

Paternal Age Effect Mutations and Selfish Spermatogonial Selection: Causes and Consequences for Human Disease

Anne Goriely^{1,*} and Andrew O.M. Wilkie^{1,*}

Advanced paternal age has been associated with an increased risk for spontaneous congenital disorders and common complex diseases (such as some cancers, schizophrenia, and autism), but the mechanisms that mediate this effect have been poorly understood. A small group of disorders, including Apert syndrome (caused by *FGFR2* mutations), achondroplasia, and thanatophoric dysplasia (*FGFR3*), and Costello syndrome (*HRAS*), which we collectively term “paternal age effect” (PAE) disorders, provides a good model to study the biological and molecular basis of this phenomenon. Recent evidence from direct quantification of PAE mutations in sperm and testes suggests that the common factor in the paternal age effect lies in the dysregulation of spermatogonial cell behavior, an effect mediated molecularly through the growth factor receptor-RAS signal transduction pathway. The data show that PAE mutations, although arising rarely, are positively selected and expand clonally in normal testes through a process akin to oncogenesis. This clonal expansion, which is likely to take place in the testes of all men, leads to the relative enrichment of mutant sperm over time—explaining the observed paternal age effect associated with these disorders—and in rare cases to the formation of testicular tumors. As regulation of RAS and other mediators of cellular proliferation and survival is important in many different biological contexts, for example during tumorigenesis, organ homeostasis and neurogenesis, the consequences of selfish mutations that hijack this process within the testis are likely to extend far beyond congenital skeletal disorders to include complex diseases, such as neurocognitive disorders and cancer predisposition.

Introduction

It has long been recognized that older parents are at increased risk of having children with genetic disorders. Much work has gone into studying congenital anomalies in the offspring of aging mothers, and the best documented example of a strong maternal age effect occurs in Down syndrome (trisomy 21).¹ However, growing evidence suggests that, independently of maternal age, the progeny of older fathers are more susceptible to a wide range of conditions, including spontaneous dominant disorders,² congenital anomalies,³ childhood cancers,⁴ acute lymphoblastic leukemia,⁵ breast cancer,⁶ increased telomere length,⁷ autism,⁸ schizophrenia,⁹ bipolar disorder,¹⁰ and reduced neurocognitive abilities in childhood and infancy.¹¹ Although the association between advanced paternal age and disease risk is not always consistent or reproducible,^{12,13} it is clear that it has become a concern,

as witnessed by the precautionary measures taken for sperm donation in the case of assisted conception, for which it is now commonly advised to limit the age of donors to below 40 years.¹⁴ Yet, there is a notable lack of understanding of the mechanisms involved or even a general consensus on how to define what constitutes advanced paternal age.^{14,15} It is apparent that the average paternal age at conception is subject to demographic variation and fluctuates considerably for different years of birth or countries.¹⁵ In England and Wales, for instance, it has increased steadily from 29.06 years in the mid-1970s to 32.75 years by 2008, and today over one-third of children are born to fathers over the age of 35 years (England and Wales Birth Census data series FM1). Given that this trend is also observed in many other developed countries, it would be timely to re-evaluate the impact that paternal age has on genetic risks and predict its effects both on individual risk and on public health. However, this is hampered by lack of understanding of the mechanisms involved and the extent of the effects—which are likely to vary considerably for different disorders.

Although in some cases the evidence for an association with advanced paternal age is ill-defined or controversial, the situation is very different for a small group of congenital disorders, which we call “paternal age effect” (PAE) disorders and for which work performed over the last decade is reaching a consensus that allows a clearer picture of the biological mechanisms associated with some paternal age effects. The best known examples of PAE disorders involve specific point mutations in fibroblast growth factor receptor genes, *FGFR2* (mutations of which cause Apert [MIM 101200], Crouzon [MIM 123500], and Pfeiffer [MIM 101600] syndromes) and its paralog, *FGFR3* (mutations of which cause achondroplasia [MIM 100800] and thanatophoric dysplasia [MIM 187601 and 187602], hypochondroplasia [MIM 146000], and Muenke syndrome [MIM 602849]). These disorders occur spontaneously with a remarkably high apparent rate, reaching 1 in 30,000 births for achondroplasia,^{16,17} and are associated with an increased paternal age, relative to the general population.^{2,18–21} Additionally, mutations in *RET* (causing multiple endocrine neoplasia types 2A²² [MIM 171400] and 2B²³ [MIM 162300]), *PTPN11* (encoding SHP2 and causing Noonan syndrome²⁴ [MIM 163950]), and *HRAS*

¹Weatherall Institute of Molecular Medicine, University of Oxford, Oxford OX3 9DS, UK

*Correspondence: anne.goriely@imm.ox.ac.uk (A.G.), awilkie@hammer.imm.ox.ac.uk (A.O.M.W.)

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(Costello syndrome^{25–27} [MIM 218040]) are also associated with advanced paternal age, allowing a better understanding of the mechanisms involved in this process. Indeed there are striking parallels between all these PAE disorders that extend beyond the epidemiological observations of a paternal age effect: all are caused by a small number of dominantly-acting point mutations in key developmental regulators, which cluster within the growth factor receptor-RAS signaling pathway; moreover, the causative point mutations originate almost exclusively from the unaffected fathers, indicating that the original mutational events are taking place during spermatogenesis. In turn, these observations have led to efforts to quantify these mutations directly in the sperm and testes of men of different ages, which has now been accomplished for a handful of PAE mutations. The picture emerging from these technically challenging studies is that the paternal age effect associated with these conditions can be explained by a mechanism, which we term “selfish selection,” whereby rare spermatogonial cells bearing mutations are positively selected, leading to their progressive clonal expansion. This process of clonal expansion in the testis, which is associated with testicular tumors in rare cases, is likely to be universal and to affect all men as they age.

In the first half of this review, we will examine the evidence gathered from the small number of canonical PAE disorders. We will describe the characteristic features of the *FGFR2* and *FGFR3* mutations and their cognate disorders to show how these syndromes illustrate the mechanisms and principles involved in the paternal age effect. We will then collate information on mutations in the other PAE genes, describing how they provide important information on the molecular pathways (in the case of the RASopathies such as Costello and Noonan syndromes) and the biological mechanisms involved (in the case of *RET*-associated disorders). Later, we will consider the possibility that selfish selection is a common mechanism operating during male gametogenesis that has potentially far-reaching consequences for genetic variation, disease, and evolution. We will argue that the contributing mutational processes are likely not limited to single-base-pair substitutions in protein-coding genes but include regulatory mutations and copy-number variations and that the phenotypic consequences extend beyond certain rare monogenic congenital disorders to include common complex diseases. Recently acquired rare mutations have been postulated to contribute to some of the “missing heritability” that has been associated with complex disorders.²⁸ As selfish selection is predicted to generate recurrent functional alleles acting on pleiotropic signaling, such mechanisms might contribute significantly to the mutational burden associated with complex phenotypes.

Spectrum and Characteristics of PAE Mutations and Associated Disorders

We define PAE disorders as a small class of syndromes caused by spontaneous dominant heterozygous mutations

that present with a triad of unusual features comprising (1) an extreme bias in paternal origin of mutations (defined as the ratio of male-to-female mutations, $\alpha > 20$), (2) a strong paternal age effect (i.e., the fathers of affected children are on average more than 2 years older than the matched general population), and (3) a high apparent germline mutation rate ($>10^{-6}$ for particular individual mutations). Nine autosomal-dominant disorders (Apert, Crouzon, Pfeiffer, and Muenke syndromes; achondroplasia; Costello and Noonan syndromes; and multiple endocrine neoplasia types 2A and 2B), corresponding to specific point mutations within five genes (*FGFR2*, *FGFR3*, *HRAS*, *PTPN11*, and *RET*) can be identified that strictly fulfill all three criteria (Table 1).

For historical and practical reasons, the best studied examples of PAE disorders are Apert syndrome, characterized by craniosynostosis (premature fusion of the cranial sutures) and severe syndactyly of both hands and feet, and achondroplasia, the most common cause of short-limbed dwarfism. Both conditions are fully penetrant and clinically homogeneous monogenic disorders that allow complete ascertainment and exhibit a straightforward genotype-phenotype correlation: about 99% of individuals with Apert syndrome carry either of two transversions (c.755C>G or c.758C>G), encoding substitutions in two adjacent amino acids (p.Ser252Trp or p.Pro253Arg, respectively) located within the extracellular region of the receptor tyrosine kinase protein fibroblast growth factor receptor-2 (*FGFR2*),²⁹ whereas substitutions at a single nucleotide (encoding a p.Gly380Arg mutant protein) in its paralog *FGFR3* cause more than 95% of achondroplasia cases;³⁰ in the *FGFR3* c.1138G>A transition outweighs the c.1138G>C transversion by a factor of 35-fold.^{30,31} Among the other *FGFR*-associated disorders belonging to the PAE class, Crouzon and Pfeiffer syndromes are clinically overlapping conditions typically caused by any of more than 50 specific activating point mutations in *FGFR2*; craniosynostosis occurs as in Apert syndrome, but limb abnormalities are milder.³² A single c.749C>G transversion in *FGFR3* (resulting in a p.Pro250Arg substitution equivalent to the *FGFR2* Apert-causing p.Pro253Arg) is responsible for all cases of Muenke syndrome, the most common genetic cause of coronal craniosynostosis, and makes this disorder allelic to, but clinically distinct from, achondroplasia.³³

Of the other PAE disorders, Costello and Noonan syndromes are part of a larger family of neuro-cardio-facial-cutaneous syndromes or RASopathies, the latter term describing their collective dysregulation of the RAS signaling pathway.³⁴ Patients with these conditions present with variable combinations of distinctive craniofacial features; short stature; failure to thrive; developmental delay; and skin, cardiac, and skeletal abnormalities. A few Noonan syndrome cases have also been associated with craniosynostosis.³⁵ Up to 90% of Costello syndrome patients harbor the c.34G>A transition in *HRAS* (encoding p.Gly12Ser) at a well-known mutation hotspot in tumorigenesis, whereas about half of Noonan syndrome

mutations are detected within the *PTPN11* gene (encoding SHP2-containing tyrosine phosphatase). However, specific point mutations in other components of the RAS pathway (i.e., BRAF, CBL, CRAF, KRAS, NRAS, SHOC2, and SOS1) have also been described in Noonan patients.^{34,36}

The last two PAE disorders, multiple endocrine neoplasia types 2A (Men2A) and 2B (Men2B), are caused by allelic mutations within the RET receptor tyrosine kinase and are complex syndromes of multiple endocrine neoplasms, characteristically including medullary thyroid carcinoma (MTC). Men2A might also be associated with pheochromocytoma and parathyroid adenomas, whereas in Men2B, the onset can be congenital with marfanoid habitus and mucosal neuromas (involving lips, tongue, eyebrows, and intestine) as well as skin hyperpigmentation and café-au-lait spots on the hands and feet. Although most cases of Men2A are caused by a handful of activating mutations at crucial cysteine residues located in the extracellular portion of RET (i.e., p.Cys609, p.Cys611, p.Cys618, p.Cys620, p.Cys630, and p.Cys634), Men2B is a more aggressive syndrome mostly caused by a single p.Met918Thr (c.2753T>C) substitution at a residue located in the catalytic core of the tyrosine kinase domain.³⁷

Although each PAE syndrome is a clearly distinct and well-defined complex pathological entity, there is a noticeable phenotypic overlap between them; all involve combinations of congenital skeletal abnormalities (for example craniosynostosis, hypertelorism, syndactyly, coarse facies, marfanoid habitus, or short stature), in some cases associated with developmental delay, cardiac malformation, skin hyperpigmentation, and cancer predisposition. These complex phenotypes highlight the pleiotropic role played by the PAE genes during development, whereas the clinical overlap points to the fact that these genes are required in common cellular contexts in shared molecular pathways. Several closely related conditions, including thanatophoric dysplasia (caused by *FGFR3* mutations) and cardio-facio-cutaneous syndrome ([MIM 115150] associated with *BRAF*, *MAP2K1*, and *MAP2K2* mutations) that exhibit a large phenotypic and molecular overlap with the disorders described above, are likely to belong to the PAE class, although some of the experimental evidence for meeting all three PAE criteria is still lacking (Table 2). Significantly, at a biochemical level, all PAE mutations confer gain-of-function properties to the encoded mutant proteins through a wide diversity of mechanisms (Table S1, available online).

Paternal Origin of Mutations, Paternal Age Effect, and High Rate of Spontaneous Mutations

Each of the properties used to define PAE mutations will be considered separately, and we will discuss why they are relevant to our understanding of paternal age effects. We will argue that although a paternal bias in mutation origin is observed for many spontaneous dominant disorders, and likely results from a universal replication-based

process that generates DNA copy errors during male gametogenesis, the extreme paternal bias observed for PAE mutations is caused by the distinct phenomenon of clonal expansion of spermatogonial cells expressing proteins with gain-of-function properties. This selective process taking place in the testis leads to the relative enrichment of mutant sperm over the course of time, which accounts for the epidemiological paternal age effect and the high de novo birth rates associated with the PAE disorders.

Gender Bias in the Origin of Spontaneous Mutations

Studies of mutations in humans have long established that there is a gender-specific signature in the origin of different types of genetic alterations. Although chromosomal nondisjunctions originate mainly in the female germline¹ and large genomic rearrangements might show either a male or female bias in origin, the majority of point mutations and small deletions or insertions tend to be paternal in origin. This gender bias is generally explained by fundamental differences in germ cell biology in the female and male lineages.^{38,39} By the time of birth, germ cells in the developing ovary have already completed their proliferative phase; because all postnatal phases of germ cell development are meiotic, maternal mutagenic events involve mostly recombination-related mechanisms. By contrast, spermatogenesis requires regular mitotic divisions of spermatogonial stem cells (SSCs) throughout male reproductive life. From puberty onward, cells in the SSC lineage replicate at a rate of 23 divisions per year (in synchrony with the epithelial cycle, i.e., every 16 days in humans) to produce different types of cells; some maintain the stem cell population, whereas others follow a differentiative pathway and produce mature sperm after approximately five further divisions. Because this process involves recurrent rounds of DNA replications, random copy-error mutational events are predicted to arise mainly in the male germline,⁴⁰ explaining the elevated male-to-female mutation ratio (α) of between 2 and 7, which is observed for the majority of spontaneous point mutations and small deletions^{41,42} (Table 3). In contrast to this general situation, PAE mutations show much more extreme bias in mutation origin with values of $\alpha > 20$ (Table 1).

Paternal Age Effect

For most spontaneous dominant disorders, despite the expectation of the copy-error hypothesis,³⁹ epidemiological data concur that the bias in paternally originating mutations is not associated with a marked paternal age effect, showing that paternal bias and paternal age effect are not equivalent and can be dissociated. The paternal age effect is an epidemiological concept describing the fact that some spontaneous disorders tend to arise more frequently in the progeny of older men. As early as 1912, Wilhelm Weinberg, while studying sporadic cases of achondroplasia, noted a higher incidence of the disease in the last-born children of a sibship.⁴³ In 1955, Lionel Penrose suggested that rather than birth order itself, the

Table 1. PAE Genes and Associated Disorders

Gene Symbol	Gene	DNA Mutation ^a (Amino Acid) ^b	Clinical Disorder	OMIM Reference Number	Mode of Transmission	Mutation Mechanism	Infor-mative Paternal Muta-tions	Infor-mative Maternal Muta-tions	Paternal Origin	Average Paternal Age ^c (Years)	Average Excess in Paternal Age ^d (Years)	Estimated Birth Prevalence for New Mutations	Sperm Study	Cancer Predis-position in Patients?	Reported as Somatic Mutation? ^e	References
<i>FGFR2</i>	fibroblast growth factor receptor 2	c.755C>G (p.Ser252Trp) c.758C>G (p.Pro253Arg)	Apert syndrome	101200	autosomal dominant	GOF	75	0	100%	32.5; 34.1	2.5 (1.7–3.2)	~1/65,000	yes	not reported	endometrial cancer	Cohen et al. ¹⁸ , Tolarova et al. ¹⁹ , Moloney et al. ⁴⁵ , Wilkie et al. ⁵⁵ , Goriely et al. ⁵⁶ , Yoon et al. ⁵⁸ , Hansen ¹⁶¹
<i>FGFR2</i>	fibroblast growth factor receptor 2	>50 different mutations, 11 used for paternal origin studies	Crouzon/Pfeiffer syndrome	123500/ 101600	autosomal dominant	GOF	22	0	100%	34.5	4.1	~1/50,000– 1/100,000	yes, c.755C>T	not reported	lung stomach endometrial cancer	Goriely et al. ⁵⁶ , Glaser et al. ¹⁶²
<i>FGFR3</i>	fibroblast growth factor receptor 3	c.1138G>A (p.Gly380Arg) c.1138G>C (p.Gly380Arg)	achon-droplasia	100800	autosomal dominant	GOF	40	0	100%	35.9; 36.3; 37.2	7.1 (4.6–9.6)	~1/30,000	yes	not reported	bladder cancer	Orioli et al. ^{16,20} , Waller et al. ¹⁷ , Tiemann-Boege et al. ⁵³ , Wilkin et al. ¹⁶³
<i>FGFR3</i>	fibroblast growth factor receptor 3	749C>G (p.Pro250Arg)	Muenke syndrome	602849	autosomal dominant	GOF	10	0	100%	35.1	4.1	~1/130,000	no	not reported	no	Rannan-Eliya et al. ²¹
<i>HRAS</i>	Harvey rat sarcoma viral oncogene homolog	various mutations in a few codons, most commonly c.34G>A (p.Gly12Ser)	Costello syndrome	218040	autosomal dominant	GOF	43	2	96%	37.3; 38.0; 36.2	5.5 (4–7)	rare ^f ~1/ 300,000	no	bladder rhabdo-myosarcoma	many cancer types	Sol-Church et al. ²⁵ , Zampino et al. ²⁶ , Schulz et al. ²⁷ , Søvik et al. ¹⁶⁴ , Gripp et al. ¹⁶⁵

Table 1. Continued

Gene Symbol	Gene	DNA Mutation ^a (Amino Acid) ^b	Clinical Disorder	OMIM Reference Number	Mode of Transmission	Mutation Mechanism	Infor-mative Paternal Muta-tions	Infor-mative Maternal Muta-tions	Paternal Origin	Average Paternal Age ^c (Years)	Average Excess in Paternal Age ^d (Years)	Estimated Birth Prevalence for New Mutations	Sperm Study	Cancer Predis-position in Patients?	Reported as Somatic Mutation? ^e	References
<i>PTPN11</i>	protein tyrosine phosphatase, nonreceptor type 11 (encoding SHP2)	many mutations, 5 used for paternal origin studies	Noonan syndrome	163950	autosomal dominant	GOF	14	0	100%	35.6	6.1	~1/10,000 ^f caused by various mutations	no	juvenile myelomonocytic leukemia (JMML)	JMML and other hematologic malignancies	Tartaglia et al. ²⁴
<i>RET</i>	ret proto-oncogene	various mutations clustering at 6 cysteine codons, most commonly at p.Cys634	multiple endocrine neoplasia type 2A	171400	autosomal dominant	GOF	11	0	100%	39.3	8.7	~1/70,000	no	medullary thyroid carcinoma (MTC)	thyroid cancer	Schuffenecker et al. ²² , Wohllk et al. ¹⁶⁶ , Mulligan et al. ¹⁶⁷
<i>RET</i>	ret proto-oncogene	c.2753T>C (p.Met918Thr)	multiple endocrine neoplasia type 2B	162300	autosomal dominant	GOF	25	1	96%	33	3.1	~1/150,000	no	medullary thyroid carcinoma (MTC)	thyroid cancer	Carlson et al. ²³ , Kitamura et al. ¹⁶⁸

GOF is used as an abbreviation for gain-of-function.

^a The Reference sequences (RefSeq) are: NM_000141 for *FGFR2*, NM_000142 for *FGFR3*, NM_001130442 for *HRAS*, NM_002834 for *PTPN11* and NM_020630 for *RET*.

^b The RefSeq are: NP_000132.3 for *FGFR2*, NP_000133.1 for *FGFR3*, NP_001123914.1 for *HRAS*, NP_002825.3 for *SHP2*, NP_066124.1 for *RET* (long isoform).

^c The different ages represent data obtained from independent studies. To establish statistical significance, these data are compared to matched population data to take into account the natural variation in average paternal age for different countries and years of birth.

^d The data given represent the average paternal age excess obtained from different studies (with data range given in brackets).

^e Reference: COSMIC database.

^f Collectively RASopathies have an incidence estimated to be 1/2,000 to 1/5,000 births.¹⁶⁹ However, because of the large diagnostic overlap, precise epidemiological data on the prevalence of each mutation are scarce.

Table 2. Disorders Sharing Several Features with PAE Disorders but for which Some Data Are Still Missing

Gene symbol	Gene	DNA Mutation (Amino Acid) ^a	Clinical Disorder	OMIM Reference Number	Mode of Transmission	Mutation Mechanism	Infor-mative Paternal Muta-tions	Infor-mative Maternal Muta-tions	Paternal Origin Percentage	Average Paternal Age ^b (Years)	Average Excess in Paternal Age ^c (Years)	Estimated Birth Prevalence for New Mutations	Sperm Study	Cancer Predis-position in Patients?	Reported as Somatic mutation? ^d	References
<i>BRAF</i>	v-RAF murine sarcoma viral oncogene homolog-B1	various mutations	cardio-facio-cutaneous syndrome	115150	autosomal dominant	GOF	n/a	n/a	n/a	35.8	4.8	rare ^e ~1/200,000– 1/300,000	no	not reported	many cancer types	Schulz et al. ²⁷
<i>MAP2K1</i> <i>MAP2K2</i>	mitogen-activated protein kinase kinase 1/2	various mutations	cardio-facio-cutaneous syndrome	115150	autosomal dominant	GOF	n/a	n/a	n/a						melanoma	Schulz et al. ²⁷
<i>FGFR3</i>	fibroblast growth factor receptor 3	mutations at six residues, most commonly c.742C>T (p.Arg248Cys)	thanatophoric dysplasia type I	187600	autosomal dominant	GOF	n/a	n/a	n/a	33.6; 36.41	5.1 (2.5–7.6)	~1/60,000	no	n.a.	bladder, skin, myeloma	Orioli et al. ²⁰ , Goriely et al. ⁶² , Tavormina et al. ⁶³
<i>FGFR3</i>	fibroblast growth factor receptor 3	c.1948A>G (p.Lys650Glu)	thanatophoric dysplasia type II	187601	autosomal dominant	GOF	n/a	n/a	n/a						bladder, skin, testis	Orioli et al. ²⁰ , Goriely et al. ⁶² , Tavormina et al. ⁶³

The following abbreviations are used: GOF, gain-of-function; n/a, not available; n.a., not applicable (TD is a neonatal lethal disorder).

^a The RefSeq used for numbering are given in footnotes a and b of Table 1.

^b The different ages represent data obtained from independent studies. To establish statistical significance, these data are compared to matched population data to take into account the natural variation in average paternal age for different countries and years of birth.

^c The data given represent the average paternal age excess obtained from different studies (with data range given in brackets).

^d Reference: COSMIC database.

^e Collectively RASopathies have an incidence estimated to be 1/2,000 to 1/5,000 births.¹⁶⁹ However, because of the large diagnostic overlap, precise epidemiological data on the prevalence of each mutation are scarce.

Table 3. Examples of Dominant Disorders Associated with Biased Paternal Origin of Mutations but No Significant Paternal Age Effect

Clinical Disorder	OMIM Reference Number	Gene Symbol	Number of Mutations Reported	Mode of Transmission	Mutation Mechanism	Informative Paternal Mutations	Informative Maternal Mutations	Paternal Origin	Average Paternal Age (Years)	References
Alexander disease	203450	<i>GFAP</i>	~35, two recurrent	autosomal dominant	structural alteration	24	4	86%	32.3 NS	Li et al. ¹⁷⁰
CHARGE (coloboma, heart anomaly, choanal atresia, retardation, genital and ear anomalies)	214800	<i>CHD7</i>	>200	autosomal dominant	LOF haploinsufficiency	12	1	93%	32.92 NS ^(a)	Pauli et al. ¹⁷¹
Craniofrontonasal syndrome	304110	<i>EFNB1</i>	>100	X linked dominant	LOF cellular interference	15	2	88%	32.1 NS	Twiggs et al. ¹⁷²
Dravet syndrome or severe myoclonic epilepsy of infancy	607208	<i>SCN1A</i>	>400	autosomal dominant	LOF haploinsufficiency	43	13	77%	33.4 NS	Heron et al. ¹⁷³ , Sun et al. ¹⁷⁴
Rett syndrome	312750	<i>MECP2</i>	>300, eight recurrent	X linked dominant	LOF ^(b)	53	5	91%	31.3 NS	Trappe et al. ¹⁷⁵ , Girard et al. ¹⁷⁶ , Zhu et al. ¹⁷⁷
Townes-Brocks syndrome	107480	<i>SALL1</i>	>40	autosomal dominant	LOF haploinsufficiency	14	2	88%	29.9 NS	Böhm et al. ¹⁷⁸
Treacher Collins syndrome	154500	<i>TCOF1</i>	>120	autosomal dominant	LOF haploinsufficiency	7	3	70%	27.8 NS	Splendore et al. ¹⁷⁹

The following abbreviations are used: NS, not significantly different from control population on statistical testing; LOF, loss-of-function; GOF, gain-of-function.

^a Note that in this study paternal age is given for the whole cohort rather than for paternally derived mutations only.

^b Rett syndrome mostly affects females. Because of random X inactivation, the clinical presentation of Rett syndrome shows considerable heterogeneity.

key risk factor associated with sporadic achondroplasia was advanced paternal age.⁴⁰ Although the notion of paternal age effect is easy to grasp, confounding with correlated maternal age made its study problematic in the pre-molecular era because the parental origin of each individual mutation could not be deduced.

The concept of paternal age effect received further recognition after the comprehensive study by Risch et al.,² which systematically analyzed the distribution of parental ages for spontaneous cases of 17 different autosomal-dominant disorders. Among these, the authors compared parental age profiles to the age distribution in the general population (obtained from year-matched population census data) for seven disorders and derived an observed/expected (O/E) ratio that gave a direct measurement of the age-specific incidence excess for each disorder. This approach suggested that the disorders fell into two main categories. Some syndromes showed no, or a small, linear increase with maternal and paternal ages; whereas for others, including Apert, Pfeiffer, and Crouzon syndromes and achondroplasia, the age excess was more pronounced and the relationship with paternal age was nonlinear (proposed to be exponential), rising sharply as the father's age increased even after correction for maternal age. Although these data singled out the paternal age as the major risk factor in this latter group of genetic disorders, the authors suggested that maternal age provided an independent but lesser contribution. Because we now know (from parent-of-origin studies) that maternal age is irrelevant to PAE disorders, this illustrates the inherent ambiguity arising from epidemiological studies when unsupported by molecular data. Moreover, because the magnitude of paternal age effects is of similar order (~2–7 years) to natural variation in average paternal age attributable to place (country), year of birth, and whether the birth occurred within or outside marriage, case observations need to be carefully compared to control data sets matched for all these variables—for which information is often difficult to obtain.

The traditional explanation for these paternal age effects involved a model of age-dependent accumulation of recurrent mutations taking place within localized hypermutable DNA hotspots (the copy-error hypothesis).^{39,40} However, it was noted that a simple additive model of replication errors could not explain either the magnitude of some of the most extreme paternal age effects or the nonlinear shape of the observed epidemiological data.^{2,39,44} To account for this, it was suggested that other age-dependent factors, such as reduced DNA replication fidelity, inefficiency of repair mechanisms, or repeated mutagenic exposures would have to contribute to the accumulation of copy errors.³⁹

Today, molecular characterization of the causative mutations allows refined documentation of paternal age effects by including only those cases shown to have a paternal origin, most commonly by determining the phase of the mutation with respect to nearby polymorphisms or haplotypes segregating in parent-child trios.⁴⁵ This

approach has established that the disorders associated with strong paternal age effects (~2–7 years) also exhibit an extreme paternal bias in the origin of the causative mutations (Table 1). The bias observed for PAE mutations ($\alpha > 20$) is more pronounced than would be expected from gender differences in the number of germ cell divisions.

High Germline Mutation Rate

The third defining characteristic of PAE disorders is the high absolute rates observed for specific de novo point mutations (Table 1 and Figure 1). The average background rate of spontaneous point mutations in the human genome, estimated by several different methods, including recent direct transgenerational measurement of mutational load, is $\sim 1.1 \times 10^{-8}$ (range: $0.4 - 16 \times 10^{-8}$, depending on the type of mutation) per nucleotide per generation^{46–52} (Figure 1A). Given the very narrow mutational spectrum of several sporadic PAE disorders, it can be calculated that the causative mutations comprise the most frequent germline nucleotide substitutions in the entire genome, occurring at levels up to 1,000-fold higher than the background nucleotide substitution frequencies (Table 1 and Figure 1B). Simple mechanisms of mutation accumulation, such as copy error, are difficult to reconcile with the very high α values and absolute rates of spontaneous PAE mutations, casting doubt on the notion that copy errors at localized mutation hotspots are the sole driver of this process. Experimental study of these mutations directly in sperm and testes has provided insights into their mechanisms of origin.

Direct Quantification of *FGFR2*- and *FGFR3*-Associated PAE Mutations in Sperm and Testes

The unusual characteristics of the Apert and achondroplasia point mutations have led several groups to develop methods to identify these mutations directly in sperm and testes of normal individuals. The sperm studies are a powerful complement to the epidemiological analysis of disease prevalence and allow direct estimates of mutation levels at specific positions within the genome. Another advantage of this approach is the ability to study a wider age range and, in particular, to assess the paternal age effect at older ages, when there are too few paternities for epidemiological data to be accurate.

The development of sensitive and reproducible methods to assay these nucleotide substitutions constitutes a major technical challenge. The main problem lies in the extreme rarity of the mutations to study, which, on the basis of observed birth prevalence of the cognate disorders, are expected to be present at levels around 1 in 30,000 to 1 in 100,000 at best (Figure 1B). At such low levels, the detection of single-nucleotide substitutions via direct PCR amplification is not feasible because several million genome copies are necessary to isolate only a few mutant molecules. For example, at a mutation level of 10^{-5} , 10 μ g of human genomic DNA—typically more than

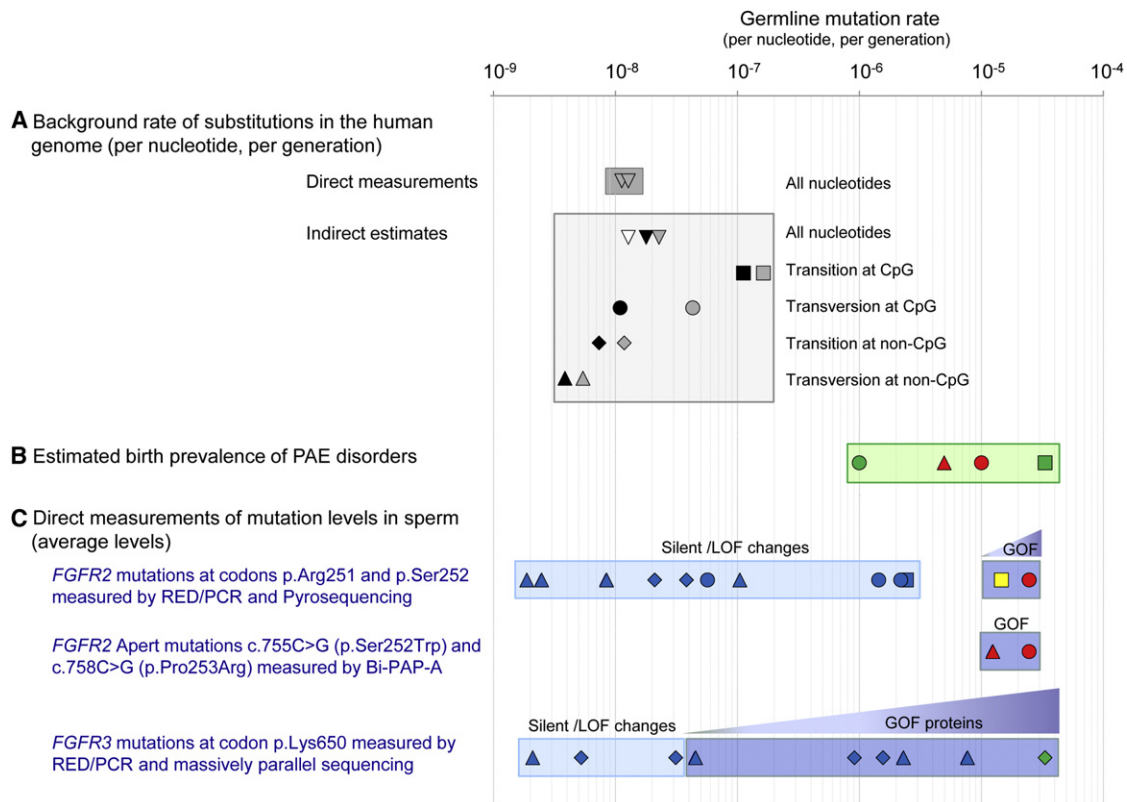


Figure 1. Rate of Germline Mutations in the Human Genome on a Logarithmic Scale

(A) Background rate of nucleotide substitution quantified by direct measurements of transgenerational mutational load^{48,50,52} (unfilled inverted triangles in dark gray box) and indirect estimates (lighter gray box) for all substitutions (inverted triangles), transitions at CpG dinucleotides (squares), transversions at CpG dinucleotides (circles), transitions at non-CpG dinucleotides (diamonds), and transversions at non-CpG dinucleotides (triangles). Data source indicated by symbol shading as follows: black,⁴⁶ gray,⁴⁷ and white.⁴⁹

(B) Estimated birth prevalence for de novo mutations in two PAE syndromes (green box). Apert syndrome *FGFR2* mutations caused by c.755C>G transversion at a CpG dinucleotide account for 66% of cases (red circle) and mutations caused by c.758C>G transversion at a non-CpG dinucleotide for 33% of cases (red triangle). Achondroplasia *FGFR3* mutations caused by c.1138G>A transition or c.1138G>C transversion at a CpG dinucleotide are marked by the green square and circle, respectively. Note that these figures assume that all mutations are paternal in origin.

(C) Direct measurements of mutation levels in human sperm at specific locations in the genome. Symbols for each mutation (square, circle, diamond, and triangle) refer to mutation types as in (A). Top blue boxes show average levels for the *FGFR2* c.752–755 positions (encompassing codons p.Arg251 and p.Ser252) measured by RED/PCR/pyrosequencing in the sperm of 99 healthy men. The strongly activating c.755C>G (p.Ser252Trp) Apert transversion (red circle in dark blue box) and the c.755C>T (p.Ser252Leu) transition (yellow square in dark blue box) are associated with gain-of-function (GOF) properties; these two substitutions are found at significantly higher levels in sperm than any of the ten other mutations encoding silent or loss-of-function (LOF) mutations (light blue box). The average age of the sperm donors was 37.4 years.^{56,57} Middle blue box shows average levels of the two Apert mutations measured in the sperm of 323 healthy men by Bi-PAP-A. The average age of the sperm donors was 38.7 years.⁵⁸ Note that the very similar estimate for the *FGFR2* mutation c.755C>G (red circles) was obtained by different methods. Prevalence in sperm exceeds birth prevalence (B) because sperm donors were older on average than the population of fathers. Bottom blue boxes show average levels at the *FGFR3* codon p.Lys650 in the sperm of 78 men via RED/PCR/massively parallel sequencing. The substitutions encoding proteins with gain-of-function properties (dark blue box), and in particular the c.1948A>G transition (p.Lys650Glu, causing TDII, green diamond), are found at higher levels in sperm than the changes associated with silent/loss-of-function substitutions (light blue box). The average age of the sperm donors was 40.2 years.⁶²

100-fold in excess of the usual input for a single PCR reaction—corresponds to three million copies of the haploid genome and would therefore contain only ~30 mutant molecules. In addition, the potential impact of the false-positive rate caused by in vitro PCR-induced copy errors, oxidative stress-induced mutations (for example, those arising during DNA extraction), or inadvertent contamination require careful consideration in the experimental design. Partly for these reasons, two early papers, on measurement of the achondroplasia⁵³ and the Apert⁵⁴

mutations are, in our opinion, subject to technical or interpretational flaws that call into question the conclusions of these studies. These issues have been discussed previously⁵⁵ and hence are not considered further here.

In 2003, our group developed a sensitive and reproducible assay for the most common Apert mutation (c.755C>G in *FGFR2*).⁵⁶ This approach involved a combination of restriction enzyme digestion ([RED] specifically targeting and digesting away the large excess of wild-type sequences around the position of interest) with PCR

(RED/PCR) to enrich for mutant sequences, and pyrosequencing technology to quantify the resulting complex mixture of nucleotides. Measurements of this C>G transversion in 99 healthy men revealed elevated levels (average: 2.3×10^{-5} ; range: $< 10^{-6} - 1.6 \times 10^{-4}$) in the sperm of most men (Figure 1C) and a significant positive correlation ($r = 0.39$) with age; by contrast, the mutation levels measured in blood DNA from 11 individuals were much lower (an average of 0.28×10^{-6} , i.e., below the limit of accurate detection) and did not increase with the donor's age. The levels of mutation measured in sperm accounted for the reported birth prevalence of Apert patients and the age increase mirrored the epidemiological observation of the paternal age effect described for Apert syndrome.⁵⁶ Mutation levels in the sperm of six men who had fathered a child with the c.755C>G Apert mutation were within the range of values observed for controls of similar age, suggesting that these men were sampled from the general population and that their risk of fathering another affected child was extremely low.⁵⁶

The RED/PCR approach enabled quantification of other mutations occurring around position c.755 of *FGFR2*, which revealed a surprising result. Another substitution, c.755C>T, encoding a p.Ser252Leu change that has been described occasionally in patients with mild Crouzon syndrome, was also present at unexpectedly high frequencies (on average only 1.6-fold lower than the c.755C>G levels) in sperm.⁵⁷ This effect was not observed for c.755C>A (which encodes a change to a stop codon at position p.252) or for any of the nine nucleotide substitutions involving changes at *FGFR2* positions c.752–754 (Figure 1C).⁵⁷ Key evidence was also provided by a phasing assay that exploited a single-nucleotide polymorphism (SNP) located 118 bp from the c.755C nucleotide (Figures 2A and 2B). Instead of the c.755C>G mutations being distributed roughly equally on the two chromosomes in men heterozygous for the SNP—as would be predicted from a model of mutation accumulation at a recurrent hotspot—even in sperm with high mutation levels (corresponding to more than 1,000-fold excess over background), the majority of mutations were usually present on one or the other allele. This skewing effect was much more pronounced for the c.755C>G than the less abundant c.755C>T mutation, an observation incompatible with a neutral model of mutation accumulation, in which the more prevalent mutation is expected always to exhibit less skewing⁵⁶ (Figure 2B). Collectively, these findings led us to propose^{56,57} that, rather than resulting from accumulation of multiple independent replication errors during spermatogenesis, the originating *FGFR2* mutational events in Apert syndrome are rare, but once they occur, the mutations become enriched because of a selective advantage conferred by the mutant *FGFR2* protein, leading to clonal expansion of the mutant SSC (Figure 2A). This process in turn results in a relative enrichment of mutant sperm over time (Figure 2B), accounting for the observed paternal age effect and the high birth rate associated with this

specific mutation. To distinguish this mechanism from the previously proposed copy-error process involving the simple accumulation of mutational hits over time, we named this mechanism protein-driven *selfish* selection.⁵⁷

The main conclusions of these studies were confirmed and extended by independent work that exploited a different method of mutation detection involving a sensitive allele-specific PCR assay termed bidirectional pyrophosphorolysis-activated polymerization allele-specific amplification (Bi-PAP-A).⁵⁸ The levels of both *FGFR2* mutations associated with Apert syndrome (c.755C>G and c.758C>G) were estimated in the sperm of a large cohort of men and showed a similar average level and age effect for the c.755C>G mutation (2.4×10^{-5} ; range: $< 10^{-6} - 7.25 \times 10^{-4}$) to that previously reported⁵⁶ (Figure 1C). Although the technique used was not able to partition mutations on the two *FGFR2* alleles, the same group used their Bi-PAP-A assay to quantify the spatial distribution of mutations within whole human testes dissected into ~200 pieces. This demonstrated a small number of localized mutation foci (0–8 per testis) exhibiting elevated mutation levels, up to 6% of the haploid genome in individual testicular pieces; rather than being distributed randomly at low level over the whole testis (as would be expected from a copy-error model), 95% of the Apert mutations clustered within fewer than 5% of the testis pieces and accounted for the mutation load present in epididymal sperm DNA from the same individual. Furthermore, mathematical modeling provided additional evidence for a mechanism involving infrequent mutational events followed by a process of localized clonal expansion of mutant SSC with weak selective advantage (~0.01 per cell generation).^{59,60} A study of the *FGFR3* achondroplasia mutation in testes,⁶¹ although relying on the same protocol and hence subject to the same technical limitations as earlier work in sperm,⁵³ obtained a similar picture of localized mutational clusters in testicular biopsies of older men. Although experimental confirmation is awaited, we anticipate that the *FGFR3* achondroplasia mutation is likely to show similar behavior to the Apert mutation in sperm (Figure 2C). This hypothesis is supported by another sperm study⁶² targeting an allelic *FGFR3* mutation (c.1948A>G [encoding p.Lys650Glu]) associated with the germline disorder thanatophoric dysplasia (TD) type II,⁶³ a severe neonatal lethal skeletal condition that shares many diagnostic features with the other PAE disorders (Table 2 and Figure 1C) and will be reviewed in the next section.

The emerging consensus is that the major determinant of the paternal age effect is not the hypermutability of specific nucleotides located at genomic hotspots but rather the effect of expressing the resulting mutant protein in SSC, which is associated with positive selection resulting in localized clonal expansion. Certainly, initial copy errors have to take place during spermatogenesis for this process to occur; therefore, the endogenous mutability and local sequence context⁶⁴ of a nucleotide contribute to this process. As experimental data have shown that the

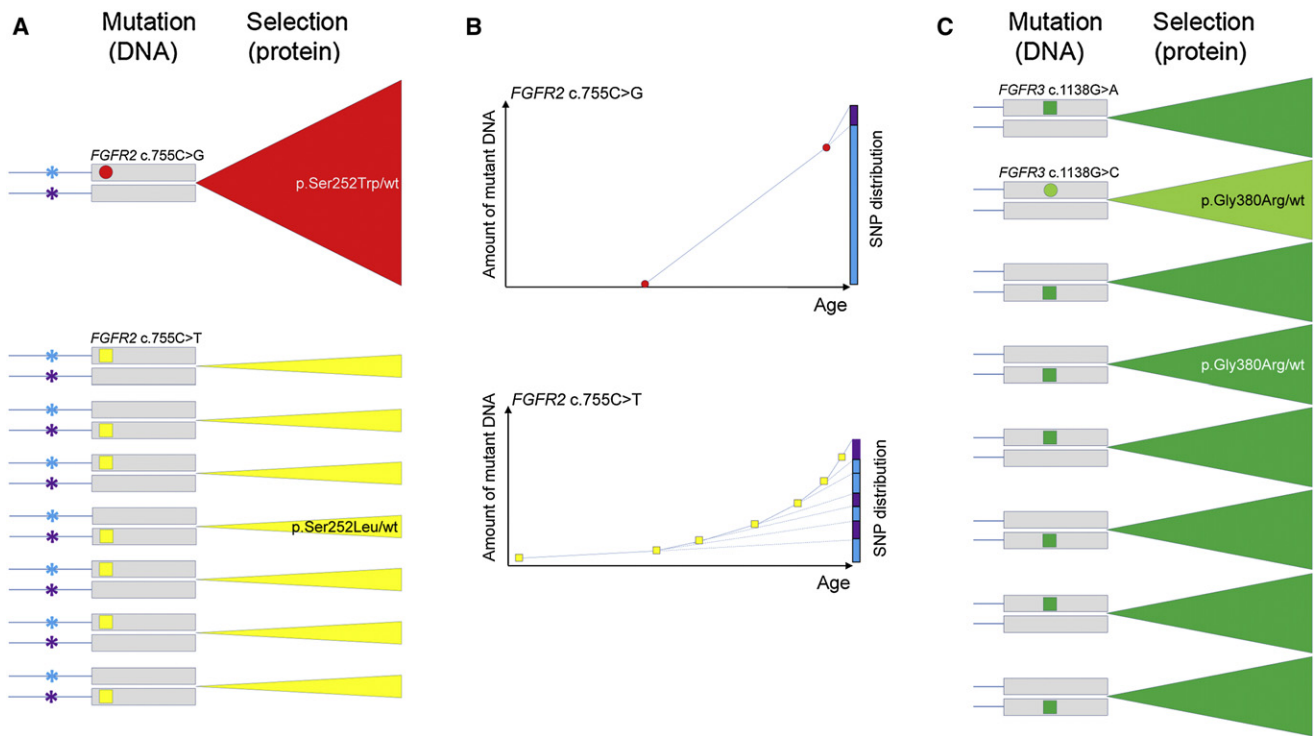


Figure 2. Relative Prevalence of *FGFR2* and *FGFR3* Mutations Explained by Combined Effects of Copy Error, at the DNA level, and Selfish Selection, at the Protein Level, in SSC

(A) Mutational events taking place at *FGFR2* position c.755C. Each pair of lines with gray boxes denotes the two *FGFR* alleles (box represents the exon and lines represent the intron). Asterisks in (A) represent a SNP located in the intron upstream of *FGFR2* position c.755; blue and purple denote the two different alleles of the SNP. Circles and squares represent single events of transversion and transition at CpG dinucleotide sites, respectively. Triangular sectors indicate expansion over time of clones carrying the resulting mutant protein (selection). wt is used as an abbreviation for wild-type. The c.755C>G transversion (red circle) occurs rarely, but the resulting p.Ser252Trp substitution (causing Apert syndrome in the germline) confers a strong selective advantage to the mutant SSC (red triangular sector), leading to clonal expansion over time. The background mutation rate for the c.755C>T transition at this CpG dinucleotide (yellow square) is higher (~3.3- to 10-fold),^{46,47} but the resulting mutant protein (p.Ser252Leu associated with Crouzon syndrome) confers a weaker selective advantage compared to p.Ser252Trp, leading to slower clonal expansion (yellow triangular sectors). The original mutation events occur randomly on either *FGFR2* allele (blue or purple asterisk in men heterozygous for the SNP) at different times within the testis of an aging man.

(B) Accumulation of c.755C mutations in *FGFR2* in sperm over time. Because of the rarity of the mutational events, the distribution of the linked SNP (graphs, blue and purple columns on the right) is more skewed for the c.755C>G (above) than the c.755C>T mutation (below); however, the prevalence of c.755C>G is greater than c.755C>T because of the greater selective advantage conferred by the p.Ser252Trp compared to the p.Ser252Leu mutant protein.

(C) Mutational events taking place at *FGFR3* position c.1138G cause achondroplasia. The c.1138G>A transition (green square) is more frequent than the c.1138G>C transversion (light green circle) at this CpG dinucleotide site. Both resulting proteins encode p.Gly380Arg that confers the same selective advantage to the mutant SSC (green triangular sectors). The difference in birth prevalence of achondroplasia caused by the two mutations is likely to be explained by the relative frequency of the original mutational events at *FGFR3* position c.1138. Although selection for the *FGFR3* achondroplasia mutation is probably weaker than for the *FGFR2* Apert mutation, nevertheless achondroplasia mutations occur more frequently because of the high intrinsic rate of the c.1138G>A transition.

rate of spontaneous transitions at CpG dinucleotides is up to ~45 times higher than transversions at non-CpG sites^{46,47,65} (Figure 1A), the relative contribution of this effect (compared to selection) is likely to be small, although not insignificant. This is illustrated by the c.1138G>A transition in *FGFR3*, which is ~35 times more commonly reported in de novo cases of achondroplasia than the c.1138G>C transversion;^{30,31} although both substitutions encode the same p.Gly380Arg change in *FGFR3* and are therefore predicted to exhibit the same strength of positive selection at the protein level, a difference in background rates of mutability at this CpG dinucleotide is likely to

explain the relative abundance of each substitution observed in patients with achondroplasia (Figure 2C). The relative contributions of intrinsic mutation rate and selection also explain the differing abundance of the mutations observed at *FGFR2* position c.755C, again part of a CpG dinucleotide (Figures 2A and 2B). Similar to the achondroplasia situation, the endogenous rate of the c.755C>T transition is predicted to be higher than that of the c.755C>G transversion; however, at the protein level, the resulting gain-of-function associated with the c.755C>G, which encodes a stronger activating change (p.Ser252Trp) in *FGFR2*—as exemplified by the more severe

phenotypic characteristics of Apert syndrome—than the c.755C>T (p.Ser252Leu) change associated with mild Crouzon syndrome, is likely to result in a more significant clonal expansion of the mutant SSC.⁵⁶ By superimposing these two components, both the higher absolute mutation levels and the stronger allelic bias observed in sperm for the c.755C>G compared to the c.755C>T substitution can be explained (Figures 2A and 2B).

In summary, by combining the processes of background variation in copy-errors with selfish selection in the testis, the cardinal properties of PAE mutations (the extreme male mutation bias, the paternal age effect and the high germline mutation rate) are all accounted for. Conceptually, the mechanisms involved in protein-driven selfish selection of PAE mutations are not new but are more familiar in the context of somatic mutations occurring during neoplasia rather than in association with germline disorders. These links are explored in the next section.

Selfish Selection in the Testis: Spermatocytic Seminoma and Other Links with Oncogenesis

In agreement with their highly localized mutational spectrum, biochemical evidence concurs that all known PAE mutations result in activating proteins with gain-of-function properties (Table S1). Consistent with this, comparison of the spectrum of PAE mutations observed in the germline to that of somatic mutations found in malignancies shows that the majority of them have been reported as (or are allelic to) oncogenic mutations that, in different cellular contexts, have been associated with various tumor types (Table 1 and Figure 3), including endometrial cancer (FGFR2); multiple myeloma and transitional cell carcinoma of the bladder (FGFR3); hematological malignancies, most often juvenile myelomonocytic leukemia (JMML) (*PTPN11*/*SHP2*); and medullary thyroid carcinoma (RET). Somatic HRAS mutations have been described in many tumors, including cancers of the bladder, cervix, thyroid, prostate, and breast; mutations at three hotspots encoding (p.Gly12, p.Gly13, and p.Gln61) in the paralogous RAS proteins (HRAS, KRAS, and NRAS) are found in ~30% of all malignancies (Catalogue of Somatic Mutations In Cancer [COSMIC] database).

The link between PAE genes implicated in selfish selection and their involvement in tumorigenesis led us to propose that the clonal expansion associated with the selective advantage conferred to the mutant SSC by the *FGFR2* Apert mutation could contribute to the formation of testicular tumors.^{56,66} Although no somatic *FGFR2* mutations were identified in a panel of cancer cell lines and common testicular tumors,⁶⁶ we found mutations in other PAE genes in a distinct testicular neoplasm termed spermatocytic seminoma (SPS).⁶² SPS is a rare germ cell tumor comprising less than 5% of seminomas that is found exclusively in the testis (with no ovarian equivalent), where it presents as a slow growing well-circumscribed mass that rarely metastasizes. Unlike classical seminomas that mostly affect

young adults and originate during embryonic gonadal development, SPSs derive from adult spermatogonia and are found specifically in older men; the mean age at diagnosis is around 54 years (age range: 19–92 years).^{67–69} Screening of 30 SPS identified two tumors with an p.Lys650Glu alteration in *FGFR3*, identical to that causing the lethal germline disorder TDII, whereas five further samples carried a mutation within the Costello syndrome-associated gene, *HRAS*.⁶² The HRAS alterations all occurred at position p.Gln61 (three p.Gln61Arg and two p.Gln61Lys), substitutions that confer stronger transforming activity in vitro than the common p.Gly12Ser Costello syndrome mutation.⁷⁰ It is anticipated that germline alterations at HRAS, which have never been reported, will be analogous to the strongly activating p.Lys650Glu in *FGFR3* and might result in prenatal lethal phenotypes.^{26,71}

Another illustration of the link between selfish selection in the testis and the principles of oncogenesis is provided by *FGFR3* mutations altering p.Lys650, which were studied in the sperm of 78 healthy donors following identification of the c.1948A>G (p.Lys650Glu) mutations in SPS. This study utilized RED/PCR coupled with massively parallel sequencing⁶² to quantify each of the nine possible substitutions occurring within the codon encoding p.Lys650. Among these, six missense germline mutations have been reported in association with four distinct clinical phenotypes^{72,73} of variable severity, ranging from the lethal condition TDII, to the much milder disorders hypochondroplasia and acanthosis nigricans (MIM 100600). In agreement with the proposal that oncogenic and selfish properties depend on the biochemical strength conferred by the encoded gain of function to the altered protein, there was a strong correlation between the severity of the associated clinical phenotype, the documented degree of receptor activation,⁷² and the relative enrichment of mutations in sperm observed for each of the mutations encompassing codon p.Lys650 (Figure 1C).⁶² Moreover, the relative abundance of each mutation in sperm mirrored the distribution of somatic mutations altering p.Lys650 reported in bladder cancers,⁶² further suggesting that common molecular pathways and similar oncogenic mechanisms operate in these two different cellular contexts.

The selfish selection process also shares many similarities with the formation of seborrheic keratoses (SK), benign skin tumors that present as brown plaques with a verrucous surface. The prevalence of these lesions increases with age and are present in more than 80% of individuals over age 50; some people have many lesions of variable size (up to a few centimeters). Analysis of multiple SK within individuals reveals that these lesions frequently carry somatic *FGFR3* oncogenic mutations, which in the germline are associated with TD.⁷⁴ These similarities provide a useful analogy whereby PAE mutations can be viewed as monoclonal expansion events promoting the formation of “mole-like” lesions within the testes of aging men. As

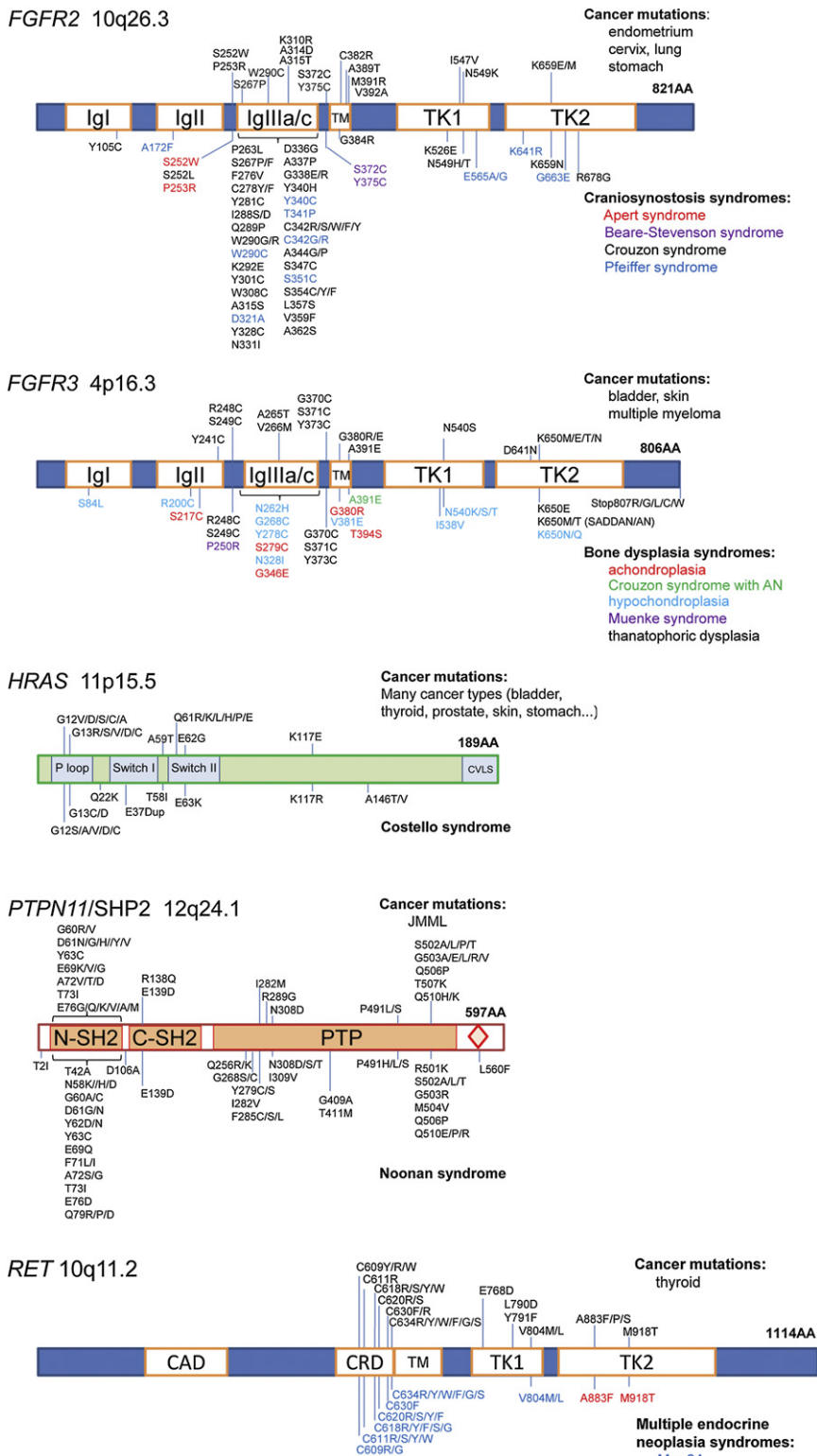


Figure 3. Somatic and Germline Gain-Of-Function Mutations Associated with the Five PAE Genes

In each case, the name of the gene or protein, its genomic location, and the functional protein domains (see abbreviations below) are indicated; the most common mutations (single-letter amino acid codes) associated with cancer (COSMIC database) are indicated above the schematic of the protein along with some of the cancers for which the mutations have been described. The germline mutations associated with congenital syndromes (Human Gene Mutation Database [HGMD] database) are indicated below the protein and are color coded according to the associated disorders (key on the figure). A few complex rearrangements and splice-site mutations are omitted for clarity. Proteins are numbered and drawn to scale (except for HRAS, for which the scale is 3:1, and RET, for which the scale is 0.9:1) according to the reference sequences (for RefSeq, see footnote b in Table 1); the length in amino acids (AA) is indicated in bold. The following abbreviations are used: Ig, immunoglobulin-like domain; TM, transmembrane domain; TK, tyrosine kinase domain; P loop, phosphate-binding loop; Switch, switch domain; CVLS, prenylation signal sequence; SH2, Src Homology 2 domain; PTP, phosphotyrosine domain; CAD, cadherin domain; CRD, cysteine-rich domain; SADDAN, severe achondroplasia with developmental delay and acanthosis nigricans; AN, acanthosis nigricans; and JMML, juvenile myelomonocytic leukemia.

mutations with oncogenic properties and that selfish selection in the testis operates by the same general principles that have been described in tumorigenesis. However, these oncogenic mutations are unique because they are taking place within germ cells rather than somatic cells. Because mutant SSCs are able to produce sperm that can transmit the genetic lesion (as a germline mutation) to the offspring, the consequences of this process extend to the next generation. As a result, a single mutational event results both in a somatic phenotype that causes

with SKs, for most PAE mutations, the clonal expansion of mutant SSC appears to be subexponential,⁵⁶ suggesting that it is limited by growth arrest or activation of a cellular senescence response that restrains tumor progression.^{75,76}

Taken together this evidence bolsters the view that PAE mutations should essentially be considered as somatic

localized cellular growth—and in extreme cases leads to the formation of a testicular tumor—as well as a germline phenotype associated with a specific syndrome, uniquely unifying the concepts of germline and somatic mutation to an event taking place within a single cell, the SSC.

Table 4. Multiple Nucleotide Substitutions Identified in PAE Disorders

Germline Substitution ^a	Protein Change ^a	Associated Disorder	Number of Cases Reported	References
FGFR2				
c.514_515delGCinsTT	p.Ala172Phe	Pfeiffer syndrome	1	Kan et al. ³² , Ibrahimi et al. ¹⁸⁰
c.755_756delCGinsTT	p.Ser252Phe	Apert syndrome	2	Lajeunie et al. ¹⁸¹ , Oldridge et al. ¹⁸²
c.755_756delCGinsTC	p.Ser252Phe	Apert syndrome	1 ^b	Goriely et al. ⁵⁷
c.755_756delCGinsTC	p.Ser252Phe	Apert syndrome	sperm	Goriely et al. ⁵⁶
c.755_756delCGinsAC	p.Ser252Tyr	not known	sperm	Goriely et al. ⁵⁷
c.755_757delCGCinsTCT	p.Ser252_Pro253 delinsPheArg	Pfeiffer syndrome	1	Oldridge et al. ¹⁸²
c.[755C>T; 943G>T]	p.[Ser252Leu; Ala315Ser]	syndactyly	1	Goriely et al. ⁵⁷ , Wilkie et al. ¹⁸³ , Ibrahimi et al. ¹⁸⁴
c.1024_1025delGCinsCT	p.Cys342Ser	Pfeiffer syndrome	1	Cornejo-Roldan et al. ¹⁸⁵
FGFR3				
c.[1130T>G; 1138G>A]	p.[Leu377Arg; Gly380Arg]	severe form of achondroplasia	1	Rump et al. ¹⁸⁶
c.1138_1139delGGinsAA	p.Gly380Lys	hypochondroplasia	1	Santos et al. ¹⁸⁷
c.[1454A>G; 1620C>A]	p.[Gln485Arg; Asn540Lys]	thanatophoric dysplasia	1	Pannier et al. ¹⁸⁸
HRAS				
c.35_36delGCinsAA	p.Gly12Glu	Costello syndrome	1	Kerr et al. ¹⁸⁹
c.35_36delGCinsTT	p.Gly12Val	Costello with cardiomyopathy	2	Aoki et al. ⁷¹ , van der Burgt et al. ¹⁹⁰
RET				
c.[1895_1897delGCinsCG; 1900T>C]	p.[Glu632_Cys634 delinsAspValArg]	Men2A	1	Mulligan et al. ¹⁹¹
c.1902_1903delCCinsGG	p.[Cys634_Arg635 delinsTrpGly]	Men2A	1	Lips et al. ¹⁹²
c.[2323G>A; 2411G>A]	p.[Val778Ile; Val804Met]	FMTC	1	Kasprzak et al. ¹⁹³
c.[2411G>A; 2417A>G]	p.[Val804Met; Tyr806Cys]	Men2B	1 ^b	Miyauchi et al. ⁷⁹ , Iwashita et al. ⁸⁰
c.[2411G>A; 2531G>T]	p.[Val804Met; Arg844Leu]	FMTC	1	Bartsch et al. ¹⁹⁴
c.[2411G>A; 2714A>G]	p.[Val804Met; Ser904Cys]	Men2B	1	Menko et al. ¹⁹⁵
c.2647_2648delGCinsTT	p.Ala883Phe	Men2B	5	Gimm et al. ¹⁹⁶ , Smith et al. ¹⁹⁷ , Jasim et al. ¹⁹⁸

FMTC is used as an abbreviation for familial medullary thyroid carcinoma.

^a The RefSeq used for numbering are given in footnotes a and b of Table 1.

^b Cases where the second "hit" is documented to have been acquired de novo on the paternal allele.

Multiple Nucleotide Substitutions Are a Frequent Feature of Selfish Selection

By analogy with tumorigenesis, where accumulation of sequential independent mutations is an important process contributing to oncogenesis and tumor progression,^{77,78} there is an enrichment of PAE mutations caused by multiple nucleotide substitutions (Table 4). Many of these mutations encode amino acid substitutions conferring strong and/or unique gain-of-function properties that, owing to the specific features of the genetic code, could not arise as single-nucleotide substitutions, whereas others

are caused by mutations located in different codons that synergize with one another and potentiate the activity of the mutant protein. On the basis of the estimated background rate of double mutations ($\sim 10^{-11}$),⁴⁶ if these multiple substitutions had only arisen by chance, we would anticipate finding less than one case of any double substitution in the entire human population. Consistent with progressive enrichment for selectively advantageous mutations, we showed in the case of an Apert syndrome patient with a rare p.Ser252Phe missense change in *FGFR2* (c.755_756delCGinsTC), that this double substitution

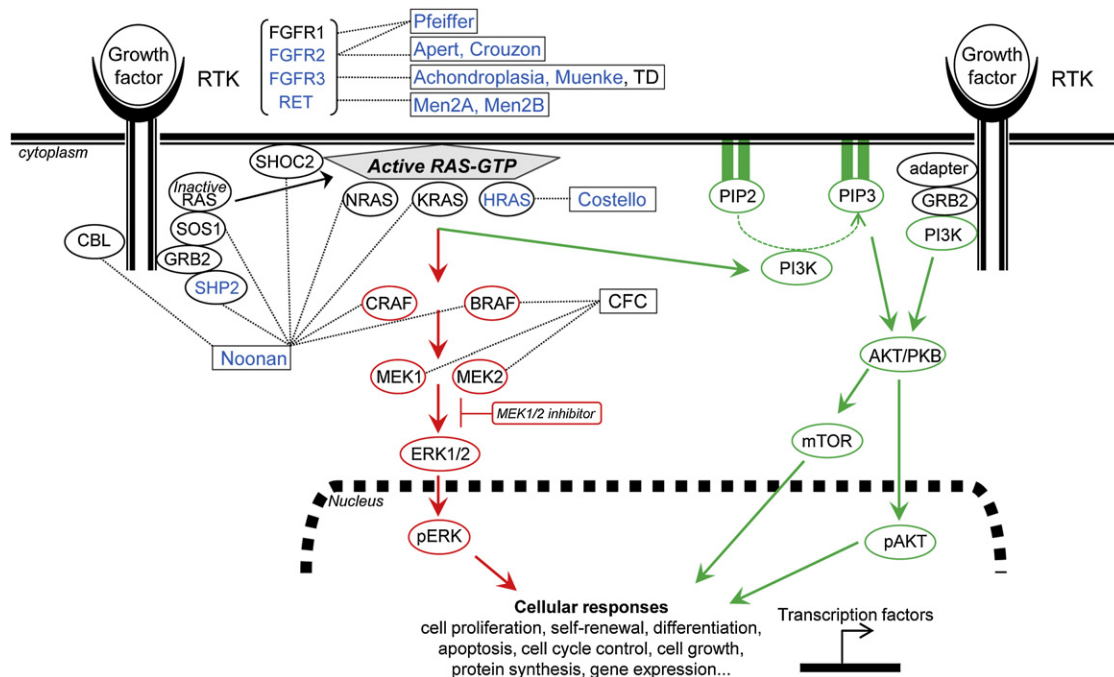


Figure 4. PAE Disorders Cluster within the Receptor Tyrosine Kinase (RTK)-RAS Signaling Pathway

The known PAE disorders (boxes) and the five PAE proteins that fulfill all three criteria to belong to the PAE class (as defined in the text) are indicated in blue. Other candidate PAE disorders (Table 2) are in black within the boxes. Signaling downstream of RAS involves the RAF/MEK/ERK (MAPK-ERK branch) (in red) and the PI3K-AKT-mTOR (in green) pathways. The MEK1/2 inhibitor (in red box) specifically blocks the phosphorylation of ERK1/2. For details and abbreviations see the main text.

had arisen sequentially over two generations.⁵⁷ A similar process has been reported for double substitutions in *RET* causing Men2B.^{79,80}

Dysregulation of Growth Factor Receptor-RAS Signaling Links All PAE Disorders

The quantitative data supporting selfish spermatogonial selection have emerged from studies of *FGFR2* and *FGFR3* mutations, but the same principles are likely to extend to the other PAE disorders. By considering Men2A/B and Noonan and Costello syndromes, we can gather further clues about the likely biological and molecular mechanisms underlying selfish selection. Indeed, of the associated mutated genes, *RET* encodes a well-characterized regulator of SSC proliferative behavior (see next section), whereas *PTPN11*/*SHP2* and *HRAS* encode key signaling transduction components located downstream of *FGFR2*, *FGFR3*, and *RET*, positioning all five PAE genes within a single molecular pathway, the growth factor receptor-RAS pathway.

As summarized in Figure 4, the RAS signaling cascade is activated upon binding of specific ligands to their cognate cell surface growth factor receptor tyrosine kinases (FGFRs and RET). This signaling cascade controls many different cellular functions, including proliferation, differentiation, survival, and apoptosis. The three RAS genes (*HRAS*, *KRAS*, and *NRAS*) encode small GTPases that act as key signal regulators, a role mediated by their ability to cycle between an active guanosine triphosphate (GTP)-bound

and an inactive guanosine diphosphate (GDP)-bound conformation. In their active state, RAS proteins transduce signals through different effectors, such as the mitogen-activated protein kinases (MAPK) or phosphoinositide 3-kinase (PI3K). The MAPK pathway is activated by recruitment of the RAF serine threonine kinases (ARAF, BRAF, and CRAF) to the plasma membrane where they phosphorylate the MEK1 (*MAP2K1*) and MEK2 (*MAP2K2*) kinases, which in turn phosphorylate the extracellular signal-regulated kinases (ERK) ERK1 (*MAPK3*) and ERK2 (*MAPK1*). Upon phosphorylation, ERKs translocate to the nucleus where they modulate the activity of various transcription factors. Alternatively, activated GTP-bound RAS also interacts with the catalytic subunits of PI3K, which lead to production of phosphatidylinositol 3,4,5,-triphosphate (PIP3) at the plasma membrane. This signal is then transduced through PIP3 targets by phosphorylation of AKT (also known as protein kinase B [PKB]) serine/threonine kinases (pAKT) or stimulation of mammalian target of rapamycin (mTOR). In addition, the PI3K-AKT pathway can bypass RAS and be directly activated in response to growth factor stimulation.

Two lines of evidence suggest that dysregulation of the growth factor receptor-RAS pathway leading to selfish selection of PAE mutations is mediated by downstream activation of the MAPK-ERK branch. As well as the established PAE genes *PTPN11*/*SHP2* (an upstream regulator of RAS) and *HRAS* itself, paternal age effects (but in the absence of formal demonstration of the parental origin

of the causative de novo mutations) have also been described for cardio-facio-cutaneous (CFC) syndrome (Table 2) caused by mutations in downstream components of the RAS-(MAPK) pathway (BRAF, MEK1, and MEK2), directly implicating MAPK-ERK in the pathophysiology of these disorders. More indirectly, the phenotypic rescue of mouse models for Apert⁸¹ and Noonan^{82,83} syndromes, through treatment with specific pathway inhibitors (MEK1/MEK2 inhibitor) (Figure 4) or by genetic means⁸⁴ provides strong evidence that these particular phenotypes, which include craniosynostosis, growth delay, facial dysmorphism, cardiac defects, and/or pulmonary valve stenosis, are caused by abnormal signal transduction through the MAPK-ERK branch.

Notwithstanding these observations, there is also indirect evidence implicating the PI3K-AKT cascade in selfish selection. For example, fibroblasts from Costello syndrome patients show an increased level of phosphorylated AKT compared with the level in controls, whereas MEK/ERK phosphorylation remains unchanged.⁸⁵ Functional data (discussed in the next section) also support a key role for the PI3K/AKT pathway in SSC self-renewal.

PAE Genes Regulate Spermatogonial Self-Renewal

Although orthologs of all five PAE genes are expressed during mammalian spermatogenesis,^{57,62,69,86–89} the functional role of RET has been most extensively characterized in mice. Although Ret is expressed on the surface of SSCs,⁹⁰ its ligand Glial-derived neurotrophic factor (Gdnf) is expressed by the supporting Sertoli cells, from where it regulates dose-dependent self-renewal and differentiation of SSCs. Heterozygous knock-out of *Gdnf* is characterized by a progressive loss of undifferentiated spermatogonia that results in infertility and Sertoli cell-only seminiferous tubules in older animals,⁹¹ whereas *Gdnf* overexpression in SSC produces clusters of spermatogonia that are unable to differentiate and eventually develop into seminomatous tumors.⁹²

The crucial role of *Gdnf* in controlling the balance between SSC proliferation and differentiation during spermatogenesis is also illustrated by in vitro analysis. Long-term culture of murine SSC can only be supported in minimal serum-free media conditions in the presence of GDNF and FGF-2 (fibroblast growth factor-2); addition of FGF-2 to the culture medium is essential and acts synergistically with GDNF to promote in vitro expansion of SSCs.⁹³ Under these conditions, isolated SSCs proliferate over long periods (>2 years) and can be used in functional transplantation assays in which the derived cells produce germ cell colonies, reconstituting the entire spermatogenic process in recipient testes from infertile mice.^{93,94} Multiple signaling pathways are activated in response to GDNF/FGF-2 stimulation; murine SSCs cultured with small molecule inhibitors of Akt showed significant impairment of SSC maintenance following transplantation,⁹⁵ suggesting a critical role for the PI3K-AKT pathway in promoting SSC survival and/or proliferation. However,

AKT activation alone was not sufficient to drive SSC self-renewal, which could only be achieved upon costimulation with FGF-2.⁹⁶ Notably, Lee et al.⁹⁷ showed that the minimal culture requirements for GDNF/FGF-2 could be bypassed by transfecting SSCs with an activated form of Hras (Hras^{Gly12Val}). Hras activation promoted long-term self-renewal of SSCs that underwent spermatogenesis after transplantation and, as in the case of *Gdnf* overexpression, Hras^{Gly12Val}-transfected SSCs produced seminomatous tumors in the mouse testis. The use of specific pathway inhibitors showed that this effect was mainly mediated through the PI3K-AKT signal transduction pathway, although an intact MAPK-ERK cascade also facilitated proliferation.⁹⁷

The detailed molecular machinery and cellular mechanisms involved in controlling SSC self-renewal and differentiation during spermatogenesis are still poorly understood: the extent to which homeostasis is maintained through control of self-renewal, proliferation, differentiation, and/or survival of cells in the SSC lineage remains unclear.^{98,99} Moreover, the data reviewed above have been generated with rodent SSCs, which share some but not all of their features with their human counterparts. Although research on human SSCs has been limited, culture of spermatogonia derived from testes of freshly deceased donors showed that upregulation of the MAPK-ERK pathway was involved in their proliferation.¹⁰⁰

In summary, these in vitro and in vivo data concur that the activity of the growth factor receptor-RAS signaling pathway is integral to the regulation of SSCs and relies on a complex crosstalk among multiple effectors, including the PI3K-AKT and MAPK-ERK transduction cascades. Strikingly, all known PAE disorders are caused by mutations in genes involved in this process, supporting the idea that the paternal age effect is mediated through dysregulation of RAS signaling and alteration of the growth and proliferative properties of SSCs.

Does Selfish Selection Contribute to the Origins of Complex Diseases?

Thus far, we have focused on established PAE disorders and have highlighted how advanced paternal age and selfish selection can be explained in relation to the biological and molecular mechanisms controlling population dynamics of SSCs. To what extent is this interpretation relevant to paternal age effects associated with more common disorders that have a complex etiology?

It is apparent that spermatogonial populations are subject to regular turnover, and therefore, *any* mutation that happens to arise in, or near, *any* gene controlling the cellular and homeostatic properties of SSC is a potential target for selection and enrichment in SSCs. Clearly, many components of the growth factor receptor-RAS signaling pathway are predicted to be vulnerable to this process, but in theory, any pathway that has been implicated in either oncogenesis (COSMIC database) or cell competition¹⁰¹ and is expressed in the testis^{89,102,103} could also

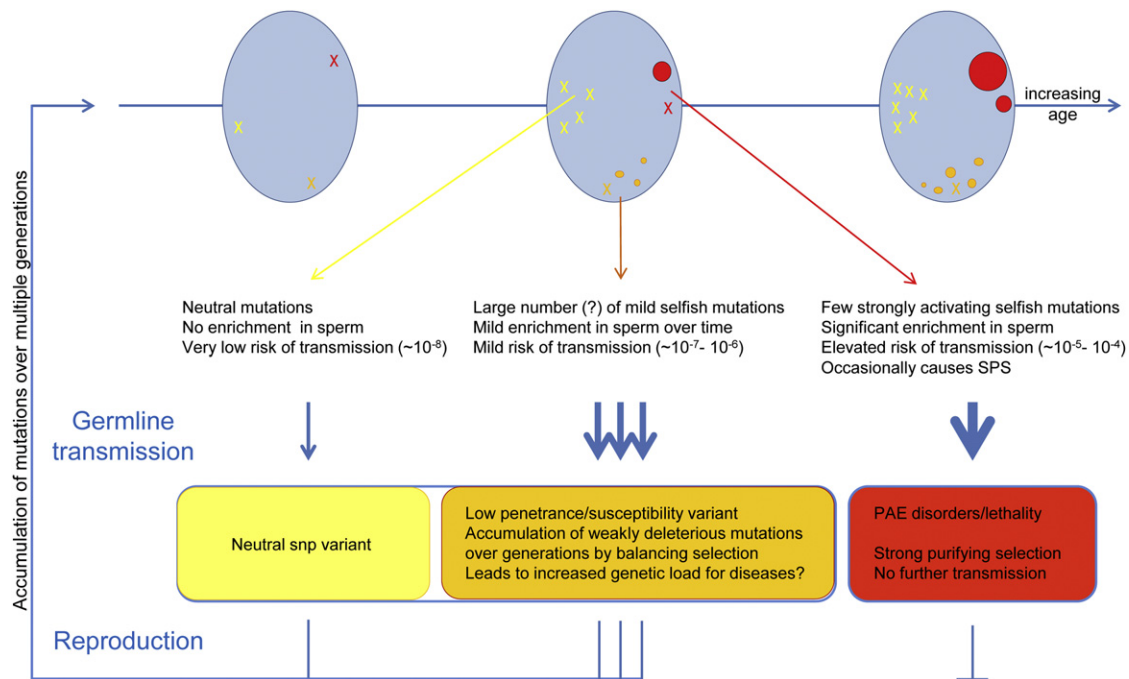


Figure 5. Long-Term Consequences of Selfish Selection in the Testis

Blue ovals represent the testis at three ages from puberty (left) to senescence (right). During the recurrent rounds of replication required for spermatogenesis and SSC self-renewal, stochastic mutations (represented by X) occur randomly in the testis. Depending on the functional consequence of the resulting mutation for the SSC, three scenarios are illustrated. Functionally neutral mutations (in yellow; left part of each testis) do not accumulate and are associated with a very low risk of individual transmission ($\sim 10^{-8}$, the background rate of nucleotide substitution in the genome). In red (right part of the testis) are typical PAE mutations that confer a strong selective advantage to the mutant SSC, leading over time to the formation of large “mole-like” clones (ovals) and an increased risk of transmission in older men (up to 1,000-fold higher than the background mutation rate). In rare cases, these mutations are associated with spermatocytic seminoma (SPS). In orange (bottom part of the testis) is depicted an intermediate scenario for mutations with milder selective advantage (i.e., weak gain-of-function, change in copy number or regulation of expression) that are enriched over time in SSC to a lesser extent (>1 - to 100-fold). Many such mutational targets could potentially exist. Although strongly activating mutations associated with classical PAE disorders are deleterious and will be rapidly eliminated because of low reproductive fitness, neutral and mildly pathogenic mutations are potentially transmissible over many generations, contributing to genetic heterogeneity. Some mildly pathogenic mutations, although associated with deleterious phenotypes, might be maintained in the population either by recurrent mutation or because they provide a beneficial fitness trait during spermatogenesis.

be a target. Based on this interpretation, the spectrum of mutations subject to selfish selection is unlikely to be limited to a handful of strongly activating missense substitutions in known oncogenes. Selection could also involve loss-of-function mechanisms (that might result in haploinsufficiency¹⁰⁴), changes in regulatory regions (resulting in altered gene expression^{105–107}), differences in gene dosage (leading to cell competition¹⁰⁸), or copy-number variations (CNV) in genes controlling SSC proliferative behavior.

The case of CNVs is of particular interest because genomic deletions and duplications are known to affect a wide range of human phenotypes including many Mendelian traits and complex disorders such as autism and schizophrenia.¹⁰⁹ Recurrent CNVs mediated by unequal crossing-over between large near-identical segmental duplications are recombination-dependent (meiotic) events.^{110–112} In contrast, rare CNVs generated by nonrecurrent rearrangements mostly originate through replication-based processes, involving different molecular mechanisms (e.g., fork stalling and template switching [FoSTeS]

and/or microhomology-mediated break-induced replication [MMBIR]).^{113–115} Because of this mitotic origin, it is anticipated that nonrecurrent CNVs should preferentially arise in male germ cells. Investigations into the parental origin of de novo nonrecurrent CNVs are under way¹¹⁶ but are laborious and require a careful separation of spontaneous genomic rearrangements into likely recombination- and replication-based events.^{117,118}

To appreciate the long-term consequences for genome evolution, it is important to consider the interplay between the strength of selfish selection occurring in the testis and the impact of these mutations on the fitness of the offspring. Given the severe phenotypes they cause, the pathogenic alleles associated with classical PAE disorders are unlikely to segregate for many generations and therefore are predicted to have little impact on overall disease burden (Figure 5, red mutations). However, selfish mutations associated with weaker selective advantage in the testis (and leading to lower levels of enrichment in sperm) that are anticipated to cause milder or incompletely penetrant phenotypes are a potential source of heritable genetic

variation that might contribute both to adaptive evolution and to the genetic burden of disease (Figure 5, orange mutations). Given that both MAPK-ERK and PI3K-AKT signaling play important roles in brain development, learning, memory, synaptic plasticity, and cognition,^{119,120} subtle alterations of these pathways are likely to contribute to the pathology of learning disability and neurocognitive disorders, as well as cancer. In this context it is relevant that several epidemiological studies have reported an association between advanced paternal age and predisposition to neurocognitive disorders such as schizophrenia^{9,121,122} and autism.^{8,123,124} Although there are several confounding factors that could explain these observations,¹³ selfish selection provides one plausible mechanism. Notably, recent reports have highlighted the importance of rare (or private) de novo mutations (both point mutations and CNVs) in the causation of common disorders such as learning disabilities,¹²⁵ autism,^{126–128} and schizophrenia.^{129–132} Information on parental origin and paternal age is not available in most of these studies; it will be important to collect these data systematically.

The emerging picture in neurocognitive disorders suggests that the genetic component of disease risk arises both from de novo mutations and from segregating variants that are individually mildly deleterious and/or show clinical variability in expressivity. In most cases, the disease manifests through an adverse combination of mild-risk alleles (in familial cases) and/or when de novo mutations are acquired in a general sensitized background that is already loaded with low-penetrant pathogenic variants (in simplex cases).¹³³ Notably, comprehensive unbiased screens for pathogenic CNVs associated with autism have highlighted the importance of genomic regions containing functional gene sets involved in cell proliferation and in GTPase/RAS signaling.^{126,134} Similarly, FGFR¹³⁵ and the AKT-mTOR^{136–138} signaling pathways have been implicated in the pathology of schizophrenia. In other words, the pathways implicated in the pathogenesis of these neurocognitive disorders overlap substantially with those subject to selfish selection in the testis and are therefore potential targets for this process (Figure 5, orange mutations).¹³⁹

Spermatogenesis, Weakly Pathogenic Variants, and Evolution

Aside from selfish selection promoting clonal expansion of mutant SSCs in the context in which mutant cells are surrounded by wild-type counterparts (i.e., mosaic—involving the interaction between two genetically distinct cell populations), mutations in components of spermatogonial signaling pathways might also be advantageous in a constitutive state (i.e., when all the cells are genotypically identical). So long as they are not overly deleterious to the organism, low-penetrance pathogenic alleles could segregate over many generations and be maintained, or even become fixed in the population, through a mechanism of balancing selection (i.e., as a trade-off

between a beneficial fitness trait during spermatogenesis that compensates for the deleterious effect in other cellular contexts) (Figure 5). Several lines of evidence are consistent with this interpretation: (1) comparative evolutionary studies suggest that a large proportion of genes expressed in spermatogenesis (including genes that promote germ cell development, apoptosis and tumor suppression) are under positive selection;¹⁰² (2) the intriguing case of a patient diagnosed with both Klinefelter syndrome (a condition normally associated with male infertility and azoospermia) and achondroplasia who fathered a child suggests that the presence of the activating *FGFR3* mutation might have rescued the testicular function in this individual;¹⁴⁰ (3) several genome-wide association studies have identified common variants close to PAE genes associated with increased disease risk, including SNPs in or near *FGFR2* and *MAP3K1* in breast cancer,^{141,142} *FGFR1* and *FGFR2* in schizophrenia,^{143,144} *FGFR3* in bladder cancer,¹⁴⁵ *HRAS* in several cancer types,^{146–148} and *RET* in Hirschsprung disease¹⁰⁵ or genomic regions associated with schizophrenia¹⁴⁹ and other complex diseases¹⁵⁰ showing signatures of positive selection.

Perspectives and Conclusions

Driven by recognition of epidemiological paternal age effects, targeted molecular approaches in a handful of monogenic disorders have led to a clearer understanding of the molecular mechanisms and principles underlying this phenomenon. Owing to the technical challenges, only a few base pairs in the human genome have been systematically interrogated to date. Nevertheless, the data suggest that the sperm of all men are progressively enriched for PAE mutations as they age and highlight that selfish selection is likely to be a universal process. A major challenge will be to establish how many sites in our genome are subject to selfish selection with the eventual aim of obtaining a frequency spectrum of point mutation (as well as CNV-based mutational processes) at every genomic position. This will establish whether the few documented PAE disorders are indeed extreme examples of a much more common process. So far, whole-genome sequences of a few family trios^{48,50} have shown that the transgenerational mutation load and parental origin for each de novo mutation⁵² can be directly determined. As much larger such data sets become available, it should be possible to establish the relative contribution of selfish selection to genetic heterogeneity and to disease risk by ascertaining whether paternally-derived mutations are truly random or, as selfish selection predicts, whether there is a significant enrichment of functional alleles in or near genes involved in the control of spermatogonial self-renewal. Given that selfish selection might also be responsible for the emergence of advantageous traits involving cognition, increased brain size, or adaptation to new environments, these mechanisms might have contributed to human evolution.^{103,151}

Current data already provide valuable new evidence for genetic counseling of couples having children affected

with spontaneous PAE disorders. Although high-level somatic and/or germline mosaicism has very occasionally been observed,^{152,153} such cases are swamped out by the much more prevalent selfish selection mechanism. Provided that genetic testing on parents' blood shows no evidence of mosaic mutation, the recurrence risk for further affected children is likely to be extremely low (below 1 in 1,000, for all paternal ages). This information could influence parental decisions on whether to opt for invasive prenatal testing in future pregnancies. The localized nature of PAE mutations, and their paternal origin, make these disorders very good candidates for prenatal screening via free fetal DNA from maternal plasma; because average paternal age at conception has been steadily increasing,¹⁵ it could become cost-effective to devise a systematic screen targeting common PAE mutations in the case of older fathers.^{154–156}

New technologies, such as the so-called third generation of single-molecule sequencing¹⁵⁷ that does not rely on PCR amplification prior to sequencing, are anticipated to allow further elucidation of the impact of the selfish selection mechanism. Whole-genome sequencing of single-cells might soon be used to directly quantify the mutational load carried by individual sperm cells.^{158,159} Whether applied to single sperm cells, fetal cells in maternal plasma, or oncogenic mutations in the circulation,¹⁶⁰ we are bound to learn more about the contribution of selfish selection to human health and evolution. Unusually, humans represent in many ways the ideal “model” organism to study these ultrarare mutational processes.

Supplemental Data

Supplemental Data include one table and Supplemental References and can be found with this article online at <http://www.cell.com/AJHG/>.

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Web Resources

The URLs for data presented herein are as follows:

COSMIC, <http://www.sanger.ac.uk/genetics/CGP/cosmic/>
 England and Wales Birth Census data series FM1, <http://www.ons.gov.uk/ons/statbase>
 HGMD, <http://www.hgmd.cf.ac.uk/ac/index.php>
 National Center for Biotechnology Information Reference Sequence (RefSeq) database, <http://www.ncbi.nlm.nih.gov/RefSeq/>
 Online Mendelian Inheritance in Man (OMIM), <http://www.omim.org/>

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