

## Original Article

# Non-invasive detection of pulmonary pathogens in ventilator-circuit filters by PCR

Richard J Isaacs<sup>1</sup>, Ken Debelak<sup>2</sup>, Patrick R Norris<sup>3</sup>, Judith M Jenkins<sup>3</sup>, Jeffrey C Rooks<sup>4</sup>, Todd R Young<sup>5</sup>, Addison K May<sup>3</sup>, Erik M Boczek<sup>1</sup>

<sup>1</sup>Department of Biomedical Informatics, Vanderbilt University, Nashville, TN, USA; <sup>2</sup>Department of Chemical Engineering, Vanderbilt University, Nashville, TN, USA; <sup>3</sup>Division of Trauma and Surgical Critical Care Vanderbilt University Nashville, TN, USA; <sup>4</sup>Department of Respiratory Care Vanderbilt University Nashville, TN USA; <sup>5</sup>Department of Mathematics Ohio University Athens, OH, USA

Received November 13, 2011; accepted December 7, 2011; Epub January 5, 2012; Published January 15, 2011

**Abstract:** Ventilator associated pneumonia is a common and costly complication in critically ill and injured surgical patients. The diagnosis of pneumonia remains problematic and non-specific. Using clinical criteria, a diagnosis of pneumonia is typically not made until an infection is well established. Semi-quantitative cultures of endotracheal aspirate and broncho-alveolar lavage are employed to improve the accuracy of diagnosis but are invasive and require time for culture results to become available. We report data that show that an inexpensive, rapid and non-invasive alternative may exist. In particular we show that: 1). Bio-aerosols evolved in the breath of ventilated patients and captured in the hygroscopic condenser humidifier filter of the ventilator circuit contain pathogenic micro-organisms. 2). The number (CFU/ml) and identity (Genus, species) of the pathogens in the aerosol samples can rapidly and inexpensively be determined by PCR. 3). Data from a convenience sample of filters correlate with clinical findings from standard microbiological methods such as broncho-alveolar lavage. The evaluation of the bacterial load evolved in exhaled breath by PCR is amenable to repeated sampling. Since increasing bacterial burden is believed to correlate with the establishment of infection, the use of quantitative PCR may provide a method to rapidly, inexpensively, and effectively detect and diagnose the early onset of pneumonia and identify pathogens involved.

**Keywords:** Pneumonia, VAP, BAL, HME, HCH, infection

## Introduction

Critically ill patients requiring mechanical ventilation are at significant risk for developing ventilator associated pneumonia (VAP). Pneumonia is the second most common nosocomial infection of critically ill patients [1] and affects 27% of all critically ill patients [2]. VAP markedly increases ventilator, ICU, and hospital days, as well as mortality. The excess health care costs of nosocomial infections are substantial and well documented [3].

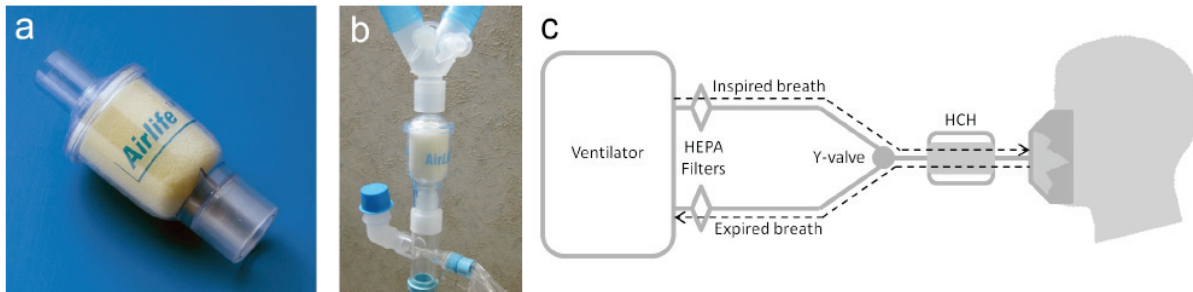
### *Diagnosis and treatment of pneumonia*

While early diagnosis and subsequent, timely, appropriate antimicrobial therapy has been shown to significantly improve the outcome of patients with VAP [4-6] establishing the diagnosis of pneumonia remains problematic [1, 7, 8].

The clinical diagnosis of VAP requires the development of visible infiltrates on chest x-ray and the presence of physiologic changes produced by the infectious process. These findings do not occur until the infection is well established. Additionally, the clinical diagnosis of VAP is very non-specific; over estimating its occurrence relative to quantitative techniques in 40-60% of cases, contributing to significant overuse of antibiotics. On the other hand, quantitative culture techniques are generally, invasive, labor intensive and slow [1, 9]. The culture delay often promotes unnecessary antibiotic exposure with an associated increased risk of both subsequent infectious complications and risk of infection with resistant pathogens [5, 10-12].

Despite ongoing debate over optimal diagnosis and treatment of VAP [8, 10, 12], accepted pathophysiology includes an increase in the

## Non-invasive detection of pulmonary pathogens by PCR



**Figure 1.** Depiction of the HCH filter in isolation and as part of the ventilator circuit. Panel a, at left, shows an example of the HCH filter unit that stands roughly two inches tall and less than an inch in diameter. The sponge can be seen at its center. Panel b, at center, shows a photograph of the HCH filter relative to the Y-valve and the endotracheal tube insertion point - blue cap. The schematic at right indicates the airflow and ancillary HEPA filters within the ventilator circuit.

lung-borne pathogenic load during the development of pneumonia with greater numbers of pathogenic bacteria producing a higher risk of an adverse outcome [13]. Ideally, monitoring techniques that detect an increase in bacterial load early in the course of VAP, prior to the development of clinical symptoms and alterations in pulmonary function, thus allowing the appropriate initiation of targeted short courses of antibiotic therapy. Some data exists to support this concept. Cultures of endotracheal aspirates performed twice a week in critically ill mechanically ventilated patients identified the pathogenic bacteria and its sensitivity pattern in 83% of cases; later established by quantitative cultures to have VAP [1, 7, 14].

These results support the ability to identify targeted therapy more effectively with monitoring of lung borne pathogens over time. However, tracheal secretions are frequently contaminated or over grown with oral-pharyngeal flora that is not necessarily involved in pneumonic processes. This has limited the ability of endotracheal aspirates to rule out the diagnosis of pneumonia and to achieve the most targeted antibiotic therapy.

### *Aerosolized breath*

Pathogenic microorganisms causing pneumonia have previously been detected in the exhaled breath of humans and animals [15-17]. The possibility that pathogens exhaled from the lungs of ventilated patients accrue in the ventilator circuit presents an opportunity for the quantitative assessment of bacteria over time. In the majority of ventilated patients, the ventilator circuit contains a hygroscopic condenser

humidifier (HCH) filter present just external to the patient's endotracheal tube that is designed to limit moisture loss from the patient, minimize heat loss, and filter bacteria that may be present in the tubing outside of the HCH filter. The HCH unit is situated in the ventilator circuit between the Y-piece and the endotracheal tube, external to the patient, see **Figure 1**. Condensed vapor and aerosols from the breath of intubated patients collect within this filter unit and are retained there until the unit is exchanged. Similar filters have been shown to be greater than 99.9% effective at preventing microbial and viral vectors from contaminating the ventilator circuit upstream of the Y piece [18]. HCH filters have been shown to decrease the incidence of ventilator associated pneumonia relative to circuits in which heated and humidified gases are introduced proximal to the ventilator [19]. Liquid visibly collects within the HCH filters over time and they are routinely changed every 12 hrs as part of circuit maintenance.

We began this study with the hypothesis that the liquid that collects within the HCH filter contains droplets of aerosolized alveolar lining fluid that may in turn contain pathogenic bacteria in cases of infection [15, 16, 20]. PCR provides a rapid, quantitative and inexpensive way to profile the HCH fluid for bacterial, fungal and viral pathogens.

### **Methods and materials**

#### *Study design*

This study is comprised of three components: 1). Demonstration that the process of bacterial recovery from HCH filters and their subsequent

## Non-invasive detection of pulmonary pathogens by PCR

detection/determination using PCR is feasible and sound. 2). Application of the process of bacterial recovery and PCR-based identification on a convenience sample of 17 HCH filter samples taken from 14 ventilated patients from the SICU. 3). Retrospective comparison of the findings from part 2, with the corresponding patients data from the medical record to determine the degree of correlation with quantitative cultures and clinical suspicion of VAP.

### *Bacterial growth and retention with HCH filters*

#### Culturing of control samples of bacteria

Escherichia coli, lab strain TOP10 (Invitrogen), and a hospital isolate of methicillin resistant Staphylococcus aureus (MRSA), were cultured overnight in batch at 37 °C with 180 rpm of shaking in 5 ml of Luria broth containing (per liter): 10 g soy tryptone (Remel), 5 g yeast extract (Becton, Dickenson, and Company), 10 g NaCl (Fisher Scientific).

#### Coulter counting

A Beckmann Multisizer 3 Coulter counter outfitted with a 30 micron aperture was used throughout. We operated the Coulter counter with 0.2 micron filtered, 150 mM NaCl as diluent. The unit was calibrated using a 2 micron bead calibration standard (Coulter CC Size Standard L2, nominal 2 micron diameter, lot assayed as 2.05 microns). After calibration the unit was operated with an aperture current of 400 uA and a gain of 8.

#### Preparation of HCH pre-incubated buffer (PIB)

5 mL of 150 mM NaCl at pH 5.5, was pipetted into an HCH unit and incubated at room temperature without agitation for one hour or twelve hours and then recovered by centrifugation at 1000 rcf for 5 minutes. For simplicity we refer to this buffer as PIB throughout.

#### HCH growth and viability

50ul of an overnight culture of E. coli or MRSA was added to 5 mL of fresh LB medium and shaken at 37 °C for 2 hours. The growth was monitored by Coulter counting. The count was used to determine the volume required to inoculate, to a density of 10<sup>6</sup> cells/mL: 12 mL of 150 mM NaCl buffer; 12 mL of 1hr PIB; 12 mL of 12hr PIB.

Initial cell densities and densities after 1, 2, 4, 8, and 12 hours of incubation at 37 °C without agitation, were determined by Coulter counter. Concurrently, 20 uL was taken from each sample, diluted 500-fold in 10 mL 150 mM NaCl, and 100 uL of these dilutions were plated in triplicate on Luria broth agar, incubated 24 hours at 37 °C, and colonies counted to determine the density of viable cells. This density was compared to total cell density (as determined by Coulter counter) in order to calculate percent viability at the time points sampled.

#### HCH recovery

5 mL of 150 mM NaCl, pH 5.5, inoculated to a cell density of 10<sup>6</sup> cells/mL was pipetted into an HCH filter. An equal cell-free volume was pipetted into a HCH as control. Paired units were incubated at 37 °C without shaking. At each time point a paired sample of HCH filters were centrifuged for 5 min at 1000 rcf. The particle density distributions of the recovered fluid volumes were independently determined by Coulter counter. The recovered bacterial cell density was normalized by subtracting the particle density of the time-matched cell-free HCH. Percent cell recovery was determined by dividing the cell density at a given time point by the initial cell density.

#### PCR detection using universal primers

Bacterial DNA present in patient samples was identified by amplification and sequencing of a characteristic region of the 16S ribosomal DNA gene that is flanked by primer-binding sequences that are highly conserved among a broad range of bacteria [21]. Forward: 5'-TCCTACGGGAGGCAGCAGT-3'. Reverse 5'-GGACTACCAGGGTATCTAATC CTGTT-3'. (Operon, HPLC purified). The universal primers flank and amplify a 466 bp fragment.

Since fungal vectors such as Candida are very commonly reported especially among immunocompromised patients we also adopted a strategy to identify fungal pathogens based on universal primers to 26S rDNA [22]. Forward 5'-GCATATCAATAAGCGGAGGAAAAG-3'. Reverse 5'-GGTCCGTGTTTCAAGACGG-3' (Operon, HPLC purified).

Each PCR reaction was composed of 46 uL Platinum PCR Master Mix (Invitrogen), 1 uL of a 25 uM stock of each primer (500 nM final con-

## Non-invasive detection of pulmonary pathogens by PCR

centration), and 2 uL of DNA purified from recovered HCH fluid. Thermocycling program: 5 minutes at 95 °C, 35 cycles of 15 seconds at 95 °C; 30 seconds at 60 °C; 30 seconds at 72 °C. Final incubation of 5 minutes at 72 °C.

PCR products were purified using QIAquick PCR Purification Kit (Qiagen) and submitted to the Vanderbilt University DNA Sequencing Facility. The forward and reverse primers were used for the sequencing reactions. Consensus sequences from the forward and reverse reads of the PCR product were used as search queries against the Genbank nr database and the top hits were used to assign the putative pathogen identity in the HCH fluid samples.

### *Quantitative real time PCR technique*

The construction of standard curves for real time determination of bacterial load from 16S rDNA samples is thoroughly presented in [21]. We have replicated these results and modified them to incorporate the Coulter counter. A precise dilution of  $10^7$  cells/mL in 150 mM NaCl was prepared from an overnight E. coli culture using the Coulter counter. From this, a series of half-log dilutions was prepared down to  $10^4$  cells/mL. Prior to cell lysis, 10 µg/mL of salmon sperm DNA (Stratagene) was added to enhance DNA recovery at low cell numbers. DNA was extracted from 200 uL aliquots using the DNeasy Blood and Tissue Kit (Qiagen) with a final elution volume of 200 uL. PCR reactions contained 12.5 uL iQ SYBR Green Supermix (Bio-rad), 0.5 uL of a 12.5 uM stock of each primer (250 nM final concentration), 1 uL of purified DNA, and 10.5 uL of nuclease-free dH<sub>2</sub>O. Reactions were run on an iQ5 Multi Color Real time PCR Instrument (Bio-rad) using the program: 5 minutes at 95 °C 40 cycles of 15 seconds at 95 °C; 30 seconds at 65 °C with real time fluorescence measurement.

### *Collection and analysis of HCH filters from ventilated patients*

#### Collection of patient HCH samples

A sample of 17 HCH filters, AirLife (part # 003003, Cardinal Health), were collected over a 6 month period beginning in December of 2009 from ventilated patients in the SICU. The sample was random with regard to patient condition, severity or identity. Filter units were removed aseptically by a respiratory technician, placed

and sealed within a sterile biohazard bag and labeled with a de-identified code. Samples to be processed were stored in a 4 °C refrigerator for up to 72 hours.

#### Recovery of aerosol samples from patient HCH filters

HCH units were removed from a biohazard bag in a sterile cabinet using sterile technique and inserted upright into the top of a disposable 50 mL centrifuge tube, the sides sealed with parafilm, and centrifuged at 1000 rcf for 5 minutes. Typical fluid volume recovered from a filter that had been in place for 12 hours was 5 ml. The pH of the recovered fluid was 5.5.

#### Extraction of bacterial DNA from recovered fluid

Fluid samples recovered from HCH filters were concentrated. Total DNA was purified from 200 uL aliquots of concentrate using the DNeasy Blood and Tissue Kit (Qiagen) with the following modifications. The 200 uL sample, 20 uL proteinase K, and 200 uL AL buffer (lysis buffer) were mixed and exposed to three rounds of freezing at -80 and thawing at 37 °C, followed by one 30 minute incubation at 56 °C and one 5 minute incubation at 95 °C. After column binding and washing, total DNA was eluted using 50 uL of nuclease-free distilled water.

### *Retrospective data comparison and analysis*

#### Retrospective comparison with medical record culture data

Following approval by the institutional review board, patient medical record numbers were ascertained based on the date and room number of each sample. MRN's were stored in a secure linking table along with the corresponding sample number and date. After the laboratory processing of the HCH filter data by PCR was completed, the MRN's were queried against all clinical microbiology reports for culture data corresponding to the hospital stay inclusive of the HCH filter sample collection date(s). Pathogen type, quantity, and relative time of culture relative to HCH filter sampling were extracted by manual report review and de-linked from patient identifiers prior to analysis.

#### Statistics

From the frequency distribution of [Table S1](#) we

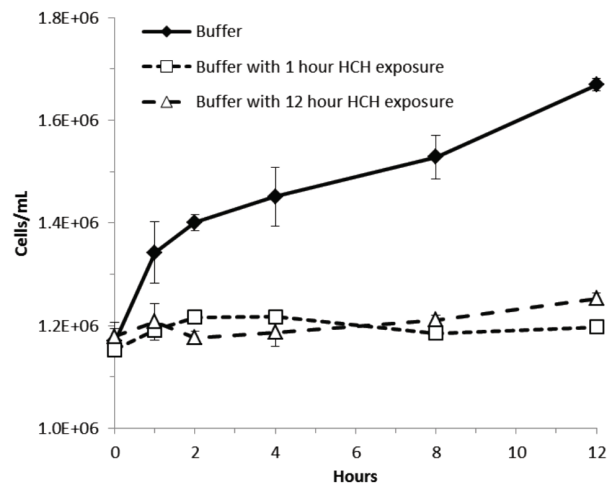
calculated the probability of a matching pair of nominal categories  $p_1=0.12$ ; the categories corresponding to organism identity. From the distribution in [Table S2](#) we calculated the probability of a matching pair of ordinal categories  $p_2=0.20$ ; the categories corresponding to a range of organism number. Assuming that the number of organisms observed is independent of its name we estimated the probability of observing a matching pair of both type and number to be  $p_1p_2=0.02$ . Finally,  $p=0.02$ , was used in a right sided, exact binomial test to determine the probability of observing various numbers of matches by chance alone in repeated trials.

## Results

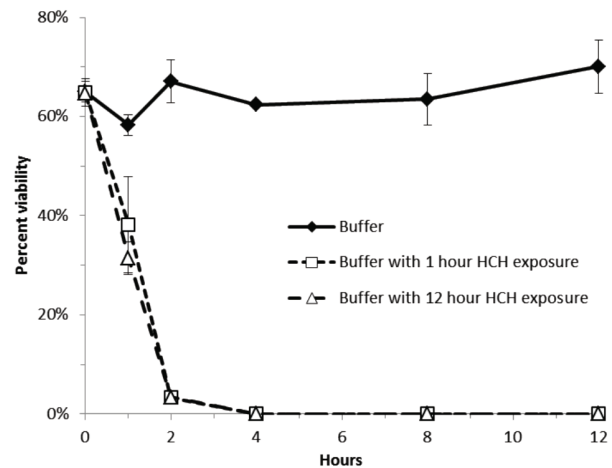
### *Bacterial growth characteristics in HCH filters*

To assess the characteristics of bacterial growth within HCH filters over time we compared the growth of bacteria in buffer to growth in buffer that had been pre-incubated in the HCH filter: PIB (see materials and methods). Specifically: *E. coli* or MRSA were cultured as described in methods. An aliquot of an actively dividing culture was split, one half resuspended in buffer, the other resuspended in an aliquot of PIB. The number of bacteria in PIB was compared with its control over a time course of twelve hours using a commercial Coulter counter. Particulates arising from the HCH filter displayed a distinct distribution different from that of either bacterial species and were subtracted from PIB containing samples using matched controls. The results from triplicate experiments, shown in **Figure 2**, indicate that the cells placed in the HCH pre-incubated buffer did not change in number while the control culture continued to double at room temperature. Neither the gram negative *E. coli* nor the gram positive MRSA replicated in the HCH fluid environment.

The results described above and shown in **Figure 2** demonstrate that PIB is bacteriostatic. The data summarized in **Figure 3**, shows that PIB is not only bacteriostatic but also bacteriocidal. Aliquots of *E. Coli* or MRSA resuspended in PIB showed a declining number of CFU/ml when plated on LB and incubated overnight at 37 °C as compared with the control. In triplicate experiments, the data indicate that after 2 hours of exposure to buffer pre-incubated in the HCH filter fewer than 10% of the *E. coli* cells are



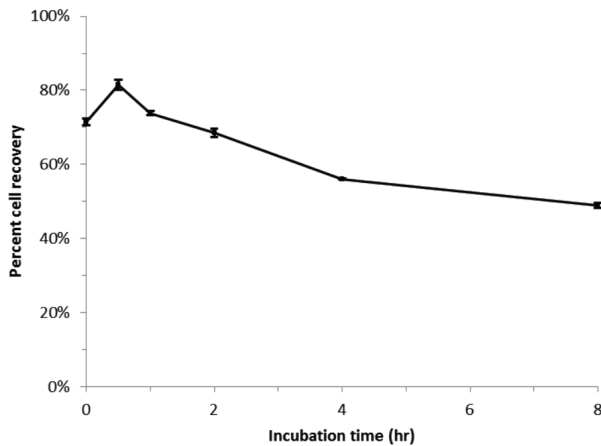
**Figure 2.** A twelve hour time course of microbial growth at room temperature. One and 12 hour PIB (see methods) inoculated with *E. coli* fail to show growth relative to buffer alone (dark diamond). Error bars are derived from triplicate experiments. These data indicate that the HCH environment is bacteriostatic.



**Figure 3.** Time course of microbial viability of *E. coli* inoculated into one and 12 hour PIB as compared to viability in 150 mM NaCl buffer alone. Viability was determined by plate counts done in triplicate. The result of triplicate experiments indicate that the HCH environment is bacteriocidal.

viable and that after 4 hours virtually no colonies grew. We observed qualitatively the same behavior with MRSA, the difference being that the Gram positive bacteria retained 30% viability at 4 hours that declined to 0% at 8 hours

## Non-invasive detection of pulmonary pathogens by PCR



**Figure 4.** Time course of quantitative microbial recovery from HCH filters inoculated with a prescribed initial number of *E. coli*. Cell numbers were determined using a Coulter counter as described in methods. The results of triplicate experiments show that percent recovery declines weakly with time but remains above 50%.

(data not shown).

The ability to detect micro-organisms deposited in an HCH filter by PCR is contingent upon the ability to recover fragments of their DNA from the filter unit. **Figure 4** demonstrates that bacteria that have been incubating in the filter can themselves be recovered with high fidelity. In this experiment a well mixed aliquot of *E. coli* in sodium chloride buffer were split, one half counted with a Coulter counter, the other half introduced into an HCH filter. The number of *E. coli* recovered from the filter after a given incubation time were recorded. The results of triplicate experiments are shown in **Figure 4**. The data indicate that the percentage of the cells recoverable does decline weakly with time. At least half of the organisms introduced into the filter are recoverable after 8 hours.

PCR is a common technique for bacterial detection and determination [21-27]. The pathogen detection from patient samples described in this work was performed using universal primers that were designed to amplify a bacteria specific 16s rDNA fragment [21, 25]. The identity of the pathogens were determined from the amplified fragment by sequence analysis.

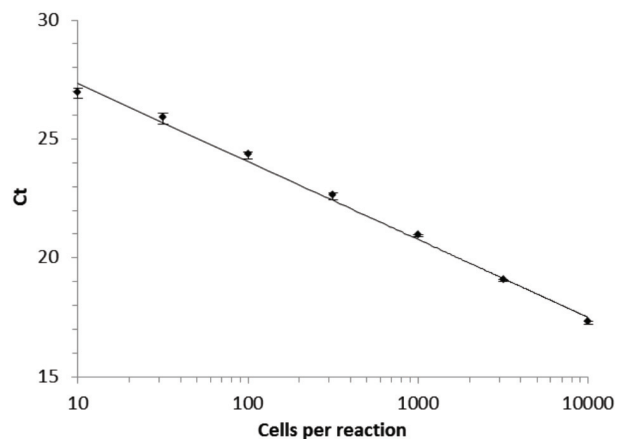
There is a vast literature on PCR sensitivity and reliability, [25, 28], far too broad to review or to comprehensively cite. In **Figure 5**, we illustrate

our ability to sensitively and reproducibly detect small numbers of bacterial cells. Lower respiratory tract inflammatory secretions contain on the order of  $10^5 - 10^6$  CFU/ml [5, 7]. Since PCR can reliably detect a signal from as few as 10 bacterial cells, only a minute fraction of the total load needs to be evolved in the breath to be detectable.

### *Application of PCR detection to clinical HCH fluid samples*

Between December of 2009 and May 2010 a randomly selected convenience sample of 17 HCH filters was collected from 14 ventilated patients as described in methods. No effort was made to select patients based on their identity or condition. Only minors were excluded. Fluid samples were recovered from the patients HCH filters and were analyzed by PCR as described in methods. **Table 1** provides a detailed list of the HCH-derived data in comparison with independent clinical findings. The HCH data demonstrate consistent agreement with regard to qualitative and quantitative microbiological findings in time.

Pathogens were detected by PCR in 8 of the 17 HCH filter samples: HCH samples 1,2,3,4,6,8,10,13 (Please refer to **Table 1**). In all 8 of the aforementioned samples, clinical cultures identified the same micro-organisms at some point during the patients stay. When the HCH filter data are stratified based on clinical



**Figure 5.** Standard curve relating the crossing threshold value from real time PCR with the number of *E. coli* cells assayed. The linear regression line has an  $r^2$  value of 0.995. Similar standard curves are reported in [21].

## Non-invasive detection of pulmonary pathogens by PCR

**Table 1.** Data comparing HCH findings with available electronic medical record culture data and clinical suspicion of VAP. Correlation of HCH fluid quantitative PCR analysis with clinical data.

HCH					BAL (*sputum)		
Patient	sample #	day	Pathogen	Quantity	collection day	Pathogen	Quantity
No clinical or quantitative BAL data supporting pneumonia							
A	1	33	A baumannii	not performed	0*	A lwoffii	NA - sputum
					9*	A lwoffii	NA - sputum
B	2	2	C. albicans	not performed	0	No growth	NA
					8	C. albicans	< 1 K
					10	C. albicans	< 1 K
C	5	0	S. coag negative	Not performed	No clinical indication	NA	NA
D	6	0	No amplification	NA	No clinical indication	NA	NA
E	7	0	No amplification	NA	6 - left	S. aureus	< 10 K
					6 - right	No growth	NA
F	9	0	No amplification	NA	9	C. albicans	< 10 K
					20	S. coag negative	< 10 K
						C. albicans S. coag negative	< 1 K < 1 K
G	11	0	No amplification	NA	No clinical indication	NA	NA
H	12	0	No amplification	NA	No clinical indication	NA	NA
I	15	0	No amplification	NA	3	S. aureus	< 1K
	16	2	No amplification	NA	7	No growth	NA
Clinical evidence of pneumonia and + BAL							
J	3	0	E. faecalis S. aureus	Not performed 310 K	47	E. faecalis	NA - sputum
	4	48				E. aerogenes	> 100 K
						S. aureus	50-100K
K	8	0	Enterobacter sp	~265 K	0 - left	E. aerogenes	< 1 K
					0 - right	E. aerogenes	10-25 K
L	10	3	S. coag negative	~72 K	0	No growth	NA
					6	S. coag negative	50-100 K
					17	C. albicans S. coag negative	10-25 K < 1K
M	13	6	A baumannii	~ 34 K	0	No growth	NA
	14	11	No amplification	NA	11	No growth	NA
					12	A baumannii	10-25K
N	17	0	No amplification	NA (HCH dry)	0	K. pneumoniae	> 100 K
						E. sakazakii	10-25 K

## Non-invasive detection of pulmonary pathogens by PCR

suspicion of VAP or not, a correlation is observed. In nine HCH filter samples where PCR failed to identify pathogens, in 7 of these nine, no clinical indication for BAL existed or BAL cultures ruled out VAP.

In Filter samples HCH13 and HCH14, two HCH filters were collected from the same patient 5 days apart. In the first HCH sample PCR detected *A. baumannii* at the same level as did a BAL 3 days prior. The second filter HCH14 was taken 8 days after the first BAL, and on the same day as a second BAL was performed, subsequent to the initiation of antibiotics for *A. baumannii* pneumonia. Both the PCR of HCH 14 and the BAL were performed on the same day and found no growth. We consider this latter concurrence a quantitative match and this sequence of findings strong evidence that the breath samples contain real information.

In 5 of the 6 HCH samples 2,6,10,13,14, on which we performed real time quantitation, there is order of magnitude agreement between the BAL and PCR findings. In one of the 6 matches, HCH8, where quantitative data was available the PCR finding was an order of magnitude larger than the BAL value. In 4 of 5 patients in which quantitative BAL cultures indicated pathogens greater than  $10^4$  CFU, PCR from the HCH samples both identified the pathogen and provided quantitation greater than  $10^4$  bacteria. In 2 of these 4 matches, additional bacteria were reported by BAL that were not identified by PCR. This is an artifact of our application of a specific PCR technique rather than a general limitation. In the second of the two cases, the HCH filter was completely dry and devoid of fluid at the time of analysis and no bacteria were detected by PCR despite a positive BAL.

Using pathogen frequency data from SICU encounters ([Tables S1 and S2](#) in the Supplement) as described in the methods, we estimate that the probability of drawing two matching samples independently from these distributions at random is approximately 2%. Using this value we offer an estimate of the statistical significance of our multiple observations. The probability that 5 of 13 breath samples agree with clinical microbiology findings obtained by more invasive methods, both in the nature of the pathogen found and their number (CFU/ml) to within an order of magnitude, by chance alone is less than  $p = 8.873 \times 10^{-6}$  or roughly 9 in one

million using an exact binomial test. This calculation supports the contention that our observations are not spurious and that the HCH filters carry information that is correlated with the information contained in the more invasive assays.

### Conclusions

We have demonstrated that microbial pathogens accumulate in the HCH filters of ventilated patients. We have shown that the pathogens in the HCH filters can be reliably recovered and identified. We have also shown that the pathogens in the HCH fluid match those discovered independently by standard quantitative methods such as BAL to a statistically significant degree. Alone, the finding that bacteria are recoverable from the disposable HCH filters of ventilated patients is interesting. When one factors in the agreement between the HCH and BAL results, and the observed correlation with the suspicion of VAP, the results achieve clinical significance. After taking into account the rapid, non-invasive nature of the investigational method under discussion, these results provide strongly encouraging preliminary data regarding the possible use of quantitative PCR of HCH fluid samples as an early detection method for the presence of pulmonary pathogens.

As outlined in the introduction, there are several problems encountered in the diagnosis and management of VAP in which the information from the disposable HCH filters can be utilized. Since the disposable filters are routinely replaced every 8 to 12 hours, serial analysis over time may alert the practitioner to increasing risk of pneumonia prior to the development of full clinical signs.

In conclusion, recovery and processing of aerosolized samples from standard ventilator HCH filters is straightforward, non-invasive, and well-suited for repeated sampling in time. Real time PCR detection can be performed in under an hour.

### Acknowledgements

The authors wish to thank Dr Gordon Bernard for many helpful discussions and for his support. The work described was partially supported by CTSA 1 UL1 RR024975. EMB thanks Dr Sarah Gates and Professor Rick Haselton for helpful discussions and Professor Ray Mer-



naugh for technical and material assistance.

**Address correspondence to:** Dr. Erik M Boczko, Department of Biomedical Informatics, Vanderbilt University, Nashville, TN 37233 Tel: (615) 936-6668; E-mail: erik.boczko@vanderbilt.edu

## References

- [1] Koenig S, Truwit J. Ventilator-associated pneumonia: diagnosis, treatment, and prevention. *Clinical Microbiology Reviews* 2006; 19: 637-657.
- [2] Richards MJ, Edwards JR, Culver DH, Gaynes R. Nosocomial infections in medical intensive care units in the united states. *Critical Care Medicine* 1999; 27: 887-892.
- [3] Stone PW. Economic burden of healthcare-associated infections: an American perspective. *Expert Rev Pharmacoecon Outcomes Res* 2009; 9: 417-422.
- [4] American Thoracic Society, Guidelines for the management of adults with hospital-acquired, ventilator associated and healthcare associated pneumonia. *Am J Respir Crit Care Med* 2005; 171: 388-416.
- [5] Iregui M, Ward S, Sherman G, Fraser VJ, Kollef MH. Clinical Importance of Delays in the Initiation of Appropriate Antibiotic Treatment for Ventilator-Associated Pneumonia. *Chest* 2002; 122: 262-268.
- [6] Rotstein C, Evans G, Born A, Grossman R, Light B, Magder S, McTaggart B, Weiss K, Zhanel GC. Clinical practice guidelines for hospital acquired pneumonia and ventilator associated pneumonia in adults. *Can J Infect Dis Med Microbiol* 2008; 19: 19-53.
- [7] Chastre J, Fagon J. Ventilator-associated pneumonia. *Am J Respir Crit Care Med* 2002; 165: 867-903.
- [8] Reo-Neto A, Youssef NCM, Tuche F, Brunkhorst F, Ranieri VM, Reinhart K, and Sakr Y. Diagnosis of ventilator-associated pneumonia: a systematic review of the literature. *Critical Care* 2008; 12: 1-14.
- [9] Carroll KC, Glanz BD, Borek AP, Burger C, Bhally HS, Henciak S, Flayhart D. Evaluation of the BD Phoenix Automated Microbiology System for Identification and Antimicrobial Susceptibility Testing of Enterobacteriaceae. *J Clin Microbiol* 2006; 44: 3506-3509.
- [10] Alvarez-Lerma F. Empiric broad-spectrum antibiotic therapy of nosocomial pneumonia in the intensive care unit: a prospective observational study. *Critical Care* 2006; 10: R78
- [11] Depuyt PO, Vandijck DM, Bekaert MA, Decruyenaere JM, Blot SI, Vogelaers DP, Benoit DD. Determinants and impact of multidrug antibiotic resistance in pathogen causing ventilator-associated pneumonia. *Critical Care* 2008; 12: R142.
- [12] Rello J. Bench to bedside review: Therapeutic options and issues in the management of ventilator-associated bacterial pneumonia. *Critical Care* 2005; 9: 259-265.
- [13] Zahar J, Nguile-Makao M, Francais A, Schwebel C, Garrouste-Orgeas M, Goldgran-Toledano D, Azoulay E, Thuong M, Jamali S, Cohen Y, de Lassence A, Timsit J. Predicting the risk of documented ventilator-associated pneumonia for benchmarking: Construction and validation of a score. *Critical Care Medicine* 2009; 37: 2545-2551.
- [14] Michel F, Francheshini B, Berger P, Arnal J, Gainnier M, Sainty J, Papazian L. Early antibiotic treatment for BAL-confirmed ventilator associated pneumonia: a role for routine endotracheal aspirate cultures. *Chest* 2005; 127: 589-597.
- [15] Eames I, Tang J, Li Y, Wilson P. Airborne transmission of disease in hospitals. *J R Soc Interface* 2009; 6: S697-S702.
- [16] Fabian P, McDevitt J, DeHaan W, Fung R, Cowling B, Chan K, Leung G, Milton D. Influenza virus in human exhaled breath: An observational study. *PLoS ONE* 2008; 3: e2691.
- [17] Muscatello G, Gilkerson J, Browning G. Detection of virulent *Rhodococcus equi* in exhaled air samples from naturally infected foals. *Journal of Clinical Microbiology* 2009; 47: 734-737.
- [18] Holton J, Webb AR. An evaluation of the microbial retention performance of three ventilator-circuit filters. *Intensive Care Med* 1994; 20: 233-237.
- [19] Kola A, Eckmanns T, Gastmeier P. Efficacy of heat and moisture exchangers in preventing ventilator associated pneumonia: Meta analysis of randomized controlled trials. *Intensive Care Med* 2005; 31: 5-11.
- [20] Brodie EL, Desantis TZ, Moberg Parker JP, Zubieta IX, Piceno YM, Anderson GL. Urban aerosols harbor diverse and dynamic bacterial populations. *Proc Natl Acad Sci* 2007; 104: 299-304.
- [21] Nadkarni M, Martin F, Jacques N, Hunter N. Determination of bacterial load by real-time PCR using a broad-range (universal) probe and primers set. *Microbiology* 2002; 148: 257-266.
- [22] Kurtzman CP, Robnett CJ. Identification and phylogeny of ascomycetous yeasts from analysis of nuclear large subunit (26S) ribosomal DNA partial sequences. *Antonie Van Leeuwenhoek International Journal of General and Molecular Microbiology* 1998; 73: 331-371.
- [23] Cherkaoui A, Emonet S, Ceroni D, Candolfi B, Hibbs J, Francois P, Schrenzel J. Development and validation of a modified broad range 16s rDNA PCR for diagnostic purposes in clinical microbiology. *J Microbiol Methods* 2009; 79: 227-231.
- [24] Gu F, Li Y, Zhou C, Wong D, Ho C, Qi F, Shi W.

## Non-invasive detection of pulmonary pathogens by PCR

- Bacterial 16s rRNA/rDNA profiling in the liquid phase of human saliva. *The Open Dentistry Journal* 2009; 3: 80-84.
- [25] Horz HP, Vianna ME, Gomes BPFA, Conrads G. Evaluation of Universal Probes and Primer Sets for Assessing Total Bacterial Load in Clinical Samples: General Implications and Practical Use in Endodontic Antimicrobial Therapy. *J Clin Microbiology* 2005; 43: 5332-5337.
- [26] Oliveira D, de Lencastre H. Multiplex PCR strategy for rapid identification of Structural types and variants of the mec element in methicillin-resistant *Staphylococcus aureus*. *Antimicrobial Agents and Chemotherapy* 2002; 46: 2155-2161.
- [27] Siala M, Gdoura R, Fourati H, Rihl M, Jaulhac B, Younes M, Sibilia J, Baklouti S, Bargaoui N, Sellami S, Sghir A, Hammami A. Broad range PCR cloning and sequencing of the full 16s rRNA gene for the detection of bacterial DNA in synovial fluid samples of tunisian patients with reactive and undifferentiated arthritis. *Arthritis Research & Therapy* 2009; 11: R102.
- [28] Stowers C, Haselton R, Boczek EM. An analysis of quantitative PCR reliability through replicates using the Ct method. *JBISE* 2010; 5: 459-469.

**Supplementary Data**

**Table S1.** Of 192 SICU encounters reported to the CDC for Pneumonia the table describes the number and kind of different pathogens. From the results of 1638 quantitative BAL (shown in Table S2), 351 showed no growth. Accordingly we appended this proportion of “None” to the data as a fourteenth category to allow for the possibility that two samples with no growth can count as a match. The probability of drawing a matching pair of categories from this distribution is, 0.119512, approximately 12%. The probability of drawing 7 or more matches in 13 trials, at random from this distribution, is  $p = 0.000317092$ , approximately 3 in ten thousand.

Organism(Genus)	Occurrence in CDC Data set
Enterococcus	51
Mycobacterium	24
Staphylococcus	144
Streptococcus	18
Fungi	125
Acinetobacter	46
Enterobacter	40
Escherichia	29
Haemophilus	9
Klebsiella	43
Pseudomonas	70
Serratia	23
Stenotrophomonas	29
None	163
Total	814

**Table S2.** Data from 1638 BAL procedures performed in the SICU prior to 11/2009, stratified according to quantitative growth observed. The five stratifications are roughly equally populated and the probability of drawing any matching pair of categories is 0.201535, as calculated from the sum of the squares of the corresponding frequencies. The probability of observing 5 or more matches in six trials is  $p = 0.0016$  or roughly 1 in 500.

Growth Categorization	Occurrence in SICU data set
No Growth	351
Less than 1K CFU/ml	353
Between 1K and 10K	294
Between 10K and 100K	349
Greater than 100K	291