

Age-Dependent IgG Subclass Responses to *Plasmodium falciparum* **EBA-175 Are Differentially Associated with Incidence of Malaria in Mozambican Children**

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Plasmodium falciparum **blood-stage antigens such as merozoite surface protein 1 (MSP-1), apical membrane antigen 1 (AMA-1), and the 175-kDa erythrocyte binding antigen (EBA-175) are considered important targets of naturally acquired immunity to malaria. However, it is not clear whether antibodies to these antigens are effectors in protection against clinical disease or mere markers of exposure. In the context of a randomized, placebo-controlled trial of intermittent preventive treatment in infants conducted between 2002 and 2004, antibody responses to** *Plasmodium falciparum* **blood-stage antigens in a cohort of 302 Mozambican children were evaluated by immunofluorescence antibody test and enzyme-linked immunosorbent assay at 5, 9, 12, and 24 months of age. We found that IgG subclass responses to EBA-175 were differentially associated with the incidence of malaria in the follow-up period. A double amount of cytophilic IgG1 or IgG3 was associated with a significant decrease in the incidence of malaria (incidence rate ratio [IRR]** $= 0.49,95\%$ confidence interval [CI] $= 0.25$ to 0.97, and $P = 0.026$ and IRR $= 0.44$, **CI 0.19 to 0.98, and** *P* **0.037, respectively), while a double amount of noncytophilic IgG4 was significantly correlated with an increased incidence of malaria (IRR 3.07, CI 1.08 to 8.78,** *P* **0.020). No significant associations between antibodies to the 19-kDa fragment of MSP-1 (MSP-119) or AMA-1 and incidence of malaria were found. Age, previous episodes of malaria, present infection, and neighborhood of residence were the main factors influencing levels of antibodies to all merozoite antigens. Deeper understanding of the acquisition of antibodies against vaccine target antigens in early infancy is crucial for the rational development and deployment of malaria control tools in this vulnerable population.**

In areas where the intensity of transmission of *Plasmodium fal-*
ciparum is high, the greatest burden of malaria occurs in chil*ciparum* is high, the greatest burden of malaria occurs in children under age 5 years [\(21\)](#page-8-0) and that of severe malaria occurs in infants under age 12 months [\(48\)](#page-9-0). Natural immunity is acquired with age and exposure, protecting quite effectively from disease and high parasitemia [\(13\)](#page-8-1). The exact immune mediators, mechanisms, and targets underlying such protection are unknown, but immunoglobulin passive transfer studies demonstrated that IgG antibodies are important effectors in protection [\(6,](#page-8-2) [45\)](#page-9-1).

Blood-stage antigens such as the 19-kDa fragment of merozo-ite surface protein 1 (MSP-1₁₉) [\(5,](#page-8-3) [8,](#page-8-4) [12,](#page-8-5) [15,](#page-8-6) [29,](#page-9-2) [31,](#page-9-3) [39\)](#page-9-4), apical membrane antigen 1 (AMA-1) [\(11,](#page-8-7) [20,](#page-8-8) [40\)](#page-9-5), and the 175-kDa erythrocyte binding antigen (EBA-175) [\(22,](#page-8-9) [34,](#page-9-6) [36,](#page-9-7) [38,](#page-9-8) [50\)](#page-9-9) are considered important targets of naturally acquired immunity [\(9\)](#page-8-10), and antibodies against these parasite proteins inhibit invasion of erythrocytes *in vitro* [\(7,](#page-8-11) [31,](#page-9-3) [37\)](#page-9-10). Recent work has shown an association between IgG to EBA-175 and protection from malaria [\(26,](#page-8-12) [42\)](#page-9-11). However, conflicting evidence in immunoepidemiological studies and unsuccessful phase IIb vaccine trials question the extent of the relevance of AMA-1 and MSP-1 in protection against malaria [\(33\)](#page-9-12). A meta-analysis of antimerozoite antibodies supported the protective effect of total IgG responses to particular antigens against symptomatic falciparum malaria in humans [\(17\)](#page-8-13) and highlighted the requirement for more prospective cohort studies in different populations examining multiple antigens at multiple time points. In addition, the antibody isotype elicited by *P. falciparum* antigens is considered to be important, such that the

protective effect of IgG has been attributed to the cytophilic (IgG1 and IgG3) rather than the noncytophilic (IgG2 and IgG4) subclasses [\(16,](#page-8-14) [28,](#page-9-13) [32,](#page-9-14) [44,](#page-9-15) [53\)](#page-9-16).

Several trials of malaria control strategies [\(1,](#page-8-15) [24,](#page-8-16) [27\)](#page-9-17) are being conducted in Manhiça, an area of southern Mozambique where malaria is endemic. As no previous data on naturally acquired immune responses to blood-stage *P. falciparum* antigens in the study area were available, a detailed analysis of the development of antibody responses during the first 2 years of life was conducted in the context of a randomized, placebo-controlled trial of intermittent preventive treatment in infants (IPTi) with sulfadoxinepyrimethamine (SP) [\(24,](#page-8-16) [41\)](#page-9-18). This paper sets out to report the evaluation of the age pattern of naturally acquired antibodies to the leading vaccine candidates MSP-1₁₉, AMA-1, and EBA-175, the description of the decay of maternal IgG, the pattern of IgG isotype responses, the effect of past and present parasite exposure and neighborhood in the antibody response, and the role of these

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antibodies in protection against clinical malaria. The majority of prior studies that have attempted to evaluate the role of antimalarial antibody responses with a prospective design have been conducted on older age children rather than infants. The understanding of the acquisition of antibody-mediated natural immunity in early infancy is crucial for the rational development and deployment of malaria control tools, including vaccines, in this most vulnerable population.

MATERIALS AND METHODS

Study area and participants. The study was conducted at the Centro de Investigação em Saúde da Manhiça (CISM), Manhiça District (Maputo Province), in southern Mozambique. Adjacent to CISM is the Manhiça Health Center, a 110-bed referral health care facility that provides curative and preventive services to the area population. The characteristics of the area have been described in detail elsewhere [\(2\)](#page-8-17). Malaria transmission is perennial with marked seasonality and an entomological inoculation rate for 2002 of 38 for the whole study area. There is a continuous demographic surveillance system in place covering 36,000 inhabitants of the same ethnicity.

Children included in this analysis participated in an IPTi randomized, placebo-controlled trial [\(24,](#page-8-16) [41\)](#page-9-18) with registration number NCT00209795 (http://clinicaltrials.gov). Infants were recruited at age 3 months when attending the Expanded Program on Immunization (EPI) clinic between September 2002 and February 2004. Permanent residents of the CISM study area who did not report allergies to sulfa drugs and did not require admission to the hospital were eligible for inclusion. IPTi-SP or placebo was administered to the study participants at 3, 4, and 9 months of age. Antibody measurements were done at 5, 9, 12, and 24 months of age for the last 302 children (50% under IPTi-SP) recruited out of the total of 1,498 participating in the efficacy trial. At each visit, capillary blood was collected into Microtainer tubes with EDTA to obtain plasma and an erythrocyte pellet. Clinical surveillance for malaria morbidity during the whole study was done through round-the-clock (24 h a day, 7 days a week) passive case detection, documented with standardized questionnaires and databases. Written informed consent for the study was obtained from parents/guardians. Ethical approval was obtained from the ethics review committee of Mozambique (National Health and Bioethics Committee, CNBS) and the ethics review committee of the Hospital Clinic of Barcelona (Ethical Committee of Clinical Investigation, CEIC) in Spain.

Malaria parasitemia. *P. falciparum* infections were diagnosed at 12 and 24 months of age and during the passive morbidity surveillance by thick and thin blood films, which were stained and read according to quality-control procedures [\(3\)](#page-8-18). Parasite density was assessed by counting the number of asexual-stage parasites until 500 leukocytes or parasites had been counted. In addition, submicroscopic *P. falciparum* infections were assessed by nested PCR targeting the small-subunit rRNA genes, as described previously [\(52\)](#page-9-19), from erythrocyte pellets collected at the four cross-sectional visits (at 5, 9, 12, and 24 months of age).

Antibody assays. Immunofluorescence antibody test (IFAT) with asynchronous cultures of *P. falciparum* (3D7) and enzyme-linked immunosorbent assays (ELISAs) were done as described previously [\(41\)](#page-9-18). To prepare IFAT slides, *in vitro* cultures of *P. falciparum* (3D7) were used at 3.5% parasitemia and 3 to 5% hematocrit. For the ELISA, plasma samples were assayed for IgG (including isotypes) and IgM to the recombinant proteins MSP-1₁₉ (19-kDa fragment, C terminus, 3D7 [\[25\]](#page-8-19)), AMA-1 (3D7 [\[23\]](#page-8-20)), and EBA-175 (region F2, CAMP [\[37\]](#page-9-10)) from the International Centre for Genetic Engineering and Biotechnology (ICGEB; New Delhi, India) expressed in *Escherichia coli* and purified with a histidine tag. Briefly, high-binding 96-well microplates (Maxisorp; Nunc, Denmark) coated with the proteins were incubated first with plasma (1:200) and later with peroxidase-conjugated goat anti-human IgG or IgM secondary antibodies (Sigma, St. Louis, MO). To develop the plates, phosphate solution with 0.012% H₂O₂ substrate and *o*-phenylenediamine chromogen were added, and the colorimetric reaction was stopped with $3 M H_2SO_4$.

IgG isotypes were measured in the samples in which a positive IgG response for the corresponding antigen was detected at a given time point. Identical sets of antigen-coated plates were prepared for the determination of IgG, IgG1, IgG2, IgG3, or IgG4 in assays performed with any one plasma sample (1:200, duplicates) in parallel on the same day. ELISA conditions (including plasma dilutions) had been previously standardized in our laboratory with reference reagents. Wells were incubated for 3 h with peroxidase-conjugated polyclonal sheep anti-human IgG1 (1: 6,000), IgG2 (1:3,000), IgG3 (1:6,500), or IgG4 (1:5,000) (Binding Site, Birmingham, United Kingdom) or peroxidase-conjugated rabbit antihuman IgG specific for gamma chains (1:6,000; Dako, Glostrup, Denmark). In parallel, purified human myeloma proteins IgG1, IgG2, IgG3, and IgG4 (Binding Site) were coated on plates at 2-fold dilutions from 2 to 0.001 μ g/ml. Peroxidase-conjugated antibodies to each IgG subclass (Binding Site), at the same dilutions used for plasma samples, were reacted with the myeloma proteins and used as positive controls.

In both IFAT and ELISA, positive controls were a pool of plasma from 8 adults with lifelong exposure to malaria and negative controls were 9 plasma samples from nonexposed adults. For the IFATs, positive- and negative-control plasma samples were used in each slide, they were read blind, and the highest dilution giving green positive fluorescence was scored. Data are presented as endpoint IgG titers (reciprocal of last plasma dilution causing positive fluorescence above the negative-control levels). For the ELISA, specific reactivity of plasma samples was obtained as optical density (OD) values at 492 nm (OD₄₉₂). For total IgG and IgM, OD₄₉₂ data were normalized against a positive control (1:200) run in the same experiment and used as continuous variables (arbitrary units, percent). IgG isotype data were reported as $OD₄₉₂$ s. Positive antibody responses were those with an OD value above the cutoff (arithmetic mean of negative controls plus 3 standard deviations).

Definitions and statistical methods. *P. falciparum* infection was defined as the presence of asexual *P. falciparum* parasites of any density in a blood smear or when assessed by PCR. A clinical malaria episode was defined as a positive blood smear plus an axillary temperature of \geq 37.5°C. The sensitivity and specificity of these definitions are \sim 100% and 84%, respectively, in infants and 100% and 79.4%, respectively, in children 1 to 4 years old [\(46\)](#page-9-20). Months were defined to be 30.4 days. Incidence was expressed as the number of episodes per person years at risk (PYAR). To estimate the incidence, the time at risk was calculated as the number of PYAR since the beginning of the time at risk until the end of follow-up, migration, death, or withdrawal of consent, whatever was first. Children were not considered to be at risk for 28 days after the start of each episode of clinical malaria.

Antibody variables were logarithmically transformed. Averages within ages are presented as geometric means (GM) plus 95% confidence intervals (CI). Distributions of antibody responses to each antigen at each time point are presented as weighted scatter plots. To identify variables independently associated with antibody levels, multivariate random-effect regression models using a forward stepwise procedure (P -enter = 0.05, from likelihood ratio test) were estimated, including intervention group, age, neighborhood of residence (defined by the established geographical and demographic platforms), previous clinical malaria episodes (detected by the passive morbidity surveillance), and present infection (detected at the four cross-sectional visits by microscopy and/or PCR). In these models, the subject variable was a random effect; thus, we were able to take the sampling variability into account. Because of the strong effect of previous malaria episodes [\(41\)](#page-9-18), we used a second model including only children with at least one episode before contact to further evaluate the effect of additional variables on antibody values, including intervention group, age, number of previous malaria episodes, time from previous episodes, present infection, and parasite density (number of parasites/ μ l).

To evaluate the relationship between the level of each of the antibody variables individually at 5 and 12 months and the incidence of clinical malaria episodes to 12 or 24 months, respectively, negative binomial regression models were estimated. Two periods were defined because of the

FIG 1 Antibody responses to asexual blood-stage whole *P. falciparum* parasites (A), *P. falciparum* MSP-119 (PfMSP-119) (B), *P. falciparum* AMA-1 (PfAMA-1) (C), and *P. falciparum*EBA-175 (PfEBA-175) (D) in children up to 2 years of age. For IFAT data (A), IgG levels (*y* axes in log scale) are expressed as endpoint titers. For ELISA data (B to D), IgG (left) and IgM (right) levels are expressed as normalized OD values (*y* axes, percentages). In the weighted scatter plots, the area of the symbol is proportional to the number of observations. Geometric mean IgG titers and 95% confidence intervals are indicated by horizontal continuous and dashed lines, respectively. Red symbols correspond to IgG levels in children with previous or present infection.

differences between the 2 years (IPTi and maternal antibodies in year 1). IgG responses were treated as continuous values or categorized by tertiles. The incidence rate ratio (IRR) of children with antibody levels in the highest tertile against those in the lowest tertile was estimated, as was the IRR per 2-fold increase in the value of antibodies. Regression analyses were done crude and adjusted by intervention group, sex, neighborhood, present infection, and previous clinical episodes for each antibody variable.

In an advanced analysis to evaluate the relationship between all the antibody variables together (IgG and IgM to all antigens) at 5 and 12 months and the incidence of clinical malaria episodes to 12 or 24 months, respectively, negative binomial regression models using a forward stepwise procedure (*P*-enter = 0.05, from likelihood ratio test) were estimated and adjusted by intervention group, sex, neighborhood, present infection, and previous clinical episodes. Only children who had at least 70% of the antibody variables measured were included in this advanced analysis; complete ELISA data were obtained from all samples, whereas IFAT data were obtained from 78.35% of samples, due to limited plasma volumes in some children.

Data analysis was performed using Stata (version 11) software (Stata statistical software: release 10; StataCorp LP, College Station, TX, 2007). Statistical significance was defined at a P value of ≤ 0.05 .

RESULTS

Age pattern of naturally acquired IgG, IgM, and IgG subclass antibodies. IgG titers to *P. falciparum* blood stages measured by IFAT were very high during the first year of life [\(Fig. 1A](#page-2-0)), decreasing at age 2 years. The table in the supplemental material summarizes the number of samples that were available to be analyzed by ELISA per each time point and the frequency of positive antibody responses. The prevalence of antibody responses to AMA-1 was the highest at all time points (27.4 to 61.4% for IgG), followed by MSP-119 (22.1 to 30.3% for IgG) and, lastly, by EBA-175 (16.9 to 24.23% for IgG). The age patterns of IgG responses against each merozoite antigen were different. IgG values to MSP- 1_{19} remained constant during the first year of life and then increased at 2 years, but not significantly [\(Fig. 1B](#page-2-0)). IgG responses to AMA-1 were the highest at age 5 months and then gradually decreased up to age 1 year and increased at age 2 years [\(Fig. 1C](#page-2-0)); the association between age and levels of IgG to AMA-1 was significant $(P < 0.0001)$. Total IgG responses to EBA-175 remained quite constant over the 2 years but at low levels [\(Fig. 1D](#page-2-0)) and were not significantly associated with age. IgM responses to the three merozoite antigens were significantly associated with age $(P < 0.0001)$, increasing from 5 to 9 months and from 12 to 24 months [\(Fig. 1B](#page-2-0) to D).

In those plasma samples in which total IgG responses above background levels could be measured, the subclasses of those IgG antibodies were subsequently analyzed. The pattern of IgG isotypes varied depending on the antigen and the age of the child [\(Fig.](#page-4-0) [2\)](#page-4-0). MSP- 1_{19} and AMA-1 predominantly elicited cytophilic IgG1 and IgG3 subclasses. EBA-175 primarily induced IgG1 and IgG3, followed by induction of IgG4 at 2 years. IgG2 levels were very low for all antigens, and IgG4 levels were low for MSP- 1_{19} and AMA-1, consistent with previous reports showing that these *P. falciparum* proteins predominantly induce IgG1 and IgG3 subclasses [\(53\)](#page-9-16). Our assay included purified human myeloma protein controls that confirmed the positive reactivity of all the isotype reagents, including IgG2 and IgG4. The kinetics of IgG1, IgG3, and IgG4 were different for each antigen [\(Fig. 2\)](#page-4-0). IgG1 responses to MSP- 1_{19} were quite constant during the first year of life and then increased at age 2 years ($P = 0.0207$), while IgG3 appeared to decrease over the first year and then increased at 2 years of age $(P < 0.0001)$ [\(Fig.](#page-4-0)

[2A\). In contrast, IgG1 responses to AMA-1 were high at 5 months](#page-4-0) [of age and then decreased up to age 1 year and increased to the](#page-4-0) highest levels at age 2 years ($P < 0.0001$), whereas IgG3 remained constant in year 1 and then increased by age 2 years [\(Fig. 2B](#page-4-0)). IgG1 responses to EBA-175 remained similar over the first year and then increased in the second year $(P = 0.0031)$ [\(Fig. 2C](#page-4-0)). IgG3 to EBA-175 remained moderate at all time points; IgG4 was low in the first year but markedly increased at age 2 years ($P < 0.0001$).

Effect of exposure to *P. falciparum* **on the magnitude of antibody responses.** There was a strong association between previous clinical malaria episodes or present infection and the magnitude of antibody responses, particularly for MSP- 1_{19} and AMA-1 [\(Table 1\)](#page-5-0). Children with a previous clinical malaria episode had, on average, 1.78 times higher levels of IgG to AMA-1 than children without (CI = 1.54 to 2.05 , $P < 0.0001$). Similarly, children with current parasitemia had 1.26 times higher levels of IgG to AMA-1 than uninfected children (CI = 1.10 to 1.43 , $P = 0.0007$). IgM levels were also strongly associated with present infections but not with past clinical episodes [\(Table 1\)](#page-5-0). Total IgG levels for EBA-175 were significantly associated with previous episodes and current infection, and IgM levels were significantly associated with current infection. However, in those children with detectable IgG responses to EBA-175, IgG1 isotype levels were significantly associated with previous episodes only, and IgG3 isotype levels were significantly associated with current *P. falciparum* infection only [\(Table 1\)](#page-5-0). All these analyses were adjusted by age and neighborhood of residence and also by IPTi treatment group, since for some antigens SP affected antibody levels, as reported previously [\(41\)](#page-9-18). In all cases, when neighborhood was associated with levels of antibody to an antigen, current infection also appeared to be significantly associated in the same model, suggesting that the effect of neighborhood was linked to the incidence of *P. falciparum* infection in the area. However, in this study we were unable to explain the spatial associations of antibody levels because none of the geographical, sociological, or entomological characteristics documented (e.g., proximity to swamps, rivers, and housing type) differed significantly among the neighborhoods.

In a subgroup analysis including only children with previous malaria episodes (103 subjects and 212 antibody measurements), levels of antibodies in children with current parasitemia were significantly higher than in those who were aparasitemic [\(Table 2\)](#page-5-1).

Association between antibody responses and incidence of clinical malaria. We analyzed the association between individual antibody responses at 5 months and at 12 months and the subsequent incidence of malaria at two different times-at-risk intervals: 5 to 12 and 12 to 24 months. [Table 3](#page-6-0) summarizes the relationship between magnitude of antibodies and incidence of malaria in the two time periods. Results could be grouped into five different patterns, the most common being no significant association between antibody levels and risk of malaria (e.g., IgM to AMA-1) (pattern i) and high antibody levels being significantly associated with an increased risk of malaria in the crude analysis but with significance disappearing in the adjusted analysis (e.g., IgG to $MSP-1_{19}$) (pattern ii). In general, pattern ii was the trend for all responses, but the trend described by pattern i did not reach statistical significance. There was only one case (pattern iii) in which the association between high antibody levels at age 5 months and increased risk remained significant, after adjusting for previous episodes (IgG1 to MSP- 1_{19} at 5 months). We observed a differential pattern for IgG subclasses to EBA-175 in the adjusted analysis,

FIG 2 IgG subclass responses to MSP-1₁₉ (A), AMA-1 (B), and EBA-175 (C) in children up to age 2 years of age. Only data for children receiving IPTi with placebo are depicted here. IgG levels (*y* axes) are expressed as OD values. In the weighted scatter plots, the area of the symbol is proportional to the number of observations. Geometric mean IgG levels and 95% confidence intervals are indicated by horizontal continuous and dashed lines, respectively.

		Result by exposure to malaria								
		Previous malaria episode ^b			Present infection ^c					
Antigen	Antibody	Proportional difference	95% CI	P value	Proportional difference	95% CI	P value			
Whole P. falciparum	IgG	2.85	$2.10 - 3.86$	< 0.0001	1.84	$1.37 - 2.47$	< 0.0001			
$MSP-1_{19}$	IgG	2.05	$1.76 - 2.39$	< 0.0001	1.31	$1.14 - 1.51$	0.0001			
	IgG1	2.56	$2.05 - 3.19$	< 0.0001	1.94	$1.59 - 2.37$	< 0.0001			
	IgG2	1.14	$1.06 - 1.23$	0.0003	1.13	$1.06 - 1.21$	0.0003			
	IgG3	2.31	1.89-2.83	< 0.0001	1.74	$1.42 - 2.14$	< 0.0001			
	IgG4	1.16	$1.10 - 1.23$	< 0.0001	1.09	$1.03 - 1.16$	< 0.004			
	IgM	NS	NS.	NS	1.28	$1.11 - 1.48$	0.0005			
$AMA-1$	IgG	1.78	$1.54 - 2.05$	< 0.0001	1.26	$1.10 - 1.43$	0.0007			
	IgG1	1.49	$1.24 - 1.81$	< 0.0001	1.44	$1.22 - 1.71$	< 0.0001			
	IgG2	1.06	$1.00 - 1.12$	0.0444	1.10	$1.04 - 1.15$	0.0006			
	IgG3	1.86	$1.57 - 2.20$	< 0.0001	1.52	$1.28 - 1.82$	< 0.0001			
	IgG4	1.06	$1.01 - 1.12$	< 0.0111	1.09	$1.04 - 1.15$	0.0004			
	IgM	NS	NS	NS	1.25	$1.12 - 1.39$	0.0001			
EBA-175	IgG	1.18	$1.06 - 1.31$	0.0025	1.12	$1.01 - 1.24$	0.026			
	IgG1	1.22	$1.00 - 1.49$	0.0448	NS	NS.	NS			
	IgG2	NS	NS	NS	NS	NS	NS			
	IgG3	NS	NS	NS	1.32	$1.08 - 1.62$	0.007			
	IgG4	NS	NS	NS	NS	NS	NS			
	IgM	NS	NS	NS	1.15	$1.02 - 1.29$	0.023			

TABLE 1 Effect of previous clinical malaria episodes and present infections on antibody levels to whole parasite (IFAT), MSP-1₁₉, AMA-1, and EBA-175*^a*

a Multivariate random-effect models estimated by stepwise procedure and adjusted by age and neighborhood of residence. NS, not significant in the forward stepwise procedure $(P\text{-enter} = 0.05, \text{from likelihood ratio test}).$

b Number of children who had previous clinical episodes: 18 at 5 months, 54 at 9 months, 60 at 12 months, and 82 at 24 months.

c Number of children with current parasitemia (detected by microscopy or PCR): 36 children at 5 months, 62 at 9 months, 37 at 12 months, and 78 at 24 months.

particularly in year 2: 2-fold increments in levels of cytophilic IgG1 and IgG3, each one independently, were significantly correlated with a decreased incidence of malaria (for IgG1, IRR $=$ 0.49, $CI = 0.25$ to 0.97, and $P = 0.026$; for IgG3, IRR = 0.44, $CI = 0.19$ to 0.98, and $P = 0.037$ (pattern iv), and 2-fold increments in the levels of the noncytophilic IgG4 were correlated with an increased

TABLE 2 Levels of antibodies in children with current parasitemia versus those who were aparasitemic in the subgroup of children who had previous episodes of malaria*^a*

Antigen	Antibody	Proportional difference in IgG levels ^b	95% CI	P value
$MSP-1_{19}$	IgG	1.61	$1.24 - 2.09$	0.0003
	IgG1	1.28	$1.02 - 1.60$	0.0332
	IgG3	1.73	$1.32 - 2.26$	< 0.0001
$AMA-1$	IgG1	1.44	$1.12 - 1.85$	0.0044
	IgG3	1.82	$1.37 - 2.42$	< 0.0001
	IgG4	1.11	$1.02 - 1.22$	0.0222
EBA-175	IgG3	1.46	$1.15 - 1.86$	0.0020

a Current infection was significantly associated with increased antibody levels for the antigens and IgG isotypes included in the table. The analysis was done by multivariate random-effect regression models using a forward stepwise procedure (P -enter $= 0.05$, from likelihood ratio test) including the following variables: intervention group, age, number of previous malaria episodes, time from previous episodes, current infection, and parasite density.

b Difference in IgG levels in children who were currently parasitemic versus those who were not.

incidence of malaria (IRR = 3.07 , CI = 1.08 to 8.78 , $P = 0.020$) (pattern v). Analyses comparing the IRRs of children with antibody levels in the highest tertile against those of children with antibody levels in the lowest tertile were consistent with the results reported in [Table 3](#page-6-0) (data not shown).

In a multilevel regression analysis including all antibody, clinical, epidemiological, and demographic variables together, the single most significant risk factor for having a malaria episode was having had a previous episode (at 5 months, $IRR = 3.52$, $CI = 1.41$ to 8.80, and $P = 0.007$; at 12 months, IRR = 3.39, CI = 1.58 to 7.29, and $P = 0.0018$). In addition, neighborhood of residence was the only other variable significantly associated with clinical malaria ($P < 0.0001$) [\(Fig. 3\)](#page-7-0).

DISCUSSION

This was a prospective study measuring antibody responses to *P. falciparum* merozoite antigens at multiple time points performed in an area where malaria is endemic with established demographic and morbidity surveillance systems, which allowed a rigorous and complete documentation of clinical malaria cases over 2 years. This type of design provides useful insights into the acquisition and duration of specific antibody responses, how they are boosted by *P. falciparum* exposure, and the correlation between these responses and protection from symptomatic malaria. The most important findings are that previous episodes and neighborhood of residence were the main factors influencing levels of antibodies to merozoite antigens, and having taken those into account, only IgG1 and IgG3 antibodies to EBA-175 at 12 months appeared to

	Antibody	5-12 mo					12-24 mo						
Antigen		Crude			Adjusted		Crude			Adjusted			
		IRR	95% CI	P value	IRR	95% CI	P value	IRR	95% CI	P value	IRR	95% CI	P value
Whole P. falciparum	IgG	1.23	$0.96 - 1.58$	0.100	0.99	$0.79 - 1.24$	0.923	1.25	$0.05 - 1.50$	0.016	1.05	$0.90 - 1.24$	0.528
$MSP-1_{19}$	IgG	1.35	$1.10 - 1.67$	0.005	1.19	$0.95 - 1.50$	0.129	1.46	$1.21 - 1.75$	< 0.001	1.07	$0.88 - 1.29$	0.486
	IgG1	1.91	1.39-2.64	< 0.001	1.74	$1.20 - 2.52$	0.003	1.69	$1.20 - 2.38$	0.002	0.85	$0.54 - 1.32$	0.464
	IgG ₂	3.65	1.35-9.84	0.013	3.41	$1.36 - 8.53$	0.015	2.37	$0.83 - 6.71$	0.096	0.73	$0.30 - 1.82$	0.504
	IgG3	1.62	$1.12 - 2.35$	0.010	1.17	$0.73 - 1.88$	0.514	1.39	$0.88 - 2.18$	0.135	0.74	$0.48 - 1.16$	0.191
	IgG4	2.91	$0.36 - 23.8$	0.299	0.85	$0.13 - 5.42$	0.863	4.58	$0.89 - 23.4$	0.045	1.13	$0.39 - 3.31$	0.824
	IgM	1.17	$0.99 - 1.38$	0.058	1.07	$0.90 - 1.27$	0.426	1.08	$0.92 - 1.27$	0.362	1.02	$0.89 - 1.17$	0.770
$AMA-1$	IgG	1.20	$0.94 - 1.53$	0.148	1.03	$0.81 - 1.31$	0.795	1.23	$1.01 - 1.51$	0.042	0.93	$0.76 - 1.13$	0.446
	IgG1	1.31	$0.91 - 1.88$	0.140	1.22	$0.80 - 1.86$	0.358	1.84	$1.29 - 2.63$	0.001	1.10	$0.67 - 1.56$	0.598
	IgG ₂	1.33	$0.27 - 6.42$	0.724	0.86	$0.17 - 4.24$	0.853	1.62	$0.43 - 6.09$	0.469	0.92	$0.31 - 2.70$	0.878
	IgG3	1.24	$0.81 - 1.89$	0.326	1.15	$0.71 - 1.86$	0.565	1.35	$0.92 - 1.98$	0.111	0.86	$0.63 - 1.18$	0.356
	IgG4	1.42	$0.33 - 6.20$	0.636	1.71	$0.35 - 8.28$	0.503	1.12	$0.28 - 4.53$	0.871	0.71	$0.24 - 2.08$	0.530
	IgM	1.14	$0.89 - 1.47$	0.308	1.07	$0.85 - 1.35$	0.579	1.06	$0.84 - 1.35$	0.609	1.03	$0.85 - 1.26$	0.750
EBA-175	IgG	1.43	$1.03 - 1.99$	0.029	1.34	$0.99 - 1.81$	0.059	1.11	$0.81 - 1.53$	0.510	0.90	$0.68 - 1.18$	0.430
	IgG1	1.48	$0.75 - 2.94$	0.257	1.77	$0.98 - 3.21$	0.052	0.87	$0.35 - 2.13$	0.755	0.49	$0.25 - 0.97$	0.026
	IgG ₂	2.50	$0.07 - 84.0$	0.609	2.66	$0.30 - 23.5$	0.384	0.46	$0.03 - 6.10$	0.561	0.37	$0.06 - 2.19$	0.264
	IgG3	1.58	$0.86 - 2.91$	0.139	1.12	$0.75 - 1.67$	0.581	1.50	$0.73 - 3.08$	0.269	0.44	$0.19 - 0.98$	0.037
	IgG4	0.69	$0.34 - 1.40$	0.300	0.85	$0.51 - 1.39$	0.484	0.77	$0.31 - 1.88$	0.579	3.07	$1.08 - 8.78$	0.020
	IgM	1.32	$1.02 - 1.69$	0.032	1.24	$0.97 - 1.59$	0.086	1.09	$0.87 - 1.39$	0.450	1.03	$0.85 - 1.25$	0.757

TABLE 3 Association between levels of antibodies (2-fold increment) at 5 and 12 months and incidence of malaria at two time periods*^a*

a Negative binomial regression model using likelihood ratio test crude and adjusted by gender, IPTi treatment, previous malaria episodes, present infection, and neighborhood. The sample size at the two study periods are those indicated in the table in the supplemental material (antibody prevalences) for each antigen and antibody type and for months 5 and 12. IRR, incidence rate ratio.

be significantly associated with reduced malaria incidence between 12 and 24 months of age.

Overall, there was an age-related acquisition of antigenspecific IgM and IgG antibodies to the merozoite antigens examined, supporting the notion that these may be important targets of immunity. In addition, a contribution from maternally transmitted antibodies was suggested in early infancy, particularly for IgG and IgG1 antibodies to AMA-1, which gradually decreased up to 12 months of age, and for IgG to whole *P. falciparum* blood stages (IFAT), which remained high and appeared to last for up to a year. The kinetics of decay of maternal IgG antibodies and the kinetics of acquisition of infant IgG antibodies, but not of IgM, varied for each antigen studied. The isotype patterns resembled what has been described previously, but the significant induction of noncytophilic IgG4 by EBA-175 at age 2 years represents a novel finding [\(34,](#page-9-6) [54\)](#page-9-21).

Past and present exposure to *P. falciparum* is widely known to affect antibody responses. The occurrence of previous episodes was the strongest factor affecting IgG antibody values and the single most significant risk factor for having a malaria episode, consistent with data of IPTi studies in Tanzania [\(47\)](#page-9-22) and Manhiça [\(24\)](#page-8-16). Different neighborhoods of residence had diverse malaria incidences, and this was reflected in antibody levels; ongoing studies in the area are investigating what factors might explain the spatial relationship between geography and malaria risk. Ageadjusted *P. falciparum* antibody levels have been used as markers of microgeographical variations in exposure to malaria parasite infection [\(55\)](#page-9-23). Therefore, analyses of the relationship between antibody levels and risk of malaria must be adjusted for the effect of previous malaria episodes and neighborhood. Indeed, in the crude

analysis, a common finding was that high antibody levels were associated with an increased risk of malaria. In another study in Manhiça, high maternal and fetal IgG levels were associated with a higher risk of malaria in the infant [\(49\)](#page-9-24), and this was consistent with the findings of other similar studies [\(30,](#page-9-25) [43\)](#page-9-26). Nevertheless, when the analyses were adjusted for prior malaria episodes, the association disappeared, indicating that the main risk factor was the occurrence of previous episodes and that the antibodies were mere markers of the prior exposure. In only one case $(MSP-1₁₉)$ IgG1 at 5 months), the positive association between IgG1 and risk of malaria up to 12 months remained significant after adjustment. This might be explained by exposure to malaria occurring during the prenatal and/or perinatal period, before enrollment, and not captured in this study. It was hypothesized that if the placental/ maternal infections had been registered and adjusted for, this significance would have disappeared.

Studies restricted to infants are considered less adequate to analyze correlations between antibodies and malaria risk because of the confounding effect of maternal *in utero* exposure and of maternal transferred immunity. Therefore, more weight is given to the analyses in the 12- to 24-month period. In most cases, there was no association between antibody levels and incidence of malaria in the adjusted analysis. Inconsistencies in the associations between antibodies to $MSP-1_{19}$ and $AMA-1$ and protection among different studies could partially be explained because of the different recombinant antigen reagents used. It was only for EBA-175 antibody responses that a significant pattern emerged. IgG1 and IgG3 at 12 months had 51% and 56% protective effects, respectively, up to 24 months, whereas IgG4 was associated with an increased risk of malaria in this age period. In fact, IgG1 and IgG3

FIG 3 Map of the Manhiça area with the neighborhoods colored as a function of malaria incidence (number of episodes per PYAR) for children selected for the study during the study period. The map illustrates the geographical microheterogeneity and the significant effect of neighborhood on malaria episodes and antibodies in the multilevel regression models. The study area expands a distance of less than 20 km from north to south and approximately 10 km from east to west and has two study clinics (Manhiça and Maragra). The number of children by groups of neighborhoods is indicated in the table.

to MSP- 1_{19} and IgG3 to AMA-1 also showed a protective IRR of $<$ 1, but this was not statistically significant. The same conclusions were reached when we analyzed data by 2-fold increments or by tertiles. Another study also reported that high IgG4 levels specific for blood-stage antigens were associated with an enhanced risk of infection and malaria attacks [\(4\)](#page-8-21).

Findings are consistent with those from recently published studies in which high levels of IgG (particularly IgG1 and IgG3) to EBA antigens were associated with protection from malaria [\(26,](#page-8-12) [42\)](#page-9-11). Other studies failed to find an association between responses to EBA-175 and the incidence of *P. falciparum* malaria [\(22,](#page-8-9) [34,](#page-9-6) [36\)](#page-9-7), although Okenu et al. [\(34\)](#page-9-6) reported a protective trend for high levels of IgG to region II (which includes F2, used in our study). However, these studies examined total IgG, whereas subclassspecific responses to merozoite antigens provide further insights into protective targets and mechanisms of acquired immunity in children. Other studies have found an association between IgG1 and IgG3 to various merozoite proteins and protection [\(44,](#page-9-15) [53\)](#page-9-16). Indeed, in this study, an association between total IgG and incidence of malaria was not found; the combination of the predominant IgG cytophilic isotypes with low-level noncytophilic isotypes having opposite effects could partially compensate for any small effect with total IgG.

IgG antibodies function *in vivo* by inhibiting merozoite invasion of erythrocytes, opsonizing merozoites for phagocytosis, and antibody-dependent cellular inhibition (ADCI). Antibodies against region II of EBA-175 may mediate protection against *P. falciparum* by blocking the binding of native EBA-175 to erythrocytes and subsequent invasion [\(9\)](#page-8-10). In addition, cytophilic IgG1 and IgG3 antibodies may be involved in opsonizing merozoites for phagocytosis, activating complement, and/or operate through ADCI mechanisms, as proposed for glutamate-rich protein (GLURP), serine repeat antigen (SERA), or MSP-3 [\(32\)](#page-9-14). However, not all parasites use EBA-175 for invasion, and there is substantial variability in the levels of the expression of this ligand compared to other ligands in populations in which malaria is endemic [\(19\)](#page-8-22). Therefore, it is possible that antibodies are partially protective depending on the expression of EBA-175 and use of this ligand in invasion, a variable that may contribute to differences among populations.

In this study, there are a number of puzzling findings about EBA-175 (F2) antibodies, particularly with regard to IgG4. There

is a significant rise in IgG4 at 24 months, and two distinct groups can be identified in the weighted scattered plots (very high and very low responders), but their significance remains unknown. Intriguingly, levels of noncytophilic IgG4 to EBA-175 were not associated with either previous malaria episodes or present infection, IgG1 and IgG3 were associated only with previous or current infections, and there was a general trend that IgG4 levels were higher in placebo than SP recipients [\(41\)](#page-9-18); all these results contrast to the patterns found for MSP- 1_{19} and AMA-1. Therefore, it appears overall that IgG subclass responses to EBA-175 may have intrinsic differences compared to IgG subclass responses to $MSP-1_{19}$ or AMA-1, and this might have functional implications toward the mechanisms of protection and development of natural immunity.

Future studies need to investigate in more depth how different antibody isotypes induced by *P. falciparum* are transferred from the mother to the infant and the kinetics of production in early life [\(14\)](#page-8-23). Different IgG isotypes appear to inherently vary in their capacity to transfer to the fetus [\(18\)](#page-8-24), with IgG1 being the most efficiently transported subclass and IgG2 the least [\(51\)](#page-9-27), and these differences may partly explain the susceptibility of newborns to various pathogens. *P. falciparum*-specific IgG1 and IgG3 isotypes appear to transfer to the offspring more often and more efficiently than IgG2 and IgG4 [\(10\)](#page-8-25). Transplacental IgG subclass transfer is also influenced by malaria parasite infection and maternal hypergammaglobulinemia [\(35\)](#page-9-28). During placental malaria, there is production of more IgG in the mother [\(49\)](#page-9-24) and it appears that less IgG1 is transferred to the fetus, while IgG3 seems unaffected [\(35\)](#page-9-28).

Considering the few studies examining the association of IgG responses to EBA-175 with the incidence of *P. falciparum* malaria, the findings reported here are remarkable. However, among the study limitations, the relatively small number of children having high IgG1, IgG3, and IgG4 responses to EBA-175, the fact that the subgroups of children in which IgG isotypes were measured were not exactly the same for each antigen, and the multiple comparisons performed lead to a cautious interpretation of the protection data, which will require further confirmation in different and larger studies. Advanced investigations at the cellular and molecular levels are required to better understand what the implications of the data might be in relation to the mechanisms by which EBA-175 antibodies mediate protection. This will help in the rational design and development of a vaccine against this leading target candidate.

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