smaller particles $(PM_{0,1})$ are more likely to penetrate deeper into the respiratory tract and translocate to extrapulmonary organs through blood stream³⁶.

Genetic influences on the response to PM exposure

There is large variation between individuals in their response to PM exposure and genetic association studies have compared the adverse effect of PM between subjects with specific genotypes in biologically relevant candidate genes. Genes encoding enzymes involved in oxidative stress including *GSTM1*, *GSTP1* and *NQO1* were identified as genes conferring genetic susceptibility to ozone exposure with the outcome of asthma⁴. Polymorphisms in these genes were also identified as risk factors for allergic diseases in response to DEP. In the Cincinnati Childhood Allergy and Air Pollution study (CCAAPS) birth cohort of 570 children, DEP exposure was estimated using a land-use regression mode and it was discovered that high DEP exposure during infancy conferred increased risk for wheezing among *GSTP1* Val105 ($rs947894$) carriers^{37, 38}. In another study, individuals who were sensitive to ragweed and carried the *GSTM1* null or the *GSTP1* I105 genotype showed the greatest nasal allergic responses after challenge with ragweed and DEP³⁹. These individuals also had greatest response to ragweed after second hand smoke exposure40. When using proximity to high traffic roads as a surrogate measure of exposure, a significant interaction was observed between *EPHX1*, the microsomal epoxide hydrolase involved in xenobiotic metabolism, *GSTP1* genotype, and distance to road. Children carrying *EPHX1* genotypes with high predicted enzymatic activity, who also carried the *GSTP1* Val/Val genotype and had high traffic exposure were at the highest risk of asthma⁴¹.

Genes in inflammatory pathways have also been implicated as genes relevant to the host response to PM. In the Prevention and Incidence of Asthma and Mite Allergy (PIAMA) birth cohort, individuals with increased levels of $PM_{2.5}$ in conjunction with at least one copy of the *TLR2* rs4696480 A allele or any of the *TLR4* genotypes (rs2770150 TC, rs10759931 GG, rs6478317 GG, rs10759932 CT or CC, and rs1927911 TT) had increased risk of doctordiagnosed asthma from birth up to 8 years of age⁴². School children homozygous for *TGFβ1* rs1800469T (associated with increased gene expression) had a significantly increased risk of asthma and this association was modified by residential proximity to freeway⁴³.

One major limitation of candidate gene approach is that it relies on previous known functions of genes and pathways⁴⁴. Also, these studies often have small sample sizes and examine a limited number of variants. In contrast, the genome-wide association method (GWA) is unbiased and examines SNPs across the genome. However, most common variants identified by GWAS confer small incremental risk individually or in combination and explain only a small portion of heritability. Factors contributing to this "missing" heritability have been suggested and include variants with small effects yet to be found, rare variants that are poorly detected with current assays, structural variants such as copy number variants that are not well studied, low power to detect gene-gene interaction, and environment factors that remain undefined ⁴⁴. Many currently available genome-wide arrays have limited coverage, and pre-designed SNP chips might not contain SNPs in coding or

regulatory regions or rare SNPs with significant effects. Thus, combining GWAS and candidate gene approaches may generate more comprehensive and consistent results⁴⁵.

EPIGENETIC INFLUENCES ON THE RESPONSE TO PM EXPOSURE

Epigenetic regulation of gene expression

Epigenetics refers to information heritable through cell division other than the DNA sequence itself. During development, cell identities are maintained through epigenetic mechanisms. The developmental state of a cell can be reprogrammed by either somatic cell nuclear transfer or by specific genes in combination, suggesting that the information specifying cell identity is not only heritable, but ultimately reprogrammable $46,47$. Epigenetic mechanisms include DNA methylation, post-translational histone modification, histone variation, chromatin remodeling and non-coding RNA (Figure 1). DNA methylation refers to the covalent addition of a methyl group to a cytosine (C) residue, and promoter methylation is correlated with gene expression silencing. It occurs mostly in the context of CG dinucleotide, however non-CG methylation has been recently described at CHG and CHH sites (where H is A, C or T)^{48, 49}. In mammalian cells, DNA methyltransferase 1 (DNMT1) methylates hemi-methylated parent-daughter duplexes during DNA replication. Methyltransferases DNMT3a and DNMT3b *de novo* methylate DNA, setting up the methyl-CG landscape of the genome early in development. The mechanism for demethylating DNA remains controversial⁵⁰. Recently, Ten-Eleven-Translocation (TET) proteins and 5hydroxymethylcytosine (5hmC) were proposed as a plausible mechanism as the generation of 5hmC by TET proteins is associated with large scale erasure of methylation in primordial germ cells and early embryo^{51, 52}. Hypermethylation of CpG sites located at gene promoters are commonly associated with transcriptional silencing, possibly through precluding the binding of transcription factors to their target sequences and increasing affinity to methylated DNA binding proteins that further recruit other epigenetic modifiers and corepressors53. However, it has been recently demonstrated that during cellular differentiation, reprogramming and cancer development, DNA methylation alterations happen not only at promoters, but also at other regions that are far away from transcription start sites⁵⁴⁻⁵⁶. The biological significance of these DNA methylation changes remains unclear.

Modification of histones and other epigenetic mechanisms can regulate gene expression conjointly with DNA methylation (Figure 1). The carboxyl ends of histones have specific amino acids that are sensitive to posttranslational modifications including methylation, acetylation, phosphorylation, sumoylation and ubiquitination. Open chromatin or euchromatin is characterized by high levels of acetylation and trimethylation of Histone 3 lysine 4 (H3K4me3), lysine 36 (H3K36me3) and lysine 79 (H3K79me3). On the other hand, compact chromatin or heterochromatin is characterized by low levels of acetylation and high levels of Histone 3 lysine 9 (H3K9me3), lysine 27(H3K27me3) and Histone 4 lysine 20 methylation (H4K20me3)⁵⁷. Different histone variants are found at specific genomic locations and implicated in gene expression regulation and epigenetic memory of cellular states^{58, 59}. Several groups of large chromatin remodeling complexes (i.e. SWI/SNF, ISWI, CHD and INO80) are known to move, destabilize, eject or restructure nucleosomes and modulate gene expression⁶⁰.

Heterochromatin formation can also be mediated through interactions between long intergenic non-coding RNA (LincRNAs) and chromatin remodeling complexes, resulting in gene expression silencing. Small noncoding RNAs (miRNAs) directly target mRNAs and regulate cognate target gene expression^{61, $\overline{62}$}. All epigenetic mechanisms closely intertwine with each other and regulate the gene expression program of a cell to ensure its proper cellular function.

Epigenetics and environment

The epigenome is an important target of environment-induced modification and may serve as an interface between inherited genome and dynamic environment. Heavy metals, dietary choices and maternal behavior alter DNA methylation and chromatin, and some of these epigenetic changes can be transmitted to subsequent generations⁶. For example, transmission of asthma risk after maternal exposure to environmental tobacco smoke (ETS) may continue across two generations⁶³.

Epidemiological studies have linked early environmental exposures, such as *in utero* starvation and exposure to mold, to long-term health consequences⁶⁴⁻⁶⁶, supporting the theory of the early origin of adult diseases. Epigenetic alterations can occur prenatally, perinatally, and later in life during developmental stages with unique susceptibility to the effects of environmental exposures. Children with *in utero* exposure to maternal smoking exposure had different repeat element and gene specific methylation compared to unexposed children^{67, 68}. A post-weaning diet lacking in methyl-donors (folic acid, Vitamin B12, methionine and choline) can affect the CpG methylation status of specific genes, and the resulting methylation changes persisted following a return to normal diet, consistent with the stable and heritable nature of DNA methylation $6\overline{9}$.

The impact of environment on the genome may be best illustrated by twin studies. Remarkably, human monozygotic twins are indistinguishable in their overall content and genomic distribution of global 5mC and histone acetylation during the early years of life (3 years old), whereas older monozygous twins (~50 years old) exhibited remarkable differences, which correlated with differences in gene expression⁷⁰, highlighting the impact environment has on epigenome.

Epigenetic changes associated with PM exposure

Multiple studies have revealed the effects of PM on the epigenome including human, *in vitro*, and animal studies (Table 1 and Figure 1). In the umbilical blood DNA of subjects from Columbia Center for Children's Environmental Health study, the promoter of *ACSL3* gene was hypermethylated and this correlated with increased maternal polyaromatic hydrocarbons (PAHs) exposure⁷¹. Furthermore, the increased DNA methylation correlated with silencing of *ACSL3*, which encodes an isozyme of the long-chain fatty-acid-coenzyme A ligase family and plays a key role in lipid biosynthesis and fat acid degradation. Exposing human airway epithelial cell lines to PM_{10} or DEP induced a global increase in histone H4 acetylation72, 73. Increased histone H4 acetylation at the promoters of *IL8* and *COX2* was associated with increased expression of these two genes. PM_{10} -enhanced H4 acetylation was mediated in part by oxidative stress as thiol antioxidant inhibition by N-acetyl-L-cysteine ameliorated the effects of PM treatment⁷². DEP exposure also caused alteration of miRNA expression in human airway epithelial cells grown at air-liquid interface⁷⁴; 197 of the 313 detectable miRNAs (62.9%) were either up-or down-regulated \geq 1.5 fold, including many miRNAs associated with responses in inflammatory pathways. Mir-222 and mir-21, which have been implicated in redox signaling and inflammation, were upregulated after exposure to PM₁₀.⁷⁵

CD4+CD25+Foxp3+ Treg cells regulate effector T cells and have been shown to limit allergic inflammation in response to inhaled allergen^{76, 77}. Foxp3 is the major transcription factor that mediates Treg development and its expression is epigenetically regulated^{78, 79}. In a recent study of children with asthma exposed to high vs. low levels of ambient air pollution, hypermethylation of the *FOXP3* locus was observed in the peripheral blood Tregs of asthmatic children exposed to high levels of ambient air pollution compared to the low

exposure group. Furthermore, *FOXP3* hypermethylation was associated with decreased Treg function and increased asthma morbidity $\overline{80}$.

Human population studies have shown that methylation of repeat elements LINE1 and Alu are negatively associated with PM exposure $81, 82$ (Table 1). However, in another study of 718 elderly participants in the Boston area Normative Aging Study, the methylation level of LINE1 but not Alu, decreased after recent exposure to black carbon and PM_2 , 5^{83} ; and an increase of LINE1 and Alu methylation in association with higher exposure to PAH was observed in the peripheral blood lymphocyte DNA from non-smoking coke-oven workers compared to matched control individuals⁸⁴. Thus, although methylation changes are taking place, the specific changes in methylation may be dependent on factors such as timing and length of exposure, co-exposures, the route(s) of exposure, the target tissue/cell, and host development and genetics. These contributory factors and the mechanisms need to be better clarified.

Animal studies have been useful to evaluate epigenetic modifications following pollutant exposure. Male C57BL/CBA mice breathing ambient air near two steel mills and a major highway for 10 weeks or 16 weeks demonstrated global hypermethylation in sperm DNA compared to those breathing filtered air, and this epigenetic change persisted following removal from the environmental exposure85. In BALB/c mice sensitized by *Aspergillus fumigatus*, chronic inhaled exposure to DEP promoted Th2 differentiation through hypermethylation of the *IFNγ* promoter and hypomethylation of *IL4* promoter in CD4+ T cells86. Furthermore, altered methylation of promoters of both genes was significantly correlated with changes in IgE levels.

In summary, exposure to DEP or DEP chemicals results in epigenetic changes at repeat elements and specific candidate genes, some of which are strongly correlated with alteration in expression levels. The significance of these epigenetic changes as biomarkers for DEP exposure and the underlying mechanisms require further investigations. Given that the epigenetic changes caused by DEP are inheritable during cell division and can potentially transfer to future generations, it is very important to study the role of epigenetics in the association of early life DEP exposure to allergic disorders later in life.

PROSPECTIVE AND FUTURE DIRECTIONS

In this review, we discussed the genetic and epigenetic influences on the cellular response to PM, DEP in particular. Although progress has been made, many questions remain (Table 2).

Genome wide association studies (GWAS) represent a powerful tool for investigating the genetics of common diseases and have successfully identified several genetic variants associated with exposure to air particulates. However, findings from these studies are largely limited to common or imputed single nucleotide polymorphisms, which likely confer small increases in risk 87 . Rarer variants present in less than 5% of population that are poorly detected by available genotyping arrays possibly have larger effects. In order to more fully define the genetic influence that modifies responses to environmental pollutants, longitudinal studies designed to assess early life exposure are required. Non-SNP variants including copy number variation and copy neutral variation need to be included in future studies. Identification of rare variants by exome or whole genome sequencing, use of shared datasets provided by the 1000 Genomes Project, and the design of meta-studies with consistently well-defined phenotypes across large population sets will greatly advance the development of genetic studies.

How PM causes epigenetic changes is an intriguing question. Genetic variations in glutathione-s-transferases (*GSTP1* and *GSTM1*) have been identified as potential modifiers

of the responses to PM. GSTs represent a major group of detoxification enzymes, and their substrate glutathione (GSH) is produced through transsulfuration pathway from cysteine, which is connected with the methylation cycle and the folate cycle (Figure 2). In conditions with high oxidative stress and low GSH, such as autism⁸⁸ and severe asthma⁸⁹, the enhanced need to synthesize GSH could potentially impair biosynthesis of SAM (Sadenoylmethionine, the major methyl donor for most methyltransferases that modify DNA, RNA, histones and other proteins) and perturb DNA methylation⁹⁰. In support of this, diets lacking sources of methyl-groups (folic acid, B12, choline and betaine) result in global and gene-specific DNA hypomethylation⁹¹. DEP exposure itself can contribute to oxidative stress; *in vitro* exposure to DEP induced a decrease in the cellular GSH:GSSG ratio³¹. Thus in early life, this may be a mechanism by which PM could affect global and specific gene methylation. One might postulate that PM may influence the methylation cycle by depleting glutathione, thus promoting epigenetic changes. It is also been proposed that oxidative stress can affect global epigenetic patterns by interfering with metabolism, and thereby activating TET and other chromatin modifiers⁹². Moreover, histone acetylation changes induced by DEP *in vitro* can be countered in part by the addition of antioxidants⁷², thus, there may be an opportunity for targeted intervention in high risk infants aimed at disease prevention.

An epigenetic origin of disease has been suggested based on the observations linking early life exposure with later disease. Birth cohort studies have revealed that early life exposure (before age 1) to DEP leads to persistent wheezing $37, 38$. It will be necessary to determine the developmental origins of this susceptibility in order to develop interventions aimed at prevention of disease during this critical window in early life. Epigenetic patterns associated with specific environmental pollutants may be useful biomarkers to determine the long-term effects of early exposure to environmental pollutants on human health, although many questions remain to be answered⁶. Epigenetic modifications may turn on or off gene expression inappropriately; or may mask or unmask DNA sequence variation that has disease consequences. Studies in twins and healthy controls identified genetic variations that were associated with nearby differences in DNA methylation⁹³. In the same study, the heritability estimate in DNA methylation at 96 CpG sites out of 431 CpG sites examined was as high as 94%. Therefore, integrating epigenetics into genetics studies to study their influences on responses to environmental pollutants will greatly help to identify risk factors and better understand the pathogenesis of diseases associated with environmental pollution.

To study epigenetic makers associated with environmental pollutants, the most optimal epigenetic methodology has to be utilized. Research to date has been utilizing methylation of repeat elements as indicators of global methylation. Whether methylation of repeat elements can be used as markers for pathological diseases or specific exposure requires further investigation because the methylation status of these elements is influenced by interindividual variability and gender specific variation^{94, 95}. With the development of newer technologies, more discovery-oriented approaches can be utilized to identify epigenetic responses to air pollutants. Statistical tools and concepts are being developed to analyze, interpret and compare population-level epigenetic data. Another limitation of environmental epigenomic studies is sample choice. The most common samples studied include blood, buccal cells, cord blood and skin cells. Whether these are legitimate surrogate tissues for airway cells needs to be carefully evaluated. Continued research is necessary to understand how DEP is recognized in the airways and what cellular pathways initiate and mediate innate and adaptive immune responses to DEP that promote adverse health effects.

Abbreviations

5hmC 5-hydroxymethylcytosine

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DNA methylation, post-translational histone modification, histone variation, chromatin remodeling complexes, non-coding RNA and other unidentified epigenetic factors interact with each other to delicately regulate gene function. PM exposure can potentially affect all these epigenetic modifications and result in altered gene expression. PM exposure induces changes in DNA methylation, histone acetylation and microRNA expression that correlate with gene expression differences. Black circles represent PM.

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Figure 2. Potential mechanism by which PM affects epigenome

Oxidative stress generated by PM may interfere the methylation cycle by depleting glutathione, thus promoting epigenetic changes. Levels of SAM (S-adenoylmethionine, the methyl-donor) are maintained by the methylation cycle. After SAM donates its methyl group, it is converted into homocysteine, which recycles back to SAM (SAM- >homocysteine ->methionine ->SAM). This recycling is catalyzed by methionine synthase (MS), which requires an active form of B12 (methylcobalamin) and folate (5-methyl-THF). PM induces oxidation of glutathione (GSH) to GSSG and decreases the levels of homocysteine in the methylation cycle. Thus, cellular levels of methionine and SAM decrease, resulting in reduced methylation of DNA, RNA, protein and lipids.

TABLE 1

Epigenetic modifications induced by PM exposure.

TABLE 2

Summary and remaining questions.

