Haploinsufficiency of a Spliceosomal GTPase Encoded by EFTUD2 Causes Mandibulofacial Dysostosis with Microcephaly

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Mandibulofacial dysostosis with microcephaly (MFDM) is a rare sporadic syndrome comprising craniofacial malformations, microcephaly, developmental delay, and a recognizable dysmorphic appearance. Major sequelae, including choanal atresia, sensorineural hearing loss, and cleft palate, each occur in a significant proportion of affected individuals. We present detailed clinical findings in 12 unrelated individuals with MFDM; these 12 individuals compose the largest reported cohort to date. To define the etiology of MFDM, we employed whole-exome sequencing of four unrelated affected individuals and identified heterozygous mutations or deletions of EFTUD2 in all four. Validation studies of eight additional individuals with MFDM demonstrated causative EFTUD2 mutations in all affected individuals tested. A range of EFTUD2-mutation types, including null alleles and frameshifts, is seen in MFDM, consistent with haploinsufficiency; segregation is de novo in all cases assessed to date. U5-116kD, the protein encoded by EFTUD2, is a highly conserved spliceosomal GTPase with a central regulatory role in catalytic splicing and post-splicing-complex disassembly. MFDM is the first multiple-malformation syndrome attributed to a defect of the major spliceosome. Our findings significantly extend the range of reported spliceosomal phenotypes in humans and pave the way for further investigation in related conditions such as Treacher Collins syndrome.

Mandibulofacial dysostosis (MFD) is a disturbance in the development of the first and second branchial arches and causes malar and mandibular hypoplasia, a cleft palate, and/or conductive hearing loss. Although several distinct MFD syndromes are recognized, the most well understood is Treacher Collins syndrome (MIM 154500), a common, isolated form caused by mutations of three genes involved in pre-rRNA transcription.^{[1,2](#page-7-0)} Of the several other recognized mandibulofacial and acrofacial dysostoses, only the gene associated with Miller syndrome (MIM 263750) has been identified; this gene encodes an enzyme involved in pyrimidine biosynthesis. 3 Etiologies of the remaining MFD syndromes remain unknown.

In 1996, Guion-Almeida et al.^{[4](#page-7-0)} described four individuals with a distinct sporadic syndrome (MIM 610536) combining MFD with microcephaly, growth and developmental delay, a cleft palate, and characteristic external

ear malformations with preauricular tags. Two subsequent reports^{5,6} described a total of five phenotypically similar individuals, and the inheritance pattern was again sporadic with the exception of a single affected mother and son.^{[6](#page-7-0)} To further delineate the phenotype of this condition, which we have provisionally termed ''MFD with microcephaly'' (MFDM), we assembled a cohort of 12 unrelated affected individuals, including five previously reported persons. $4,5$ The phenotype of all 12 individuals with MFDM [\(Table 1](#page-1-0); [Figure 1](#page-3-0)) is characterized by microcephaly, midface hypoplasia, micrognathia, and a characteristic external ear appearance. Microcephaly in this condition is both progressive and severe and might be of either pre- or postnatal onset. A significant deceleration of head growth is seen during infancy, and head circumference eventually falls to between 3 and 6 standard deviations (SD) below the mean. All affected individuals

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Abbreviations are as follows: N/R, not reported; NT, nuchal translucency; IUGR, intrauterine growth restriction; PROM, premature rupture of membranes; N/A, not assessed; NM, milestone not ye^t met; FLAIR, fluid attenuated inversion recovery; ASD, atrial septal defect; VSD, ventricular septal defect; and PPS, peripheral pulmonic stenosis.

Figure 1. Characteristic Craniofacial Dysmorphism in MFDM

The detailed clinical description of these individuals is reported in [Table 1.](#page-1-0) Typical findings include a sloping forehead, malar hypoplasia, micrognathia, a wide mouth, and a characteristic external ear appearance (preauricular tags, microtia, a small superior helix, and a prominent lobe with a square posterior margin). Microtia is variable in severity; some patients have a cupped ear configuration. (A) Individual 11: birth

(B) Individual 11: 19 months

(C) Individual 8: 8 months

(D) Individual 8: 24 months

(E) Individual 12: 7 years

(F) Individual 3: 6 years, 5 months

- (G) Individual 2: 3.5 years (left) and 10.5 years (right)
- (H) Individual 9: 13 years, 5 months
- (I) Individual 4: 16 years, 8 months

identified to date have shown significant global developmental delay, and expressive language is particularly affected (only half of our cohort has attained any speech whatsoever; first words are generally spoken between 20 and 30 months). Of the four individuals who have had brain imaging, magnetic resonance imaging (MRI) was normal in two, whereas nondiagnostic abnormalities (delayed myelination or nonspecific white-matter changes) were seen in the other two individuals. Additional commonly seen major malformations included choanal and aural atresia, cleft palate, and congenital heart defects (typically atrial septal defects), and each was present in more than half of the affected individuals. Hearing loss, generally conductive, was reported in 10 of the 12 individuals. Minor anomalies that were inconsistently observed included cryptorchidism and proximally placed thumbs. Despite the scarcity of prior clinical reports, the multiplicity of findings in our cohort is such that we consider MFDM to be a distinct, clinically recognizable syndrome.

To determine the genetic basis of MFDM, we performed exome capture and high-throughput sequencing of four unrelated affected individuals. We obtained approval of the study design from the institutional research ethics board (Children's Hospital of Eastern Ontario), and informed consent was obtained from responsible persons (parents) on behalf of all study participants. We performed target enrichment by using the Agilent SureSelect 50 Mb All Exon Kit, and sequencing (Illumina Hiseq) generated >12 Gbp of 100 bp paired-end reads per sample. Variant calling and annotation were performed as follows (see [Web Resources\)](#page-7-0): Reads were preprocessed (trimmed), and sequences with a matching (opposite) read were aligned to hg19 with Burrows-Wheeler Aligner.^{[7](#page-7-0)} Duplicate reads were marked with Picard and were excluded. Singlenucleotide variants and short in/dels were called with SAMtools pileup and varFilter^{[8](#page-7-0)} and were quality-filtered so that at least 20% of the reads supported the variant call. We annotated the variants by using Annovar^9 Annovar^9 and custom scripts to select coding and splice-site variants and to exclude common $(\geq 1\%$ minor allele frequency) polymorphisms represented in dbSNP131, the 1000 Genomes pilot release, or in 120 local exomes performed at our center. We performed genome-wide copy-number analysis in parallel for each of the four affected individuals by microarray (Illumina Human Omni2.5).

We next tabulated the rare variants seen in our cohort and compared them between the probands to prioritize genes of interest. Because the mode of inheritance of MFDM was not known a priori, our data were considered under both an autosomal-dominant (one variant per

^a With a dominant model of disease.
^b Including *EFTUD2*.
^c Private *MUC4* variants are a frequent finding at our center; therefore, this gene was deliberately excluded from further analysis.

gene per proband) and an autosomal-recessive (two variants per gene per proband) model. Apart from MUC4 (a frequent ''false positive'' in whole-exome studies at our center), no genes satisfied a recessive model in all four probands after filtering; therefore, an identifiable recessive locus for MFDM was deemed unlikely. Next, applying a dominant model, we noted that eight genes contained variants in three out of four MFDM exomes (Table 2). Of these eight genes, one (EFTUD2) was noted to have a region of reduced read depth in the remaining (fourth) proband (Figure 2); microarray confirmed a corresponding deletion of the last nine exons of EFTUD2 in this individual. To verify EFTUD2 as the gene associated with MFDM, we analyzed EFTUD2 in a further eight individuals with MFDM. We identified heterozygous mutations in seven cases, and in the eighth individual, we found a complex de novo chromosomal rearrangement causing heterozygous deletion of EFTUD2 ([Table 3](#page-5-0), Figure 2, and [Figures](#page-7-0) [S1 and S2\)](#page-7-0). A wide variety of mutation types, including large deletions, frameshifts, and splice-site, nonsense, and missense mutations, were observed, consistent with haploinsufficiency. Mutations were not represented in

more than 10,000 exomes contained within the National Heart, Lung, and Blood Institute (NHLBI) Exome Variant Server (see [Web Resources\)](#page-7-0), and they were determined to be de novo in all seven cases in which parental samples were obtainable. Taken together, our findings are consistent with de novo haploinsufficiency of EFTUD2 as the cause of MFDM in all cases assessed to date.

Because 2 of the 12 members in our cohort were found to have a microdeletion identifiable on the array, we next considered the spectrum of normal variation within EFTUD2. The Database of Genomic Variants does not contain any copy-number variants overlapping EFTUD2. Additionally, neither dbSNP nor the Exome Variant Server contains any truncating single-nucleotide polymorphisms within this gene. DECIPHER does contain one individual (249945) bearing a small deletion overlapping EFTUD2 and two adjacent genes, but the phenotype of this individual is not specified. EFTUD2 deletions or truncating mutations are therefore not represented in existing catalogs of normal genomic variation in the general population.

EFTUD2 encodes U5-116kD, a highly conserved spliceosomal GTPase with striking sequence similarity to the ribosomal translation elongation factor $EF-2$.^{[10](#page-8-0)} The domain architecture of U5-116kD is comparable to that of other EF-related proteins, consisting of a GTP-binding domain and additional conserved domains II–V; both U5-116kD and its (better known) S. cerevisiae homolog, Snu114p, also have a N-terminal acidic domain of unknown func-tion not present in other members of this family.^{[10](#page-8-0)} Although there are currently no reported phenotypes for EFTUD2 in metazoa, the functions of both U5-116kD and Snu114p have been thoroughly characterized. In the spliceosome, Snu114p occupies a central position within the U4/U6-U5 tri-snRNP particle, 11 11 11 where it forms a stable

Figure 2. Locations of Disease-Causing Mutations in EFTUD2

(A) Intron-exon structure of EFTUD2 (introns not to scale) with the locations of ten MFDM-causing point mutations (relative to NM_004247.3). Missense mutations are shown in blue, truncating (nonsense and frameshift) mutations are shown in red, and the intron 16 splicedonor mutation is shown in green.

(B) Domain architecture of U5-116kDa (after Fabrizio et al., 1997)⁷ indicates the locations of point mutations (the color scheme is the same as that in A).

(C) Exome-sequencing read depth in proband 1 shows a zone of reduced coverage (dashed box) extending from exons 20– 29 of EFTUD2 into the adjoining gene (HIGD1B). A corresponding microdeletion was confirmed by microarray (coordinates are listed in [Table 3\)](#page-5-0).

cDNA positions given are relative to NM_004247.3. Chromosome reference positions are relative to hg19. Primer sequences and sequencing chromatograms are provided in [Table S1](#page-7-0) and [Figure S1.](#page-7-0) Chromosome rearrangements in individuals 1 and 5 are depicted in [Figure S2.](#page-7-0) The following abbreviation is used: N/A, not

Assessed.
^a Discovery (exome) cohort participant.

Predicted in silico to abolish splicing (see [Web Resources\)](#page-7-0).

complex with two catalytically essential RNA helicases, Brr2p and Prp8p.^{[12](#page-8-0)} Snu114p is essential for Brr2p-mediated dissociation of U4 and U6 RNAs; this dissociation is a key event during catalytic splicing.^{[13](#page-8-0)} After splicing, Snu114p is also required for the disassembly and subunit recycling of the postsplicing intron complex; a model has been proposed in which Snu114p acts as a central "GTP switch" regulating both splicing and disassembly.^{[14](#page-8-0)} In keeping with this important role in gene expression, Snu114p is an essential yeast protein, alterations of which cause temperature-sensitive growth arrest accompanied by the accumulation of unspliced transcripts.^{[15,16](#page-8-0)} Interestingly, all three of the EFTUD2 missense mutations (resulting in amino acid substitutions p.Arg262Trp, p.Cys478Arg, and p.Leu637Arg) seen in our cohort occur at (or adjacent to) corresponding Snu114p temperature-sensitive alterations (p.Asp271Asn, p.Arg487Glu, and p.Asp653Val, respectively) in yeast.^{15,16} A U5-116kD structural model ([Figure 3](#page-6-0)) based on the crystal structure of S. cerevisiae $EF-2¹⁷$ $EF-2¹⁷$ $EF-2¹⁷$ places these three alterations in disparate domains of the protein; Arg262 is situated in the GTP-binding pocket of U5-116kD, and Cys478 and Leu637 form internal contacts in domains II and III, respectively. Because individuals with alterations at these three positions demonstrate the full clinical presentation of MFDM, these three substitutions are presumed to cause a substantial derangement of U5-116kD function and/or stability.

U5-116kD alterations are uniquely pleiotropic in contrast to the range of previously reported spliceosomal phenotypes in humans. Interestingly, all four of the other spliceosomal subunits known to produce a clinical phenotype in humans (PRPF31 [MIM 606419], PRPF8 [MIM 607300], SNRNP200 [MIM 601664], and PRPF6 [MIM 613979]) cause retinitis pigmentosa, an isolated retinal condition.^{[18–21](#page-8-0)} The reason for this difference in phenotypes remains unexplained because PRPF8 and, in particular, SNRNP200 encode the human homologs of Prp8p and Brr2p—two RNA helicases that complex with (and are regulated by) U5-116kD.¹¹⁻¹⁴ Considering that splicing is an obligatory process for gene expression in all cells, the pertinent question is not why EFTUD2 mutations cause a multiple-malformation syndrome with intellectual disability, but rather why the same is not seen for all other spliceosomal constituents. The developmental significance of splicing defects has been similarly illustrated for the minor spliceosome with the discovery of mutations in a noncoding RNA gene, RNU4ATAC, as the cause of a syndromic skeletal dysplasia, microcephalic osteodysplastic primordial dwarfism type I (MIM 210710).^{[22](#page-8-0)} Because the U5 snRNP is common to both major and minor spliceosomes,²³ U5-116kD and the retinopathy-associated products of PRPF8 and SNRNP200 are expected to participate in both U2- and U12-dependent splicing reactions.

In addition to extending the range of reported spliceosomal phenotypes, our results also highlight a pathway affecting craniofacial development. Supported by observations of the $Tcof1^{+/-}$ mouse, the prevailing view of mandibulofacial dysostosis holds MFD to be a consequence of inadequate ribosome biogenesis in a vulnerable population of neural-crest precursors. 24 In keeping with

Figure 3. Predicted Structural Effects of MFDM-Causing Missense Mutations

We modeled residues 1[14](#page-8-0)–957 of EFTUD2 on the crystal structure of S. cerevisiae ribosomal elongation factor 2 ($eEF2$)¹⁴ by using ModWeb, and we visualized them in PyMol.

(A) Crystal structure of eEF2 (PDB: 1N0U) complexed with the translation inhibitor sordarin (yellow). Structural domains are highlighted.

(B) U5-116kD model based on eEF2. The modeled portion of U5-116kD has high identity (37%) with eEF2; ModWeb scores this model as "reliable" (GA341 score is 1.00).

(C) Locations of amino acid substitutions p.Arg262Trp, p.Cys476Arg, and p.Leu637Arg.

(D) Arg262 (red) is predicted to occupy surface of GTP binding site. Also highlighted are additional surface-facing residues of G1 (green), G4 (yellow), and G5 (orange) motifs.

(E) Arg262Trp alteration in individual 2 is predicted to alter GTP-binding surface topology.

(F) Leu637 sidechain (helix II of domain III) is predicted to face inward and to form close hydrophobic contacts with Ile590 and Ile629. Leu637Arg (individual 11) is predicted to introduce a positive charge at this location.

(G) Cys476 is situated at the center of domain II's β barrel structure. p.Cys476Arg (individual 12) is predicted to introduce a positive charge at this position.

(H) Multispecies alignments of U5-116kDa illustrate sequence conservation in the vicinity of missense mutations identified in individuals with MFDM.

this, craniofacial malformations akin to those found in Treacher Collins syndrome are indeed seen in a subset of individuals with Diamond Blackfan anemia (MIM 105650), a ribosomopathy caused by alterations in any of several ribosomal subunits.^{[25](#page-8-0)} Whether altered ribosome biogenesis is an underlying aspect of the MFDM phenotype, and whether this is a direct or downstream effect remains to be determined; nonetheless, our findings point to an alternative pathway for investigating individuals with unexplained Treacher Collins syndrome and related conditions.

In summary, we have shown that de novo dominant mutations in EFTUD cause MFDM, a recognizable mandibulofacial dysostosis distinguished from Treacher Collins syndrome by microcephaly, global developmental delay, and a characteristic pattern of additional malformations. Because the participants in our study were selected on the basis of a highly specific presentation, the full clinical spectrum of MFDM is unknown. Given the apparent high sensitivity of molecular testing in this condition, we suggest that this syndrome should be entertained in the differential diagnosis of any child with progressive microcephaly accompanied by craniofacial malformations.

Supplemental Data

Supplemental Data include two figures and one table and can be found with this article online at <http://www.cell.com/AJHG>.

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Web Resources

The URLs for data presented herein are as follows:

Berkeley Drosophila Genome Project, Splice Site Prediction by Neural Network, http://www.fruitfly.org/seq_tools/splice.html

Database of Genomic Variants, <http://projects.tcag.ca/variation/> DECIPHER v5.1, <http://decipher.sanger.ac.uk/>

FASTX-Toolkit, http://hannonlab.cshl.edu/fastx_toolkit/

- NHLBI Exome Variant Server, <http://evs.gs.washington.edu/EVS/>
- ModWeb, [http://modbase.compbio.ucsf.edu/ModWeb20-html/](http://modbase.compbio.ucsf.edu/ModWeb20-html/modweb.html) [modweb.html](http://modbase.compbio.ucsf.edu/ModWeb20-html/modweb.html)
- Online Mendelian Inheritance in Man (OMIM), [http://www.](http://www.omim.org/) [omim.org/](http://www.omim.org/)
- Picard, <http://picard.sourceforge.net/>
- PyMol, <http://www.pymol.org/>
- SAMtools, <http://samtools.sourceforge.net/>
- UCSC Genome Browser, [http://genome.ucsc.edu/cgi-bin/](http://genome.ucsc.edu/cgi-bin/hgGateway/) [hgGateway/](http://genome.ucsc.edu/cgi-bin/hgGateway/)

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