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## Abnormal Mitochondrial Dynamics and Synaptic Degeneration as Early Events in Alzheimer's Disease: Implications to Mitochondria-Targeted Antioxidant Therapeutics

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### Abstract

Synaptic pathology and mitochondrial oxidative damage are early events in Alzheimer's disease (AD) progression. Loss of synapses and synaptic damage are the best correlate of cognitive deficits found in AD patients. Recent research on amyloid bet ( $A\beta$ ) and mitochondria in AD revealed that  $A\beta$  accumulates in synapses and synaptic mitochondria, leading to abnormal mitochondrial dynamics and synaptic degeneration in AD neurons. Further, recent studies using live-cell imaging and primary neurons from amyloid beta precursor protein ( $A\beta$ PP) transgenic mice revealed that reduced mitochondrial mass, defective axonal transport of mitochondria and synaptic degeneration, indicating that  $A\beta$  is responsible for mitochondrial and synaptic deficiencies. Tremendous progress has been made in studying antioxidant approaches in mouse models of AD and clinical trials of AD patients. This article highlights the recent developments made in  $A\beta$ -induced abnormal mitochondrial dynamics, defective mitochondrial biogenesis, impaired axonal transport and synaptic deficiencies in AD. This article also focuses on mitochondrial approaches in treating AD, and also discusses latest research on mitochondria-targeted antioxidants in AD.

### 1. Introduction

Increasing evidence suggests that mitochondria play a large role in aging and several age-related diseases, including, cancer, diabetes, cardiovascular, neurodegenerative diseases, and hereditary mitochondrial diseases [1-12]. Germline mutations in mitochondrial DNA (mtDNA) are involved in causing hereditary mitochondrial diseases, including Leigh syndrome, Parkinsonism, and Wilson disease [3]. Age-dependent accumulation of somatic mtDNA changes are involved in disease progression of neurodegenerative diseases, including Alzheimer's (AD), Parkinson's (PD), amyotrophic lateral sclerosis (ALS),

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Huntington's (HD) [2]. It is interesting to note that age-dependent accumulation of somatic mtDNA changes are neuronal-specific for each of these degenerative diseases [13]. Dysfunction of mitochondria is linked to increased levels of reactive oxygen species (ROS) production, abnormal intracellular calcium levels and reduced mitochondrial ATP. More recent research on mitochondrial structure in tissues of brains from AD, PD and HD revealed that imbalanced mitochondrial dynamics (increased mitochondrial fission and decreased fusion) may be the primary cause of mitochondrial dysfunction and neuronal damage [14].

Tremendous progress has been made in mitochondrial therapeutics in AD mouse models and clinical trials of AD patients. Further, recently, several mitochondria-targeted molecules have been developed and currently being tested in cell and mouse models of neurodegenerative diseases. The purpose of this article is to summarize latest developments in mitochondrial research with a particular focus on AD. This article also discusses how mitochondria-targeted molecules protect mitochondria against A $\beta$ -induced toxicity, and increase neuronal survival in neurons affected by AD.

## 2. Mitochondrial structure, function and physiology

Mitochondria are cytoplasmic organelles that are essential for the life and death. Mitochondria arise from a symbiotic association between glycolytic protoeukaryotic cells and oxidative bacteria 1.5 billion years ago [15]. Mitochondria change their shape rapidly according to the requirement of cell structure and function. Several features of mitochondria that reflect their endosymbiotic origin are their double-membrane structure and their circular genome with mitochondria specific transcription, translation and protein assembly systems [16]. Mitochondria reduced their genome size to 16.5 kb DNA and adopt to their new cellular environment, and the reduction of their genome probably increases their replication. The half-life of neuronal mitochondria is about one month, and half-life varies with tissue type in mammals [4]. However, the decay of old mitochondria and the synthesis of new mitochondria are active in all mammalian cells, including neurons. Mitochondrial function is well maintained in cells because of continuous mitochondrial recycling [17].

Mitochondria are heteroplasmic in general, meaning both healthy and defective mitochondria co-exist in cells [3,15]. Mitochondrial dynamics is well maintained in healthy cells, in other words - mitochondrial division and fusion are equal and balance equally. Mitochondrial dynamics is essential for cell survival. However, in cells from a disease state and/or cells exposed to toxins and other oxidative insults, the dynamics of mitochondria are imbalanced, resulting structural and functional abnormalities leading to cell death.

Mitochondria are compartmentalized into 2 lipid membranes: the outer and inner mitochondrial membranes. The outer membrane is highly porous and allows the passage of low molecular-weight substances between the cytosol and the inter-membrane space of mitochondria (Fig. 1) [16]. However, the inner membrane provides a highly efficient barrier to ionic flow, houses the electron transport chain (ETC) (Fig. 2) and covers the mitochondrial matrix. The mitochondrial matrix contains tricarboxylic acid (TCA) and beta-oxidation. Recent studies revealed that several proteins, including A $\beta$  (4kDa), a 99 amino acid residues of c-terminal fragment of A $\beta$ PP found in matrix [18-20]. Therefore the concept 'inner membrane does not allow big proteins to matrix' may not always be true, particularly in mitochondria from disease state neurons.

Mitochondria are controlled by both nuclear and mitochondrial genomes. MtDNA consists of a 16,571 base pair, double-stranded, circular DNA molecule [21]. The mtDNA copy number, and the number of mitochondria per cell are dependent on cell type, and ATP demand in the cell. For example, the number of mitochondrial DNA in fertilized human

oocytes is about 250,000 - while for unfertilized oocytes, the mean mitochondrial DNA number is 164,000 [22]. mtDNA contains 13 polypeptide genes that encode essential components of the ETC. mtDNA also encodes the 12S and 16S rRNA genes and the 22 tRNA genes required for mitochondrial protein synthesis. Nuclear genes encode the remaining mitochondrial proteins (approximately about over 1000 proteins), metabolic enzymes, DNA and RNA polymerases, ribosomal proteins, and mtDNA regulatory factors, such as mitochondrial transcription factor A [23]. Nuclear mitochondrial proteins are synthesized in the cytoplasm and are subsequently transported into mitochondria. The cross talk between nuclear and mitochondrial-encoded proteins is an essential process to complete oxidative phosphorylation (OXPHOS) in cells.

Mitochondria are transmitted maternally, but in rare situations, they can be transmitted paternally. They perform several cellular functions, including: the regulation of intracellular calcium, ATP production, the release of proteins that activate the caspase family of proteases, alteration of the reduction-oxidation potential of cells, and free-radical scavenging [15]. Mitochondrial ATP is generated via OXPHOS within the inner mitochondrial membrane. As shown in Fig. 1, free radicals are generated as a byproduct of OXPHOS. In the respiratory chain, complexes I and III leak electrons to oxygen, producing primarily superoxide radicals. The superoxide radicals are dismutated by manganese superoxide dismutase, generating  $H_2O_2$  and oxygen. But  $H_2O_2$  is converted to  $H_2O$  by antioxidants, catalase or glutathione peroxidase. The unconverted  $H_2O_2$  and other radicals and superoxide radicals are carried to the cytoplasm via voltage-dependent anion channels and participate in lipid peroxidation, and protein and DNA oxidation (Fig. 1).

The presence of sufficient quantities of antioxidant enzymes in the mitochondria, scavenge free radicals and protect cells against the toxicity of oxidants. However, cells that produce more oxidants, particularly pyramidal neurons in cortex and hippocampus in AD brain – are likely to be damaged because of presence of insufficient levels of antioxidant enzymes. Thus produce oxidative stress (imbalance between oxidants and antioxidant enzymes) in neurons from AD brain.

### 3. Mitochondrial Defects in Alzheimer's Disease

Alzheimer's disease (AD) is the 6<sup>th</sup> leading cause of deaths in US and devastating mental illness in elderly population. AD is a late-onset, progressive, age-dependent neurodegenerative disease, characterized by the progressive decline of memory, cognitive functions, and changes in behavior and personality [4,24,25]. AD is also associated with the loss of synapses, synaptic function, mitochondrial structural and functional abnormalities, inflammatory responses, and neuronal loss in addition to extracellular neuritic plaques and intracellular neurofibrillary tangles. Several factors, including lifestyle, diet, environmental exposure, Apolipoprotein allele E4, and several other genetic variants reported to involve in late-onset AD.

Oxidative stress and mitochondrial dysfunction have been extensively reported in AD postmortem brains [26-32], in platelets from AD patients [33], in AD transgenic mice [19,20,30,34-43], and in cell lines that express mutant APP [35,44,45], mammalian cells that treated with  $A\beta$  [46,47] and primary neurons from AD transgenic mice [48-50]. Multiple lines of evidence suggest that mitochondrial defects play a key role in AD pathogenesis:

#### 3.1. Defective Glucose Metabolism in AD brains

Several positive emission tomography scan studies revealed that reduced glucose metabolism in the brains of AD patients, indicating that defective glucose utilization in AD

[51,52]. Further, ApoE4 genotype is positively correlated with defective glucose utilization in the brains from AD patients.

### 3.2. Reduced Mitochondrial Enzyme Activities in AD

Several biochemical studies found decreased levels of cytochrome oxidase activity, pyruvate dehydrogenase, and  $\alpha$ -ketodehydrogenase in fibroblasts, lymphoblasts, and postmortem brains from AD patients, compared to neurons, fibroblasts, and lymphoblasts from age-matched healthy subjects [4].

### 3.3. Mitochondrial DNA Defects in AD

Increased mtDNA changes were found in postmortem brains from AD patients and aged-matched control subjects, compared to mtDNA changes in postmortem brain tissue from young, healthy subjects, suggesting that the accumulation of mtDNA in AD pathogenesis is age-related [53,54].

Recently, Coskun and colleagues [55] investigated whether the mtDNA copy number was related to disease progression in AD. Using molecular methods, they investigated the mtDNA copy number in DNA from patients with AD and Down syndrome. They found increased mtDNA changes and decreased mtDNA copy number in postmortem brains from AD and Down syndrome patients. Further, in the brain tissues from aged control subjects who did not have AD, the researchers found that mutations in the control region of mtDNA increased; and in patients with Down syndrome, mutations in the control region of mtDNA were associated with a reduced mtDNA copy number and L-strand transcripts. The increase in mtDNA mutations was also seen in peripheral blood DNA and in lymphoblastoid cell DNAs of AD and Down syndrome patients. In aging, Down syndrome, and Down syndrome AD, mtDNA mutations positively correlated with  $\beta$ -secretase activity, and the copy number of mtDNA was inversely correlated with the levels of A $\beta$ 40 and A $\beta$ 42. Therefore, mtDNA mutations may be responsible for neuropathological changes observed in AD and Down syndrome AD [55].

Lakatos et al [56] investigated mitochondrial DNA variations (haplotypes) in 138 mitochondrial polymorphisms in 358 subjects in the Caucasian Alzheimer's Disease Neuroimaging Initiative subjects. They found that the mitochondrial 'haplogroup UK' may confer genetic susceptibility to AD independently of the ApoE4 allele.

### 3.4. Abnormal Mitochondrial Gene Expression

Multiple studies investigated mitochondrial gene expressions in postmortem AD brains and in brain specimens from AD transgenic mice [34,57,58]. These studies found mitochondrial-encoded genes abnormally expressed in the brains AD patients and AD mice. Further, a recent, time-course global gene expression study in Tg2576 mice and age-matched non-transgenic littermates revealed an up-regulation of mitochondrial-encoded genes in 2-, 5- and 18-month-old Tg2576 mice, suggesting that mitochondrial metabolism is impaired by mutant APP and A $\beta$  and that the up-regulation of mitochondrial genes may be a compensatory response to mitochondrial dysfunction caused mutant APP and A $\beta$  [34]. Further, findings from this gene expression study also suggest that mitochondrial impairment is an early event in disease progression of AD. Further, Manczak et al. [31] also investigated mitochondrial-encoded genes using quantitative real-time RT-PCR in different grades of AD postmortem brains and non-demented control subjects. They found that abnormal expression of mitochondrial-encoded genes in postmortem AD brains compared to the brains of non-demented, healthy subjects [31], suggesting that impaired mitochondrial metabolism is a characteristic feature of AD patients.

The findings from these studies suggest that age-dependent production of APP and A $\beta$  may cause mitochondrial dysfunction and mitochondrial-encoded genes were abnormally expressed to compensate the loss of mitochondria function.

### 3.5. Mitochondrial Dysfunction and Oxidative Stress in AD

Several studies found increased free radical production, lipid peroxidation, oxidative DNA damage, oxidative protein damage, decreased ATP production, and decreased cell viability in postmortem AD brains compared to brains from age-matched healthy subjects [26,27,29,33,59]. Further, using AD transgenic mice lines, multiple studies found increased production of free radicals, cytochrome c oxidase activity, lipid peroxidation, and reduced levels mitochondrial ATP in affected brain regions [11,19,20,30,34-43,60,61], and primary neurons AD transgenic mice or neurons expressing mutant APP and A $\beta$  [32,47-50], further supporting mitochondrial dysfunction and oxidative stress are important features of AD pathogenesis.

### 3.6. Age-induced mitochondrial ROS in late-onset AD

Aging and age-dependent accumulation of mtDNA plays a key role in producing mitochondrial-ROS in neurons, and as shown in Fig. 3, this mitochondrial-ROS activate beta- and  $\gamma$ -secretases and facilitate the cleavage of the A $\beta$ PP molecule. The cleaved APP molecule (that is, A $\beta$ ) further induce free radicals, leading to the disruption of the ETC, enzyme activities, oxidized DNA, oxidized protein, and lipid peroxidation, and to the inhibition of mitochondrial ATP [4,24]. This feedback loop of age-dependent free radicals to A $\beta$  and A $\beta$  to free radicals ultimately leads to neuronal damage, neurodegeneration, and cognitive decline in late-onset AD patients.

### 3.7. APP and A $\beta$ Association with Mitochondria in AD

Several groups reported that A $\beta$ PP, and monomeric and oligomeric forms of A $\beta$  have been found in mitochondrial membranes [18,19,29,30,35,42,47,62,63]. Lustbader et al [19] found A $\beta$  normally interacting with the mitochondrial matrix protein ABAD, with this interaction leading to mitochondrial dysfunction. Recently, the Reddy laboratory found A $\beta$  monomers and oligomers in mitochondria isolated from the cerebral cortex of A $\beta$ PP transgenic mice and from N2a cells expressing A $\beta$ P [35]. A digitonin fractionation analysis of isolated mitochondria from A $\beta$ PP transgenic mice revealed A $\beta$  in the outer and inner membranes and matrix of mitochondria. We found that mitochondrial A $\beta$  decreases cytochrome oxidase activity and increases free radicals and carbonyl proteins. Du et al [47] found A $\beta$  interaction with mitochondrial matrix protein, cyclophilin D, and this abnormal interaction causes mitochondrial dysfunction in the brains of AD transgenic mice. Recently, Yao et al. [42] found A $\beta$  in mitochondrial membranes of cortical tissues from triple transgenic mice.

More recently, Devi and Ohno [20] studied to determine, if  $\beta$ -cleaved C-terminal fragment C99 of APP accumulate in mitochondria of neurons affected by AD. Using immunoblotting, digitonin fractionation and immunofluorescence labeling techniques, they found that C99 is targeted to mitochondria, in particular, to the mitoplast (innermembrane and matrix compartments) in brains of AD transgenic mice (5XFAD line). Furthermore, full-length APP was also identified in mitochondrial fractions of 5XFAD mice. Remarkably, partial deletion of the  $\beta$ -site APP-cleaving enzyme 1 (BACE1 (+/-)) almost completely abolished mitochondrial targeting of C99 and full-length APP in 5XFAD mice at 6 months of age. However, substantial amounts of C99 and full-length APP accumulation remained in mitochondria of 12-month-old BACE1 (+/-)-5XFAD mouse brains. Consistent with these changes in mitochondrial C99/ full-length APP levels, BACE1 (+/-) deletion age-dependently rescued mitochondrial dysfunction in 5XFAD mice, as assessed by cytochrome

c release from mitochondria, reduced redox or complex activities and oxidative DNA damage.

Overall, these findings together with earlier observations, suggest that A $\beta$ , C99 fragment of APP and full-length APP are associated with mitochondria, and contribute to inducing mitochondrial dysfunction in AD neurons.

### 3.8. Abnormal Mitochondrial Dynamics in AD

Recent studies of mitochondrial structure in postmortem brains from AD patients and primary neurons from AD transgenic mice revealed that A $\beta$  fragments mitochondria and causes structural changes in AD neurons [32,44,45,47-50]. Increasing evidence suggests that mitochondrial dynamics are impaired in neurons affected by AD.

Wang and co-workers [44] investigated the effects of A $\beta$ PP and A $\beta$  on mitochondrial structural changes. They found that 40% of human neuroblastoma (M17) that express wild-type APP and 80% of M17 cells overexpressing mutant A $\beta$ PP displayed alterations in mitochondrial morphology, particularly fragmented mitochondria.

Using electron and confocal microscopy, gene expression analysis, and biochemical methods, the Reddy laboratory studied mitochondrial structure and function, and neurite outgrowth in neurons treated with A $\beta$  [46]. In neurons treated with only A $\beta$ , they found increased expressions of mitochondrial fission genes (Drp1 and Fis1) and decreased expressions of fusion genes (Mfn1, Mfn2, and Opa1), indicating the presence of abnormal mitochondrial dynamics in AD neurons. Transmission electron microscopy of neurons treated with A $\beta$  revealed a significant increase in mitochondrial fragmentation, further supporting abnormal mitochondrial dynamics. They also found significantly decreased neurite outgrowth and decreased mitochondrial function in cells treated with A $\beta$ . These findings suggest that A $\beta$  fragments mitochondria and causes abnormal mitochondrial dynamics, leading to mitochondrial dysfunction.

Using primary neurons from a well-characterized A $\beta$ PP transgenic mice (Tg2576 mouse line), for the first time, the Reddy laboratory [50] studied mitochondrial activity, including axonal transport of mitochondria, mitochondrial dynamics, morphology and function. Further, we also studied the nature of A $\beta$ -induced synaptic alterations, and cell death in primary neurons from Tg2576 mice. Transmission electron microscopy revealed a large number of small mitochondria and structurally damaged mitochondria, with broken cristae in A $\beta$ PP primary neurons. We also found an increased accumulation of oligomeric A $\beta$  and increased apoptotic neuronal death in the primary neurons from the A $\beta$ PP mice relative to the WT neurons. Our findings revealed an accumulation of intraneuronal oligomeric A $\beta$ , leading to mitochondrial and synaptic deficiencies, and ultimately causing neurodegeneration in A $\beta$ PP neurons [50].

Using postmortem brains from AD patients and control subjects, and quantitative RT-PCR and immunoblotting analyses, the Reddy laboratory [32] measured mRNA and protein levels of mitochondrial structural genes in the frontal cortex of patients with early, definite and severe AD and in control subjects. We also characterized monomeric and oligomeric forms of A $\beta$  in these patients. We found increased expression of the mitochondrial fission genes Drp1 and Fis1 and decreased expression of the mitochondrial fusion genes Mfn1, Mfn2, Opa1 and Tomm40. The matrix gene CypD was up-regulated in AD patients. Results from our quantitative RT-PCR and immunoblotting analyses suggest that abnormal mitochondrial dynamics increase as AD progresses. Primary neurons that were found with accumulated oligomeric A $\beta$  had lost branches and were degenerated, indicating that oligomeric A $\beta$  may cause neuronal degeneration. These findings suggest that in patients

with AD, increased production of A $\beta$  mitochondrial fragmentation, abnormal mitochondrial dynamics and synaptic damage.

Using neurons from adult fruit flies, Zhao and colleagues [64] studied the effects of wild-type and an arctic form of A $\beta$ 42. They performed extensive time-course analyses to determine the function and structure of both axon and presynaptic terminals of individual neurons. They found A $\beta$  accumulated intracellularly, and they found a wide range of changes typically associated with aging, including the depletion of presynaptic mitochondria, a slow-down of bi-directional transports of axonal mitochondria, decreased synaptic vesicles, increased large vacuoles, and elevated synaptic fatigue.

Overall, these findings suggest A $\beta$  enters mitochondria and causes abnormal mitochondrial dynamics in neurons that are affected by AD, and that such abnormal mitochondrial dynamics cause mitochondrial dysfunction and abnormal mitochondrial trafficking in AD neurons.

### 3.9. Defective Axonal Transport of Mitochondria and Impaired Mitochondrial Biogenesis in AD

Several recent live-cell imaging studies of primary neurons treated with A $\beta$  peptide and/or primary neurons from AD transgenic mice revealed that reduced anterograde transport of mitochondria, indicating lack of healthy mitochondria and mitochondria ATP at synapses may be an important factor that promote synaptic degeneration in AD neurons [47-50,65,66].

Using mouse hippocampal neurons and A $\beta$ 25-35 peptide, the Reddy laboratory [48] studied axonal transport of mitochondria, including mitochondrial motility, mitochondrial length and size, mitochondrial index per neurite, and synaptic alterations of the hippocampal neurons. In the PBS-treated neurons, 36.4 $\pm$ 4.7% of the observed mitochondria were motile, with 21.0 $\pm$ 1.3% moving anterograde and 15.4 $\pm$ 3.4% moving retrograde and the average speed of movement was 12.1 $\pm$ 1.8 $\mu$ m/min. In contrast, in the A $\beta$ -treated neurons, the number of motile mitochondria were significantly less, at 20.4 $\pm$ 2.6% ( $P$ <0.032), as were those moving anterograde (10.1 $\pm$ 2.6%,  $P$ <0.016) relative to PBS-treated neurons, suggesting that the A $\beta$ 25-35 peptide impairs axonal transport of mitochondria in AD neurons. In the A $\beta$ -treated neurons, the average speed of motile mitochondria was also less, at 10.9 $\pm$ 1.9 $\mu$ m/min, and mitochondrial length was significantly decreased. Further, synaptic immunoreactivity was also significantly less in the A $\beta$ -treated neurons relative to the PBS-treated neurons, indicating that A $\beta$  affects synaptic viability. These findings suggest that, in neurons affected by AD, A $\beta$  is toxic, impairs mitochondrial movements, reduces mitochondrial length, and causes synaptic degeneration.

More recently, the Reddy laboratory studied mitochondrial activity, including axonal transport of mitochondria, mitochondrial dynamics, morphology and function. Further, they also studied the nature of A $\beta$ -induced synaptic alterations, and cell death in primary neurons from Tg2576 mice. Similar to the findings of A $\beta$ 25-35 peptide treated neurons, we found significantly decreased anterograde mitochondrial movement, increased mitochondrial fission and decreased fusion, abnormal mitochondrial and synaptic proteins and defective mitochondrial function in primary neurons from A $\beta$ PP mice compared with wild-type neurons.

Using 5-bromo-2-deoxyuridine (BrdU) incorporation and primary neurons, the Reddy laboratory [49] studied the mitochondrial biogenesis and mitochondrial distribution in hippocampal neurons from A $\beta$ PP transgenic mice and wild-type neurons treated with oxidative stressors, rotenone and H<sub>2</sub>O<sub>2</sub>. We found that after 20h of labeling, BrdU

incorporation was specific to porin-positive mitochondria. The proportion of mitochondrial area labeled with BrdU was  $40.3 \pm 6.3\%$  at 20h. The number of mitochondria with newly synthesized DNA was significantly higher in A $\beta$ PP neuronal cell bodies than in the cell bodies of wild-type neurons. In neurites, the number of BrdU-positive mitochondria significantly decreased in A $\beta$ PP cultures compared to wild-type neurons. Further, BrdU in the cell body significantly increased when neurons were treated with low doses of H<sub>2</sub>O<sub>2</sub>, while the neurites showed decreased BrdU staining. BrdU labeling was increased in the cell body under rotenone treatment. Additionally, under rotenone treatment, the content of BrdU labeling decreased in neurites.

Overall, findings from our lab together with others [47,65,66] suggest that A $\beta$  and mitochondrial toxins enhance mitochondrial fragmentation in the cell body, and may cause impaired axonal transport of mitochondria, defective mitochondrial distribution, leading to synaptic degeneration.

#### 4.0. Synaptic Degeneration in AD

Several recent studies focused on synapses and synaptic degeneration in AD neurons, and found A $\beta$  abnormally accumulated in synapses and synaptic mitochondria [4,35,43,47]. This abnormal accumulation of A $\beta$  at synapses may be important factor causing synaptic degeneration.

Recently, Dragicevic et al [43] studied synaptic mitochondrial abnormalities in the A $\beta$ PPsw and A $\beta$ PP+PS1 mouse lines, focusing on the hippocampus, cortex, striatum, and amygdala of 12-month-old A $\beta$ PPsw and A $\beta$ PP+PS1 mice as well as nontransgenic mice. They measured mitochondrial respiratory rates, ROS production, membrane potential, and cytochrome c oxidase activity. Hippocampal and cortical mitochondria showed the highest levels of mitochondrial dysfunction, while striatal mitochondria were moderately affected, and amygdala mitochondria were minimally affected. Mitochondria in affected brain tissues from A $\beta$ PP+PS1 mice were more impaired than those from A $\beta$ PP mice. Synaptic mitochondria were more impaired than nonsynaptic mitochondria in both the A $\beta$ PPsw and A $\beta$ PP+PS1 mouse models. The A $\beta$ PP/PS1 mice showed more impairment in the cognitive interference task of working memory than did the A $\beta$ PP mice. The correspondence between levels of mitochondrial A $\beta$  and levels of mitochondrial dysfunction in AD mouse models supports a primary role for mitochondrial A $\beta$  in AD pathology. Dragicevic et al [69] studied the relationship between mitochondrial A $\beta$  levels and mitochondrial dysfunction in AD mouse models. Moreover, the degree of cognitive impairment in AD transgenic mice was linked to the extent of mitochondrial dysfunction and mitochondrial A $\beta$ , suggesting that a mitochondrial A $\beta$ -induced signaling cascade may contribute to cognitive impairment [43].

Recently, Du and colleagues [47] studied differences in mitochondrial properties and functions of synaptic versus non-synaptic mitochondria in the transgenic mouse brain, that overexpress the human mutant form of APP and produce A $\beta$ . Synaptic mitochondria showed a greater degree of age-dependent accumulation of A $\beta$  and mitochondrial alterations relative to nonsynaptic mitochondria. The synaptic mitochondrial pool of A $\beta$  was detected at 4 months, before the onset of nonsynaptic mitochondria and A $\beta$  deposits accumulation. A $\beta$ -insulted synaptic mitochondria revealed early deficits in mitochondrial function, as shown by increased mitochondrial permeability transition, decline in both respiratory function and activity of cytochrome c oxidase, and increased mitochondrial oxidative damage. A low concentration of A $\beta$ 1-42 (200 nM) treated murine primary neurons showed significantly altered mitochondrial distribution and trafficking in axons.

The findings from these studies suggest that synaptic mitochondria, especially A $\beta$ -rich synaptic mitochondria, are more susceptible to A $\beta$ -induced damage, highlighting the



importance of synaptic mitochondrial dysfunction relevant to the development of synaptic degeneration and cognitive impairments in AD.

#### 4. Mitochondrial Approaches to Treat AD

Extensive research based on postmortem brains, cell and mouse models of AD, several cellular changes/mechanisms have been reported, including 1). A $\beta$  production and deposits, 2). hyperphosphorylation of tau and neurofibrillary tangles, 3). inflammatory responses, 4). cholinergic inhibition, 5). loss of synapses and synaptic damage and 6). abnormal mitochondrial dynamics and mitochondrial dysfunction. Based on these cellular changes, several therapeutic approaches have been developed and currently are being tested using cell and mouse models of AD. Despite tremendous progress made in AD research, and AD therapeutics, currently there are no drugs/agents available to prevent, delay, stop disease progression in AD patients and in elderly individuals.

As described above, loss of synapses/synaptic damage and mitochondrial oxidative damage are early events on AD progression [18,19,28-30,32,34,35,42,44,45,47-50,63,66], and loss of synapses are the best correlate of cognitive deficits reported in AD patients. Further, impaired mitochondrial biogenesis and defective axonal transport of mitochondria are primary events that cause synaptic degeneration in AD neurons [32,48-50]. Therefore, it is critical to develop molecules that 1). scavenge free radicals and decrease mitochondrial dysfunction and promote healthy mitochondrial biogenesis, 2). enhance axonal transport of organelles including mitochondria and enhance synapse formation and synaptic branches in AD neurons (Fig. 4).

##### 4.1. Antioxidant Therapeutics in AD

In the last decade, several groups studied efficacies of antioxidants, including vitamin E, curcumin, Ginkgo biloba and melatonin to determine, if antioxidants reduce A $\beta$  and tau pathologies and enhance cognitive functions in mouse models of AD [67-72]. The outcome of these AD mice studies is positive, AD animals treated with antioxidants showed reduced soluble A $\beta$  levels, improved mitochondrial function and cognitive behavior.

Based on encouraging outcome of AD mice studies, several clinical trials were conducted in AD patients and elderly individuals using vitamin E, vitamin C and E together, vitamin E +donepezil, Formula F+donepezil, statins and huperzine A [73-89].

Further, to determine the neuroprotective effects of huperzine A (an antioxidant) in AD patients, recently huperzine A was administered to randomly selected in mild to moderate AD in a multicenter trial in which 210 individuals were randomized to receive placebo (n = 70) for at least 16 weeks, with 177 subjects completing the treatment phase [90]. The primary analysis assessed the cognitive effects of huperzine A 200  $\mu$ g BID at week 16 at 200  $\mu$ g BID compared to placebo. Secondary analyses assessed the effect of huperzine A 400  $\mu$ g BID, as well as effect on other outcomes including Mini-Mental State Examination. Huperzine A 200  $\mu$ g BID did not influence change in ADAS-Cog at 16 weeks. In secondary analyses, huperzine A 400  $\mu$ g BID showed a 2.27-point improvement in ADAS-Cog at 11 weeks vs 0.29-point decline in the placebo group (p = 0.001), and a 1.92-point improvement vs 0.34-point improvement in the placebo arm (p = 0.07) at week 16. Changes in clinical global impression of change, NPI, and activities of daily living were not significant at either dose. The primary efficacy analysis did not show cognitive benefit with huperzine A 200  $\mu$ g BID. This study provides Class III evidence that huperzine A 200  $\mu$ g BID has no demonstrable cognitive effect in patients with mild to moderate AD.

Overall, the outcome of antioxidant clinical trials is mostly negative and/or showed modest positive effect in cognitive function in AD patients or even elderly individuals. There are several possible reasons for the limited success of antioxidant clinical trials: 1). naturally occurring antioxidants might not cross the blood-brain barrier and so cannot reach mitochondria to neutralize free radicals, 2). not well-thought-out experimental design of clinical trials, and 3) most clinical trials conducted thus far in late-stage AD patients.

#### 4.2. Mitochondria-targeted antioxidants in AD

considerable progress has been made in the last decade in developing mitochondria-targeted antioxidants. To increase the delivery of antioxidants into mitochondria, multiple mitochondria-targeted molecules have been developed: 1. triphenylphosphonium-based antioxidants - MitoQ, MitoVitE, Mito- $\alpha$ -lipoic acid, MitoPBN, 2. the cell-permeable, small peptide-based molecules, SS31, SS02, SS19, SS20 and 3. choline esters of glutathione and N-acetyl-L-cysteine [91-95]. However, these mitochondria-targeted molecules are not fully studied yet using cell and mouse models of AD.

Recently, Murphy and colleagues developed a series of lipophilic triphenylphosphonium cation based antioxidants [95]. The lipophilic triphenylphosphonium cation is attached to antioxidants such as vitamin E, coenzyme Q,  $\alpha$ -lipoic acid and these lipophilic cation attached antioxidants were preferentially taken up by mitochondria due to charge difference between mitochondria (with negative charge) and lipophilic cation based antioxidants (with positive charge) (Fig. 5). These antioxidants accumulate in the cytoplasm of cells, due to a negative plasma membrane potential and enter mitochondria and accumulate several hundred folds within the mitochondrial matrix.

**MitoQ**—Among several lipophilic cation based antioxidants, MitoQ is a strong therapeutic antioxidant that has been successfully targeted to mitochondria. MitoQ excessively accumulate in the mitochondria and convert  $H_2O_2$  to  $H_2O$  and  $O_2$ , and reduce toxic insults from free radicals in the mitochondria. This reduction may ultimately lead to the protection of neurons from age-related and AD-related mitochondrial insults [93,95]. However, higher concentrations (above  $0.3\mu M$ ) of MitoQ are toxic to neuronal cells.

Using electron and confocal microscopy, gene expression analysis, and biochemical methods, the Reddy laboratory [32,46] studied mitochondrial structure and function, and neurite outgrowth in mouse neuroblastoma (N2a) cells treated with MitoQ, SS31, and resveratrol, and then incubated with  $A\beta$ . In N2a cells only incubated with the  $A\beta$ , we found increased expressions of mitochondrial fission genes and decreased expression of fusion genes, and also decreased expression of peroxiredoxins, endogenous cytoprotective antioxidant enzymes. Electron microscopy of the N2a cells incubated with  $A\beta$  revealed a significantly increased number of mitochondria, indicating that  $A\beta$  fragments mitochondria. Biochemical analysis revealed that function is defective in mitochondria. Neurite outgrowth was significantly decreased in  $A\beta$ -incubated N2a cells, indicating that  $A\beta$  affects neurite outgrowth. However, in N2a cells treated with MitoQ, and SS31, and then incubated with  $A\beta$ , abnormal expression of peroxiredoxins and mitochondrial structural genes were prevented and mitochondrial function was normal; intact mitochondria were present and neurite outgrowth was significantly increased. In primary neurons from  $A\beta$ PP transgenic mice that were treated with MitoQ and SS31, neurite outgrowth was significantly increased and cyclophilin D expression was significantly decreased. These findings suggest that MitoQ and SS31 prevent  $A\beta$  toxicity in mitochondria from neurons affected by AD. Further research is needed using AD mouse models in order to determine MitoQ effects in cognitive behavior and AD pathology.

**SS31**—Recently, Szeto and Schiller developed a series of 4, small, cell-permeable antioxidant peptides (Szeto-Schiller or SS peptides) that are known to protect mitochondria from oxidative damage: 1) SS19 H-Tyr-D-Arg-Phe-Lys-NH<sub>2</sub>, 2) SS02 H-Dmt-D-Arg-Phe-Lys-NH<sub>2</sub>, 3) SS31 H-D-Arg-Dmt-Lys-Phe-NH<sub>2</sub>, and 4) SS20 H-Phe-D-Arg-Phe-Lys-NH<sub>2</sub> [91,95-97]. These SS peptides have a sequence motif that allows them to target mitochondria. They scavenge H<sub>2</sub>O<sub>2</sub> and ONOO<sup>-</sup>, and inhibit lipid peroxidation. Their antioxidant action can be attributed to the tyrosine, or dimethyltyrosine (Dmt), residue. Dmt is more effective than tyrosine in scavenging mitochondria for ROS. The specific location of the tyrosine or Dmt residue does not appear to be significant, as SS31 was found to be as effective as SS02 in scavenging H<sub>2</sub>O<sub>2</sub> and in inhibiting LDL oxidation.

Recently, the efficacy of the SS31 was studied in rodent models by several labs using different murine models of human diseases including ischemic brain injury [98], with a diabetic condition [99], undergoing myocardial infarction [100] and in ALS [101]. Researchers found that SS31 protects cells from mitochondrial toxicity in all these disease states.

The Reddy laboratory [32,48-50] extensively studied the protective properties of SS31 in neurons treated with A $\beta$ 25-35 peptide, and primary neurons from Tg2576 mice [32,48-50] and Tg2576 mice treated with SS31 (Mao and Reddy, unpublished observations).

As reported earlier, SS31 decreased the levels of mitochondrial fission proteins (Drp1, Fis1) and matrix protein, CypD and reduced mitochondrial dysfunction in neurons affected by AD. Further, SS31 enhanced the number of healthy and intact mitochondria, and increased synaptic outgrowth and neuronal branching.

Recently, the Reddy laboratory [50] studied mitochondrial activity and the nature of A $\beta$ -induced synaptic alterations in primary neurons from Tg2576 mice. We sought to determine whether the mitochondria-targeted antioxidant SS31 could mitigate the effects of oligomeric A $\beta$ . We found significantly decreased anterograde mitochondrial movement, increased mitochondrial fission and decreased fusion, abnormal mitochondrial and synaptic proteins and defective mitochondrial function in primary neurons from A $\beta$ PP mice compared with wild-type neurons. However, we found that the mitochondria-targeted antioxidant SS31 restored mitochondrial transport and synaptic viability, and decreased the percentage of defective mitochondria, indicating that SS31 protects mitochondria and synapses from A $\beta$  toxicity.

Overall, findings our lab indicate that SS31 reduce A $\beta$ -induced mitochondrial toxicity and increase axonal transport of mitochondria and enhance synaptic viability, and protect neurons from A $\beta$  toxicity. Further research is needed using AD mouse models in order to determine the efficacies of SS31 and before applying for clinical trials in AD patients.

## Conclusions and Future Directions

Mitochondria are essential cytoplasmic organelles that are critical for cell survival and cell death. Mitochondria are involved in aging and several age-related human diseases. Increasing evidence suggest that age-related accumulation of mtDNA changes play a large role in producing increased levels of ROS, decreased mitochondrial function, low levels of ATP production and neuronal damage in neurodegenerative diseases, including AD, PD, HD and ALS. Further, recent research on A $\beta$  and mitochondria in AD neurons revealed that A $\beta$  accumulates in synapses and synaptic mitochondria, leading to abnormal mitochondrial dynamics and synaptic degeneration in AD neurons. In addition, recent studies using live-cell imaging and primary neurons from AD transgenic mice revealed that reduced

mitochondrial mass, defective axonal transport, impaired mitochondrial biogenesis and synaptic degeneration, indicating that A $\beta$  is responsible for mitochondrial and synaptic deficiencies.

In terms of AD therapeutics, despite tremendous progress made in understanding disease progression and developing therapies, we still do not have drugs/agents that prevent, delay, stop AD in our elderly population. Antioxidant approaches in treating AD patients thus far are disappointing. However, mitochondria-targeted molecules appear to be promising to treat AD, and however, further research is needed to study the efficacies of mitochondria-targeted molecules.

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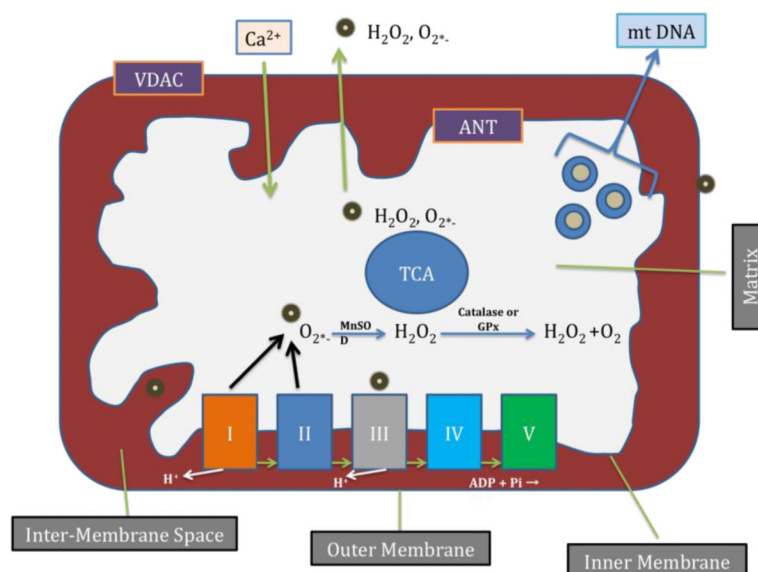


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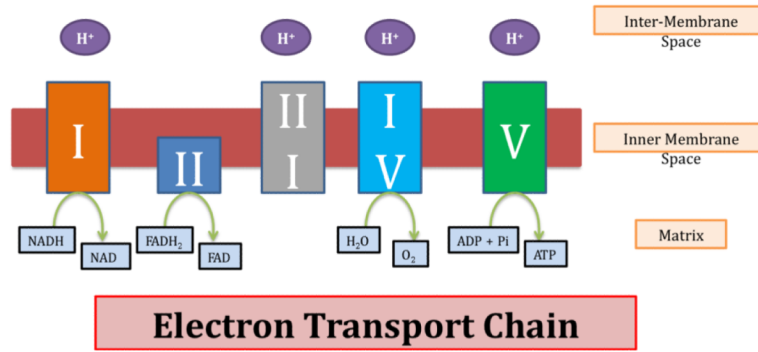
### Highlights

1. Summarizes recent developments of Abeta-induced abnormal mitochondrial dynamics and synaptic degeneration in AD.
2. Discussed the factors that cause mitochondrial dysfunction in AD.
3. Highlighted the antioxidant approaches in AD.
4. Discussed the mitochondria-targeted antioxidant therapeutics in AD.

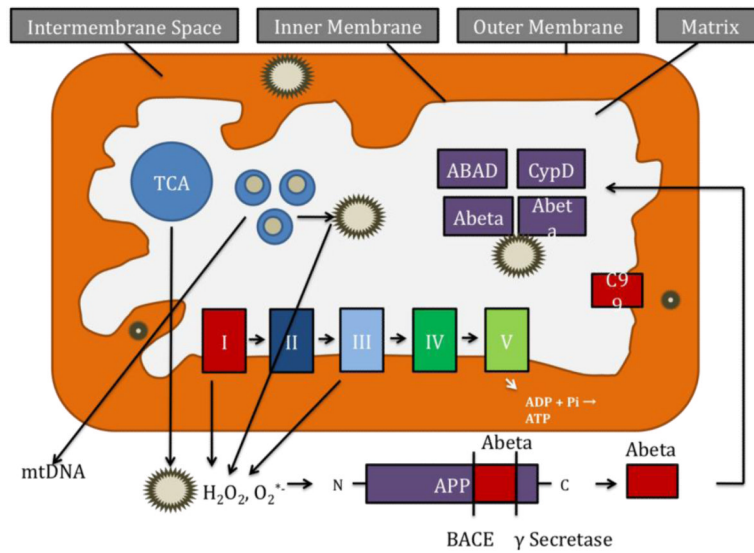


**Figure 1.**

Mitochondrial structure and sites of free radical generation. Mitochondria are bag like structures compartmentalized with two lipid membranes: the inner mitochondrial membrane and the outer mitochondrial membrane. The inner mitochondrial membrane houses the mitochondrial respiratory chain and provides a highly efficient barrier to ionic flow. The inner mitochondrial membrane houses respiratory chain or electron transport chain (ETC). In the ETC, complexes I and III leak electrons to oxygen, producing primarily superoxide radicals. Superoxide radicals are dismutated by manganese superoxide dismutase and produce  $H_2O_2$ . In addition, ETC involves  $H_2O_2$  reducing to  $H_2O$  and  $O_2$  by catalase or glutathione peroxidase accepting electrons donated by NADH and  $FADH_2$  and then yielding energy to generate ATP from adenosine diphosphate and inorganic phosphate. Free radicals are also generated by tricarboxylic acid in the matrix. These radicals are carried to the cytoplasm via voltage-dependent anion channels, and may involve oxidation DNA and proteins in the cytoplasm.

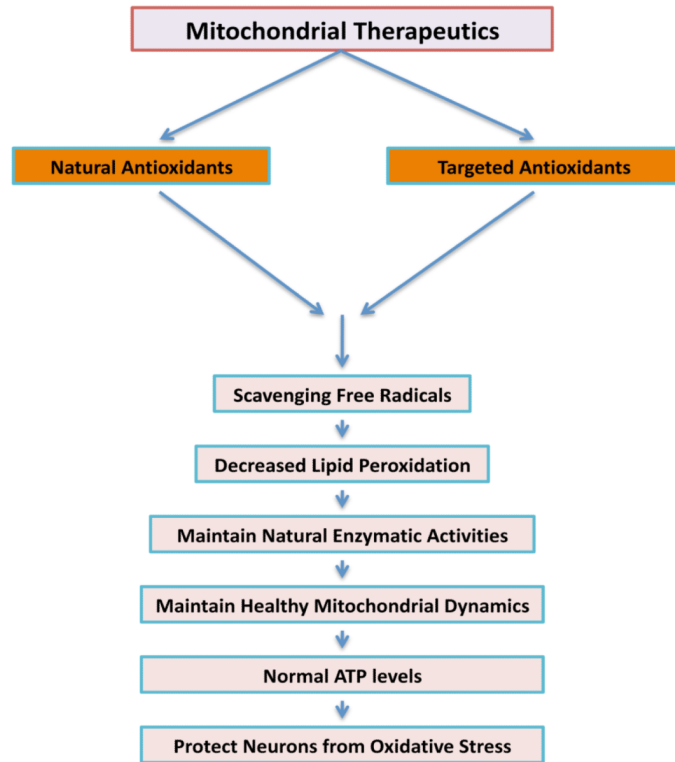


**Figure 2.**  
The structure of electron transport of chain.

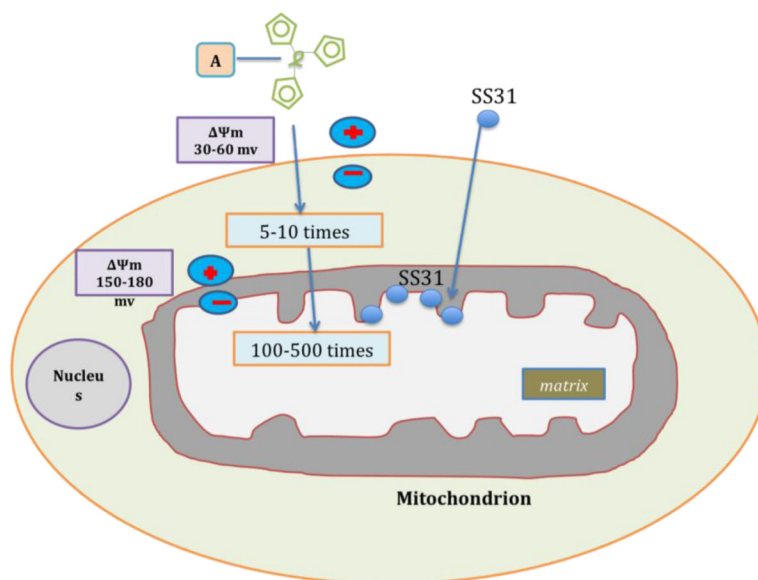


**Figure 3.**

Age and amyloid beta-induced free radical production and cleavage of APP fragments in AD neuron. The accumulation of mtDNA changes may induce ROS production and cause oxidative damage in aged tissues. In late-onset AD, age-dependent production of ROS contribute to the secretion of Aβ peptides by activating β- and γ-secretases. These Aβ peptides enter mitochondria, induce free radicals, decrease cytochrome oxidase activity, and inhibit ATP generation. In familial AD, mutations in APP, PS1 and PS2 activate β- and γ-secretases and secrete Aβ peptides, and these Aβ peptides enter mitochondria, cause mitochondrial dysfunction and damage neurons.



**Figure 4.** Schematic representation mitochondrial therapeutics for AD.



**Figure 5.**

Schematic representation of targeting mitochondria by different molecules. A generic mitochondria-targeted antioxidant is shown constructed by the covalent attachment of an antioxidant molecule to the lipophilic triphenylphosphonium cation. Antioxidant molecules accumulate 5-10 fold in the cytoplasm, which is driven by plasma membrane potential, and then further accumulates 100-500 fold in the mitochondria. Mitochondria-targeted molecules rapidly neutralize free radicals and reduce mitochondrial toxicity. The SS31 is a cell-permeable tetra-peptide that targeted to mitochondria and protects mitochondria from oxidative damage. SS31 peptide has a sequence motif that allows them to target mitochondria several hundred fold more than natural antioxidants. Once SS peptides reach mitochondria, the SS peptides rapidly neutralize free radicals and decrease mitochondrial toxicity.