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## Corneal Endothelial Autocrine Trophic Factor VIP in a Mechanism-based Strategy to Enhance Human Donor Cornea Preservation for Transplantation

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### Abstract

Vasoactive intestinal peptide (VIP) and ciliary neurotrophic factor (CNTF) are identified as autocrines of human corneal endothelial (CE) cells working in concert to maintain the differentiated state and promote the survival of the corneal endothelium. From VIP gene knockdown study, endogenous VIP is shown to maintain the level of the differentiation marker, the adhesion molecule N-cadherin, CE cell size, shape, and retention, *in situ* in the human donor corneoscleral explants. Exogenous VIP protects the corneal endothelium against the killing effect of oxidative stress, in part by upholding ATP levels in CE cells dying of oxidative stress-induced injury, allowing them to die of an apoptotic death instead of an acute necrotic one. The switch from the acute necrosis to the programmed cell death (apoptosis) may have allowed the injured CE cell to be rescued by the VIP-up-regulated pathways, including those of Bcl-2 and N-cadherin, and resulted in long-term CE cell survival. The endogenous VIP in CE cells is upregulated by CNTF, which is released by CE cells surviving the oxidative stress. The CNTF receptor (CNTFR $\alpha$ ) is expressed in CE cells in human donor corneoscleral explant and gradually becomes lost during corneal storage. VIP treatment (10<sup>-8</sup>M, 37°C, 30 min) prior to storage of freshly dissected human donor corneoscleral explants increases their CE cell CNTFR $\alpha$  level and responsiveness to CNTF in upregulating the gap junctional protein connexin-43 expression. VIP treatment of both fresh and preserved corneoscleral explants reduces CE damage in the corneoscleral explants and in the corneal buttons trephined from them. CE cell loss is a critical risk factor in corneal graft failure at any time in the life of the graft, which can be as late as 5–10 years after an initially successful transplant. A new procedure, Descemet's stripping automated endothelial keratoplasty (DSAEK), which is superior to the traditional full thickness transplantation in many aspects, nevertheless subjects the corneal endothelium to extensive mechanical forces, resulting in even more pronounced CE cell loss than the traditional technique. Whereas it is known that cells transduce mechanical stress through N-cadherin, stimulation of the N-cadherin pathway increases the anti-apoptotic protein Bcl-2 expression. Since N-cadherin and Bcl-2 in the corneal endothelium are both upregulated by VIP, we aim to strengthen the CE sheet by VIP treatments of the corneoscleral explants for full thickness traditional corneal transplantation and pre-cut corneas for DSAEK.

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We have identified vasoactive intestinal peptide (VIP) and ciliary neurotrophic factor (CNTF) as autocrines of human corneal endothelial (CE) cells working in concert to maintain the differentiated state and promote the survival of the corneal endothelium. Thus, the human corneal endothelium, a neural crest-derived tissue with very limited regenerative capacity (Joyce, 2005), plays an active role in maintaining its own differentiated state and survival. To allow the human corneal endothelium to play such a role in stored corneas for transplantation and in transplanted corneas in the recipients' eyes will enhance corneal endothelial (CE) cell survival, which is the most critically important issue in the success of corneal transplantation (Claerhout et al., 2008). The identification of CE autocrine trophic factors has laid the foundation for the first mechanism-based strategy for enhancing CE integrity and reducing CE damage in human donor corneoscleral explants stored for transplantation, including those for Descemet's stripping automated endothelial keratoplasty (DSAEK).

## **I. Identification of VIP and CNTF as autocrine trophic factors of the corneal endothelium**

### **A. Endogenous VIP maintains the differentiated state of the human corneal endothelium in donor human corneoscleral explant**

VIP, a 28 amino acid neuropeptide, is widely distributed in the central and peripheral nervous systems, where its neuroprotective role is observed in a variety of *in vitro* and *in vivo* systems (Brenneman, 2007; Moody et al., 2011). VIP is produced by the corneal endothelium (Koh et al., 2007; Koh and Waschek, 2000) and VIP-immunoreactivity is present in the aqueous humor of a variety of species including the human (Koh et al., 2005; Taylor et al., 1994). The VIP gene and protein expressions in the corneal endothelium of corneoscleral explants (including those that have been preserved in Optisol) is up-regulated by CNTF (Koh et al., 2007), an injury factor that was discovered in the ciliary body and iris (Adler et al., 1979) and is also an autocrine of CE cells (Koh, 2002). The endogenous VIP maintains the differentiated state of the corneal endothelium in that knocking down VIP gene expression results in dramatic deterioration of the CE mosaic (Koh et al., 2008; Fig. 1) in which hexagonal cells are replaced by irregularly shaped ones and in a diminishing level of the CE cell intercellular junction protein N-cadherin (a differentiation marker of the corneal endothelium) and CE cell density (Koh et al., 2008).

### **B. Exogenous VIP protects the corneal endothelium against the killing effect of severe oxidative stress and up-regulates the expression of the CE differentiation marker N-cadherin and the anti-apoptotic protein BCL-2 in corneoscleral explants**

VIP binds to two types of adenylyl cyclase stimulatory heterotrimeric G protein-coupled receptors (VPAC1 and VPAC2) and transduces signals through cAMP-dependent and -independent pathways (Langer and Robberecht, 2007). The VPAC1 (not VPAC2) receptor is expressed in bovine (Koh et al., 2009b) and human CE cells (Koh et al., 2011). In CE cells, VIP stimulates production of large amounts of cAMP (Koh and Yue, 2002), phosphorylation of the cAMP-responsive element binding protein (CREB), and up-regulation of the anti-apoptotic protein Bcl-2 (Koh et al., 2009b). CREB phosphorylation mediates cell survival through induction of the anti-apoptotic Bcl-2 (Xiang et al., 2006). The role of Bcl-2 in protecting CE cells against staurosporine-induced apoptosis has been demonstrated in cultured bovine CE cells transfected with Bcl-2 cDNA (Joo et al., 1999). Furthermore, up-regulation of Bcl-2 is associated with the cytoprotective effect of minocycline in human CE cells (Kernt et al., 2010). CREB phosphorylation may play a role in cAMP-induced CNTFR $\alpha$  gene expression (MacLennan et al., 1996). VIP up-regulates the CE differentiation marker N-cadherin (Koh et al., 2008).

VIP protects the corneal endothelium against the acute killing effect of oxidative stress (Koh and Waschek, 2000) in agreement with the known properties of VIP as a singlet oxygen scavenger (Misra and Misa, 1990) at the molecular level, an inhibitor of lipid peroxidation (Caraglia et al., 2006) at the cellular level, and a protective agent in ischemic and reperfused myocardium (Kalfin et al., 1993). VIP switches the death mode from the inflammatory necrosis to the inflammation neutral apoptosis in oxidative stress-injured CE cells in corneoscleral explants by upholding the ATP level, which may have resulted from VIP-stimulated glycogen breakdown (Koh et al., 2009b). VIP up-regulates the expression of anti-apoptotic protein Bcl-2 and the differentiation marker N-cadherin in a kinase A-dependent manner during recovery from the oxidative stress-induced injury (Koh et al., 2009b). Whether the upregulated Bcl-2 and N-cadherin rescue the apoptotic CE cells remains to be determined. Nevertheless, VIP promotes the long-term survival of CE cells under oxidative stress (Koh et al., 2009b). VIP, either endogenous (Koh et al., 2008) or exogenous (unpublished data) does not modulate the CE gap junctional protein, whereas CNTF does.

### C. Endogenous CNTF in the corneal endothelium

CNTF was discovered in an extract of ciliary body, iris, and choroid (Adler et al., 1979). CNTF, a cytokine that does not have a classic secretory signal peptide sequence, is released only after injury through an unknown mechanism (Sendtner et al., 1992). We previously demonstrated that CNTF is produced by the corneal endothelium and released in a complex with the CN TF-binding CNTFR $\alpha$  by CE cells surviving the oxidative stress (Koh, 2002).

### D. Exogenous CNTF

The CNTF-binding subunit of the CNTF receptor, i.e. CNTFR $\alpha$  is expressed in bovine (Koh, 2002) and human CE cells (Koh et al., 2009a). On binding of the exogenous CNTF to the endogenous membrane-bound CNTFR $\alpha$ , the two  $\beta$  subunits (gp130 and LIFR $\beta$ ) of the receptor dimerize, forming a trimeric receptor complex that activates the Janus family of tyrosine kinases Jak1/Jak2. This activation leads to tyrosine phosphorylation and nuclear translocation of signal transducer and activator of transcription STAT3, which then bind to specific responsive elements in the promoter regions of the CNTF-responsive genes (Ip and Yancopoulos, 1996; Segal and Greenberg, 1996). CNTF-responsive genes included genes of vasoactive intestinal peptide (Symes et al., 1993; Symes et al., 1994) and the gap junctional protein connexin-43 (Ozog et al., 2002; Ozog et al., 2004). We have demonstrated CNTF induction of the VIP gene and protein in CE cells of human donor corneoscleral explants preserved in storage medium Optisol-GS (Koh et al., 2007) and those of connexin-43 (Koh et al., 2009a). The beneficial effects of CNTF treatment observed in a variety of animal models of photoreceptor cell degeneration (reviewed in Wen et al., 2006) led to phase I, II, and III human clinical trials, in which encapsulated cells engineered to release CNTF were implanted into the vitreous of the retinitis pigmentosa and macular degeneration patients (Clinical trials; Sieving et al., 2006; Emerich et al., 2008; Zhang et al., 2011).

## II. CE cell loss and graft failure

CE cell loss and immunologic rejection are the causes of graft failure and the need for a second transplant (Claerhout et al., 2008; Chong et al., 2008), which has a 50% success rate (Hori et al., 2007). After an initially successful transplant, late CE failure in the absence of rejection episodes can occur 5–10 years later (Bell et al. 2000; Bourne, 2001; Claerhout et al., 2008; Nishimura et al., 1999). Grafts that develop late CE failure demonstrate lower CE cell numbers immediately following the transplantation than those that do not develop late CE failure and not an increased rate of chronic postoperative CE cell loss (Bourne, 2001; Claerhout et al., 2008; Nishimura et al., 1999). The Cornea Donor Study Investigator Group (2008) concludes that “the CE cell loss rates highlight the importance of continued research

into ways to improve overall corneal health through advances in corneal preservation and postoperative management. This may become increasingly relevant with the advent of endothelial keratoplasty, which is potentially even more traumatic to the endothelium at the time of surgery than standard penetrating keratoplasty”.

### **III. Preservation of corneal endothelial integrity in human donor corneoscleral explants in storage for transplantation: effect of a brief VIP treatment of the explants**

Significant CE cell loss in corneal storage in Optisol (at 4°C) is well recognized. Over the years, studies are conducted in which supplements are added to Optisol to improve CE cell survival (Kitzmann et al., 2008; Lindstrom, 1990). No study was designed to maintain the differentiation state of CE cell, partly because the mechanism of CE cell differentiation has been unknown. We have demonstrated that the  $\alpha$  subunit of the receptor for CNTF, i.e. CNTFR $\alpha$ , in CE cells gradually becomes lost during human corneoscleral explants storage for transplantation and the functional CNTFR $\alpha$  can be restored by recombinant CNTFR $\alpha$  (Koh et al. 2009a). A brief VIP treatment of the fresh human donor corneoscleral explants prior to their storage prevents CNTFR $\alpha$  from being lost, which can increase CE cell responsiveness to CNTF in up-regulating the gap junctional protein connexin-43 as demonstrated in VIP- treated corneoscleral explants that have been previously stored in Optisol-GS (Koh et al., 2011). Following CNTF treatment of stored corneoscleral explants, reduced CE damages were found in those that have received a brief VIP treatment either during their storage (Koh et al., 2011) or prior to their storage (data not shown). In human donor corneoscleral explants in long-term storage, a brief intermittent VIP treatment increased the level of CE cell retention (Koh et al., 2011). Corneal buttons trephined from VIP-treated corneoscleral explants, including those freshly dissected demonstrated lower level of CE damage than those from the paired control corneoscleral explants (Fig. 2). As previously discussed, CNTF is present in abundance in ciliary body, iris, and choroid (Adler et al., 1979) and released only after injury through an unknown mechanism (Sendtner et al., 1992). Therefore, after being transplanted to the recipient eye, the donor cornea with better preserved CE CNTFR $\alpha$  and CNTF responsiveness (by VIP) will likely better preserve its CE cells.

### **IV. Enhancement of the integrity and reduction in damage of the corneal endothelium in human donor corneoscleral explants for DSAEK by VIP**

Endothelial keratoplasty is performed by stripping the diseased CE sheet plus Descemet's membrane and replacing it with the same cut from the donor cornea. When the donor cornea is cut by a microkeratome in preparation of the CE sheet the procedure is termed Descemet's stripping automated endothelial keratoplasty (DSAEK). Donor corneas pre-cut at eye banks and those cut by surgeons intra-operatively are comparable in outcomes including CE cell loss (Chen et al., 2008; Price and Price, 2006; Price et al., 2008; Terry et al., 2009a). Endothelial keratoplasty offers advantages over the full thickness grafting, including rapid visual recovery and minimal induced astigmatism and ocular surface problems (Chen et al., 2008; Price and Price, 2007, 2008a, 2008b). However, CE cell loss in endothelial keratoplasty is very pronounced with a reduction averaging 37% at six months and 40–50% at 6–12 months after surgery (2008 Cornea donor study investigator group; Lee et al., 2009; Price et al., 2008; Price and Price, 2009; Terry et al., 2008a, 2009a; Price et al., 2010). In the full thickness grafts, CE cell loss of 16% at two months (Nishimura et al., 1999) and 12% at six months after surgery are reported (Lindstrom et al., 1992). Using donor corneas with higher CE cell densities does not lead to a higher CE cell density one year after DSAEK (Terry et al., 2008b), thus what is needed is to prevent CE cell loss in DSAEK.

## A. Modulation of CE cells to increase their survivability in DSAEK

Whereas the techniques for endothelial keratoplasty are continuously being refined (Price and Price, 2009.), an interesting finding indicates that as long as an established procedure is strictly adhered to, the amount of experience the surgeon has is not a factor in affecting the extent of CE cell loss (Chen et al., 2009). Thus, development of new surgical devices and training of surgeons to become capable of strictly adhering to established procedures will improve the survivability of CE cells in DSAEK. However, one possible way to enhance the survivability of CE cells in DSAEK that has so far been overlooked is to strengthen the CE sheet through biochemical and physiological manipulations of CE cells. We have found that CE cell survival and the differentiated state maintenance in human donor and bovine corneas are promoted by its autocrine factor VIP.

## B. Microkeratome dissection of human donor corneoscleral explants for DSAEK at eye banks: CE Bcl-2 and N-cadherin upregulation by VIP in CE cells

The current eye bank procedure of providing pre-cut corneas for DSAEK is depicted in Fig. 3: In Optisol for 4–5 days, donor corneoscleral explants for DSAEK are microkeratome re-dissected to excise the anterior lamellar corneal tissue, which is then reattached to the posterior cornea before storage of the whole corneoscleral explant in fresh Optisol (4°C) (Rieck et al., 2003) for shipment to surgeons, who then trephine the cornea to obtain the CE sheet with attached Descemet's membrane (plus some stroma) intra-operatively. Strengthening CE sheets when CE cells experience mechanical force in pre-cut corneas in steps 1, 3, and 5 likely would reduce CE damage. In the corneal endothelium, N-cadherin is up-regulated by VIP (Koh et al., 2008), whereas cells transduce mechanical stress through N-cadherin (Schwartz et al., 2008), the cell-cell junctional protein mediating cell adhesion (Ganz et al., 2006) that plays important roles in shaping cells (Derycke et al., 2004; Hayashi et al., 2004) and in strengthening intercellular adhesion (Carthew, 2005; Chan et al., 2004; El Sayegh et al., 2004), functions as an adhesion-activated receptor capable of initiating distinctive signaling pathways (Ganz et al., 2006). Stimulation of the N-cadherin pathway increases the anti-apoptotic protein Bcl-2 expression (Tran et al., 2002) and promotes cell survival (Koutsouki et al., 2005). *In vivo* cadherin inhibition interrupts junction formation, intercellular adhesion, and increases apoptosis (Tinkle et al., 2008). In mechanical stretch-induced apoptosis the level of the anti-apoptotic protein Bcl-2 decreases (Hammerschmidt et al., 2007; Leri et al., 1998). Thus, increasing N-cadherin and Bcl-2 expression in CE cells by VIP treatment of human donor corneoscleral explants likely will strengthen cell-cell adhesion and promote CE cell survival.

There is evidence suggesting that under force, adhesions strengthen in order to maintain stable adhesion (Ide et al., 2008). We aim to strengthen the CE sheet in pre-cut corneas by VIP treatments, followed by demonstration of the superior quality of these corneas in an established *in vitro* model of endothelial keratoplasty (Mehta et al., 2008b). The preliminary results (Fig.4) indicated CE cell damage in pre-cut cornea for DSAEK was reduced by VIP treatment of the freshly dissected human donor corneoscleral explants before its storage.

## V. Conclusion

The studies represent the first attempt to prevent CE cell loss in corneoscleral explants in storage for transplantation by manipulating CE cells themselves. By treating CE cells in human donor corneas with VIP, which we have demonstrated to be the differentiated state-maintaining and oxidative stress-protecting autocrine of CE cells, our studies thus provide the first mechanism-based CE cell protective protocol. Finally, we have demonstrated the usefulness of basic science knowledge on a clinically relevant stage (storage and pre-cut of human donor corneas for transplantation).



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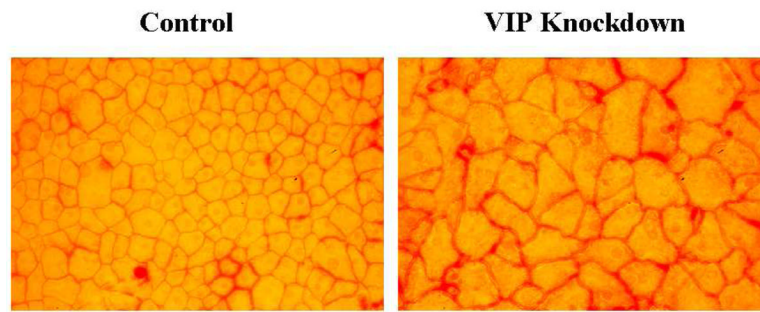
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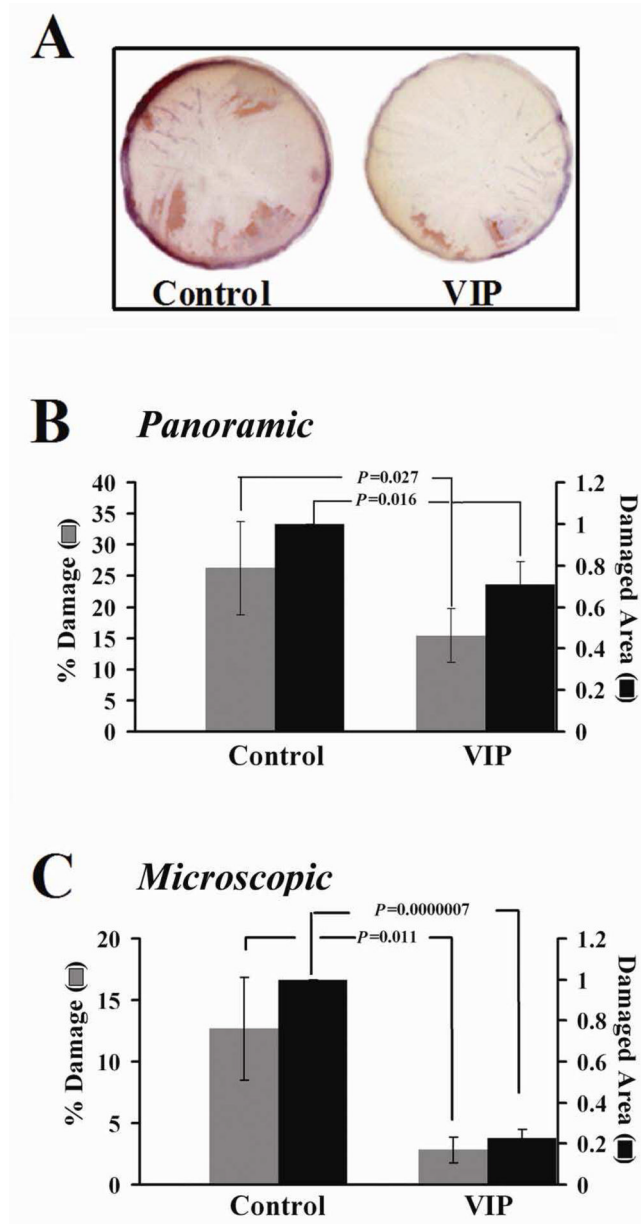


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- Autocrine VIP ensures survival of the corneal endothelium in human donor corneas for transplantation.
- CNTF, also an autocrine, upregulates VIP, which prevents loss of CNTF receptor during corneal storage.
- In oxidative stress, VIP upholds ATP, increases N-cadherin, Bcl-2, and survival.
- VIP gene knock down diminishes N-cadherin, hexagonal cells, and cell density.
- CNTF, released by corneal endothelium surviving oxidative stress, upregulates connexin-43.



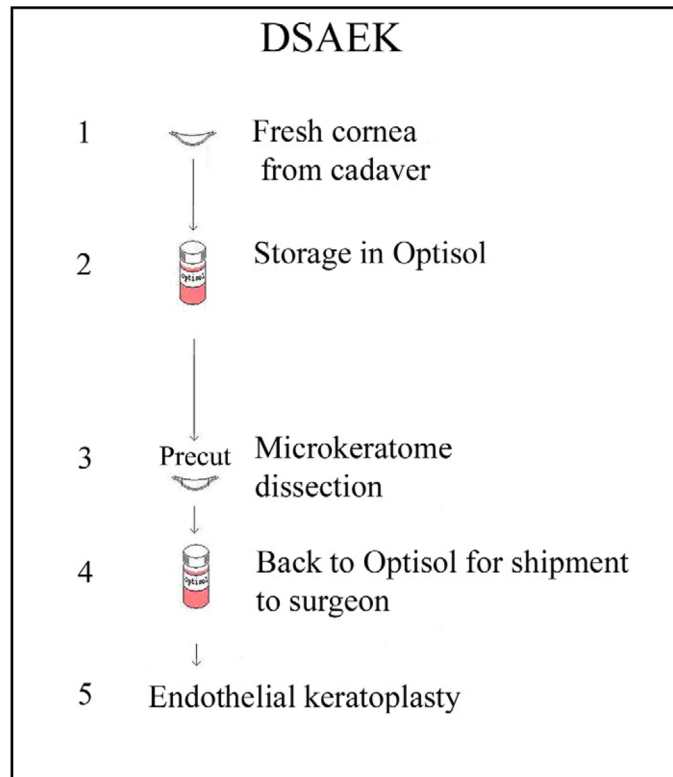
**Fig.1.** Alizarin red S-stained, flat-mounted, paired human donor corneas (Koh et al., 2008) demonstrating that VIP gene knockdown results in deterioration of the hexagonal CE cell shape and diminishing density.



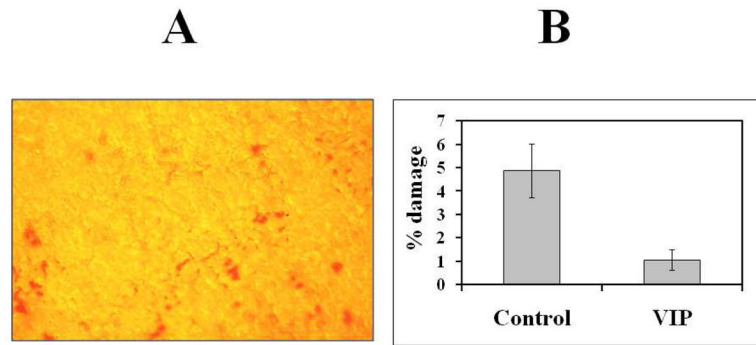
**Fig. 2.** (Copyright to ARVO, Koh et al., 2011). Reduced CE damage in corneal buttons (8.5 mm in diameter) trephined from human donor corneoscleral explants that experienced a brief intermittent VIP treatment during their storage. Following the VIP treatment (30 min, 37°C,  $10^{-8}$  M) explants were kept in Optisol-GS storage for five additional days before corneal buttons (8.5 mm in diameter) were trephined from them. The buttons were incubated at 37°C for 1 h and then in the presence of CNTF (0.83 nM) for 20–25 h before the CE damage was assessed. (A) Panoramic view of the whole corneal buttons (from one pair of human corneoscleral explants freshly dissected) following alizarin red S staining. (B) Quantification of damaged (alizarin red S-stained) areas in the panoramic photographs of the whole corneal buttons demonstrating the beneficial effect of the VIP treatment. The percentages of CE damage of the whole buttons (■) were (mean±/–sem) (26.3±/–7.5) and (15.4±/–4.3) %, in buttons from the control and VIP-treated explants, respectively ( $p=0.027$ ,  $N=9$  pairs). The

damaged CE areas (■) found in buttons from VIP-treated explants were (mean $\pm$ sem) (71.0 $\pm$ 11.0) % of those in the respective controls ( $p= 0.016$ , N=9 pairs). (C) Quantification of the microscopic damage in the corneal endothelium of flat-mounted buttons (corneal endothelium side down) revealed under an inverted microscope (magnification=200X). The percentages of CE damage (■) were (mean $\pm$ sem) (12.7 $\pm$ 4.2) and (2.8 $\pm$ 1.0) %, in buttons from the control and VIP-treated explants, respectively ( $p= 0.011$ , N=7 pairs). The damaged CE areas (■) found in buttons from VIP-treated explants were (mean $\pm$ sem) (23.0 $\pm$  4.0) % of those in the respective controls ( $p= 6.8\times 10^{-7}$ , N=7 pairs). Both freshly dissected and preserved human donor corneoscleral explants from the eye banks were used.





**Fig. 3.** Steps in eye bank preparation for pre-cut cornea for DSAEK.



**Fig.4.** CE damage in pre-cut cornea reduced by a brief (30 min, 37°C) VIP ( $10^{-8}$  M) treatment of the corneoscleral explants prior to their storage in Optisol-GS. (A) CE damage revealed by intense alizarin red staining of the exposed Descemet's membrane in areas of denuded corneal endothelium of a flat-mounted control corneoscleral explants under an inverted microscope (Koh et al., 2011). Original magnification=200X. (B) Quantification of the damaged areas in the corneal endothelium of the paired corneoscleral explants. Analysis using Photoshop computer software (Koh et al., 2011; Saad et al., 2008) of 15 CE images taken from the control and VIP-treated corneoscleral explants demonstrated the damaged areas were 4.9±/−1.2 % and 1.1±/−0.4 %, respectively ( $p=0.002$ ).