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## Respiratory function following bilateral mid-cervical contusion injury in the adult rat

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### Abstract

The consequences of spinal cord injury (SCI) are often viewed as the result of white matter damage. However, injuries occurring at any spinal level, especially in cervical and lumbar enlargement regions, also entail segmental neuronal loss. Yet, the contributions of gray matter injury and plasticity to functional outcomes are poorly understood. The present study addressed this issue by investigating changes in respiratory function following bilateral C<sub>3</sub>/C<sub>4</sub> contusion injuries at the level of the phrenic motoneuron (PhMN) pool which in the adult rat extends from C<sub>3</sub>–C<sub>5/6</sub> and provides innervation to the diaphragm. Despite extensive white and gray matter pathology associated with two magnitudes of injury severity, ventilation was relatively unaffected during both quiet breathing and respiratory challenge (hypercapnia). On the other hand, bilateral diaphragm EMG recordings revealed that the ability to increase diaphragm activity during respiratory challenge was substantially, and chronically, impaired. This deficit has not been seen following predominantly white matter lesions at higher cervical levels. Thus, the impact of gray matter damage relative to PhMNs and/or interneurons becomes evident during conditions associated with increased respiratory drive. Unaltered ventilatory behavior, despite significant deficits in diaphragm function, suggests compensatory neuroplasticity involving recruitment of other spinal respiratory networks which may entail remodeling of connections. Transynaptic tracing, using pseudorabies virus (PRV), revealed changes in PhMN-related interneuronal labeling rostral to the site of injury, thus offering insight into the potential anatomical reorganization and spinal plasticity following cervical contusion.

### Keywords

Spinal cord injury; respiration; plasticity; interneuron; pseudorabies virus; contusion

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## Introduction

Spinal cord injuries (SCIs) at upper to mid-cervical levels (i.e., C2–C6) often result in long-term impairments in breathing (Sassoon and Baydur, 2003, Winslow and Rozovsky, 2003). Injuries at C4-5 and higher are especially devastating due functional compromise of the diaphragm, which is the main inspiratory muscle. Impaired diaphragm activity is often attributed to interruption of bulbospinal, inspiratory drive projections to PhMNs at C<sub>3</sub> – C<sub>5-6</sub>. However, SCIs at those levels also result in direct uni- or bilateral damage to phoenix motoneurons and their related circuitry (Lane, 2011). Despite its susceptibility to damage, the phoenix motor system, which includes the phoenix nucleus and medullary projections to it, also possesses neuroplastic potential and the capacity for functional adaptations vital for maintaining stable blood gas homeostasis (Goshgarian, 2003, Goshgarian, 2009, Guth, 1976, Minor, et al., 2006). In fact, clinical reports have indicated varying degrees of spontaneous respiratory recovery following high cervical SCI (Bluehardt, et al., 1992, Brown, et al., 2006, Ledsome and Sharp, 1981), and such natural improvements introduce possible opportunities for therapeutically optimizing ventilatory capacity post-SCI.

The most extensively documented example of respiratory dysfunction and neuroplasticity post-SCI to date is the so-called “crossed-phoenix phenomenon” (CPP) (Goshgarian, 2003, Goshgarian, 2009, Lane, et al., 2008a, Lane, et al., 2009, Zimmer, et al., 2007) in which a C<sub>2</sub> lateral hemisection (C<sub>2</sub>Hx) results in complete paralysis of the ipsilateral hemidiaphragm. Partial functional recovery can then be induced within hours (Goshgarian, 1979, O’Hara and Goshgarian, 1991) or occur spontaneously weeks after injury (Fuller, et al., 2008, Fuller, et al., 2006, Fuller, et al., 2003, Golder and Mitchell, 2005, Lee, et al., 2010, Nantwi, et al., 1999, Vinit, et al., 2007). Both the C<sub>2</sub>Hx and more recent C<sub>2</sub> lateralized (hemi-) contusion (Baussart, et al., 2006) SCI models are predominantly upper motoneuron (i.e., white matter) injuries since the more caudal PhMN pools are completely spared. While providing important proofs-of-concept for neuroplasticity and repair, such injuries are clinically infrequent (NSCISC, 2008) and do not identify with the more common upper and lower motoneuron pathology associated with contusion/compression trauma at the level of the PhMN pool (Lane, 2011, Lane, et al., 2008a).

Three publications to date have explored respiratory function following contusive injuries at the level of the PhMN pool (Choi, et al., 2005b, El-Bohy, et al., 1998a, Golder, et al., 2011) with each showing initially impaired responses to respiratory challenges followed by improvements (Choi, et al., 2005b, Golder, et al., 2011) after lateralized or midline C<sub>4</sub>–C<sub>5</sub> contusions. However, the gray versus white matter contributions to either the deficit or improvement remain poorly understood. It also is unclear when respiratory recovery represents restorative versus compensatory neuroplasticity. For the present work, we define “restorative plasticity” as a spontaneous anatomical and/or functional change that reinstates activity within a motor system. In contrast, “compensatory plasticity” encompasses changes in motor systems less directly affected by injury.

The present study examined ventilatory and electrophysiological changes following a mid-cervical (C<sub>3/4</sub>) contusion made at the spinal midline. Changes in the neural substrate mediating phoenix function were also explored using histological and neuroanatomical tracing techniques. The present findings demonstrate that a signature feature of central gray matter damage is an impaired ability to increase diaphragm activity in response to respiratory challenge. Yet, patterns of breathing were unaffected, which is consistent with compensatory plasticity in non-phoenix spinal respiratory circuits.

## Methods

### Mid-Cervical Spinal Cord Contusions

Adult female Sprague-Dawley rats (215–300 grams, n=52) were obtained from Harlan Scientific and housed at the McKnight Brain Institute Animal Care Facility at the University of Florida. All experimental procedures were conducted with IACUC approval and following NIH guidelines. All animals were anesthetized with xylazine (10mg/kg s.q.) and ketamine (120mg/kg i.p.) and an incision was made from approximately the second to fifth cervical segment (C2-5). Following laminectomy at the C3/4 level, mid-line cervical spinal contusions were made using the Infinite Horizon pneumatic impactor (Precision Systems & Instrumentation, Lexington, KY) (Scheff, et al., 2003). Once the spinal cord was exposed, the impactor probe (2.5mm diameter tip) was raised approximately 5mm above the intact dura, and the cord contused (in air) at a pre-set nominal force of 150 (n=30) or 250 (n=20) kilodynes (KD) (dwell time = 0). The resulting impact forces were 154 ( $\pm$ 2) or 228 ( $\pm$ 28) KDs, respectively (Table 1) and are subsequently referred to as 150KD and 250KD in the present paper. While all animals survived the injury, only 22 (150KD; 74%) and 7 (250KD; 35%) were retained for functional testing and anatomical analyses. The remaining animals were excluded as they became ventilator dependent (for >1 hour) following injury (see Results).

Upon completion of the injury procedure, overlying muscle and fascia were closed in layers with sterile 4-0 Vicryl suture and the skin incision using sterile wound clips. Animals were then given subcutaneous injections of Lactated Ringers (5ml) to prevent dehydration, yohimbine (1.2mg/kg s.q.) to reverse the action of xylazine, and buprenorphine (~0.05mg/kg s.q.) for analgesia. For plethysmography analysis, injured animals represented their own controls (pre-injury compared against post-injury measurements). For diaphragm EMG (diaEMG) studies, injured animals were compared against recordings from uninjured control animals (n=4). Neuroanatomical tracing results are reported relative to data from uninjured adult rats reported previously (Lane, et al., 2008b).

### Blood Oxygen Saturation

Oxygen saturation was monitored throughout the contusion procedure by placing a pulse oximetry probe (MouseOx, Starr Life Sciences Corp.) on the animal's hindpaw. If an animal experienced respiratory arrest or if SpO<sub>2</sub> fell to < 40%, it was immediately intubated (rat endotracheal tube placed using an otoscope, Hallowell EMC) and mechanically ventilated (Harvard rodent ventilator, Model 683; 70 breaths per minute). Once SpO<sub>2</sub> stabilized above 85% (relatively normal measurements obtained from hindpaw in anesthetized, intubated rats), the animal was weaned from ventilation. If an animal was unable to maintain voluntary breathing, it was euthanized and excluded from the study.

### Analysis of Ventilation

As previously described (Fuller, et al., 2008, Fuller, et al., 2006), measures of ventilatory behavior were obtained by whole-body barometric plethysmography (Buxco Inc, Wilmington, NC) from awake, unrestrained animals prior to the spinal contusion procedure and then at weekly intervals for 10 weeks post-injury (n=5 at 150KD and n=4 at 250KD). In addition, a second subset of 150KD animals (n=4) was generated and data obtained pre-injury, and at 1 and 10 weeks post-injury, were compared. Rats were exposed to compressed normoxic gas (21% O<sub>2</sub>, balance N<sub>2</sub>; flow rate = 2L/min) for an hour of acclimation in the plethysmography chamber, and then exposed to pressurized normoxic, hypercapnic gas mixtures (7% CO<sub>2</sub>, 21% O<sub>2</sub>, balance N<sub>2</sub>; flow rate = 2L/min). The chamber pressure, room temperature, animal body temperature, and barometric pressure were used in the Drorbaugh and Fenn equation to provide a breath-by-breath display of ventilation (Drorbaugh and Fenn,

1955). Parameters which were derived from the airflow traces included inspiratory tidal volume ( $V_T$ , ml/100g), respiratory frequency ( $f$ , breaths/min), minute ventilation ( $\dot{V}E$ , ml/min/100g), peak inspiratory flow (ml/sec), and peak expiratory flow (ml/sec). Measurements of  $V_T$  and  $\dot{V}E$  were expressed relative to body weight (Fuller et al., 2008). Following baseline recordings, animals were subjected to a ten minute period of hypercapnic gas (normoxic, hypercapnic (7%  $CO_2$ , 21%  $O_2$ , balance  $N_2$ ) inspired air). The purpose of the hypercapnic challenge was to test the ability of the rats to increase ventilation upon demand. This procedure can reveal functional deficits that are not evident during periods of eupnea or “quiet breathing” (Fuller, et al., 2005). Data were averaged from the last ten minutes during baseline and last five minutes during hypercapnic challenge.

### Neurophysiology

Terminal bilateral diaEMG recordings were made immediately ( $n=5$ ), and 1 ( $n=6$ ) and 12 ( $n=4$ ) weeks post-contusion just prior to being euthanized and perfusion-fixation for histological analyses (see below). Animals were anesthetized, as described above, and an incision made along linea alba to expose the abdominal surface of the diaphragm. Bipolar hook electrodes (coated tungsten wire with exposed tips) were then inserted into the medial costal region of the left and right hemidiaphragm. Activity was then recorded during spontaneous breathing. Baseline recordings were made by administering compressed air (21%  $O_2$ , balance  $N_2$ ) via a nose cone at a flow rate of 2L/min. Once a stable baseline was attained for a minimum of 10 minutes, the administered air was switched to normoxic, hypercapnic gas (see above) to mirror the challenge used in plethysmography. The diaEMG signals were amplified (1,000 $\times$ ) and band pass filtered (0.3 – 10 KHz) using differential A/C amplifier (Model 1700, A-M Systems) and then full-wave rectified, processed with a moving time averager (time constant = 100ms, Model MA-821RSP, CWE Inc.) and digitized (Power 1401, Cambridge Electronic Design).

Recordings were analysed on a PC computer. The final 1 minute of recording under either baseline or hypercapnia was used for analysis with Spike 2 software (CED) to determine the average change in diaphragm activity in response to hypercapnic challenge (results from hypercapnia as a % of baseline). As all contusions were midline, all recordings from the left and right sides were averaged for each injured and control animal. Average results from uninjured control animals ( $n=4$ ) were compared against 150KD contused animals 1 week ( $n=5$ ) and 12 weeks ( $n=4$ ) post-injury.

### Retrograde Anatomical Tracing

Pseudorabies virus (PRV152, Bartha strain) was used to retrogradely label PhMNs and pre-phoenix interneurons. The methods for producing the PRV variant used for this study (PRV152,  $6 \times 10^8$  pfu/ml) and protocols for diaphragm delivery of the virus and subsequent trans-synaptic tracing were as previously detailed (see Lane, et al., 2008b for technical details of virus production). For the purposes of this investigation, tracing was limited to one hemidiaphragm in order to focus analysis of interneuronal circuitry to only one of the bilaterally damaged PhMN pools. Accordingly, labeled pre-phoenix interneurons were known to innervate this motoneuron pool. In the present study an incision was made along linea alba and the skin and muscles retracted to expose the diaphragm. Tracer was administered to the left half of the diaphragm by topical application (40–50 $\mu$ l). The abdominal muscles were then sutured (4-0 Vicryl), then skin closed with wound clips and animals left to survive for 64–67 hours ( $n=16$ ).

### Anterograde Anatomical Tracing

To label descending fibers from the medullary ventral respiratory column (VRC), biotin dextran amine (BDA, 10,000 kDa) was delivered to physiologically-identified medullary

inspiratory neurons in a subset of animals (n=4) 1 week prior to injury. This approach has been previously described in detail (Lane, et al., 2008b). Briefly, anesthetized animals were placed in a rodent stereotaxic frame (Kopf) and the caudal medulla exposed. A sterile Carbostar-3 electrode (Kation Scientific) was used for electrophysiological recording and tracer delivery. One of the two glass barrels was filled with a 10% solution (w/v in 0.9% sterile saline) of BDA. The electrode was then lowered into the region of the VRC as determined by stereotaxic coordinates (~2mm from the midline and ~0.5 mm rostral to the obex) while recording extracellular activity. Inspiratory cells within the VRC were electrophysiologically identified by observation of rhythmic cellular activity in phase with inspiratory movements of the rib cage which corresponds to diaphragm activity (Lane, et al., 2008b). Once identified, the recording was terminated and the tracer was delivered via iontophoresis over a 30 minute period (5  $\mu$ A positive current, 7 seconds on / 7 seconds off). The electrode/pipette was then slowly withdrawn, the muscle was sutured in layers and the skin closed with wound clips. Animals were allowed to recover for one week before being euthanized by perfusion-fixation for tissue analysis.

### Tissue Fixation

At the end of each experiment, animals were deeply anesthetized with Beuthanasia<sup>®</sup> (9:1, sodium pentobarbitone to phenytoin solution). For neuroanatomical tracing studies, the animals were intracardially perfused with paraformaldehyde (4% w/v in 0.1M phosphate buffered saline (PBS), pH 7.4). The spinal cords were then removed and stored in paraformaldehyde (2% w/v in PBS). Longitudinal (horizontal) tissue sections were obtained by vibratome sectioning (40 $\mu$ m thick). For more defined histological characterization of these lesions, randomly selected animals were collected immediately (n=1), 1 (n=3), 4 (n=1) and 12 weeks (n=1) post-injury, perfused with paraformaldehyde and glutaraldehyde (4% and 3.5%, respectively, in 0.1 M PBS, pH 7.4) and stored at 4°C for 2 nights. The tissue was then processed and embedded in epoxy resin (Electron Microscopy Sciences, Fort Washington, PA). Sections were cut at 2 $\mu$ m thickness and stained with toluidine blue.

### Histological Procedures for Tracing Studies

Longitudinal vibratome sections through the cervical spinal cord were histologically stained for the either presence of BDA (anterograde tracing) or immunolabeled with antibodies against PRV (retrograde tracing). Prior to histochemical or immunohistochemical methods, sections were washed in PBS (0.1M (pH=7.4), 3  $\times$  5 minutes), and blocked against endogenous peroxidase activity (30% methanol, 0.6% hydrogen peroxide in 0.1M PBS, incubated for 1 hour).

In tissue from anterograde tracing studies (n=4), the presence of BDA was detected histochemically by incubating sections in a Vectastain ABC solution (Elite Vectastain Kit, Vector Labs) for 2 hours. Sections were then washed and the reaction product was developed with diaminobenzidine (DAB, Sigma). Sections were slide mounted, counterstained with cresyl violet and cover-slipped.

Rabbit anti-PRV (Rb134; provided by Dr. Lynn Enquist, Princeton University, as a service of the National Center for Experimental Neuroanatomy with Neurotropic Viruses: NCR P40 RRO118604) was used to examine the distribution of PRV labeled PhMNs and pre-phoenix interneurons immediately (n=4), 1 (n=4), 4 (n=4) or 12 weeks (n=4) post-contusion. Detailed characterization of Rb134 and its specificity has been previously described (Lane, et al., 2008b). These sections were also used for quantitative analysis (see below). The optimal dilution of all antibodies for this tissue has been previously determined in our laboratory.

For PRV immunohistochemistry, after blocking against endogenous peroxidase activity sections were re-washed in PBS and blocked against non-specific protein labeling (10% serum in 0.1M PBS with 0.03% Triton-X, incubated for 1 hour). Sections were then incubated at 4°C overnight in Rb134 (1:10,000 - raised against whole, purified PRV particles that were acetone inactivated). The following day, tissue was washed in PBS (0.1M, 3 × 5 minutes), incubated for 2 hours at room temperature in a biotinylated secondary antibody (donkey anti-rabbit, Jackson Immunocytochemicals, 1:200), re-washed in PBS (3 × 5 minutes) and incubated for another 2 hours in Vectastain ABC solution. Sections were then given a third series of washes in PBS and antigen was visualized with DAB. Immunoprecipitation and SDS-page has previously demonstrated that these PRV antibodies are specific for the major capsid, tegument and viral membrane proteins of PRV (Card, et al., 1990, Card, et al., 1991). Immunolabeled sections were then slide mounted, counterstained with cresyl violet and cover-slipped.

Immunohistochemical controls were as previously described (Lane, et al., 2008b). Negative controls included tissue from animals that had not been used for tracing (no antigen present), or that had been used for tracing but where either the primary or secondary antibodies were omitted from the staining protocol. No labeling was observed in negative control tissue sections.

Sections were examined using a Zeiss Axiophot or Axioplan microscope and photographs taken with a digital camera (Axiocam HRc) linked to a computer. For quantification, PRV-positive PhMNs and second-order cells with visible nuclei by brightfield microscopy were counted at 10× magnification in consecutive longitudinal cervical spinal cord sections from each animal. This approach includes only cells with a visible nucleus thereby limiting the possibility of the same cell being counted twice in consecutive sections. To further address over-counting the raw number of cells, an Abercrombie correction was used ( $T/[T+h]$ ), where  $T$  = section thickness and  $h$  = diameter of the nuclei in the z-axis (Guillery, 2002). The average diameter of nuclei for each cell population was measured using KS-400 analysis software (KS Imaging Systems, ver. 3.0, Carl Zeiss Vision GmbH). The corrected number of phoenix motoneurons and interneurons are reported as averages for each post-injury time point ± standard deviation.

The number of positively labeled interneurons (secondary labeling) was then also expressed as a function of the number of labeled PhMNs to control for any variation in primary labeling between animals (Lane, et al., 2008b). The resulting ratio is an efficient means of quantifying the relative innervation of phoenix motoneurons by pre-phoenix interneurons and similar approaches have been used in previous studies (Lane, et al., 2008b, Strack and Loewy, 1990).

### Statistical Analysis

Results were quantified using Microsoft® Office Excel® 2007 and Spike 2® (version 7, Cambridge Electronic Design, UK) software. Average numbers are shown ± standard deviation (SD). Statistical significance was determined using SigmaStat software (Version 3.5, Jandel Scientific). Comparison between treatment groups was performed using ANOVA and Tukey tests (SigmaStat v3.5). All analyses of electrophysiological data were blinded.

## Results

### Post-Contusion Status and Experimental Inclusion Criteria

Previous reports (e.g. Anderson, et al., 2009a, El-Bohy, et al., 1998b) have differed regarding post-injury survivals and the relative degrees of functional compromise following midline, bilateral contusive SCIs at mid-cervical levels in rats. Therefore, the first objective

of this study was to assess the overall feasibility of this injury model. Intraoperative pulse oximetry was used to noninvasively obtain an index of arterial hemoglobin saturation prior to and after contusion. The mean oxygen saturation (SpO<sub>2</sub>) measured from hindpaw in anesthetized prone rats was 88±5% prior to surgery. Following contusion, inspiration was accompanied by vigorous contraction of neck and jaw muscles, and SpO<sub>2</sub> values decreased within 30–60 seconds. Animals that suffered respiratory arrest typically lost voluntary control of breathing ~30 seconds post-injury. Even in those animals that retained spontaneous breathing, SpO<sub>2</sub> rapidly decreased.

Animals were intubated and given assisted ventilation if they suffered respiratory arrest or had post-injury SpO<sub>2</sub> levels <40%. Intubating animals prior to injury was avoided as the introduction of the tube tended to reduce SpO<sub>2</sub> by ~10%. Animals that recovered voluntary control of breathing and maintained a stable SpO<sub>2</sub> >40% were weaned from ventilation within an hour after injury. The present results include only those animals that did not require assisted ventilation beyond 1 hour post-injury.

Of those animals that received a 150KD contusion (n=30), 74% were included in the study. Of those, 33% did not require assisted ventilation, whereas 44% did initially, but then recovered voluntary control of breathing and could be weaned within one hour post-injury. With more severe 250KD contusion (n=20), 35% reached study inclusion criteria. Of these animals, 20% did not require assisted ventilation, and 15% were initially ventilated. Ventilated animals which could not be weaned were immediately euthanized (150KD: 25%, 250KD: 65%).

### General Post-injury Health and Neurological Status

Animals resumed feeding and drinking on the same day of surgery. In addition, there were no overt signs of pain or distress (e.g. vocalization) at any time post-injury. Limited weight loss (<20% of pre-surgery body weight) was seen within the first week, but with one exception, animals regained weight by the second week post-surgery. Following 250KD injury, a single animal had delayed weight gain, but then recovered weight by 3 weeks post-injury. The rate of weight gain was less in animals that received the more severe injury.

Motor function was qualitatively evaluated on a daily basis for the first week post-injury and weekly thereafter. In general, the neurological deficits observed and the subsequent pattern of progressive recovery were similar to those described in previous studies of cervical contusion injury (Anderson, et al., 2009a, Choi, et al., 2005a, Gensel, et al., 2006, Pearse, et al., 2005, Schrimsher and Reier, 1992). Animals with either a 150KD or 250KD contusion recovered locomotion 1–2 days post-injury and showed no overt hindlimb deficits (Anderson, et al., 2009b). However, all animals exhibited bilateral forepaw/forelimb impairment which included non-plantar forepaw placement during locomotion and exploring, and abnormal digital and wrist flexion (clenched forepaw) at rest. Forelimb deficits were also underscored by impaired grooming ability within the first 2–3 days post-injury. Within 1–2 weeks post-injury, however, all animals showed some recovery of forelimb function as evidenced by improved forepaw placement during locomotion and recovery of grooming ability.

### Midline C<sub>3/4</sub> Contusions have limited impact on Ventilatory Behavior

In all animals studied up to 10 weeks following either 150KD or 250KD contusion (n=4 in each), ventilation data were obtained pre-injury and at weekly post-injury intervals. There were no statistically significant differences in any pre-injury respiratory parameters between the 150KD and 250 KD animals as determined by whole-body plethysmography. The 150KD contusion had no impact on any of the baseline respiratory parameters (i.e.  $f$ ,  $V_T$ ,

$\dot{V}E$ , PIF and PEF). In addition, these animals showed a robust ventilatory response during hypercapnic challenge (Fig. 1A–C). No significant differences were seen in the relative increase (% baseline) to any of the respiratory parameters between pre- and post-contusion conditions. Ventilatory data obtained prior to and at 1 and 10 weeks following a 150KD injury were obtained from a replicate series of animals (n=4) and showed no differences ( $P>0.1$ ) thereby supporting consistency of these results.

The more severe contusion (250KD) also had surprisingly little long-term impact on ventilation. The  $f$  during baseline breathing was similar before and after contusion. We noted a tendency for a blunted increase in  $f$  during hypercapnia, but this did not reach statistical significance ( $P=0.2$ ) (Fig. 1D). However, when expressed relative to pre-injury values (% pre-injury) the hypercapnic  $f$  response was reduced for the first three weeks post-injury ( $P<0.05$ , data not shown). Following the initial decline, hypercapnic  $f$  values tended to rise over subsequent weeks until reaching a plateau by 10 weeks that approximated pre-injury values. Despite the widespread neuropathology associated with 250KD injury (Fig. 3), the baseline inspiratory  $V_T$  was significantly increased only at the first week post-injury ( $P<0.01$ ) (Fig. 1E). While not significantly greater than pre-injury values, the  $V_T$  remained elevated until 4 weeks post-injury when it returned to pre-injury values. Hypercapnic  $V_T$  was not overtly affected by contusion. Overall there were no robust effects on  $\dot{V}E$  following 250 KD injuries during baseline breathing or hypercapnic challenge. No differences were seen in the mean percent-response to challenge, pre-injury and 1, 4 and 10 weeks post-injury.

### Long-term Diaphragm EMG Activity Following Associated with Midline C3/4 Contusion

Diaphragm function was assessed by bilateral diaEMG recordings during spontaneous eupneic breathing or hypercapnic challenge (7%  $CO_2$ ) in anesthetized animals (Fig. 2). A robust increase in diaphragm activity during hypercapnic challenge is a characteristic respiratory feature in uninjured animals (n=4, Fig. 2A). Immediately following 150KD injury (n=5), there was a loss of rhythmic diaphragm activity in anesthetized, spontaneously breathing animals, under baseline (room air) conditions. One week following 150KD contusion (n=6), diaphragm activity was observed bilaterally in all animals, but when compared to uninjured rats, the ability to increase EMG bursting during hypercapnia was significantly reduced ( $P<0.001$ ; Fig. 2C). The average response to challenge was just  $114 \pm 14\%$  of baseline activity. Similarly, while baseline activity was maintained bilaterally at 12 weeks post-injury (n=4), the response to hypercapnia remained minimal with an average increase of  $114 \pm 13\%$  of baseline. Thus, the 150KD cervical contusion resulted in a persistent impairment in the animal's ability to recruit diaphragm motor units and/or increase bursting in active motor units in response to a substantial chemical challenge.

### Histopathology

The location of injury in all animals was macroscopically confirmed to be at the level of caudal C3/rostral C4. Histological examination of the lesion epicenters of 150 and 250KD injured animals revealed extensive central gray matter necrosis which entailed bilateral damage to ventral gray matter in the region of the phoenix nucleus (Figs. 3, 5, 6). The overall pathology of these injuries (Fig. 3) was otherwise similar to what has been extensively described relative to experimental SCIs in general (e.g., Cao, et al., 2005, Reier, 2004; see also description in Figure 3). One notable difference was the absence of obvious primary demyelination, which has been reported by others in relation to mid-thoracic contusions in cat and rat (Blight, 1985, Cao, et al., 2005, Totoiu and Keirstead, 2005), but not mouse (Lasiene, et al., 2008). Histological analysis of plastic sections of tissue from animals that received a 150KD injury (n=3) revealed various stages of axonal degeneration and secondary demyelination (i.e., Wallerian degeneration) throughout the dorsal, lateral,



ventrolateral and ventromedial white matter (Fig. 4A) but profiles suggestive of spared, denuded axons were not observed.

Cystic cavitation occurred at the lesion epicenter, encompassing dorsal, intermediate and ventral gray matter, in which extensive monocyte infiltration was visible. The substantia gelatinosa and some immediately underlying dorsal gray matter remained intact and showed relatively modest pathology. Minimal, if any, tissue sparing also was seen in the far lateral portions of ventral gray matter on one or both sides of the lesion epicenter. There was little change in general lesion morphology 12 weeks post-injury, as has been reported previously by others (Noble and Wrathall, 1989).

### **Bulbospinal Projections from Inspiratory Neurons following 150KD Injury**

To determine the effect of 150KD contusion on bulbospinal projections from the VRC, biotin dextran amine (BDA) was iontophoretically delivered to physiologically identified inspiratory neurons in the medulla (Lane, et al., 2008b). Qualitatively, tissue sections at one week following injury (2 weeks post-BDA delivery), showed numerous bouton-like profiles around ventral horn neurons in the region of PhMNs (based on previously published observations (Lane, et al., 2008b)), rostral to the lesion (Fig. 5A). As shown in uninjured animals, boutons were present around neuronal cell soma and in the immediate surrounding area (known to be high in dendritic density (Lane, et al., 2008b)). Toward the rostral edge of the cystic cavity, beaded axonal profiles (indicative of degeneration of labeled axons) were visible (Fig. 5B). Labeled axons with signs of compromise also were seen at the level of injury in the lateral and ventromedial white matter. Labeled axons were sparse in all regions of gray matter caudal to the lesion (Fig. 5C).

### **Phoenix Motoneurons and Pre-phoenix Interneurons following 150KD injury**

**Immediately following 150KD contusion**—Retrograde, transynaptic pre-labeling of the phoenix circuit illustrated the loss of both motoneurons and interneurons following 150KD contusion. Delivery of PRV to the left hemidiaphragm 64 hours prior to injury (n=4), revealed the distribution (Fig. 5) and raw number of PhMNs labeled immediately post-contusion ( $168 \pm 35$ ) was comparable to that previously reported in uninjured adult female rats (Lane, et al., 2008b). The lesion epicenter was visible in this tissue as being edematous with parenchymal irregularity and bleeding (Fig. 5). All pre-labeled cells within the lesion epicenter showed signs of pathology (e.g. cytoplasmic disruption, Fig. 5B–C). Analysis of the number of PhMNs revealed that an average of  $53 \pm 20\%$  of the PhMN pool was located within the region of edema (Fig 5D). Neurons immediately adjacent to regions of tissue edema, either rostral or caudal to the injury site, did not show any overt signs of pathology. Labeled motoneurons were observed both rostral and caudal to injury. While the proportion of motoneurons in these regions varied in each animal, the averages were comparable ( $29 \pm 2\%$  and  $19 \pm 22\%$  respectively).

The total number pre-phoenix interneurons that were pre-labeled ipsi- and contralateral to the labeled PhMN pool was  $98 (\pm 25)$  and  $21 (\pm 12)$ , respectively. The ratio of labeled interneurons, ipsi- and contralateral to labeled PhMNs, was approximately  $43 (\pm 21)\%$  and  $6 (\pm 8)\%$  respectively. This number is comparable to that previously reported in uninjured animals (Lane, et al., 2008b). Approximately one-third of PRV labeled pre-phoenix interneurons were observed within the lesion epicenter immediately post-contusion. As was the case for PhMNs, the proportion of spared pre-labeled interneurons was evenly distributed between the cervical cord rostral and caudal to the injury.

**1–12 weeks following 150KD contusion**—While there is a growing use of PRV to transynaptically trace neuronal connectivity following SCI (Bareyre, et al., 2004, Duale, et

al., 2009, Duale, et al., 2010, Im, et al., 2008, Kim, et al., 2002, Lane, et al., 2009, Lane, et al., 2008b, Yu, et al., 2003), we (Lane, et al., 2009) and others (Duale, et al., 2009, Duale, et al., 2010) have reported a reduction in the extent of first-order PRV neuronal infection as a function of time after SCI. In other on-going studies (unpublished), we have determined that the rate of infection is delayed such that maximum first-order labeling of PhMNs occurs 24 hours later than normal.

For this study, however, we continued to apply the 64hr second-order survival schedule since it provides a conservative index of circuitry changes, and found there was already a reduction in the number of labeled PhMNs at one week post-injury ( $n=4$ ) (Fig. 6). An average of  $139 (\pm 72)$  infected PhMNs were observed 1 week post-injury, compared with  $168 \pm 35$  pre-labeled cells observed immediately post-injury. The majority of labeling 1 week post-injury ( $75 \pm 18\%$ ) was present rostral to the lesion epicenter, compared with  $29 \pm 2\%$  in animals examined immediately post-injury ( $P=0.01$ ), the number of cells observed caudal to the lesion was significantly reduced ( $P<0.05$ ). The extent of PhMN labeling was further reduced by 4 ( $n=4$ ) and 12 ( $n=4$ ) weeks post-contusion ( $P<0.05$ ), with no detectable labeling observed in 3 of the 4 animals in each group.

The raw number of labeled interneurons was not significantly different between animals examined immediately ( $81 \pm 68$ ) and 1 week post-injury ( $219 \pm 88$ ). Despite variability in labeling seen between animals, 1 week post-injury there was a significant increase in the ratio of interneurons to motoneurons observed rostral to the injury ( $P<0.05$ ). One week post-injury approximately  $60 \pm 12\%$  and  $55 \pm 19\%$  of interneurons ipsi- and contralateral to the phoenix pool were detected rostral to the injury site in the ventral horn and medial gray matter. The ratio of interneurons ipsi- and contralateral to labeled PhMNs 1 week post injury was  $117 (\pm 33)\%$  and  $37 (\pm 8)\%$ , respectively (Fig. 6D). The distribution of labeled interneurons, and their number relative to labeled motoneurons, thus appeared to be altered relative to the labeling pattern seen immediately post-injury.

## Discussion

While there is considerable emphasis on neuroprotection (Kwon, et al., 2010, Tator and Fehlings, 1999) and potential need for motoneuron replacement after SCI (Clowry, et al., 1991, Clowry and Vrbova, 1992, Erceg, et al., 2011, Rossi, et al., 2011), the relative contributions of gray versus white matter damage to functional outcomes in clinically-relevant contusion injury models is virtually unknown. Some evidence indicates, however, that focal excitotoxic deletion of spinal gray matter alone can have profound impact on locomotion (Hadi, et al., 2000, Magnuson, et al., 1999) and manifestation of pain (Yeziarski, et al., 1998). The present results demonstrate that chronic attenuation of diaEMG activity in response to respiratory challenge is a signature outcome of midline contusion injuries at the level of the PhMN pool. By comparison with predominant white matter pathology and respiratory outcomes in other mid-to-high cervical SCI models (Table 2), the present findings suggest a functionally distinct effect of gray matter loss on post-SCI diaphragm function, similar to those reported in humans. Despite compromised diaphragm activity, ventilatory responses to hypercapnia were remarkably unaffected even with increased injury severity. Accordingly, our data suggest a robust engagement of compensatory function, presumably in other spinal respiratory circuits, to maintain appropriate patterns of breathing behavior.

### Respiratory Dysfunction associated with Upper & Lower Motoneuron Compromise

**White matter disruption**—Of the models used to study respiration after SCI,  $C_2Hx$  results in the most extensive direct white matter damage and unilateral denervation of the phoenix nucleus. That pathology results in an initial silencing of phoenix nerve activity

(Fuller, et al., 2008, Fuller, et al., 2006, Golder, et al., 2001a, O'Hara and Goshgarian, 1991) and paralysis of the ipsilateral hemidiaphragm (Guth, 1976, Nantwi, et al., 1999, Vinit, et al., 2006). Less severe deficits also occur in ipsilateral phoenix output and diaphragm activity following incomplete lateralized C<sub>2</sub>Hx or contusions (Baussart, et al., 2006, El-Bohy, et al., 1998b, Fuller, et al., 2009, Vinit, et al., 2006). The degree of hemidiaphragm impairment appears to be a function of the extent of the bulbospinal-PhMN disconnection.

White matter compromise also can have profound effects on breathing behavior (see Table 2) as C<sub>2</sub>Hx leads to an increased respiratory frequency and decreased V<sub>T</sub> (i.e., rapid, shallow breathing (Fuller, et al., 2008, Fuller, et al., 2006, Fuller, et al., 2009, Golder, et al., 2001b, Goshgarian, et al., 1986)). Our plethysmography data thus differ from those reported by Choi et al (2005) who observed changes in the pattern of breathing behavior for at least 3 weeks following graded C<sub>5</sub> lateralized contusion injuries. The degree of ipsilateral white matter damage in that study was comparatively greater than produced by midline injuries in the present work. In general, ventilatory outcomes following severe lateralized contusions appear to more closely parallel those seen with extensive white matter damage in lateral hemisection models (Table 2). Therefore, white matter sparing, even following more severe (250KD) midline contusions, most likely explains why eupneic breathing was only temporarily affected in the present study.

**Gray matter disruption**—Bilateral diaEMG recordings in the present work revealed attenuated diaphragm activity in response to respiratory challenge at one week post-injury (150KD). This deficit was similar at 12 weeks post-injury. Consistent with these results, El-Bohy et al. (1998b) reported minimal differences in phoenix output during baseline or challenge (asphyxia), 2–11 weeks following midline C<sub>4/5</sub> contusion (NYU spinal contusion device). A recent study (Golder et al., 2011) also showed diminished capacities to increase phoenix burst amplitudes following hypoxic or hypercapnic challenges in rats with predominantly lateralized C<sub>4-5</sub> contusions (NYU device). In contrast, such a deficit is absent in models focused primarily on white matter compromise (complete or partial C<sub>2</sub>Hx, Table 2).

A consistent histopathological feature of C<sub>3</sub>–C<sub>5</sub> contusions is midline destruction of intermediate gray matter with expansion to one or both PhMN pools depending upon the nature or severity of the injury (lateralized versus midline) and symmetry of the lesion (Table 2). Although we did not quantify the extent of cell death, the retrograde labeling data suggest vulnerability of >50% of the PhMN pool. Choi et al. (2005) attributed the ventilatory dysfunction they observed to an injury-dependent loss of ventral horn cells though PhMN attrition was not specifically determined. A similar speculation was proposed by Golder et al. (2011) for changes in tidal volume and phoenix nerve burst amplitudes which they reported. However, in the present study PhMN loss did not appear to overtly affect ventilation, whereas the impaired diaphragm response to challenge is likely to be more directly related. Pathophysiological interruption of the rostral-caudal continuity of the PhMN column and its unique longitudinal dendro-dendritic architecture and connectivity (Goshgarian and Rafols, 1981, Lane, 2011, Torikai, et al., 1996) may also result in altered recruitment of previously silent neurons (Milano, et al., 1992, St John and Bartlett, 1979) and rate coding of active cells (Lee and Fuller, 2011, Lee, et al., 2009). For example, if a considerable portion of the phoenix motor pool is lost following contusion, as suggested by PRV labelling (see Fig. 5), surviving but previously silent cells may be recruited in an effort to maintain effective diaphragm contraction under baseline breathing conditions. However, in this scenario, further increases in diaphragm EMG activity (e.g. during respiratory challenge) would be limited (Fig. 2B) (Golder et al., 2011). While changes in PhMN recruitment have been studied following C<sub>2</sub>Hx injuries (El-Bohy and Goshgarian, 1999, Mantilla and Sieck, 2009, Sieck and Mantilla, 2009) the impact of chronic cervical

contusion injury on these fundamental mechanisms remains unknown. In addition, PhMN recruitment during respiratory challenge may be affected to some extent by reduced bulbospinal innervation of spared PhMN neurons caudal to the injury as our anterograde VRC tracing results suggest.

Ventilatory insufficiency following contusions at C<sub>3</sub>–C<sub>5</sub>, however, may involve more than PhMN loss per se as such injuries also affect intermediate gray matter and constituent propriospinal neuron populations (Conta-Steencken, et al., 2009, Conta-Steencken and Stelzner, 2010, Conta and Stelzner, 2004). Transneuronal tracing results indicate potential vulnerability of >30% of pre-phoenix interneurons. Because interneurons throughout the cervical spinal cord have been shown to innervate both phoenix and intercostal motoneurons (Bellingham and Lipski, 1990, Billig, et al., 2000, Lane, et al., 2009, Lane, et al., 2008b, Lipski, et al., 1993), loss of these cells or changes in their connectivity may influence post-injury PhMN responses leading to reduced diaphragm activity during increased respiratory drive. Consideration of pre-phoenix interneuron loss is further motivated by our initial extracellular single-unit recording studies which have shown that some neurons in mid-cervical intermediate gray matter are responsive to respiratory challenge (Lane, et al., 2009).

Establishing changes in interneuronal connectivity post-contusion is a challenging, yet exceptionally important aspect of SCI research given the role of spinal interneurons in spontaneous anatomical plasticity and functional recovery (Bareyre, et al., 2004, Courtine, et al., 2008, Flynn, et al., 2011, Stelzner, 2008). Interneurons also play a key role in shaping motoneuron output, including modulation of phoenix activity following cervical SCI (Alilain, et al., 2008, Alilain and Silver, 2009, Hayashi, et al., 2003, Lane, et al., 2009, Sandhu, et al., 2009). It is thus intriguing that our trans-synaptic tracing experiments revealed an altered pattern of interneuronal PRV labeling rostral to the lesion epicenter. This may reflect either new neurons being recruited into the phoenix circuitry or increased sprouting and earlier labeling of established pre-phoenix interneurons. Further neuroanatomical and electrophysiological studies are needed to test the functional significance of such neural circuit remodeling.

### **Compensatory Respiratory Plasticity following Mid-Cervical Spinal Contusions**

Respiratory neuroplasticity has been extensively demonstrated in the C<sub>2</sub>Hx model (Goshgarian, 2009, Lane, et al., 2008a, Lane, et al., 2009, see also Alilain et al. in this issue) and attributed not only to spontaneous restoration of activity to the diaphragm ipsilateral to injury (“restorative plasticity”), but concomitant “compensatory plasticity” as well (Dougherty, et al., 2011, Golder, et al., 2003, Lee, et al., 2010). Although previous studies of respiratory function post-SCI have revealed significant deficits in ventilation, the present study showed that with one transitory exception, midline mid-cervical contusions had limited impact on breathing behavior. Though tidal volume during eupneic breathing was increased one week following 250KD contusion, even that effect was resolved by 2 weeks post-injury. As discussed above, this may reflect recruitment of previously silent PhMNs and thus functional plasticity within the phoenix spinal circuit. Choi et al (2005a) reported ventilatory recovery within weeks following lateralized contusions, which the authors attributed to phoenix plasticity involving sprouting and reinnervation of the hemidiaphragm by spared ipsilateral or contralateral PhMNs (Choi, et al., 2005a). The current findings raise an alternative interpretation since relatively normal ventilatory responses to hypercapnia were seen in the absence of any significant increase in diaphragm activity. This remarkable outcome in post-injury breathing behavior cannot be attributed to improved phoenix function or diaphragm recovery (Choi et al., 2005; Golder et al., 2011). Instead, the current data suggest that the post-injury breathing patterns seen here and the ventilatory recoveries reported in the Choi and Golder studies most likely reflect compensatory plasticity in non-phoenix respiratory systems (e.g. intercostal and/or accessory respiratory muscle groups).

## Translational Implications

Pre-clinical animal modeling of a long-term, ventilator-dependent individual is not currently feasible for practical and biological reasons (Levine, et al., 2008, Powers, et al., 2009, Powers, et al., 2002, Shanely, et al., 2004, Sieck and Mantilla, 2008). Nevertheless, models of less severe deficits may be comparable to patients who are weaned from mechanical ventilation, but who still exhibit significantly compromised respiratory function (e.g., induced by challenging conditions as simple as the common cold). In many respects, outcomes of mid-cervical contusion models described herein identify with that significant portion of the cervical SCI population. The inability of the respiratory neuromuscular system to increase output in response to a physiological challenge is a featured, though not exclusive, result of experimental mid-cervical contusion injuries. From a preclinical perspective, this is a reproducible (and thus robust) experimental outcome of cervical contusion injuries which has now been documented using ventilatory and electrophysiological outcome measures (El-Bohy et al., 1998; Choi et al., 2005, Golder et al., 2011, and the present study). Importantly, a similar chronic deficit has been described in quadriplegic patients (Kelling, et al., 1985, Lin, et al., 1998, Manning, et al., 1992) when presented with hypercapnic or hypoxic respiratory challenge.

The underlying neural basis remains to be determined, although current and previously reported data (Choi, et al., 2005a) indicate a significant gray matter involvement especially when contrasted with injuries primarily affecting white matter. Indeed, respiratory responses to challenge are compromised to a much lesser extent by chronic C2Hx injuries (Fuller, et al., 2008). Further studies and refinements of experimental cervical contusion injury models can be instrumental in defining future therapeutic approaches aimed at gray matter repair. For example, various cellular replacement (Clowry, et al., 1991, Reier, 2004, White, et al., 2010) and selective lesion (Hadi, et al., 2000, Magnuson, et al., 1999, Magnuson, et al., 2001) approaches have potential for determining the relative contributions of PhMN and interneuronal loss or reorganization to post-injury respiratory function and the blunted phoenix responses to challenge reported here.

“Neuroplasticity” is a term rarely included in clinical discussions related to respiratory dysfunction following SCI. Yet after ventilator weaning, patients achieve eupneic breathing by alternative behaviors involving accessory respiratory muscles (Ledsome and Sharp, 1981, Winslow and Rozovsky, 2003) and their respective circuitries. Presently, very little is known about the neurobiology underlying such compensatory neuroplasticity especially with regard to mid-cervical contusions. This is of particular interest since such injuries will most likely damage a key neuroanatomical substrate believed to mediate the most extensively documented form of post-SCI respiratory plasticity (Goshgarian, 2003, Goshgarian, 2009, Lane, et al., 2008a). Insights into the neuroplastic features of bulbospinal respiratory circuits from anatomical, neurophysiological and molecular perspectives may ultimately generate greater focus on rehabilitation (Martin, et al., 2011) and other novel approaches (DiMarco, 2009, Khong, et al., 2010) for improving breathing performance after cervical SCI.

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## Abbreviations

<b>BDA</b>	biotin dextran amine
<b>C#</b>	spinal cervical segment #
<b>C<sub>2</sub>Hx</b>	C2 lateral hemisection
<b>CPP</b>	crossed-phoenix phenomenon
<b>diaEMG</b>	diaphragm electromyography
<b><i>f</i></b>	breathing frequency
<b>KD</b>	kilodynes
<b>PEF</b>	peak expiratory flow
<b>PhMN</b>	phoenix motoneuron
<b>PIF</b>	peak inspiratory flow
<b>PRV</b>	pseudorabies virus
<b>SpO<sub>2</sub></b>	blood oxygen saturation
<b>VE</b>	minute ventilation
<b>VRC</b>	ventral respiratory column
<b>V<sub>T</sub></b>	tidal volume

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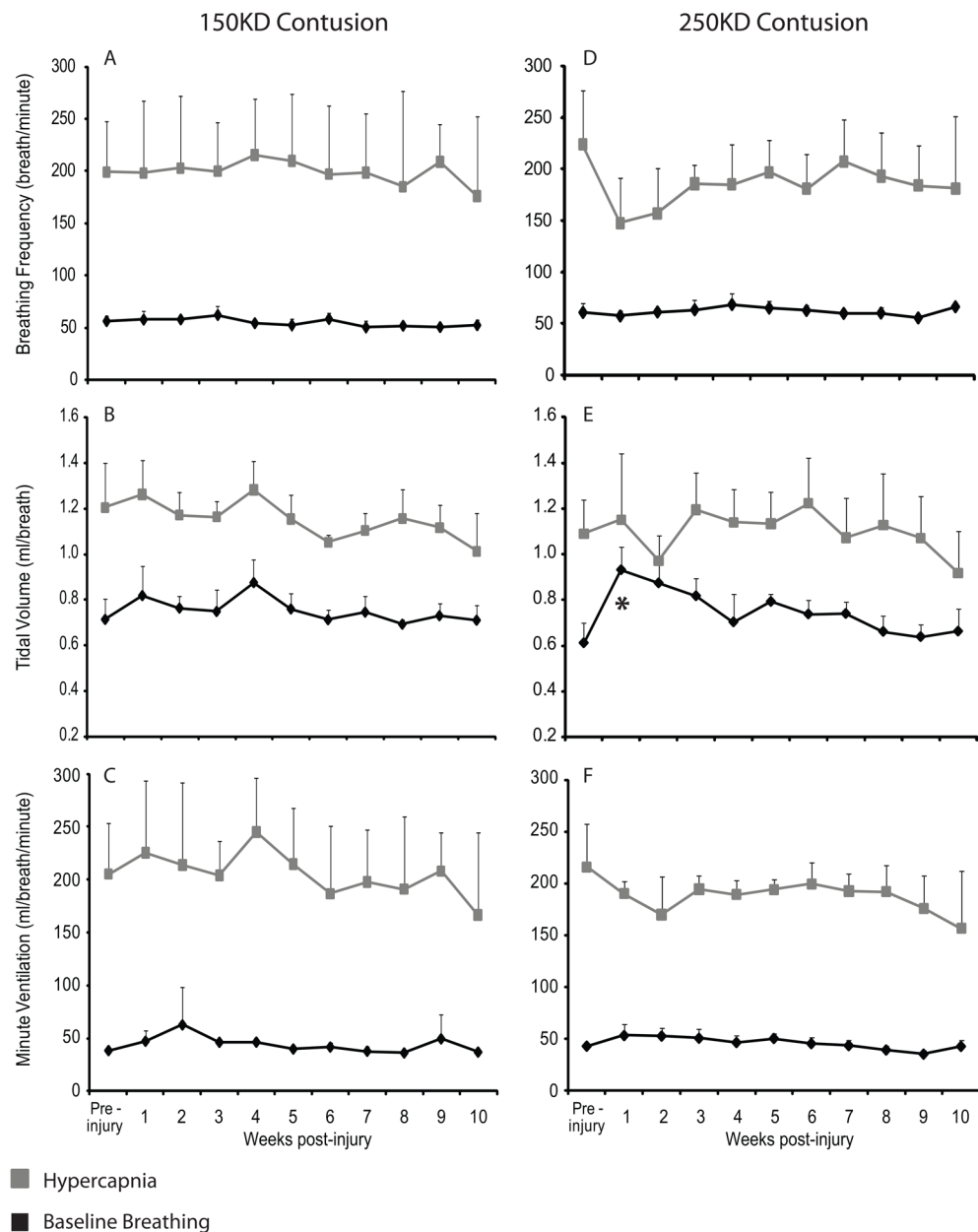
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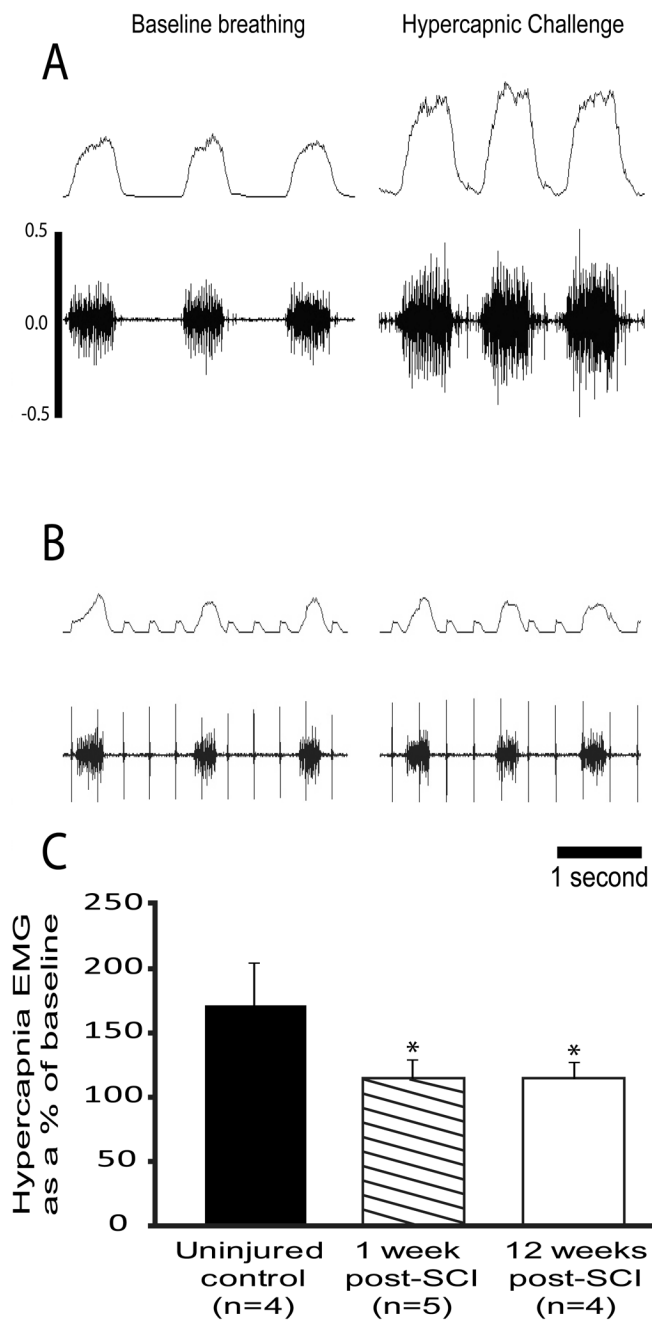
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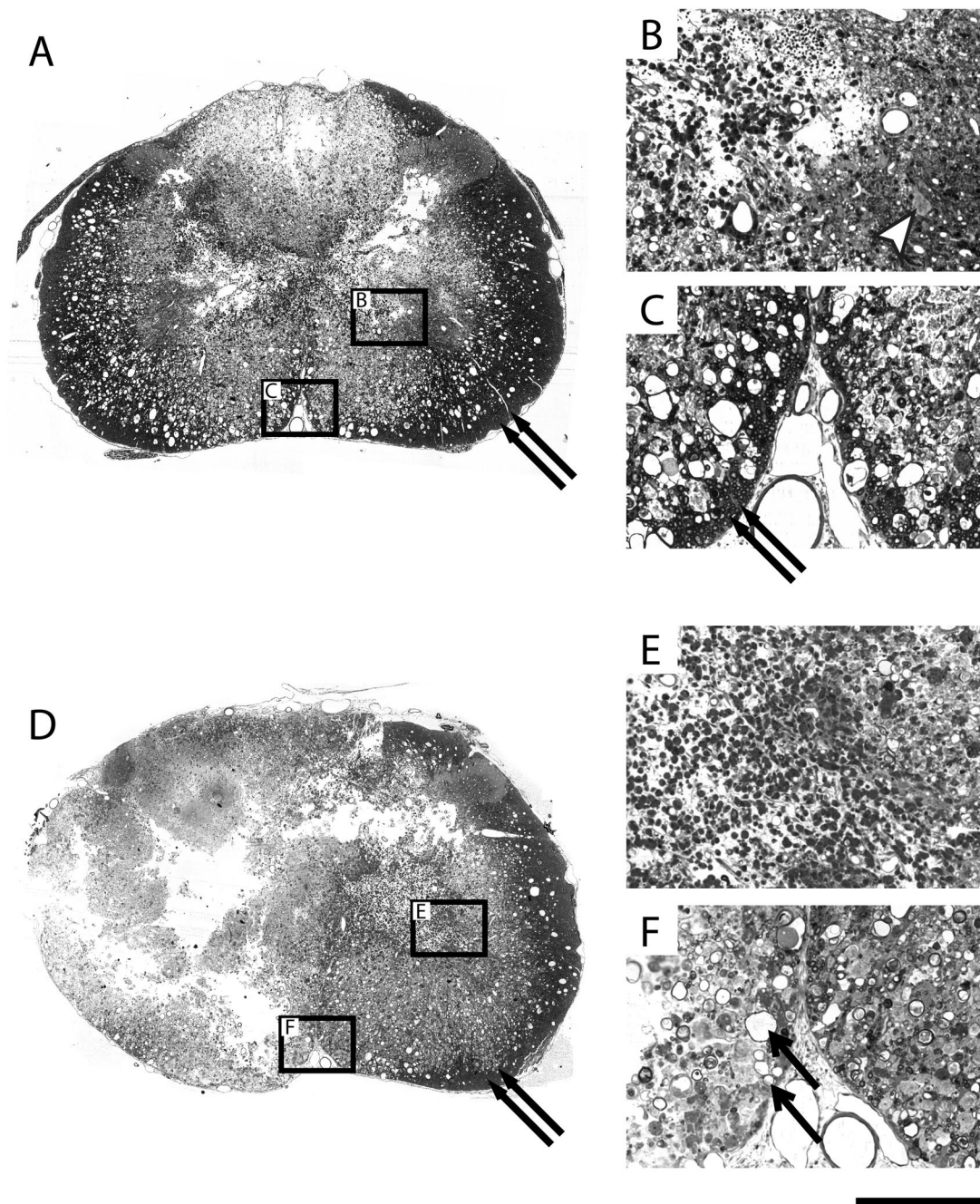


**Figure 1.**

Plethysmography was performed at weekly intervals prior to and after 150KD (A–C) and 250KD (D–F) contusion injury. Breathing frequency (A,D), tidal volume (B,E) and minute ventilation (C–F) were measured during baseline breathing (black lines) and hypercapnic challenge (grey lines). Compared with pre-injury measurements, ventilation following 150KD injury was not significantly affected, whereas one week following 250KD contusion there was a significant increase (\*,  $P < 0.01$ ) in baseline tidal volume compared with pre-injury measurements. This recovered, however, over subsequent weeks and reached a plateau by ~4wks post-injury. In all other parameters examined, ventilatory measurements were not significantly different to pre-injury values following either 150KD or 250KD. Error bars represent  $\pm$  standard deviation.

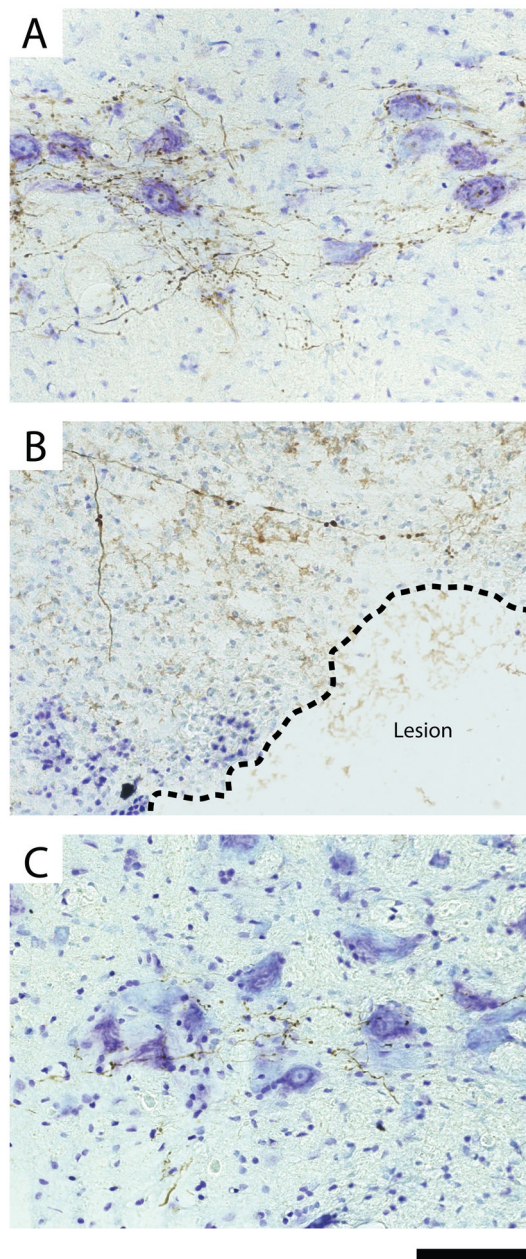


**Figure 2.** Sample diaphragm EMG recordings from an uninjured animal (A) and 12 weeks following 150KD contusion (B), during baseline breathing and hypercapnic challenge. Recordings are shown as raw voltage output (in volts, lower trace in A and B) and integrated signals (upper trace) in each animal. The time scale for the traces shown is indicated. While there is a robust increase in diaphragm activity in the uninjured animal during hypercapnic challenge, there is very little change seen at 12 weeks post-injury. Large spikes observed in B reflect heart contraction detected in this animal. Quantitative analyses (C) reveal a significant (\*,  $P < 0.001$ ) attenuation in the response to hypercapnia (% of baseline,  $\pm$  standard deviation) at 1 and 12 weeks following 150KD contusion.



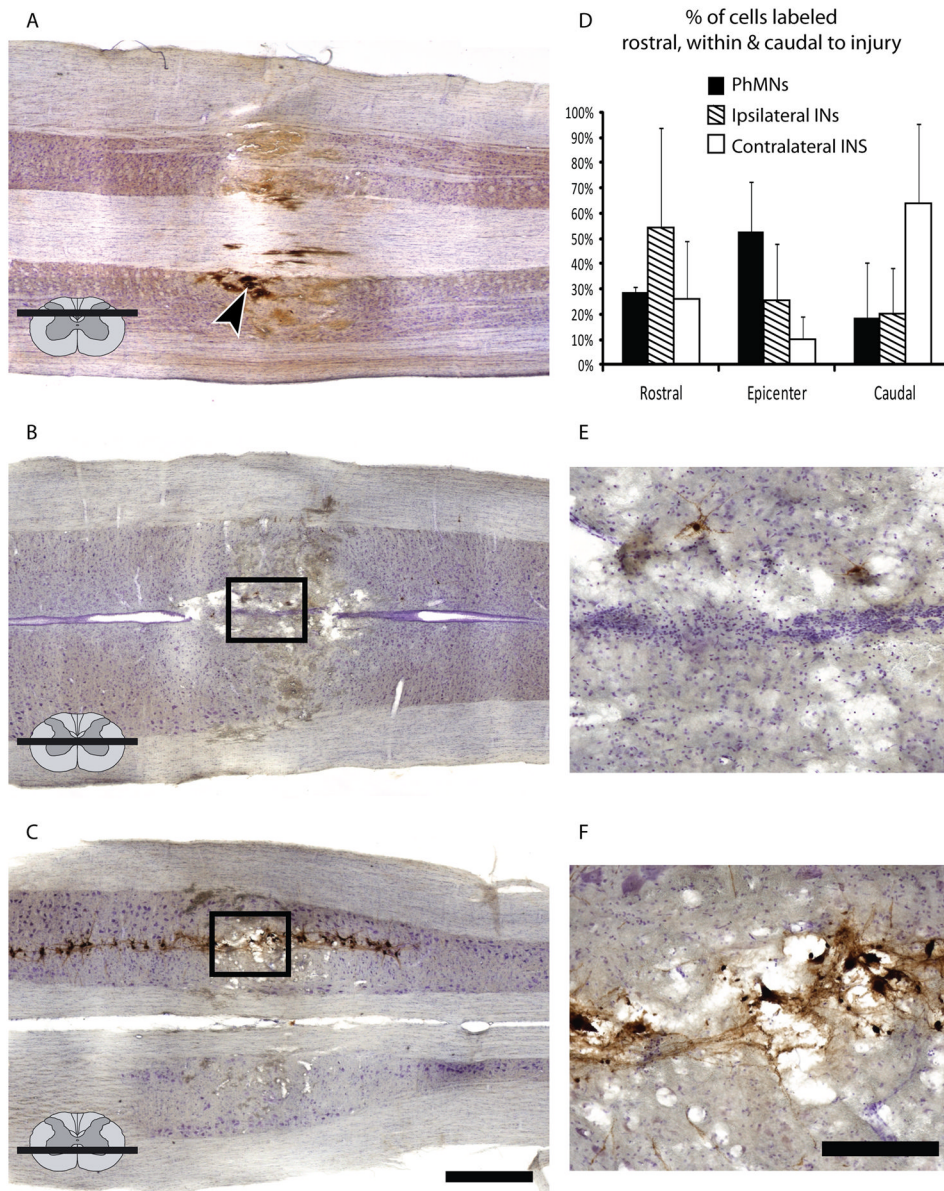
**Figure 3.** Histological characterization is shown of representative C3/4 midline contusions as seen in plastic sections. Boxed areas shown in panels A and D are illustrated at higher magnification in B,C,E, and F. One week after either 150KD (A–C) or 250KD (D–F) contusion, there was extensive bilateral white and gray matter degeneration at the level of injury. As typically seen with experimental contusions, there was some gray matter sparing limited primarily to the superficial dorsal horn (A, D). While injuries were extensively bilateral, there was some noticeable asymmetry following 250KD contusions (D). Minimal far lateral ventral gray matter sparing also was seen (A, B), but motoneuron-like profiles (B, arrowhead) were rare and well outside of the PhMN pool region. Compared with 150KD contusion, the extent of

tissue disruption was qualitatively greater following the 250KD injury, and ventral gray matter was severely disrupted even where some tissue sparing was indicated (D, E). Otherwise, general lesion pathology was similar between the 150KD and 250KD injuries. The dorsal columns were extensively compromised with little evidence of axonal sparing (A and D). A distinctive sub-pial rim of intact, myelinated axons was visible in lateral and ventral white matter (double arrows, A, C, and D). Degenerating axons (C and F) were interspersed with enlarged astrocytic profiles and normal-appearing small caliber, myelinated axons. There was no evidence of primary demyelination at the lesion epicenter under these injury conditions even in areas of extensive white matter compromise following 250KD. False-positive suggestions of remyelination were indicated by the presence of thinly myelinated profiles of varying caliber which lacked any evidence of intact axons (F, arrows). There was no visible sparing of intermediate gray matter following either injury severity (A, D). Scale bar is 1mm (A,D) and 200 $\mu$ m (B,C,E,F).



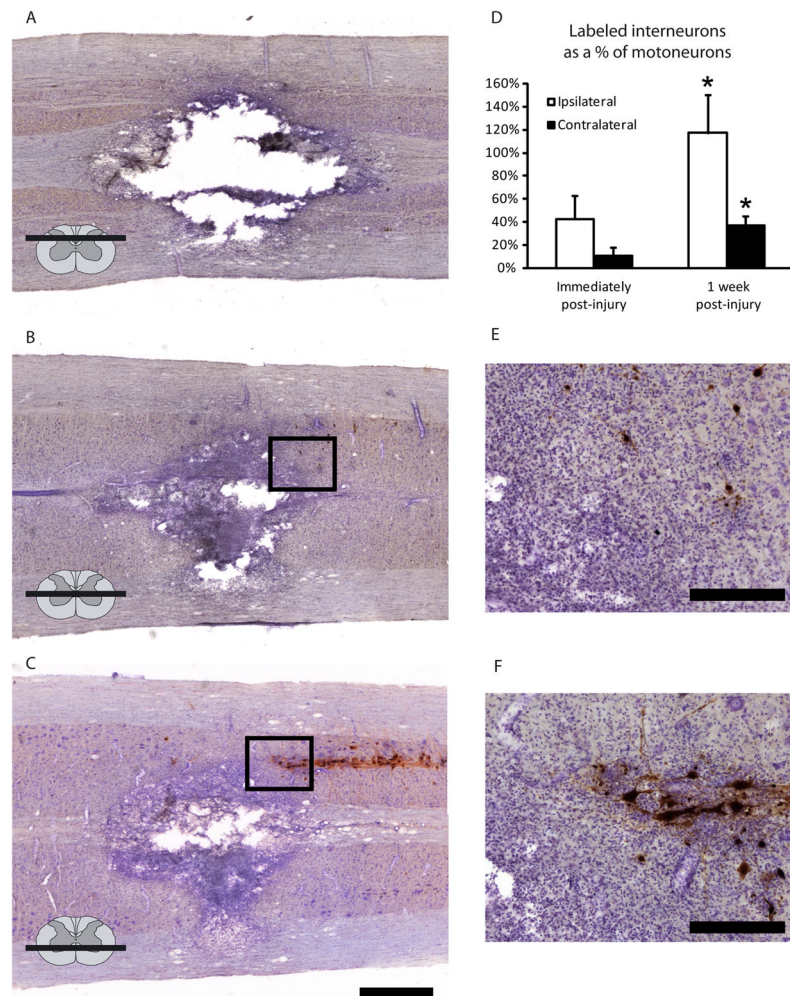
**Figure 4.** Longitudinal sections ( $40\mu\text{m}$ ) of the cervical spinal cord 1 week following 150KD contusion showing anterogradely labeled axons (BDA, brown; cresyl violet counterstain) from inspiratory neurons in the VRC. Labeled axons were seen surrounding large neurons in the region of the phrenic motoneuron pool rostral to injury (A). Immediately rostral to the lesion cavity (B), beaded, degenerating axonal profiles were visible. Relative to labeling seen rostral to injury, fewer axons were visible in the ventral horn caudal to injury (C). Scale bar is  $250\mu\text{m}$ .





**Figure 5.** Longitudinal sections (40 $\mu$ m) of the injury site immediately after a 150KD contusion showing PRV immunolabeling (cresyl violet counterstained) at the level of the dorsal horn (A), intermediate gray (B,D) and ventral horn (C), as indicated by schematic diagrams at the lower left of each micrograph. PRV was delivered to the left hemidiaphragm 64 hours before collection for histology. Significant edema and hemorrhage (arrowhead) was seen immediately after injury throughout the spinal gray matter, surrounding pre-labeled PhMN and interneurons. Many PhMNs and interneurons at the lesion epicenter exhibit highly abnormal morphologies and appear to be undergoing lysis seen in higher-magnification images (E, F) obtained from intermediate gray and PhMN pool regions identified by boxed areas shown in B and C, respectively. Scale bar is 1mm (A–C) and 250 $\mu$ m (E,F). The relative rostro-caudal distributions of PhMNs and interneurons (represented as proportion rostral, within and caudal to injury) are shown in panel D. Approximately ~50% of labeled PhMNs were identified within the lesion epicenters (D, F). The average proportion ( $\pm$ SD) of

infected PhMNs rostral and caudal to the injury was similar. Of those PRV-positive interneurons (INs) innervating the infected PhMN pool, approximately 25% and 10% of ipsilateral and contralateral interneurons are also detected within the lesion epicenter (D, E).



**Figure 6.**

Longitudinal sections one week post-contusion (150KD), stained for the presence of PRV labeled cells and counterstained with cresyl violet. Cystic cavitation was seen at the lesion epicenter extending into the surrounding lateral white matter. PRV labeling of PhMNs and INs was observed predominantly in tissue rostral to the injury (C). At higher magnification, images taken from areas identified by boxes in figures B and C show examples of IN (E) and (PhMN) labeling rostral to injury. Scale bar is 1mm in low-powered images and 250  $\mu$ m in high powered images. The ratio of INs to labeled PhMNs immediately and one week post-injury are shown in D. While the proportion of labeled interneurons/infected PhMN immediately post-contusion (i.e., in tissue collected immediately post-contusion) is comparable to that previously reported for uninjured animals (Lane, et al., 2008b), there was an increase in the number of labeled interneurons/infected PhMN one week following contusion, both ipsi- and contralateral to the labeled phoex motoneuron pool. Significant differences between ratios immediately and 1 week post-injury are indicated by \* ( $P < 0.05$ ).

**Table 1**

Details on the average ( $\pm$ SD) resulting injury, ventilatory requirements post-injury, and proportion of animals that could be weaned from ventilation, following contusion with an intended force of either 150 or 250 kilodynes (KD).

Intended Impact Force	150 kilodynes (n=28)	250 kilodynes (n=20)
Actual Impact Force	154 ( $\pm$ 2) kilodynes	229 ( $\pm$ 28)
Probe Displacement	1296 ( $\pm$ 193) micrometers	1578 ( $\pm$ 136) micrometers
Probe Velocity	122 ( $\pm$ 4) mm/sec	124 ( $\pm$ 3) mm/sec
Overall % Inclusion	70%	35%

**Table 2**

Summary of cervical SCI models used to assess respiration function and their associated pathological outcome.

<b>Injury</b>	<b>Pathology</b>	<b>Ventilation (plethysmography in awake animals)</b>	<b>Phoenix Function (Muscle EMG or nerve recording)</b>
"Complete" lateral C2 Hemisection	White matter: Complete disruption on one side Grey Matter: None (or limited)	Rapid shallow pattern of breathing (RSB)	Initially paralyzed ipsilateral to injury. Limited spontaneous recovery with time
Partial lateral C2 hemisection (Fuller, et al., 2009, Vinit, et al., 2008)	White matter: Lateral compromise, some ventromedial sparing Grey Matter: None (or limited)	Moderate increase in breathing frequency	Initially paralyzed ipsilateral to injury. Limited spontaneous recovery with time
Partial C2 medial section (Vinit, et al., 2006)	White matter: limited lateral and partial medial compromise Grey Matter: Limited lateral compromise	-	Initial paralysis in only few cases.
C2 Lateral Tract Contusion (Impact/compression) (Baussart, et al., 2006)	White matter: Partial lateralized Grey matter: Very limited lateral cell compromise	-	Reduced baseline output ipsilateral to lesion
C2 Lateral Contusion (NYU device) (El-Bohy, et al., 1998)	White matter: Partial, with some sparing laterally Grey matter: Extensive lateralized, loss of upper-cervical neurons	-	No changes during eupneic breathing. Reduced output ipsilateral to lesion, impaired response to challenge
C4/5 Lateral Contusion (NYU device) (Choi, et al., 2005)	White matter: Partial lateralized Grey matter: Lateralized, loss of mid-cervical neurons (C4-6), including phoenix motoneurons	RSB and impaired response to challenge (respiratory insufficiency) – dependent on injury severity	-
C4/5 Midline Contusion (NYU device) (El-Bohy, et al., 1998)	White matter: Partial, but with significant sparing Grey matter: Bilateral loss of mid-cervical neurons, including phoenix motoneurons	-	No changes during eupneic breathing. Reduced output bilaterally, impaired response to challenge
C4/5 Midline/Lateral Contusion (NYU device) (Golder, et al., 2011)	White matter: Partial, with sparing Grey matter: Partial, predominantly lateralized (asymmetric), but varied	RSB and respiratory insufficiency seen 2days post-injury, but recovered by 14 days. Altered pattern of breathing dependent on injury severity	Decreased baseline phoenix output and impaired response to challenge on the side of greatest pathology.

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