# Assessing the Risk of International Spread of Yellow Fever Virus: A Mathematical Analysis of an Urban Outbreak in Asunción, 2008

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Abstract. Yellow fever virus (YFV), a mosquito-borne virus endemic to tropical Africa and South America, is capable of causing large urban outbreaks of human disease. With the ease of international travel, urban outbreaks could lead to the rapid spread and subsequent transmission of YFV in distant locations. We designed a stochastic metapopulation model with spatiotemporally explicit transmissibility scenarios to simulate the global spread of YFV from a single urban outbreak by infected airline travelers. In simulations of a 2008 outbreak in Asunción, Paraguay, local outbreaks occurred in 12.8% of simulations and international spread in 2.0%. Using simple probabilistic models, we found that local incidence, travel rates, and basic transmission parameters are sufficient to assess the probability of introduction and autochthonous transmission events. These models could be used to assess the risk of YFV spread during an urban outbreak and identify locations at risk for YFV introduction and subsequent autochthonous transmission.

#### INTRODUCTION

Yellow fever virus (YFV) is endemic to sub-Saharan Africa and tropical South America, where it is maintained in nature by transmission between nonhuman primates and sylvatic mosquito species. Humans become infected when they enter jungle areas and are fed on by infectious mosquitoes. As infected humans move, they can transport the virus from one region to another, serving as a source of infection for naïve mosquitoes in distant locations. Although the vast majority of yellow fever occurs in remote, rural areas, urban outbreaks can occur in areas infested by the anthropophilic mosquito Aedes aegypti, a highly efficient vector of YFV. In 2008, an outbreak of urban yellow fever was identified in metropolitan Asunción, Paraguay.<sup>2</sup> This was the first urban yellow fever outbreak documented in South America since 1942 and raised concerns of the potential spread of the virus to non-endemic areas with vectors capable of transmitting the virus, such as the Caribbean, Central America, and North America.

In the Americas, the scale of yellow fever outbreaks over the last one-half century has been limited by large-scale *Ae. aegypti* control efforts<sup>3</sup> and the use of YFV vaccine.<sup>4</sup> However, problems with vector control program sustainability,<sup>5,6</sup> vaccine supply,<sup>7–9</sup> and adverse events associated with vaccination<sup>10–12</sup> threaten primary prevention efforts. Recognition of the outbreak in Asunción was quickly followed by intensive vector control efforts in over 25,000 households and administration of more than 1 million doses of YFV vaccine.<sup>2</sup> Because of either interventions or natural abatement, the Asunción outbreak was limited to only nine confirmed cases. With a more hospitable environment and less control effort, this small outbreak could have led to a larger, possibly international epidemic.

Previous work has quantified the continuing risk of introduction of YFV into urban environments<sup>13</sup> but has not addressed the risk of further spread. With the convenience and speed of modern airline travel, travelers infected with YFV may quickly arrive in nearby or distant international locations. Given the

high densities of competent vector mosquitoes in many tropical and sub-tropical areas of the world and the low vaccine coverage rates outside of endemic regions, YFV-infected travelers could present a major risk to many populations where suitable conditions for transmission are present. The challenge that we confront is to estimate the magnitude of that risk. To simulate the global spread of YFV from a single urban outbreak by infected airline travelers, we developed a metapopulation model to quantify critical measures of global spread and estimated the risk of spread associated with the Asunción outbreak. We then used probabilistic models to estimate the probabilities of spread based solely on simplified estimates of the most critical components.

#### MATERIALS AND METHODS

Stochastic metapopulation model. A full description of the model and parameterization can be found in the Supplemental Information. Briefly, we included 141 cities (Figure 1) based on their importance to international travel, proximity to yellow fever endemic areas, or involvement in the recent spread of chikungunya virus (another arthropod-borne virus transmitted by Aedes mosquitoes). Each city was given a local human population consisting of susceptible, incubating, infectious, and immune individuals, any of whom can engage in temporary travel to other cities. 14 Climate data for all cities were extracted from long-term climate models created by the Climate Research Unit of East Anglia University, United Kingdom. 15 Cities where at least 6 months of a typical year have an average temperature of less than 10°C or no rainfall were considered unsuitable for Ae. aegypti habitation. 16 Each suitable city was given an Ae. aegypti mosquito population that varies depending on local, daily, climate-dependent mortality rates determined using a spline-smoothed version of the climate data. The mosquito populations included susceptible, incubating, and infectious mosquitoes. Mosquitoes may be infected by feeding on viremic humans, at which point they undergo an incubation period before becoming infectious. Humans, in turn, may be infected by infectious mosquitoes and then undergo an incubation period followed by a viremic phase and then recovery, at which point they gain immunity to YFV. We incorporated two vaccination scenarios in the

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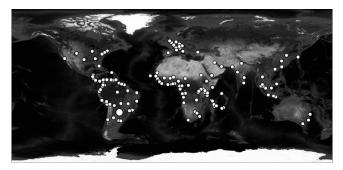


FIGURE 1. The 141 cities included in the analysis. Asunción is indicated by the largest dot.

model: no vaccination and previous vaccination based on the latest available country-specific vaccine coverage estimates from the World Health Organization.<sup>17</sup> Previously vaccinated individuals were considered immune.

Travel (including connecting travel) between each city pair was estimated using city and network characteristics in a regression model based on US sampled itinerary data (US Department of Transportation; www.transtats.bts.gov/Tables.asp?DB\_ID=125) and global airline data (Official Airline Guide; www.oagaviation.com/Solutions/AnalysisTools/Traffic/t100inet.html).

Incubation periods were modeled based on historical YFV data. <sup>18</sup> Temperature- and humidity-dependent *Ae. aegypti* mortality was derived from previous work by Focks and others. <sup>19</sup>

Published data on the human infectious period, vector density, vector biting rate, efficiency of human to vector transmission, and efficiency of vector to human transmission are too limited to adequately characterize these components (Table 1). Rather than analyzing the sensitivity of the model outcome to each of these parameters individually, we combined their lowest estimates to create a lower-limit low transmissibility scenario, their highest estimates to create a worst-case high scenario, and central estimates to create a moderate scenario. For the ease of discussion, we classify these scenarios in terms of  $R_0$ , the basic reproductive number. In the case of a vector-borne virus such as YFV,  $R_0$  can be defined as the average number of human infections resulting from a single human infection (calculation described in Supplemental Information).

Each simulated epidemic is seeded by introducing infected humans to a single city at a specified day of the year. The model is discrete with daily time steps, and all interactions are stochastic. A number of epidemics are simulated to generate a range of possible outcomes starting from a given scenario.

**Probabilistic models.** A full description of these models can be found in Supplemental Information. The models are

parameterized the same as the stochastic metapopulation model. With  $p_{i,j}$  as the probability of travel from city i to city j and  $N^{I}_{i,t}$  as the number of infected individuals in city i at time t, the probability of infected individuals traveling from a particular city, i', to any other city by time T can be written as:

$$p_{SPREAD}(i',T) = 1 - \prod_{t=0}^{T} \prod_{i \neq i'}^{I} (1 - p_{i',i})^{N_{i',i}^{I}}, \tag{1}$$

where i is the city index for cities i = 1, 2, ..., I and I is the total number of cities. The probability of introduction from any other city to city i' by time T can be written as:

$$p_{INTRO}(i',T) = 1 - \prod_{t=0}^{T} \prod_{i \neq i'}^{I} (1 - p_{i,i'})^{N_{i,t}^{I}}.$$
 (2)

If the time series  $N^I_{i,t}$  is unknown, the equation may be reformulated to assume that all we know is an estimate of the number of people who have been infected and the rates of travel. Equation 1, describing the probability of an infected traveler leaving city i', can be simplified to be a function of cumulative infected person-days in city i',  $X_i$ :

$$p_{SPREAD}(i') = 1 - \prod_{i \neq i'}^{I} (1 - p_{i',i})^{X_{i'}}.$$
 (3)

To assess the probability of novel autochthonous transmission events, we used branching process analysis. <sup>20</sup> In the case of vectorborne infections, an infectious human generates a random number of infectious vectors from a distribution determined by the vector density, feeding rate, transmission efficiency, and probability of a vector surviving the extrinsic incubation period. An infectious vector, likewise, may give rise to any number of infectious humans dependent on the feeding rate, transmission efficiency, and vector longevity. To analyze the probability of extinction in a single step, we analyzed the value g(0) for the respective probability generating function g(s). <sup>20</sup> In this case, we use a composite probability-generating function to analyze the probability that three processes—infectious individuals traveling from city i to j, infection of vectors in city j, and infection of humans in city j—result in zero new human cases at time t:

$$g_{T_{l}}(g_{V}(g_{H}(0,i,j,t))) = 1 - \left(1 - p_{i,j} + p_{i,j}e^{R_{0j,i}^{HV}(e^{-R_{0j,i}^{VH}} - 1)}\right)^{N_{i,l}^{l}}.$$
(4)

This equation requires  $R_0^{HV}$  and  $R_0^{VH}$ , the average number of infectious vectors produced per infectious human and the

Table 1
Parameters for the low, moderate, and high transmissibility scenarios

Parameter	Low	Moderate	High	Source
Female mosquitoes per person*	1	2	4	52
Vector longevity (days)*	10.6†	10.6†	10.6†	19
Human blood meals per mosquito per day	0.5	0.7	1	29, 35, 36, 53, 54
Efficiency of human to vector transmission	0.2	0.5	0.5	29, 31–36
Efficiency of vector to human transmission	0.5	0.5	1	32
Extrinsic incubation period (days)*	6.9†	6.9†	6.9†	18
Human infectious period (days)	3	3	4	55
Peak R <sub>0</sub> (at 36°C and 100% relative humidity)	0.42	4.1	90	

<sup>\*</sup>These factors are dependent on local climate and thus, exhibit significant spatiotemporal variation. Their values here correspond to 36°C and 100% relative humidity. †These factors are considered well-estimated and thus, do not vary between models.

average number of infectious humans produced per infectious vector, respectively, both of which exhibit spatiotemporal variation and thus, are subscripted (i,t). As above, introduction may occur from different cities and at different time points, and therefore, the probability of novel autochthonous transmission in city i' by time T is:

$$p_{AUTO}(i',T) = 1 - \prod_{t=0}^{T} \prod_{i \neq i'}^{I} \left( 1 - p_{i,i'} + p_{i,i'} e^{R_{0i',i}^{HV}} (e^{-R_{0i',t}^{VH}} - 1) \right)^{N_{i,t}^{I}}.$$
(5)

This probability can also be estimated in the absence of complete information by modifying Equation 5 to use infected person-days, X, for each potential source city rather than a daily number of infected individuals:

$$p_{AUTO}(i') = 1 - \prod_{i \neq i'}^{I} \left( 1 - p_{i,i'} + p_{i,i'} e^{R_{0i',i}^{HV}} (e^{-R_{0i',t-1}^{PH}}) \right)^{X_i}.$$
 (6)

The probability of spread from a given city, i', resulting in autochthonous transmission in any other city is:

$$p_{SPREAD \to AUTO}(i') = 1 - \prod_{i \neq i'}^{I} \left( 1 - p_{i',i} + p_{i',i} e^{R_{0i,i'}^{HV}(e^{-R_{0i,i'}^{YH}} - R_{0i,i'}^{YH})} \right)^{X_{i'}}.$$
(7)

In the presence of vaccination,  $R_0^{VH}$  is replaced by the effective reproductive number,  $R_E^{VH}$ , indicating transmissibility in a partially vaccinated population:

$$R_E^{VH} = R_0^{VH} (1 - p_{VAX}), (8)$$

where  $p_{\text{VAX}}$  is the proportion of the population that has been effectively vaccinated.

### **RESULTS**

 $R_{0}$ . We first established three different model parameter sets and characterized them in terms of  $R_{0}$ , which indicates the average number of infected humans produced by a single infected human in a completely naïve population. Using low, moderate, and high literature estimates of the parameters for the human infectious period, vector density, vector biting rate, efficiency of human to vector transmission, and efficiency of vector to human transmission, we estimated  $R_{0}$  values to be 0.42, 4.1, and 90 under the respective scenarios at peak transmissibility conditions (Table 1). Although these  $R_{0}$  estimates classify transmissibility at 36°C and 100% relative humidity, transmissibility in the model is adapted to reflect temporal and geographic variation of local climate. Figure 2 shows global climate-adjusted estimates of  $R_{0}$  based on the moderate transmissibility scenario for January and July.

**Spread without vaccination.** Before the outbreak-associated vaccination campaign in 2008, reported vaccine coverage in Paraguay was 34%,<sup>17</sup> but vaccination efforts had been concentrated on children throughout the country and people in rural border areas rather than in Asunción.<sup>2</sup> We, therefore, assumed that the population of Asunción was 100% susceptible. Furthermore, to simulate a worst-case scenario, we assumed that all other populations were also 100% susceptible. The first cases in Paraguay were reported in January of 2008, and therefore, we ran 1,000 simulations with the introduction of a

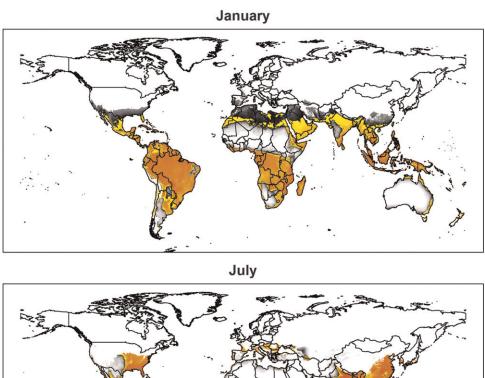
single incubating individual to Asunción on January 1. Given the local climate at that time of year, the initial  $R_0$  values in Asuncion were 0.047, 0.46, and 10 for the low, moderate, and high scenarios, respectively.

In the low transmissibility scenario, only 2.3% of the simulations resulted in local transmission, with a maximum of seven additional cases occurring (Table 2). Because transmission under this scenario was so limited and spread to other cities did not occur, it was not considered in later experiments. Under the moderate transmission scenario, a single introduction led to additional human transmission in 128 (12.8%) of the simulations. In 108 (84.4%) of these outbreaks, the outbreak involved only local transmission, affecting a median of 2 persons with a range of 1-981 persons. In two outbreaks, infectious individuals arrived in other cities but did not initiate any additional transmission. In the other 20 (15.6%) simulations, however, large epidemics occurred, affecting 450,000-550,000 people in Asunción, and international spread occurred, resulting in YFV pandemics. Figure 3 shows epidemic curves for a selection of cities under the moderate  $R_0$  model. Under the high  $R_0$  scenario, nine (0.9%) simulations resulted in only small-scale local transmission (one to three additional cases), one of which resulted in a single infected traveler going to New York (Table 2). In 689 (68.9%) other simulations, there were large local outbreaks leading to pandemics.

Dynamics were monitored locally for both potential introduction (i.e., the presence of infectious individuals) and autochthonous transmission, which was evidenced by a locally acquired human infection (Figure 3). In the moderate  $R_0$  model, the first international spread of YFV by an infected or infectious traveler from Asunción occurred at a median of 259 days (range = 14–561 days) after introduction into Asunción. At the time of introduction, a median of 1,013.5 infections (range = 3-6,363 infections) had occurred in Asunción. The first international autochthonous transmission occurred after 596.5 days (range = 203-1,310 days) when there had been 10,654 infections (range = 1,045-61,240 infections) in Asunción. In the high  $R_0$  model, both introduction and autochthonous transmissions occurred earlier at a median of 53 (range = 3-80 days) and 68 days (range = 27–94 days), respectively. This finding corresponded to a median of 756 infections (range = 5-8,735 infections) occurring before the earliest foreign introduction event and 9,468 infections (range = 28-140,681 infections) before the first foreign autochthonous transmission event.

The first three cities to which YFV was introduced by infected travelers in both the moderate and high transmissibility scenarios were Paris, London, and New York. Autochthonous transmission occurred earliest, on average, in New York, Miami, and Singapore in the moderate  $R_0$  model and Miami, Sao Paulo, and Singapore in the high  $R_0$  model. This order of initiation of autochthonous transmission varied greatly between simulations. For example, although Miami was, on average, the first city to experience autochthonous transmission in the high  $R_0$  model, in 25.7% of the simulations, 10 or more cities experienced transmission before Miami.

**Spread with vaccination.** We then repeated simulations for both the moderate and high transmissibility scenarios under the assumption that the population of each city had been vaccinated at the last reported coverage rate for its respective country. In Asunción, for example, 67% of the population was assumed to be immune, because the estimated YFV vaccine coverage for Paraguay was 67% after the 2008 vaccination



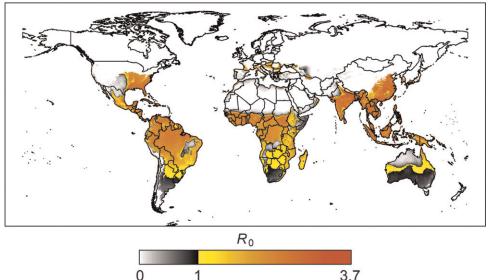


FIGURE 2. Estimated  $R_0$  in January and July for an average year.  $R_0$  is a measure of transmission potential under idealized contact conditions and does not account for important extant determinants of transmission, such as the prevalence of vaccination or personal protective practices.

campaign. Under these conditions, local transmission occurred in 69 and 640 of 1,000 simulations in the moderate and high transmission scenarios, respectively (Table 2). In the 6.9% of moderate scenario simulations in which transmission occurred, transmission was limited to 1–12 new local infections with a single infected traveler but no subsequent transmission. In the high  $R_0$  model, 57.4% of the simulations resulted in pandemics. When pandemics occurred, the total number of infections globally was reduced by ~26% (307.2–307.8 million with vaccination versus 416.4–416.8 without vaccination).

**Probabilistic models.** For each simulation, the probabilities of introduction,  $p_{INTRO}$ , and introduction leading to autochthonous transmission,  $p_{AUTO}$ , were calculated using the theoretical models. Figure 4A shows the increase in  $p_{INTRO}$  over the course of a single simulation for three cities. Introduction is predicted when  $p_{INTRO} = 0.5$ . In the moderate  $R_0$  model, there were 2,802 simulated introductions of a possible 140,000 (1,000 simulations for 140 cities). On average, predicted introduction was 22 days (middle 95% = -253-277 days) (Figure 4B and C) and

2 days (middle 95% = -23-23 days) before introduction in the moderate and high  $R_0$  scenario simulations, respectively. Of the 2,802 simulated introduction events, 2,800 were predicted for a sensitivity of greater than 99% and a negative predictive value (NPV) of greater than 99%. With 20 false positives, both the specificity and positive predictive value (PPV) were

Table 2

Occurrence of local YFV transmission in Asunción, infected travelers, and autochthonous transmission in other cities in simulations under different transmissibility scenarios

$R_0$ parameterization	Transmission in Asuncion (%)*	Infected travelers (%)*	Transmission in other cities (%)*
Low	2.3	0.0	0.0
Moderate	12.8	2.2	2.0
High	69.8	69.0	68.9
Moderate with			
vaccination	6.9	0.1	0.0
High with vaccination	64.0	57.4	57.4

<sup>\*</sup>Percentage of 1,000 simulations.

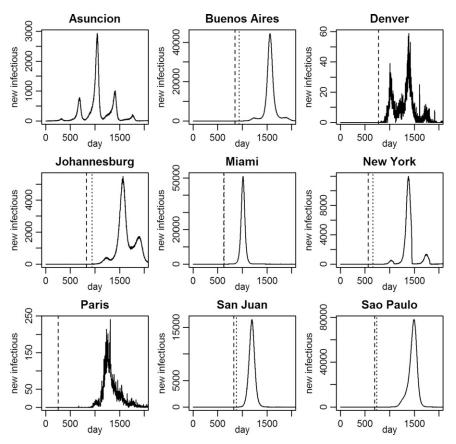


FIGURE 3. Pandemic simulation. The lines indicate the number of individuals becoming infectious each day for nine representative cities in a single moderate  $R_0$  pandemic simulation. YFV transmission is initiated in Asunción, where transmission follows a seasonal pattern. The first introductions to other cities (dashed lines) began with Paris on day 254. The first autochthonous transmission outside of Asunción (dotted lines) occurred in Miami on day 629 (after introduction on day 622). Repeated introductions and seasonal variation in transmissibility may lead to recurring epidemics. In Denver and Paris, the climate does not support YFV transmission, and therefore, all of the infectious individuals in those locations are returning or visiting travelers from areas where transmission is ongoing.

also greater than 99%. In the high  $R_0$  model, all but 1 of 96,460 introductions were predicted for a sensitivity and NPV of greater than 99%. Specificity was greater than 98% with 689 false positives, and the PPV was greater than 99%.

The onset of autochthonous transmission is predicted at  $p_{AUTO}=0.5$ . In the simulations, autochthonous transmission was predicted on average 33 days (middle 95%=-171–242 days) (Figure 5) and 11 days (middle 95%=-14–33 days) before occurrence in the moderate and high  $R_0$  simulations, respectively. In the moderate  $R_0$  model, autochthonous transmission was predicted on 2,580 occasions, of which 2,560 had simulated transmission events (PPV >99%). Specificity was also greater than 99% with no false negatives, and sensitivity and NPV were 100%. The high  $R_0$  model also had no false negatives (sensitivity =100%, NPV =100%). There were 689 false positives, however, with specificity and PPV approximately 99%.

With preexisting vaccination, only the high  $R_0$  model led to autochthonous transmission in other areas (Table 2). For introduction and autochthonous transmission, sensitivity, specificity, PPV, and NPV were all greater than 99%. Both the prediction and occurrence of introduction and autochthonous transmission were delayed when vaccination was incorporated (Figure 6).

We also assessed the probabilistic models in the case where complete data on an epidemic is unknown. Figure 7A shows the probability of spread,  $p_{SPREAD}$ , from Asunción using the

travel parameters presented here under increasing cumulative infected person-days and the probability of spread resulting in autochthonous transmission,  $p_{SPREAD \rightarrow AUTO}$ , in at least one other city based on the number of infected person-days and  $R_0^{HV}$  and  $R_0^{VH}$  on January 1. The probability of spread leading to autochthonous transmission is delayed compared with the probability of spread, and it is further delayed with decreased  $R_0$  or the presence of preexisting vaccination in other cities. With 10,000 infected person-days, for example, the probability of spread having already occurred is approximately 0.8, and the probability of autochthonous transmission having occurred in another city is approximately 0.5 under the high  $R_0$  model and 0.2 under the moderate  $R_0$  model. Note that an average human infection results in 7.6 infected person-days (4.6 days incubating and 3 days infectious), and therefore, 10,000 infected persondays is roughly equivalent to a cumulative total of 1,300 people infected.

The modified infected person-day equations can also be used to calculate the probability of introduction to a particular city. Figure 7B shows how the cumulative probabilities of introduction and autochthonous transmission in three cities follow the number of infected person-days in Asunción. In the case of Paris, introduction is highly probable, but  $R_0$  is so low on January 1 that the probability of autochthonous transmission is virtually zero. Meanwhile, when  $R_0$  is high, such as in Miami and Johannesburg in the high  $R_0$  model, the probability

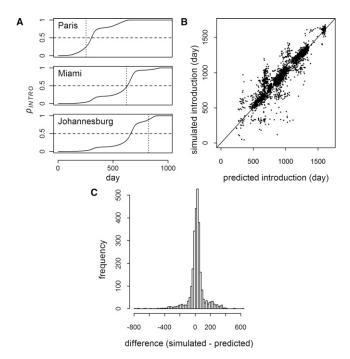


FIGURE 4. Probability of introduction events (moderate  $R_0$ ). **A** shows the probability of introduction (solid line) for three cities as a function of time in a single simulation. For each city, the threshold,  $p_{INTRO} = 0.5$ , is indicated by the horizontal dashed line, and the time of actual first introduction in the simulation is indicated by the vertical dotted line. **B** shows simulated versus predicted introduction times for all cities where introduction was predicted and occurred (N = 2,800). **C** is a histogram of the time difference between the predicted and simulated introductions in **B** (mean difference = 22 days, middle 95% = -253–277 days).

of introduction leading to autochthonous transmission is nearly equivalent to the probability of introduction.

## DISCUSSION

The model described here is the first model to mechanistically address the potential for a vector-borne pathogen, such as YFV, to spread around the world through infected airline travelers. It was built using our best understanding of the dynamics of *Ae. aegypti* mosquitoes, YFV infection, and global travel and was designed to assist in assessing the probabilities of spread of YFV in the event of an urban epidemic. To put this model into a real life context, we applied it to an actual outbreak that occurred in Asunción, Paraguay, in 2008. Below, we discuss our estimation of YFV transmission dynamics, what the models suggest about the outbreak in Asunción, our findings regarding the probability of introduction and autochthonous transmission of YFV, the effect of existing vaccine coverage, and the limitations of the data and models.

**YFV transmission dynamics.** We assessed three transmission scenarios representing drastically different estimations of YFV virus transmissibility and pandemic potential (Table 1). Although all three scenarios incorporate plausible estimates for individual parameters, it is likely that the moderate parameter set represents the most realistic scenario. The lowest estimate that we evaluated for  $R_0$  was 0.42, too low to reliably cause epidemics even under the most favorable environmental conditions. The highest estimate for  $R_0$  was 90, extremely high compared with related dengue viruses for which estimates

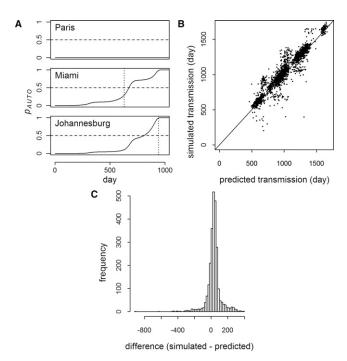


FIGURE 5. Probability of autochthonous transmission (moderate  $R_0$ ). A shows the probability of autochthonous transmission (solid line) for three cities as a function of time in a single simulation (the same simulation as Figure 4). For each city, the threshold,  $p_{AUTO} = 0.5$ , is indicated by the horizontal dashed line, and the time of the first locally acquired human infection in the simulation is indicated by the vertical dotted line (no transmission was predicted in Paris, and none occurred in the simulation). B shows the timing of simulated versus predicted autochthonous transmission events for all cities where simulated autochthonous transmission occurred (N = 2,560). C is a histogram of the difference between the simulations and predictions in B (mean difference = 33 days, middle 95% = -171-242 days).

range from 0 to 103 but with median estimates in the range of 1 to  $6.^{21-27}$  Moreover, given that most YFV epidemics are small or progress slowly, <sup>1</sup> it is more likely that  $R_0$  for YFV is generally much lower, closer to 1 than 90.

Between the low and high  $R_0$  estimates, there is much parameter flexibility. Although our moderate model likely overestimates some parameters, it likely underestimates others, leading to a middle ground. Although this likelihood cannot be explicitly tested, each parameter that we used falls within a reasonable range (more details in Supplemental Information), and the estimated geographic areas where transmission is favored (Figure 2) correspond to the known, historical, and estimated spatial distributions of YFV and dengue virus transmission. <sup>28</sup> The YFV  $R_0$  estimates from the moderate model are also similar to those estimates in previous studies. <sup>25,29,30</sup> Note that  $R_0$  is not an absolute determinant of potential transmission; many other factors such as vaccination rates, vector control programs, and personal protective measures may also determine whether transmission occurs.

Further refining estimates of  $R_0$  would be difficult because of the complexity of the underlying components. For example, various studies estimate that the average human to vector efficiency of YFV transmission is much less than 0.5, the estimate under the moderate scenario. At lower efficiency estimates, however,  $R_0$  quickly drops below one, even under ideal environmental conditions. For YFV to cause even occasional epidemics, as it does, either this efficiency has been routinely

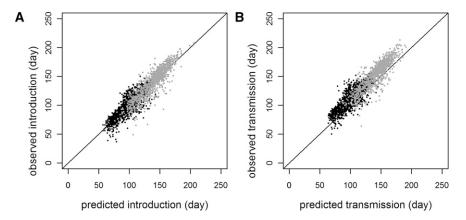


FIGURE 6. The effect of vaccination on simulated and predicted events (high  $R_0$ ). **A** shows simulated and predicted introduction times (N = 2,000, sampled randomly from the complete set) for the high  $R_0$  model with (grey) and without (black) prior vaccination. **B** shows the simulated and predicted times of the onset of autochthonous transmission under both conditions.

underestimated or there are other components that have been underestimated.

**Asunción.** In the actual Asunción outbreak, a total of nine locally acquired infections were confirmed. Using the moderate  $R_0$  parameter set to simulate the introduction of a single infected individual into Asunción, we found that small local outbreaks occurred in 10.8% of the simulations. An outbreak like the one that was reported is, thus, a distinct possibility, although no further transmission was a more common result in simulations (87.2%).

It is possible that we underestimated the probability of local outbreaks by underestimating YFV transmissibility in Asunción. In our high  $R_0$  model, the frequency of local outbreaks was higher, with local transmission occurring in 70.7% of the simulations. However, in 98% of those outbreaks, a pandemic occurred, an eventuality that did not occur in the real outbreak.

We also lack a complete description of the actual outbreak. An infected individual with a travel history to rural areas with ongoing transmission was never identified, and the true number of people infected is likely underestimated, because many infected individuals may be asymptomatic. However, if more

than one infected person had arrived, the probability of a local epidemic would have been substantially higher. For example, given that 10.8% of introductions in the moderate  $R_0$  model resulted in local transmission, if six infected people arrived, the probability of local transmission would be almost 50%  $(1 - [1 - 0.108]^6)$ .

The most probable explanation for the short-lived outbreak in Asunción is that it was self-limited because of a relatively inhospitable environment (low local  $R_0$ ) and that spread beyond Asunción did not occur, because with so few individuals infected, spread is unlikely to occur. Using Equation 3, with a total of nine infected individuals and average duration of infection of 8 days, the probability of at least one infected individual leaving Asunción is approximately 0.01.

**Probability of introduction by travelers.** The first event of interest relative to the potential spread of YFV by travelers is the appearance of an incubating or infectious individual in a population where YFV is absent. The simulations presented here can be used to directly estimate the probability of spread under the assumptions that we have presented. In the moderate  $R_0$  model, international introduction from Asunción was rare, occurring in 2.2% of simulations. However, in 90.9% of those

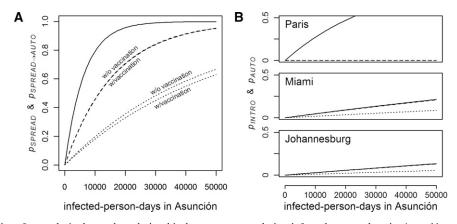


FIGURE 7. Probability of spread. **A** shows the relationship between accumulating infected person-days in Asunción and the risk of spread to at least one other city. The solid line is the probability of an infected traveler departing Asunción,  $p_{SPREAD}$ , and the dashed and dotted lines are the probabilities of the spread of autochthonous transmission to any other city,  $p_{SPREAD \to AUTO}$ , occurring under the high and moderate  $R_0$  models, respectively. The influence of vaccination is shown as indicated, with hardly any difference in the high  $R_0$  model.  $p_{SPREAD \to AUTO}$  is calculated based on  $R_0$  on January 1. **B** shows the probability of introduction (solid) and autochthonous transmission (dashed, high  $R_0$ ; dotted, moderate  $R_0$ ) to three cities from Asunción. In Paris,  $p_{AUTO}$  is almost zero under both the moderate and high  $R_0$  scenarios. In Miami and Johannesburg,  $p_{AUTO}$  under the high  $R_0$  scenario is approximately equivalent to  $p_{INTRO}$ .

simulations, YFV-infected travelers eventually reached every city in the model, leading to a pandemic. Thus, although the probability of spread is low, the consequences may be drastic. In the high  $R_0$  model, both of these events were more common, with 69.0% of simulations resulting in international spread and 99.9% of spread resulting in pandemics.

Focusing on the simulations in which pandemics did occur in the moderate  $R_0$  model, the median time to spread was 259 days, but spread occurred as soon as 14 days after the initial case was introduced to Asunción. At the time of the earliest spreading events, the median outbreak size in Asunción was just over 1,000 people and spread occurred with as little as 3 people infected. This timing, in terms of both actual time and the number of people infected, shows that outbreaks could quickly spread to other locations before being recognized.

The probabilistic models were highly sensitive and specific for the prediction of introduction in the simulations and tended to predict introduction before actual introduction (Figure 4). As Equation 3 makes clear, the cities with the highest rates of travel are the ones where the first introductions are expected. In our model, Asunción had the highest rates of travel to Paris, London, and New York, the cities where introduction occurred earliest in the simulations. After the initial spread, the situation becomes more complicated, because there are multiple sources of infected individuals.

In the midst of an ongoing outbreak, precise data on the number of people infected and the timing of their infectious periods is generally not available. Therefore, it may be of more use to estimate the risk of spread using an estimate of cumulative infected person-days. As presented in Equation 3, this estimate and an estimate of travel rates are sufficient to estimate both the probability of infected travelers leaving a given city and the probability of infected travelers arriving in a given city.

**Probability of introduced autochthonous transmission.** Assessing the risk of introduction is only the first step. Often more critical is assessing whether introduction will lead to autochthonous transmission. The only additional information needed to estimate the probability of autochthonous transmission after introduction is the transmission components  $R_0^{HV}$  and  $R_0^{VH}$  for the time and location of interest (Equation 5). As discussed above, we have estimated  $R_0$  and its subcomponents mechanistically, with reassuring concordance with historical observations and environmental suitability models.

Using probability generating functions to estimate the probability of one or more autochthonous infections, we reliably predicted our simulations of these events (Figure 5). We also estimated the probability of autochthonous transmission occurring in other cities based solely on the cumulative number of infectious person-days in a source city, showing that the probability of autochthonous transmission depends on both the probability of introduction and the efficiency of local transmission (Figure 7B). The stochasticity of these processes contributes to the high degree of variability in the city where the earliest autochthonous infections occurred in the simulations.

**Prior vaccination.** Prior vaccination in Asunción reduced the probability of outbreaks (Table 1). This finding is because of both reduced individual susceptibility (direct effect) and reduced rate of vector to human transmission, because some infectious vectors feed on immune humans (indirect effect). Because the number of local infections is a key determinant

of the probability of international spread, vaccination in Asunción reduces the frequency of spread (Table 1), and the slower growth of those epidemics that do occur leads to a delay in spread (Figure 6).

Despite the decreased probability of a seed epidemic and slower spread when these epidemics did occur, pandemics still occurred. Overall, the probability of autochthonous transmission in other cities is slightly decreased, reflecting the decreased transmissibility in the cities with high vaccine coverage (Figure 7A). Previous vaccination also contributed to a global reduction in the number of persons affected by approximately 26% or 100 million persons. Thus, although prior vaccination decreases the probability of spread occurring and slows its pace, the potential for a major global health problem persists.

Although pandemics may occur in the presence of prior vaccination, in our simulations, they only occurred in the high  $R_0$  model. Under the more realistic assumptions of the moderate  $R_0$  model, they did not occur, suggesting that previous vaccination in the population where the first infections occur may be sufficient to prevent international spread. We did not assess the critical threshold for vaccination coverage, but optimal coverage rates can be derived based on  $R_0$  values. Preventive vaccination may seem a logical control measure, but there are also problems with vaccine supply, cost, and safety. In future work, we will evaluate the potential impact of both preventive vaccination and reactive interventions, such as local vaccination and vector control, vaccination of travelers, and restriction of travel.

Limitations. Two important sources of uncertainty are the parameterizations of the travel network and YFV transmission dynamics (Table 1). The former requires more data, 14 and the latter is partly captured in the different  $R_0$  scenarios. However, even within a given scenario, there is likely more variability than we could reasonably incorporate. Different vector densities and contact rates, for instance, may vary greatly between cities based on housing characteristics and other factors that cannot be reliably assessed on a global scale. It is also not necessarily true that Ae. aegypti are present in all of the areas where YFV transmission may occur in our model.<sup>28</sup> In some areas, *Ae. aegypti* has been replaced by *Ae. albopictus*, <sup>37</sup> another competent vector. <sup>32,34,38,39</sup> Because the geographical distributions of the two species are dynamic and imprecisely known and because the relative importance of each species to YFV transmission is not well-understood, we did not attempt to model any differences between them.

Beyond the parameterization assumptions above, one of the most important assumptions that we make is that local transmission is a mass action-based process. There is ample evidence to suggest that virus transmission by Ae. aegypti is highly focal, 40-43 thus treating each city as a single pool of individuals all experiencing equal exposure risk masks significant underlying heterogeneity. However, our primary interest is the probability of spread between populations, and the local heterogeneity is likely of little importance. Perhaps most critical to the subject of interest here is the simple fact that not all travelers are equivalent. It is well-documented that travelers visiting friends and family are more likely to stay longer, stay in homes rather than hotels, and be infected by pathogens while traveling. 44-49 Unfortunately, adding more local heterogeneity for human and vector interaction would require parameterization beyond the reach of available data, especially when applied globally.

Lastly, we made significant simplifications regarding the immune status of the populations. We assumed either complete susceptibility or partial immunity on the population scale because of vaccination at a level consistent with the reported country-wide rates, which do not necessarily reflect immunity in the cities. Furthermore, vaccines are not the only source of immunity. Some populations have experienced natural exposure, and others may have acquired some degree of cross-immunity because of exposure to other flaviviruses. For example, cross-protection afforded by prior dengue virus exposure is a principal hypothesis for why YFV has not emerged in Asia, where competent vectors and dengue viruses are ubiquitous. Because of these complications and a lack of data to address them on a global scale, more accurate estimation of YFV susceptibility is a formidable challenge.

General conclusions. The models presented here provide general approaches to assessing the risk of vector-borne disease spread by infected travelers. Despite their limitations, these models may serve as useful tools and starting points for future models of vector-borne disease spread and interventions designed to reduce the risk of spread. The models also represent formal hypotheses about the YFV transmission system and travel network, which is detailed in Materials and Methods and Supplemental Information. We found that the most critical predictors of disease spread are the rates of travel, number of infected individuals, general transmission parameters ( $R_0^{HV}$  and  $R_0^{VH}$ ), and vaccination rates when vaccines are concerned. With estimates of these components, calculation of the probability of introduction and autochthonous transmission can easily be estimated for any ongoing outbreak. Meanwhile, as improved estimates of transmission components and travel rates become available, they can be incorporated into complete mechanistic models, enabling more detailed analyses of a wider variety of potential outcomes.

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