

Potential Biological Role of Transforming Growth Factor- β 1 in Human Congenital Kidney Malformations

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Transformations between epithelial and mesenchymal cells are widespread during normal development and adult disease, and transforming growth factor- β 1 (TGF- β 1) has been implicated in some of these phenotypic switches. Dysplastic kidneys are a common cause of chronic kidney failure in young children and result from perturbed epithelial-mesenchymal interactions. In this study, we found that components of the TGF- β 1 axis were expressed in these malformations: TGF- β 1 mRNA and protein were up-regulated in dysplastic epithelia and surrounding mesenchymal cells, whereas TGF- β receptors I and II were expressed in aberrant epithelia. We generated a dysplastic kidney epithelial-like cell line that expressed cytokeratin, ZO1, and MET, and found that exogenous TGF- β 1 inhibited proliferation and decreased expression of PAX2 and BCL2, molecules characterizing dysplastic tubules *in vivo*. Furthermore, addition of TGF- β 1 specifically induced morphological changes compatible with a shift to a mesenchymal phenotype, accompanied by loss of ZO1 at cell borders and up-regulation of the mesenchymal markers α -smooth muscle actin and fibronectin. The descriptive and functional data presented in this report potentially implicate TGF- β 1 in the pathobiology of dysplastic kidneys and our results provide preliminary evidence that an epithelial-to-mesenchymal phenotypic switch may be implicated in a clinically important developmental aberration. (*Am J Pathol* 2000, 157:1633–1647)

Phenotypic transformation between epithelial and mesenchymal cells is widespread in normal development.¹ Blastocyst epithelia from the inner cell mass, for example, generate primitive streak mesenchyme, allowing cells to migrate to new organogenetic sites. Epithelial to mesenchymal transformations also occur when neural crest cells delaminate from the neuroepithelium and when car-

diac endothelia differentiate into endocardial cushions. Examples of the converse transformation, from mesenchyme to epithelium, occur in somite and eye development.¹

Mesenchymal to epithelial transformation is essential for kidney development. The precursor of the adult human organ, the metanephros, arises during the fifth week of human gestation and is comprised of mesenchyme and ureteric bud epithelia.² Based on organ culture experiments, Grobstein³ demonstrated that mutual inductions caused mesenchymal cells to transform into proximal nephron epithelia and interstitial stromal cells, whereas the bud branched to form collecting ducts. Studies using Lac-Z tagged mouse metanephric precursor cells by one group, however, suggested that renal cell lineages may be more complex, because ureteric bud contributed to proximal nephron epithelia and surrounding stroma, whereas some mesenchymal cells were incorporated into collecting ducts.⁴

Congenital renal malformations are frequent causes of childhood chronic kidney failure.⁵ One form is renal dysplasia which represents a prime example of perturbed epithelial-mesenchymal interactions.⁶ These organs do not contain functional nephrons but comprise dysplastic tubules and cysts surrounded by mesenchymal-like cells and metaplastic cartilage.⁷ Dysplastic cytokeratin-positive epithelia maintain a high rate of proliferation postnatally, as assessed by proliferating cell nuclear antigen (PCNA) expression, accompanied by expression of the epithelial marker MET,⁸ the PAX2 transcription factor,⁹ and BCL2 survival factor.⁹ The latter molecules are functionally implicated in proliferation and survival of nephrogenic precursor cells.^{10,11} In contrast, cells around dysplastic tubules have a low rate of proliferation with low or absent PAX2 and BCL2 expression and increased apoptosis,^{9,12} and a subpopulation that forms collarettes around tubules express α -smooth muscle actin (α -SMA).^{6,7} Some dysplastic kidneys are associated with obstructed urinary tracts and experimental urinary flow

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impairment in fetal sheep reproduces some anatomical and molecular features of the human condition.^{13,14} Edith Potter² suggested that dysplastic tubules represent incompletely arborized ureteric bud derivatives, whereas surrounding cells were renal mesenchyme which had failed to differentiate into functional nephrons. However, in view of the lineage-tracing experiments reported in normal metanephric development by one group,⁴ it is conceivable that dysplastic epithelia may also contribute to some of the mesenchymal tissue by phenotypic transformation.

Transforming growth factor- β 1 (TGF- β 1) is a prototypic molecule implicated in epithelial-to-mesenchymal transformation. For example, in culture, this cytokine causes both mammary epithelia and endothelia to acquire mesenchymal characteristics.^{15,16} This factor also has anti-proliferative effects on epithelia.¹⁷ TGF- β 1 signaling is transduced via cell surface type I and type II receptors (TGF- β R1 and TGF- β R2).¹⁸ TGF- β R2 binds the ligand and forms a complex with TGF- β R1 which activates intracellular signaling cascades.¹⁸ These molecules are expressed during normal rodent nephrogenesis¹⁹⁻²¹ and TGF- β 1 is up-regulated in an ovine model of fetal kidney obstruction.¹³ Interestingly, urinary tract obstruction is frequently associated with human renal dysplasia but neither the mechanism by which obstruction causes maldevelopment, nor the expression of the TGF- β 1 signaling system has yet been established in dysplastic kidneys.

In this study we provide preliminary data to support the hypothesis that TGF- β 1 is implicated in the pathogenesis of human renal dysplasia. We sought the expression of the ligand and its receptors in human malformations and investigated the potential of TGF- β 1 to modulate proliferation and differentiation of cultured human dysplastic epithelia. Our results suggest that up-regulated TGF- β 1 signaling may induce epithelial to mesenchymal transformation in human kidney malformations.

Materials and Methods

Reagents were obtained from Sigma (Poole, UK) unless specified.

Tissues and Cells

Tissue Collection and Derivation of Cell Lines

This project was approved by the Hospitals' Research Ethics Committee. Kidneys were collected as previously described^{9,12} and comprised: three normal and three dysplastic fetal samples at 17 to 23 weeks of gestation, six normal areas adjacent to, but unaffected by, Wilms' tumor at 5 to 19 months of age, and 10 dysplastic kidneys at 3 to 36 months of age. One of the prenatal and three of the postnatal samples were attached to obstructed urinary systems. Two postnatal dysplastic kidneys were also used for cell culture: specimens were diced; placed into Dulbecco's modified Eagle's medium F-12 (Gibco BRL, Paisley, UK) with 10% fetal calf serum, 1,000 U/L penicillin G, 1 mg/L streptomycin, and 25 mg/L amphi-

tericin; and grown at 37°C in 5% CO₂/air. Cultures from one kidney predominantly contained mesenchymal-like cells whereas cultures from the other contained epithelial-like cells. In preliminary experiments, cells stopped proliferating and appeared senescent after two passages. To expand the cell populations, we transduced first passages with a temperature sensitive (tsA58-U19) Simian virus 40 large T antigen (SV40TA_g) construct.²² This extends the proliferative lifespan of healthy and diseased human cells including mammary epithelia, fibroblasts, and myoblasts.^{23,24} Cells were transduced as described,²⁴ selected in 0.5 g/L G418 at 37°C, and transferred to the permissive temperature of 33°C; expression of the SV40TA_g was determined using an anti-SV40TA_g antibody, kindly supplied by Parmjit Jat, Ludwig Institute, London, UK. G418 was then withdrawn and the cells cultured at the permissive temperature in baseline medium consisting of RPMI 1640 medium (Gibco BRL), 10% fetal calf serum, 2 mmol/L glutamine, 1 mg/L insulin, and antibiotics. Subsequent experiments were performed between passages 8 to 20 on tissue culture plastic or glass chamber slides (BDH, Poole, UK).

[³H]Thymidine Incorporation

Cells (10⁵ cells/ml) were plated into 48-well plates in baseline medium. After 12 hours, the medium was changed to serum- and insulin-free medium, and cells were left for 48 hours to achieve quiescence. Medium was then changed to control medium, consisting of RPMI 1640, 1% fetal calf serum, 2 mmol/L glutamine, and antibiotics, with or without 0.5 to 10.0 ng/ml added TGF- β 1 (240-B; R&D Systems, Abingdon, UK) for 48 hours. Subconfluent cells were pulsed with 1 μ Ci/ml [³H]thymidine (Amersham Life Science Ltd., Little Chalfont, UK) for the last 6 hours of this culture period. Cells were washed three times with phosphate-buffered saline (PBS), pH 7.4, then with ice-cold 5% trichloroacetic acid for 30 minutes, and solubilized in 0.2 ml 0.25 mol/L NaOH and 0.1% sodium dodecyl sulfate (SDS) for 30 minutes at 37°C. Samples were neutralized with acetic acid, suspended in scintillation fluid, and [³H]thymidine incorporation was measured on a MicroBeta Trilux counter (EG&G Wallac, Helsinki, Finland) and analyzed statistically using the Student's *t*-test.

Cell Morphology

Cells were initially plated at subconfluent density in baseline medium for 12 hours. Medium was then changed to control medium for up to 72 hours with varying concentrations of exogenous factors, including 0.5 to 10.0 ng/ml of TGF- β 1 and 20.0 ng/ml of hepatocyte growth factor (HGF) (294-HG-005; R&D Systems). TGF- β 1 neutralizing antibodies (AF-101-NA; R&D Systems) were also used in some experiments at a concentration of 500 ng/ml, both with and without additional TGF- β 1. This concentration, calculated from the data sheet, was sufficient to block the effects of a minimum of 12.0 ng/ml of exogenous TGF- β 1. Cells were examined

and photographed under phase-contrast illumination on a Nikon TMS inverted microscope (Nikon, Kingston, UK).

RNA Analysis

In Situ Hybridization

The human TGF- β 1 cDNA plasmid (kindly provided by Dr. Y. Sun, National Heart and Lung Institute, London, UK) was linearized with restriction enzymes, and sense and anti-sense uridine triphosphate-digoxigenin-labeled riboprobes were generated with the appropriate RNA polymerase, according to the manufacturer's instructions (digoxigenin RNA labeling kit; Boehringer Mannheim, Lewes, UK). *In situ* hybridization was performed as described²⁵ with minor modifications. Paraffin-embedded tissue sections (7 μ m) were dewaxed, treated with proteinase K (20 μ g/ml) at 37°C for 10 minutes, and post-fixed in 4% paraformaldehyde. Sections were covered with 50 μ l of prehybridization mix that consisted of 50% v/v formamide, 5 \times standard saline citrate (SSC), 1 \times Denhardt's reagent, heat-denatured salmon sperm DNA 0.1 mg/ml, and 10% w/v dextran sulfate, for 30 minutes at 50°C, followed by a further 50 μ l containing the digoxigenin-labeled riboprobe. Glass coverslips were then applied and slides were left to hybridize at 50°C overnight. Sections were washed at 50°C with 25% formamide in 2 \times SSC for 1 hour, 1 \times SSC and 0.1% SDS for 30 minutes, and 0.1 \times SSC and 0.1% SDS for 30 minutes. Hybridized probe was detected by incubation with anti-digoxigenin antibody conjugated to alkaline phosphatase, followed by the chromogen solution, nitroblue tetrazolium, and 5-bromo-4-chloro-3-indolylphosphate toluidinum. Slides were washed and mounted with Citi-fluor (Chemical Labs, University of Kent, UK). Controls included hybridization without the riboprobe added or with the sense riboprobe.

Northern Blotting

Total RNA was isolated using Tri-reagent, electrophoresed through a 1% formaldehyde-denatured agarose gel, transferred onto a Hybond-N membrane (Amersham Life Science Ltd.) and fixed with UV-Stratalinker (Stratagene, La Jolla, CA). Probes were prepared from TGF- β 1 cDNA²⁶ (1040 bp; accession number X02812), TGF- β R1 cDNA (bp 331 to 1351; accession number L11695), and TGF- β R2 cDNA (full length; accession number NM-003242). Inserts were isolated with restriction enzymes and random primer labeling was performed with Prime-a-Gene labeling system (Promega, Southampton, UK). Unincorporated labeled-dCTP was removed with a push-column (Stratagene). Blots were prehybridized with Quick-Hyb solution (Stratagene) at 65°C for 30 minutes and hybridized with the probes at 65°C for 2 hours. Filters were washed twice with 2 \times SSC/0.1% SDS at room temperature for 30 minutes and once with 0.1 \times SSC/0.1% SDS at 65°C for 30 minutes. Blots were then exposed to radiograph films for 12 to 48 hours at -70°C.

Protein Analysis

For protein analysis, antibodies were directed against 1) components of the TGF- β 1 axis; 2) molecules characteristically expressed in a wide range of epithelia including pancytokeratin, ZO1, a component of epithelial tight junctions,²⁷ and MET, a receptor tyrosine kinase expressed in normal developing renal epithelia²⁸; 3) proteins that we have previously found to characterize dysplastic epithelia *in vivo* including PAX2, BCL2, and PCNA⁹; and 4) mesenchymal markers including α -SMA, which is expressed in smooth muscle collarettes around dysplastic tubules⁶ and fibronectin, an extracellular molecule which is particularly prominent in metanephric mesenchyme.²⁹

Western Blotting and Immunoprecipitation

For Western blotting, cells cultured in either control medium alone or with additional 5.0 ng/ml of TGF- β 1 for up to 72 hours were rinsed with PBS, scraped into 0.6 ml of ice-cold RIPA buffer (PBS, 1% Nonidet P-40, 0.5% sodium deoxycholate, 0.1% SDS) plus protease inhibitors (30 μ g/ml Aprotinin, 100 mmol/L sodium orthovanadate, 100 mmol/L phenylmethyl sulfonyl fluoride), and passed repeatedly through a 21-gauge needle. Cell lysates were incubated on ice for 30 minutes and supernatants were collected after 15 minutes centrifugation at 13,000 rpm. Protein concentration was determined using the bicinchoninic acid protein assay (Pierce, Rockford, IL). Samples were boiled for 5 minutes, 30 to 50 μ g of proteins were loaded per well and then electrophoresed using an 8 or 12% SDS-polyacrylamide gel electrophoresis gel. Equality of loading was determined by staining representative gels with Coomassie blue. After electrophoresis, proteins were transferred to nitrocellulose membranes (Amersham Life Science Ltd.) by electroblotting (Bio-Rad, Richmond, CA) and left overnight at 4°C in blocking solution consisting of 5% (w/v) fat-free milk powder, 0.3% (v/v) Tween-20 in PBS. They were then incubated with primary antibodies (1:1,000 to 1:2,000 dilution) including PAX2 (71-6000; Zymed, San Francisco, CA), BCL2 (M887; DAKO, Ely, UK), PCNA (Ab1; Oncogene Science, Cambridge, MA), α -SMA (A2547, Sigma), and fibronectin (F0791, Sigma), for 2 hours at 4°C. After washing in blocking solution, blots were incubated for 30 minutes with appropriate horseradish peroxidase-conjugated second antibodies diluted 1:1,000 or 1:1,500 in blocking solution. Blots were washed three times with blocking solution and once with PBS. The blot was then developed using the enhanced chemiluminescence detection kit (Amersham Life Science Ltd.). Rainbow markers were used to determine protein size. Expected sizes were: PAX2, 46 kd; BCL2, 28 kd; PCNA, 37 kd; α -SMA, 43 kd; and fibronectin, 220 to 250 kd.^{9,14,15}

For MET and MET-phosphotyrosine immunoprecipitation experiments, protein was isolated from cells, as above, after they were serum-starved for 24 hours and then incubated with or without HGF (20.0 ng/ml) for 30 seconds, 5 minutes, and 15 minutes. Subsequent steps were at 4°C, unless stated. One mg of the protein was

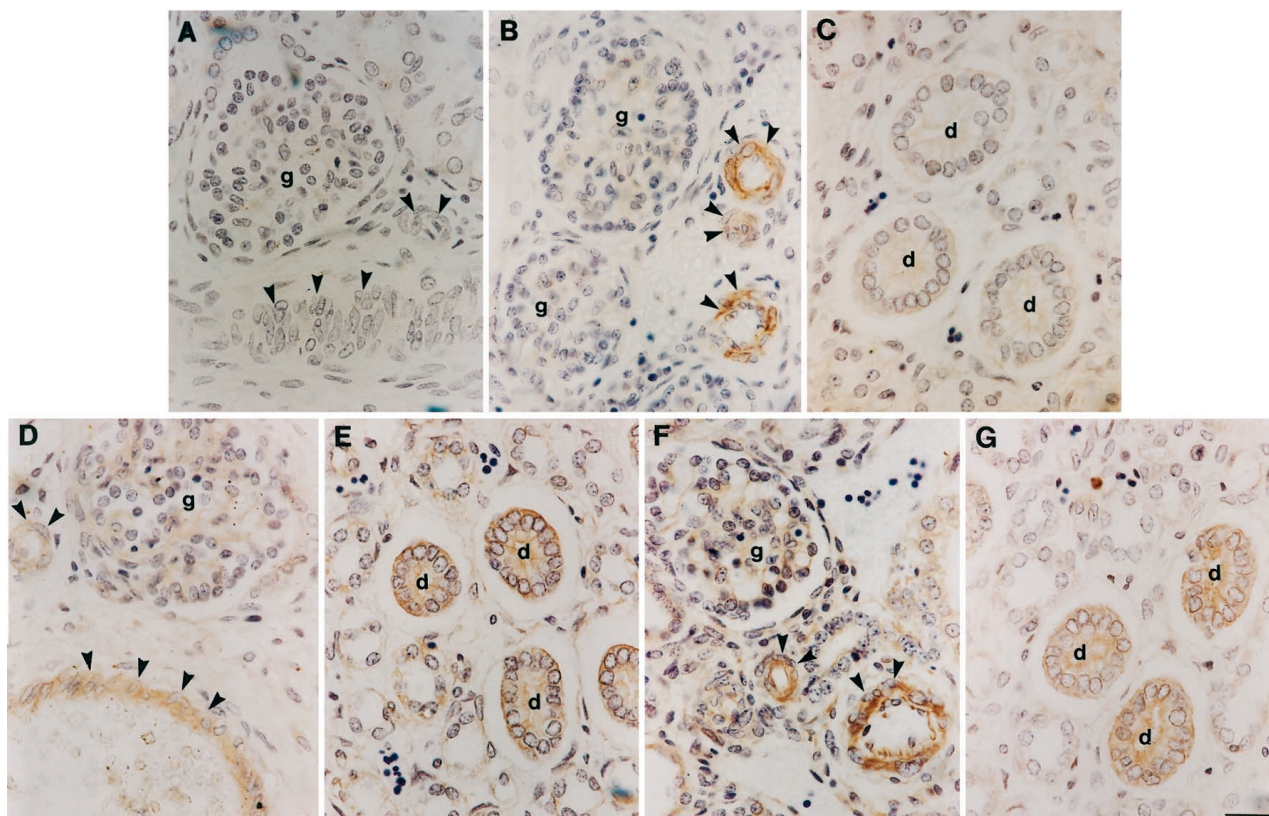


Figure 1. Localization of TGF- β 1 and its receptors in normal developing kidneys. Antibodies were directed against TGF- β 1 (A–C), TGF- β 1 (D and E), and TGF- β 2 (F and G) on sections of normal midgestation human kidneys. **A:** No immunoreactivity was detected when anti-TGF- β 1 antibody was preincubated with excess peptide; vessels (arrowheads) and a glomerulus (g) are indicated. **B and C:** In sections in which the TGF- β 1 antibody was not pre-absorbed, vessels were strongly immunoreactive, but fetal collecting ducts (d) did not show significant staining. **D–G:** In sections reacted with TGF- β 1 receptor I and II antibodies, immunostaining was detected in fetal kidney vessels and collecting ducts. Scale bar, 15 μ m.

precleared with 10 μ g of normal rabbit IgG and 30 μ l of protein A-agarose (Santa Cruz Biotechnology, Santa Cruz, CA) for 30 minutes. The sample was then centrifuged at 3,000 rpm for 5 minutes. The supernatant was incubated for 1 hour with 10 μ g of rabbit anti-MET antibody, raised against a peptide mapping at the carboxy terminus of the 140-kd human β subunit protein⁸ (sc161; Santa Cruz Biotechnology). Thirty μ l of protein A-agarose was then added and incubated, with agitation, overnight. Beads were washed four times with RIPA and collected by centrifugation at 3,000 rpm for 5 minutes. Pellets were resuspended in 30 μ l of electrophoresis buffer and boiled for 5 minutes, before undergoing electrophoresis in a 6% SDS-polyacrylamide gel electrophoresis gel and detection using anti-MET or anti-phosphotyrosine (sc7020; Santa Cruz Biotechnology) antibodies.

Immunohistochemistry

For immunohistochemistry, kidneys were paraffin-embedded, sectioned, and dewaxed through Histo-Clear (National Diagnostics, Atlanta, GA) twice for 10 minutes, followed by rehydration through graded alcohols (Hayman Ltd., Witham, UK). After washing in PBS for 5 minutes, they were treated with trypsin (1 mg/ml) for 10 minutes at 37°C. Endogenous peroxidase was quenched

with 3% H₂O₂ in methanol for 30 minutes at room temperature. Sections were washed with blocking buffer (PBS, 10% goat serum, 0.1% Tween-20) and then incubated with the primary antibody, at 1:50 to 1:100 dilution, in blocking buffer overnight at 4°C. After thorough washing in PBS/0.1% Tween-20, primary antibodies were detected with appropriate second antibodies (1:100) using a streptavidin-biotin-peroxidase system (ABC kit; DAKO) followed by diaminobenzidine or alkaline phosphatase-fast red systems (DAKO). Sections were counterstained with hematoxylin and mounted in dextropropoxyphene (BDH). Sections were examined and photographed on a Zeiss Axioplan microscope (Carl Zeiss, Oberkochen, Germany).

Antibodies against TGF- β 1, TGF- β 1, and TGF- β 2 were all obtained from Santa Cruz Biotechnology. Anti-TGF- β 1 antibody (sc146) recognizes an epitope in the human carboxy terminus. This antibody does not cross-react with TGF- β 2 or 3,³¹ and a recent study has confirmed specific staining patterns *versus* TGF- β 2 and TGF- β 3 in human tissues.³² We used specific anti-TGF- β 1 (sc402) and TGF- β 2 (sc220) antibodies raised against the carboxy terminus of the human proteins.³³ Other antibodies were directed against pancytokeratin (C2562; Sigma) and α -SMA (A5691; Sigma). Controls were omission of primary antibody or preincubation with

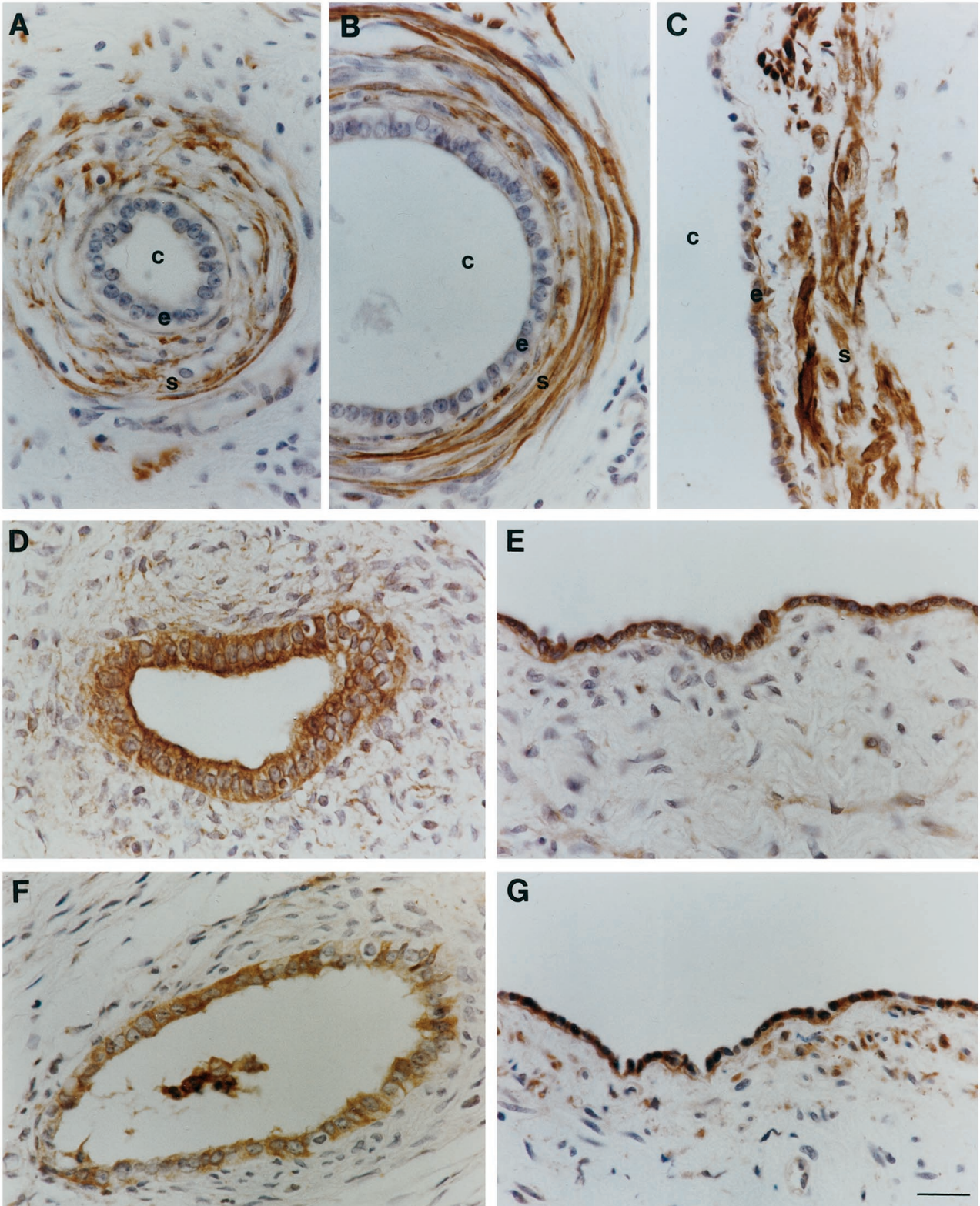


Figure 2. Localization of components of the TGF- β 1 axis in dysplastic kidneys. Antibodies were directed against TGF- β 1 (A–C), TGF- β 1 (D and E), and TGF- β 2 (F and G) on sections of postnatal dysplastic kidneys. Note that these kidneys lack normal renal structures such as glomeruli. No signal was detected on omission of primary antibody or pre-absorption with the appropriate peptide (not shown). A–C: TGF- β 1 immunoreactivity was detected in compact stromal cells (s) proximate to dysplastic tubules and cysts (c), with some epithelia (e) staining in larger cysts, as shown in C. D–G: TGF- β 1 and TGF- β 2 proteins were detected in dysplastic epithelia of all sizes, ranging from small undilated tubules to large cysts. Scale bar, 15 μ m.

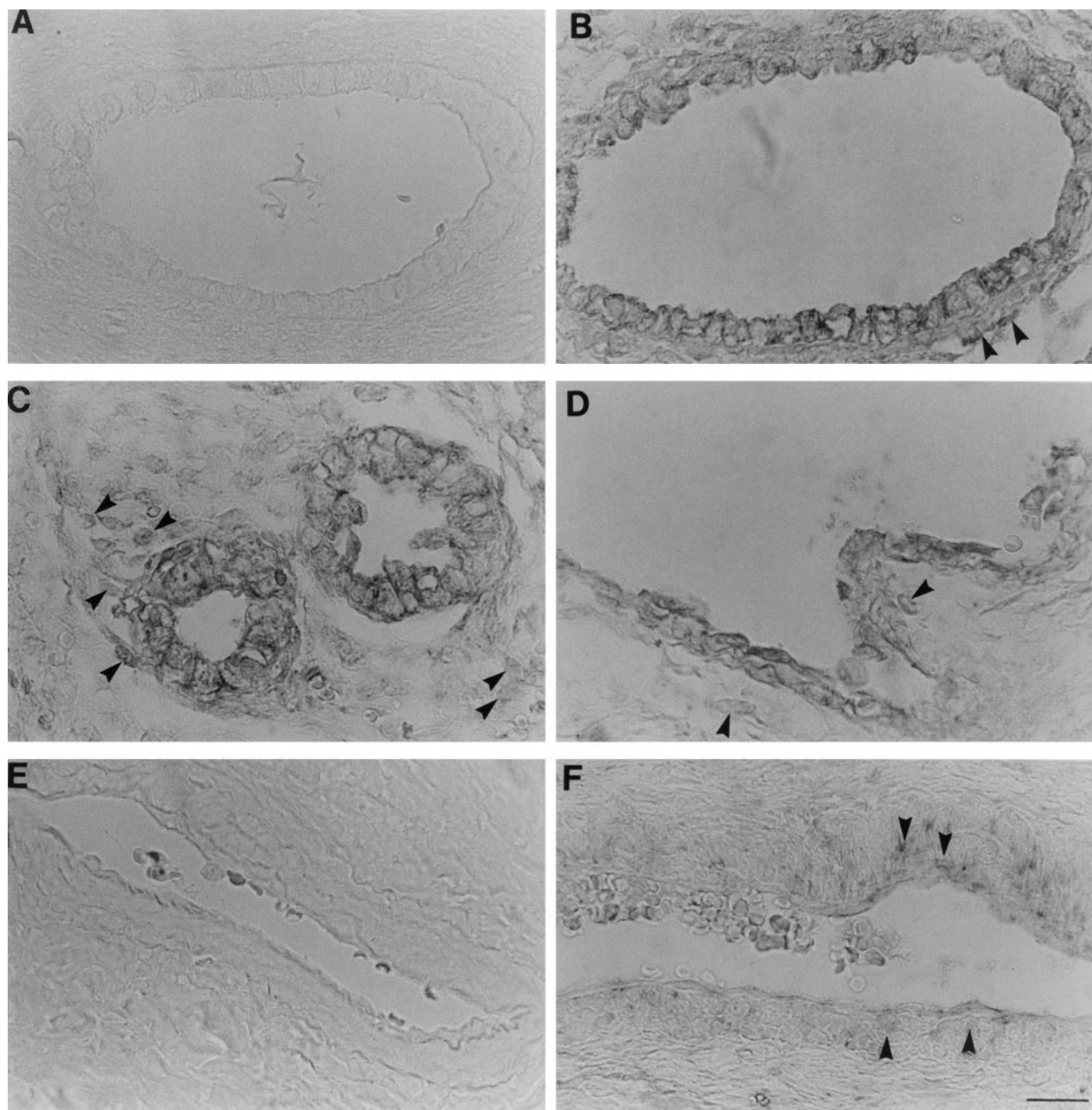


Figure 3. *In situ* hybridization for TGF- β 1 in dysplastic kidneys. **A** and **E**: TGF- β 1 sense (control) probes, the remaining panels used antisense probes. **C** and **D**: Prenatal dysplastic kidneys and remaining panels show postnatal dysplastic samples. **A** and **B**: Serial sections of a small cyst. No signal was detected using the sense probe but transcripts were detected in the epithelia and in a subset of surrounding mesenchyme-like cells (**arrowheads**). **C** and **D**: Dysplastic tubules and a large cyst, respectively; note TGF- β 1 mRNA in epithelial and adjacent cells (**arrowheads**). Together **E** and **F** confirm a specific signal for TGF- β 1 mRNA in vessel walls (**arrowheads**). Scale bar, 15 μ m.

a 10-fold excess of the appropriate peptide for 4 hours at room temperature.

Immunocytochemistry

Cells were initially cultured on glass chamber slides, as described in Cell Morphology above and then fixed for 2 minutes in 4% paraformaldehyde or methanol. After washing with blocking buffer, primary antibodies, including anti-pancytokeratin, anti- α -SMA, and anti-ZO1 (61-

7300; Zymed), were applied for 1 hour at room temperature at dilutions between 1:100 and 1:500. These were detected using fluorescein isothiocyanate-conjugated second antibodies (ACC10F; Serotec Ltd., Kidlington, UK; F 250, F0205; DAKO) (1:500 to 1:1,000). Controls were omission of the primary antibody. For double staining of cytokeratin and α -SMA, cells were incubated with anti- α -SMA (1:500) for 1 hour followed by tetramethylrhodamine isothiocyanate-labeled second antibody (T2659; Sigma) for 1 hour (1:1,000). Slides were then incubated with

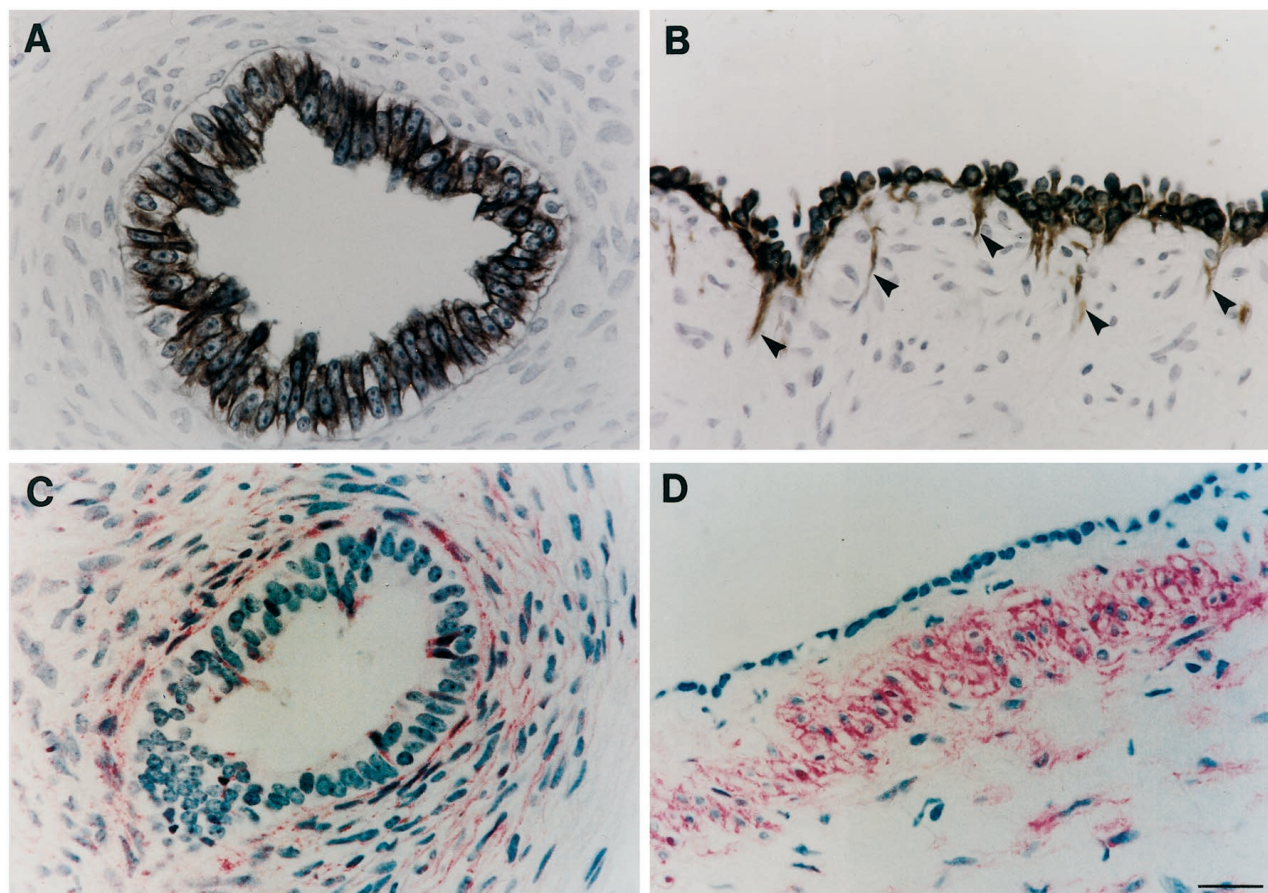


Figure 4. Localization of cytokeratin and α -SMA in dysplastic kidneys. Dysplastic tubules are shown in **A** and **C**, whereas **B** and **D** show large cysts. Antibodies were directed against cytokeratin detected using diaminobenzidine (**A** and **B**), or α -SMA detected using fast red (**C** and **D**). No signal was detected on omission of primary antibody (not shown). **A** and **B**: All cells in dysplastic epithelia were positive for cytokeratin. A minor population of cytokeratin-positive cells were also detected in the interstitium proximate to large cysts (**arrowheads** in **B**); note the elongated appearance of these cells. **C** and **D**: α -SMA was detected in compact cells around dysplastic tubules and cysts. Rare cells were also weakly positive for α -SMA in dysplastic tubule epithelia in **C**. Scale bar, 15 μ m.

anti-pancytokeratin-fluorescein isothiocyanate (F3418; Sigma) for 1 hour (1:1,000). Coverslips were mounted on slides in Citifluor (Chemical Labs). The slides were examined and photographed under fluorescence on a Zeiss Axioplan microscope (Carl Zeiss) and on a Leica confocal laser scanning microscope (CLSM Aristoplan-Leica, Heidelberg, Germany).

Results

Gene Expression in Normal Kidneys

Positive TGF- β 1 immunostaining was not detected in control sections in which the primary antibody was omitted or preabsorbed with TGF- β 1 peptide (Figure 1A).

Table 1. Characterization of Dysplastic Kidneys and Cells in Culture

	Dysplastic kidneys <i>in vivo</i>		Cultured dysplastic cells	
	Epithelia	Mesenchyme	Control media	Added TGF- β 1
PAX2	++	+/-	++	+
BCL2	++	-	++	+
PCNA	++	+/-	++	+
Cytokeratin	++	+/-	++	+
α -SMA	+/-	++	+/-	++
Fibronectin	na	na	+	++

The PAX2, BCL2, and PCNA data for dysplastic kidneys *in vivo* are from our previous studies.⁹ Immunohistochemistry for cytokeratin and α -SMA are depicted in Figure 4 of this study. Data for dysplastic cells were generated in the present study and represent a synthesis of Western blotting for PAX2, BCL2, PCNA, α -SMA, and fibronectin plus immunocytochemistry for cytokeratin and α -SMA. Note the similarities in protein expression between the dysplastic cells in this study and dysplastic epithelia *in vivo*. Key: -, no protein detected; +/-, rare positive cells by immunohistochemistry or immunocytochemistry, or barely detectable signals by Western blotting; + and ++, increasing intensity of either the immunohistochemical or Western blotting signal; na is not assessed. See Figures 1 to 9 for detailed results.

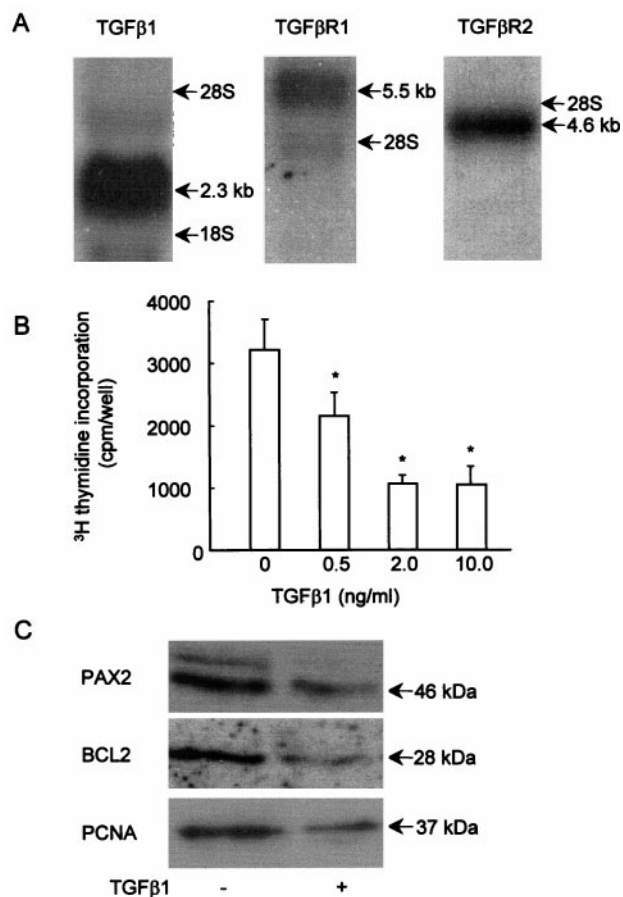


Figure 5. TGF- β 1, TGF- β R1, and TGF- β R2 expression, and effects of TGF- β 1 on proliferation and protein expression in cultured dysplastic cells. **A:** Northern blot demonstrated that dysplastic cells express transcripts for TGF- β 1 (2.3 kb), TGF- β R1 (5.1 kb), and TGF- β R2 (4.6 kb). **B:** Exogenous TGF- β 1 reproducibly inhibited [3 H]thymidine incorporation of the dysplastic cells at concentrations between 0.5 and 10.0 ng/ml *versus* time-matched cells cultured in control medium, with significant effects ($*P < 0.01$, Student's *t*-test) between 0.5 and 10.0 ng/ml. **C:** In this Western blot, representative of three separate experiments, PAX2, BCL2, and PCNA bands at the expected sizes were detected in cells cultured in control medium (-), but there was a reproducible decrease in expression of these molecules 48 hours after exposure to 5.0 ng/ml TGF- β 1 (+).

During normal nephrogenesis TGF- β 1 immunoreactivity was detected in the muscular walls of arteries (Figure 1B). Maturing medullary and cortical collecting ducts, nephron precursors, and stromal cells were negative using this methodology (Figure 1, B and C). Postnatally, positive immunostaining was only detected in vessels (not shown). In the developing kidney, weak TGF- β R1 and - β R2 immunoreactivity was observed in vessel walls and collecting ducts (Figure 1, D-G): the latter were considered by Edith Potter to represent the lineage in common with dysplastic tubules.² Some prenatal glomeruli were also weakly positive (Figure 1, D and F). Receptor immunoreactivity was restricted to vessels postnatally (not shown).

Gene Expression in Dysplastic Kidneys

A similar pattern of TGF- β 1 and receptor immunostaining was detected in the prenatal and postnatal dysplastic

kidneys. TGF- β 1 protein was most prominent in compact cells proximate to dysplastic tubules and cysts (Figure 2, A-C). Immunoreactivity for the factor was also observed in the epithelia of larger cysts (Figure 2C), especially in prenatal samples (not shown). Vascular reactivity was noted, as for the normal samples (not shown). Dysplastic epithelia uniformly stained for both TGF- β R1 and TGF- β R2 (Figure 2, D-G). Using a sense probe for TGF- β 1 mRNA, no signal was observed in dysplastic epithelia or surrounding tissue (Figure 3A), whereas an antisense probe revealed positive signals in dysplastic tubule and cyst epithelia (Figure 3, B-D) and in a subset of surrounding mesenchyme-like cells (arrowheads in Figure 3, B-D). The same sense and antisense probes demonstrated a specific signal for TGF- β 1 transcripts in renal vessel walls from these organs (Figure 3, E and F). In contrast with dysplastic tissues, using the same methodology, normal prenatal and postnatal kidneys had no significant signal apart from vessels (not shown).

Immunohistochemistry for cytokeratin revealed positive staining in all dysplastic epithelia (Figure 4, A and B). A minor population of cytokeratin-positive cells were detected in the interstitium proximate to, but quite distinct from, large cysts (arrowheads in Figure 4B) and these cells had an elongated appearance, consistent with a motile-type phenotype.³⁴ α -SMA was detected in compact cells around dysplastic tubules and cysts (Figure 4, C and D), a similar location to mesenchymal TGF- β 1 immunoreactivity. Occasional cells (<1%) were also weakly positive for α -SMA in dysplastic epithelia (Figure 4C). In the normal prenatal kidneys, α -SMA was not detected in renal mesenchyme, or interstitial stromal cells between maturing tubules, although it was detected in vessels in normal and dysplastic samples (not shown).

Characterization of Cultured Cells

Using tissues from two postnatal dysplastic kidneys we generated two transduced cell lines. Both expressed SV40TA γ by Western blotting (not shown). One had a mesenchymal-like morphology and was not studied further. The other comprised cells with an epithelial-like morphology: sparse cells appeared cuboidal whereas confluent cultures had an irregular cobblestone appearance. This phenotype was maintained >30 passages without evidence of senescence or blast crisis. These cultured cells expressed classical epithelial markers including cytokeratin and ZO1, the receptor tyrosine kinase MET, which we have previously described in normal and abnormal developing renal epithelia,⁸ and other proteins up-regulated in dysplastic epithelia *in vivo*, including PAX2, BCL2, and PCNA (Table 1).

Effects of TGF- β 1 on Dysplastic Cells

TGF- β 1, TGF- β R1, and TGF- β R2 transcripts were detected in the cultured dysplastic epithelia by Northern blot (Figure 5A), consistent with the *in vivo* expression of these genes by dysplastic tubules and cysts, described above. Proliferation, as assessed by [3 H]thymidine incor-

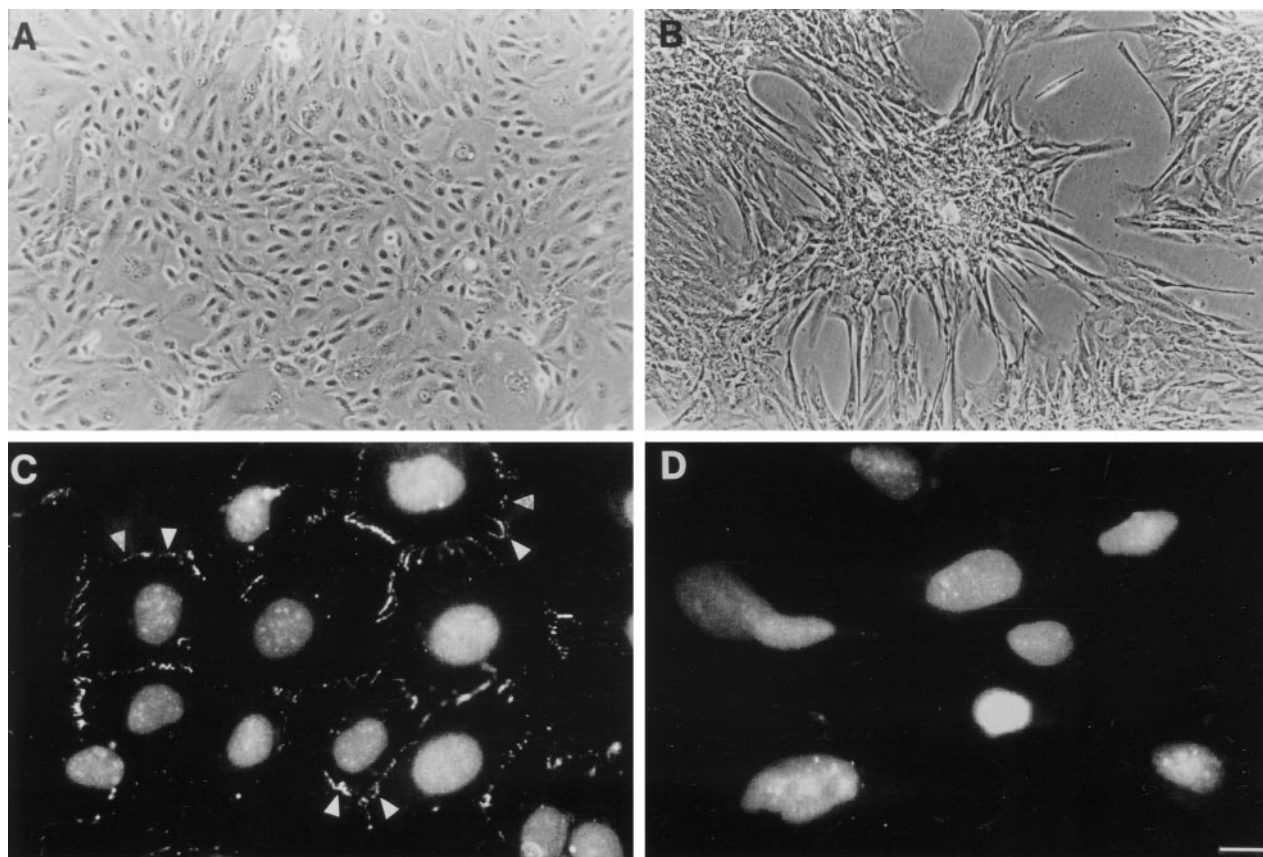


Figure 6. Effects of TGF- β 1 on morphology and ZO1 expression. Gross morphology is shown in **A** and **B**, whereas **C** and **D** show ZO1 immunocytochemistry (with propidium-iodide nuclear counterstaining) of epithelial-like dysplastic cells cultured in either control medium (**A** and **C**) or with exogenous TGF- β 1 (**B** and **D**). **A:** Cells cultured in control medium had an epithelial-like morphology in monolayer culture. **B:** Morphological changes were observed after exposure to 2.0 ng/ml TGF- β 1 for 72 hours: multilayered aggregates formed in semiconfluent and confluent cultures and individual cells between aggregates became larger and developed filopodia and lamellipodia characteristic of a motile phenotype.³⁴ **C** and **D:** In control medium ZO1 was immunolocalized to lateral cell junctions (**arrowheads**) but immunostaining at cell borders was lost after culture with TGF- β 1. Scale bar: 40 μ m (**A** and **B**); 15 μ m (**C** and **D**).

poration, was significantly ($P < 0.01$; Student's *t*-test) down-regulated at 48 hours by exogenous TGF- β 1 concentrations between 0.5 and 10.0 ng/ml versus time-matched controls (Figure 5B). Maximal effects were observed between 2.0 and 10.0 ng/ml, with no significant difference between these concentrations. For the rest of the experiments in this study, therefore, we used concentrations within this range. We also examined how TGF- β 1 affected the molecular phenotype of these cells. Figure 5C shows representative Western blots for three experiments in which cells were grown in either control medium or in medium with 5.0 ng/ml TGF- β 1 for 2 days. Exogenous TGF- β 1 induced a reproducible decrease in immunoreactive PAX2, BCL2, and PCNA proteins, molecules characteristically expressed in dysplastic epithelia.

Dysplastic cells cultured in control medium had an irregular epithelial-like morphology in monolayer culture (Figure 6A). After exposure to added 2.0 ng/ml TGF- β 1 for only 1 day, we recorded reproducible effects comprising elongation and increase in area of individual cells (see Figure 9, A and C). In semiconfluent and confluent cultures, TGF- β 1 exposure for 48 to 72 hours elicited more pronounced changes, with formation of multilayered aggregates (Figure 6B). Cell density was relatively sparse between aggregates, but close inspection re-

vealed individual cells with filopodia and lamellipodia characteristic of migratory cells (Figure 6B).³⁴ These effects of exogenous TGF- β 1 were completely abrogated by addition of TGF- β 1 blocking antibody as described in the Materials and Methods. Conversely, culture of the cells with only the blocking antibody had no effects on the irregular epithelial-like morphology, perhaps suggesting that, *in vitro*, endogenous secretion of TGF- β 1 protein is not sufficient to alter the baseline phenotype. After exposure to TGF- β 1, changes in cell shape and aggregation were reversible on switching to control medium for 48 hours (not shown). Under control conditions, cells formed tight junctions with neighboring cells, as evidenced by immunodetection of ZO1 protein at the lateral cell junctions (Figure 6C)²⁷ and, after exposure to TGF- β 1, there was loss of junctional ZO1 protein (Figure 6D).

Using double immunofluorescence, all cells in control medium expressed cytokeratin (Figure 7A) whereas α -SMA immunoreactivity was present in <1% of cells in representative cultures. In marked contrast, α -SMA protein was detected in >70% of cells in a typical time-matched culture exposed to TGF- β 1 for 48 to 72 hours (Figure 7B). As assessed by confocal laser scanning microscopy (Figure 7, C-H), the above impressions were confirmed and furthermore, after exposure to exogenous

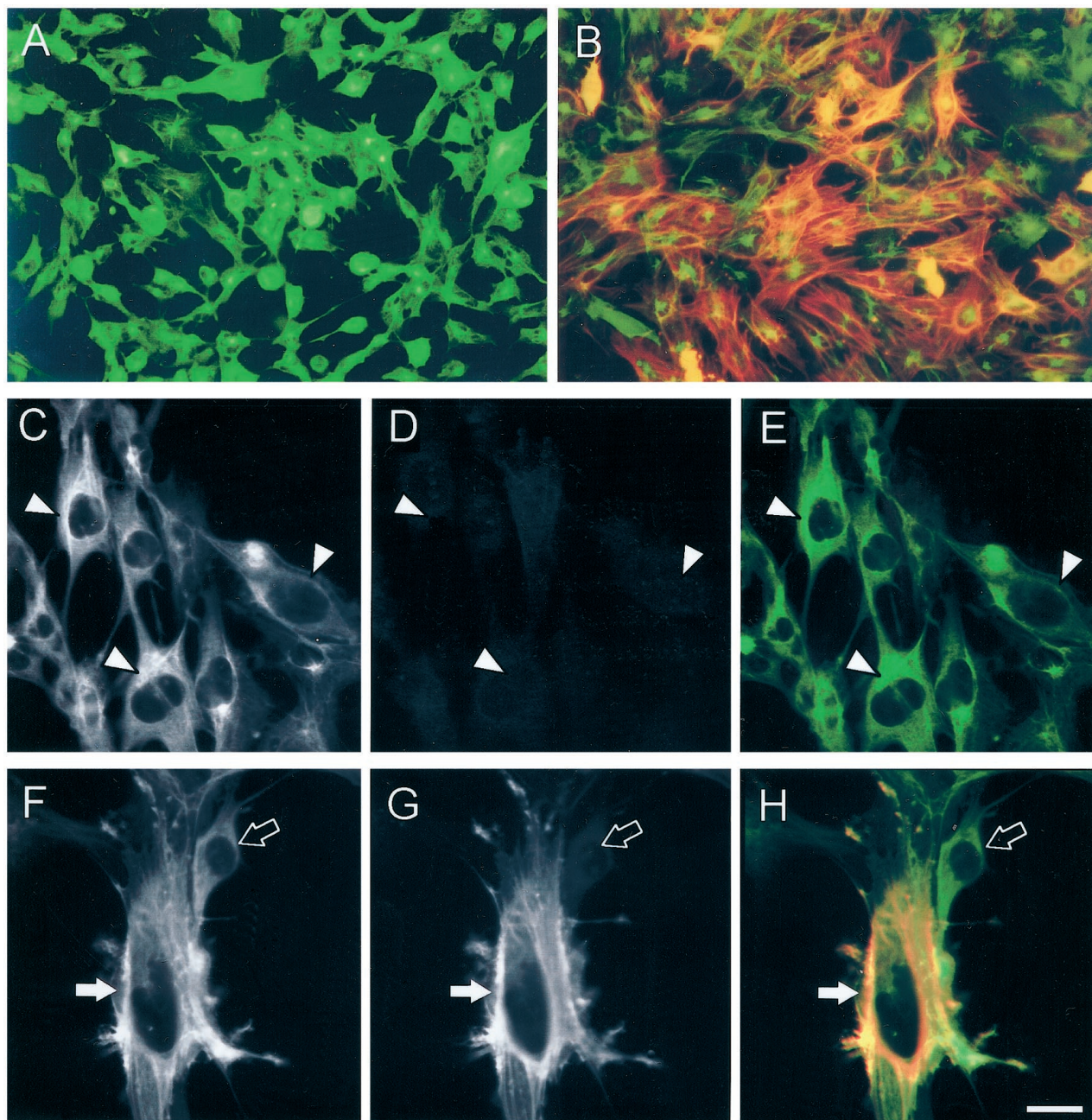


Figure 7. Effects of TGF- β 1 on cytokeratin and α -SMA expression. Shown are semiconfluent cells grown in control medium (**A** and **C-E**) and cells that have been exposed to exogenous TGF- β 1 for 72 hours (**B** and **F-H**). Panels are representative of three experiments. All cultures were double-immunostained with antibodies to cytokeratin and α -SMA, which were, respectively, detected with the fluorochromes fluorescein isothiocyanate (green) and tetramethylrhodamine isothiocyanate (red); double-expressing cells appear orange/yellow. **A, B, E,** and **H:** Composites of both signals are shown. **C** and **F:** Only the cytokeratin wavelength is depicted. **D** and **G:** Only the α -SMA image is shown. All cells expressed cytokeratin in control medium (**A**, and **arrowheads** in **C** and **E**), but >99% were negative for α -SMA (**A, D,** and **E**). Time-matched TGF- β 1-treated cells demonstrated marked up-regulation of α -SMA in >70% of cells (**B**). After culture with exogenous TGF- β 1, analysis at the single cell level revealed a major population of larger cells which expressed cytokeratin and α -SMA (**solid arrow** in **F-H**), demonstrating evidence of a transitional phenotype, whereas a subset of cells were cytokeratin-positive only (**open arrow** in **F-H**). Scale bar: 30 μ m (**A** and **B**); 10 μ m (**C-H**).

TGF- β 1, it was common to detect individual cells that expressed both cytokeratin and α -SMA (Figure 7, F-H), consistent with an epithelial-mesenchymal transition. As assessed by Western blotting, α -SMA was barely detectable in lysates of cell cultures grown in control medium, whereas there was a reproducible, stepwise increase in expression after addition of 5.0 ng/ml of TGF- β 1 for 24, 48, and 72 hours (Figure 8A). Similar analyses for fi-

bronectin protein revealed that TGF- β 1 up-regulated levels of this extracellular matrix molecule which is known to be highly expressed by metanephric mesenchymal cells (Figure 8B).²⁹

Dysplastic cells were also cultured with 20.0 ng/ml HGF, the ligand for MET. After 1 day of exposure to this ligand, epithelial-like dysplastic cells (Figure 9A) exhibited the classical scattering response (Figure 9B), first

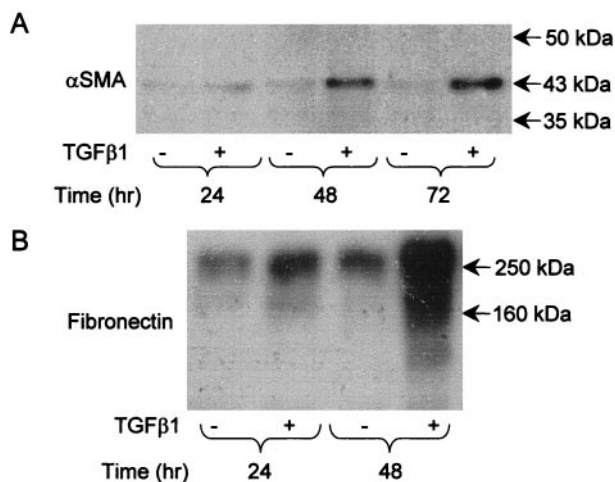


Figure 8. Effects of TGF- β 1 on α -SMA and fibronectin protein expression. Western blots, representative of three experiments, for α -SMA (**A**) and fibronectin (**B**) in dysplastic cells grown in either control (–) or medium supplemented with 2.0 ng/ml TGF- β 1 (+) for up to 72 hours. **A:** A single band at the expected size of 43 kd for α -SMA was barely detectable at all time points in control cultures, whereas there was a progressive, stepwise increase in immunoreactive protein when cultured with exogenous TGF- β 1. **B:** Low levels of fibronectin were detected at around 250 kd in control cultures but immunoreactive protein increased after 48 hours of culture with exogenous TGF- β 1. Similar effects were elicited by levels of TGF- β 1 as low as 0.5 ng/ml (not shown).

reported in the Madin Darby canine kidney cell line, prototypic renal epithelial cells.³⁵ During the response the dysplastic cells uniformly separated from each other and became very thin with bipolar elongated processes (Figure 9B). This is different from the early changes elicited by 1 day of exposure to exogenous TGF- β 1, when cells increased in area and tended to form clumps (Figure 9C). An additional difference was that HGF had no effect on cell proliferation, as assessed by [³H]thymidine incorporation (not shown). Western blot confirmed expression of MET by the dysplastic cells, as well as tyrosine phosphorylation of this receptor after exposure to HGF (Figure 9D). Hence these cells can respond to more than one factor but the effects of TGF- β 1 seems characteristic.

Discussion

Our descriptive and functional studies provide preliminary data to implicate TGF- β 1 in the pathobiology of dysplastic kidneys. Moreover, ours is the first study to isolate cells from human renal malformations to assess their behavior in strictly defined milieus. Finally, our results introduce the possibility that an epithelial-to-mesenchymal phenotypic switch is implicated in a clinically important developmental aberration.

TGF- β 1 is Up-Regulated in Human Dysplastic Kidneys

We found that in normal human kidney development, TGF- β 1 mRNA and protein were expressed in vessels, whereas type I and II TGF- β 1 receptors could be de-

tected in these structures and also in maturing collecting ducts. These observations are broadly similar to previous nonhuman studies.^{19,21,33} In dysplastic kidneys, TGF- β 1 mRNA and protein were up-regulated. TGF- β 1 transcripts were prominent in dysplastic epithelia and also detected in a subset of the surrounding cells. TGF- β 1 protein was strikingly up-regulated and was mainly immunolocalized in swirls of mesenchyme-like cells around dysplastic epithelia although it was also detected in the epithelia of some large cysts. We postulate that the relatively high epithelial TGF- β 1 mRNA levels compared to immunoreactive protein may reflect secretion of the factor, either apically or basally. Indeed, immunoreactive TGF- β 1 can be detected in the urine after experimental obstruction of the fetal lower urinary tract in sheep.¹³ We detected TGF- β 1 receptor I and II immunoreactivity in all dysplastic epithelia, ranging from small tubules to large cysts. The observed distribution patterns of TGF- β 1 and its receptor proteins is consistent with both autocrine signaling systems within epithelia and paracrine effects, with mesenchymal TGF- β 1 acting on epithelial receptors.

Our observation of TGF- β 1 up-regulation in dysplastic kidney tissues is similar to reports of increased TGF- β 1 mRNA in ureteral obstruction in adults,³⁶ and several studies during animal kidney development:^{13,37} Chevalier and colleagues,³⁷ for example, described increased TGF- β 1 in obstructed neonatal rat kidneys that are still undergoing active nephrogenesis, whereas Medjebeur et al¹³ reported up-regulation of TGF- β 1 mRNA in ovine kidney malformations generated by fetal urinary obstruction.

TGF- β 1 Affects the Biology of Dysplastic Renal Epithelia in Vitro

We next examined the effects of TGF- β 1 on cultured cells which displayed characteristics of dysplastic epithelia *in vivo*, including TGF- β 1, TGF- β R1, and TGF- β R2 expression. At concentrations as low as 0.5 ng/ml, exogenous cytokine inhibited proliferation of these epithelial-like cells, as reported in mature renal epithelia.³⁸ This effect also parallels the inhibition of TGF- β 1 on ureteric bud and collecting duct branching in murine metanephric culture³⁹ and in Madin Darby canine kidney cells.⁴⁰ This data, taken together with reports that kidney development is normal in TGF- β 1 null mutants,⁴¹ suggests that excess TGF- β 1, rather than lack of this factor, has significant biological effects on metanephric growth.

During the same period that TGF- β 1 decreased proliferation of cultured dysplastic cells, we recorded down-regulation of PCNA and PAX2 proteins, molecules associated with expansion of metanephric precursor cells in normal development¹⁰ and pathological renal cystogenesis.^{9,42} Of note, Liu et al³⁸ demonstrated that TGF- β 1 down-regulated PAX2 mRNA in mature rabbit proximal tubule cells by decreasing transcript stability. In our current study we also found that exogenous TGF- β 1 decreased BCL2, a molecule ectopically expressed in hyperproliferative dysplastic kidney epithelia,⁹ but reduction of this survival factor¹¹ was not associated with

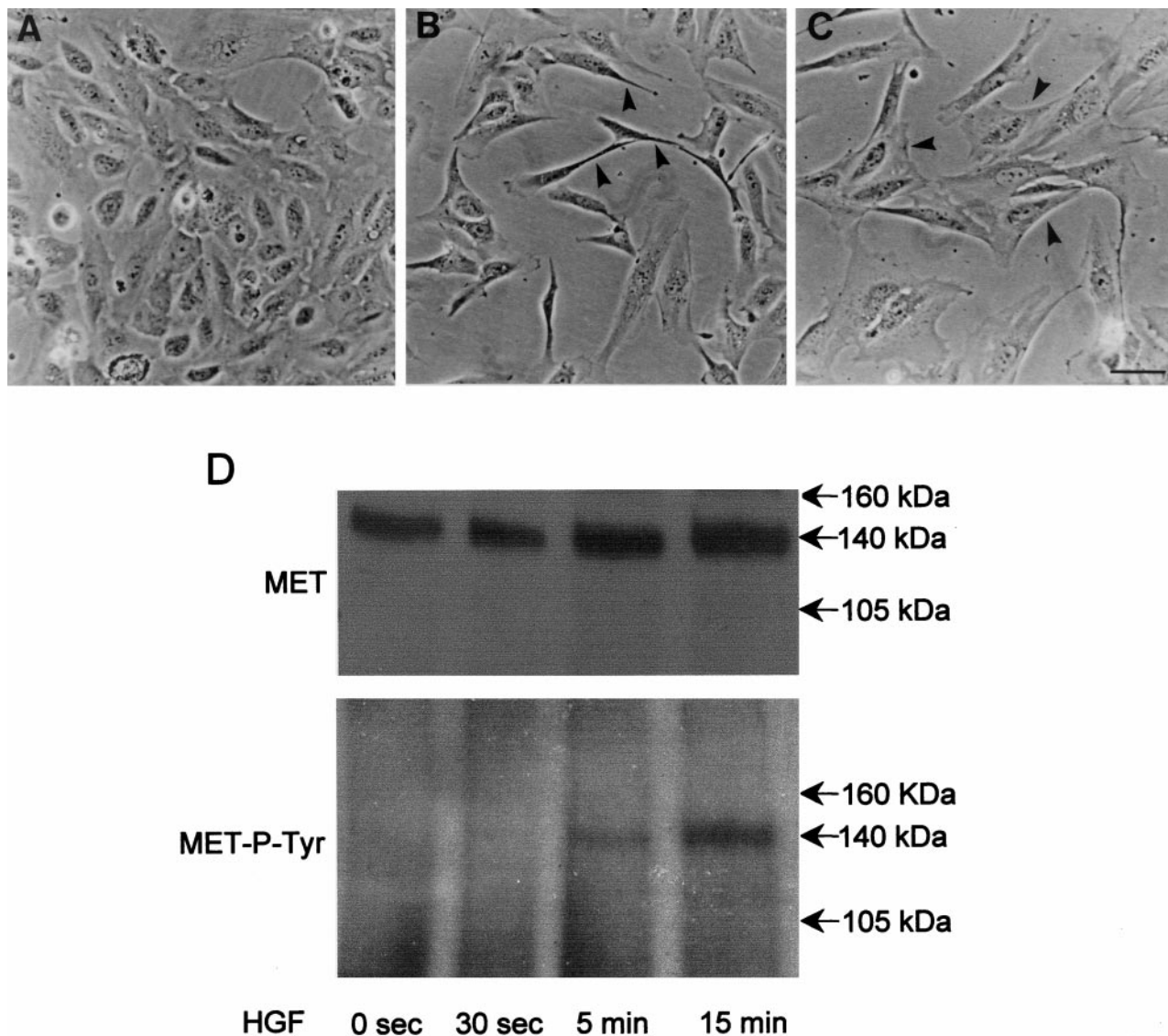


Figure 9. Different effects of HGF and TGF- β 1 on dysplastic cells. **A–C:** Gross morphology of cells cultured in control medium (**A**), and with additional 20.0 ng/ml of HGF (**B**) or 2.0 ng/ml of TGF- β 1 (**C**) for 24 hours. Note the epithelial-like appearance of cells in control medium, the separation and elongation of cells on exposure to HGF (arrowheads in **B**), and the tendency to begin to enlarge and clump with TGF- β 1 (arrowheads in **C**). **D:** Cell lysates were immunoprecipitated with anti-MET antibody and probed with either anti-MET antibody (top) or antiphosphotyrosine antibody (MET-P-TYR; bottom). Note the expression of MET, which becomes tyrosine phosphorylated within 5 minutes of exposure to HGF. Scale bar, 10 μ m (**A–C**).

fulminant apoptosis, as assessed by serial microscopy and a search for DNA laddering (data not shown).

Exogenous TGF- β 1 induced morphological and cytoskeletal changes consistent with a switch from epithelial toward a mesenchymal phenotype. The epithelial characteristics of the dysplastic cells in control media comprised a cuboidal cell shape, expression of cytokeratin and MET, and the localization of ZO1 at lateral cell junctions. Exogenous TGF- β 1 initially induced an increase in cell area (see Figure 9C) and then the formation of multilayered aggregates; between these structures we observed cells with an elongated, motile phenotype (see Figure 6B).³⁴ These changes were accompanied by the loss of ZO1 at cell borders, and up-regulation of α -SMA and fibronectin.

One could argue that these TGF- β 1-induced changes result from selection of a small subpopulation (<1%) of

α -SMA-positive cells present before exogenous cytokine was added. It seems unlikely, however, that such a small fraction could expand rapidly enough to comprise >70% of the TGF- β 1-treated population within 2 to 3 days, even if selective proliferation was combined with massive apoptosis of the initial epithelial-like cells, especially because we did not observe excess cell death (see above). The reversibility of the morphological changes induced by TGF- β 1 after withdrawal of the cytokine for 24 hours also argues against selection, although it would be interesting to determine whether prolonged exposure to the cytokine would elicit a more complete phenotypic transformation in our human cells.

The most compelling argument in favor of TGF- β 1-induced phenotypic transformation, however, is our finding of cells that clearly co-expressed α -SMA and cytokeratin after treatment with this factor (see Figure 7H); we

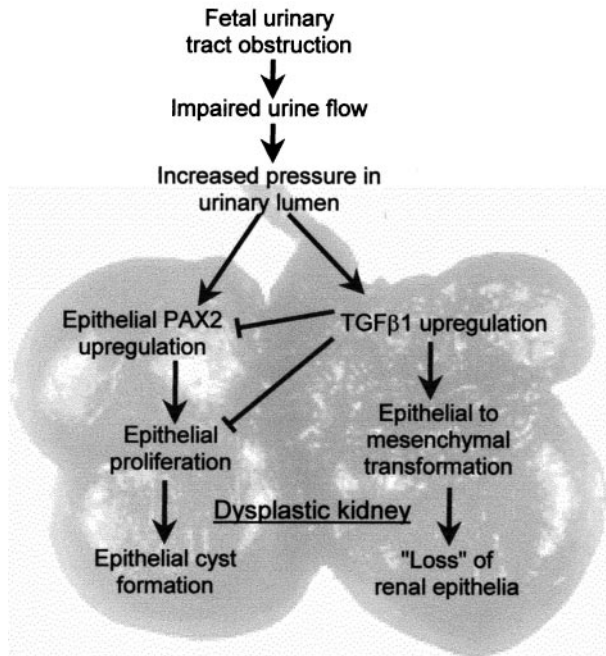


Figure 10. Working model linking obstruction, TGF- β 1 and PAX2 in human kidneys. Increased hydrostatic pressure from impairment of fetal urinary flow is postulated to trigger cystic epithelial hyperproliferation, mediated via up-regulation of PAX2, and to directly up-regulate TGF- β 1. Increased levels of this cytokine act as a biological brake on epithelial hyperproliferation, inhibit normal branching morphogenesis, and promote phenotypic transformation of epithelial cells and their loss into a mesenchyme-like phenotype. The final biological effects therefore represent a balance between these positive and negative factors. Other triggers such as mutations or teratogens may also initiate this sequence of events. \rightarrow indicates stimulates or causes; \dashv indicates inhibits or prevents.

propose that these are epithelial-like cells which have entered a transitional stage during the switch toward a mesenchymal phenotype. It is intriguing, therefore, that dysplastic kidneys contained rare α -SMA-positive cells in dysplastic epithelia and cytokeratin-positive interstitial cells near to cysts. These cells potentially represent the equivalent transitional stage between epithelium and mesenchyme *in vivo*, but one would have to trace the cell lineage changes over time to prove that they were undergoing phenotypic transformation. It is difficult to conceive of an experimental strategy to do this in humans but it may be possible using an animal model, as follows: first developing renal epithelia would have to be labeled *in vivo*, for example with a vital dye such as Dil or with a genetic marker such as the Lac-Z reporter gene. Second, these cells would need to be exposed to an increased milieu of TGF- β 1, such as that induced by obstruction of the urinary tract. Finally, one would need to demonstrate in tissue sections that labeled epithelial cells had changed shape and position to become mesenchymal cells.

TGF- β 1 has previously been implicated in progressive fibrosis which accompanies loss of renal function in chronic adult kidney diseases, where it mediates expansion of the interstitium by increasing α -SMA-positive myofibroblastic cells and extracellular matrix.⁴³ Evidence is accumulating that some of these cells may be derived from mature epithelia, rather than just stromal fibroblasts,

by a process termed "transdifferentiation." For example, in the rat remnant kidney, proximal tubule cells begin to express α -SMA and lose basement membrane integrity, consistent with the acquisition of a mesenchymal phenotype.⁴⁴ In addition, TGF- β 1 promotes transdifferentiation of adult rat kidney tubular epithelial cells into myofibroblasts *in vitro*.³⁰ The master molecules that drive this TGF- β 1-induced phenotypic switch are poorly defined, although Okada and colleagues⁴⁵ have functionally implicated FSP1, an intracellular calcium-binding protein, in TGF- β 1-induced transdifferentiation of mature murine proximal tubule cells; expression of FSP1 has not yet been reported in human dysplastic kidneys. Because TGF- β 1 induces epithelial-to-mesenchymal conversion of normal mature as well as dysplastic renal epithelia, one might also expect normal developing renal epithelial cells to react in a similar manner. Proof of this hypothesis requires further experiments.

Potential Roles of Other Growth Factors in Human Renal Dysplasia

Aberrant expression of several other soluble signaling factors has also been reported in dysplastic kidneys, including HGF,⁸ insulin-like growth factors,⁴⁶ platelet-derived growth factor,⁴⁷ and tumor necrosis factor- α .⁴⁸ One could argue that perturbation of these factors might have the same effects as up-regulation of TGF- β 1. This seems unlikely, however, because we found that exogenous HGF caused distinct, different effects; after exposure to HGF for only 24 hours, cells exhibited the classical scattering response³⁵ and there was no effect on cell proliferation. This demonstrates that the dysplastic cells have a range of potential responses to signaling molecules *in vitro*. Ultimately, however, it will be important to ascertain which signaling systems, if any, have critical roles in the biology of dysplasia in the whole animal. In future studies, the potential *in vivo* roles of individual factors could be tested by altering their tissue levels in animal models of renal dysplasia. For example, to investigate the role of overexpression of TGF- β 1 in renal dysplasia one would need to block this factor (eg, using decorin⁴⁹) in an animal model of fetal lower urinary tract obstruction^{13,14} and assess the resulting histological and biological effects.

Complex Biology of Human Dysplastic Kidneys—A Working Model

How, then, might TGF- β 1 fit into the cascade of gene expression and aberrant cell biology observed in human dysplastic kidneys? We propose that it is possible to link up-regulated TGF- β 1 expression to fetal urinary tract obstruction, which often accompanies human dysplasia (Figure 10). In this model, increased hydrostatic pressure from impaired fetal urinary flow has two separate effects. On the one hand, obstruction would trigger cystic epithelial hyperproliferation,⁹ as reported when MDCK cysts are subjected to increased tension *in vitro*.⁵⁰ PAX2 may be

implicated in this process because experimental ovine fetal ureteric obstruction causes increased PAX2 expression in renal cysts,¹⁴ increased PAX2 expression causes cyst proliferation in transgenic mice⁴² and this molecule has been implicated in oncogenesis.⁵¹ On the other hand, stretch might also up-regulate TGF- β 1 in the metanephros, as reported in other renal cells in culture,⁵² and this would have a number of secondary effects. First, increased TGF- β 1 acts as a biological brake on epithelial hyperproliferation as demonstrated in the current study; this effect is potentially beneficial because it limits cyst growth and may be mediated by down-regulation of PAX2.³⁸ Second, based on several *in vitro* experiments,^{39,40} excess TGF- β 1 inhibits normal branching morphogenesis, a classic feature of dysplastic kidneys reported by Edith Potter² in microdissection studies. Third, epithelial cells are diverted or lost into a mesenchymal/smooth muscle lineage contributing to the characteristic collarettes around dysplastic tubules.^{6,7} Most likely, the final biological consequences of fetal obstruction would be determined by a balance between these molecular changes which may be heterogeneous within a single developing organ. For example, in areas where cysts form, the effects of PAX2 may outweigh the inhibitory influence of TGF- β 1, but the cytokine may still limit the rate of cyst growth.

Finally, it should be noted that overt obstruction was only diagnosed in a subset of our samples, whereas up-regulation of TGF- β 1 was uniformly observed. Variants on this model might therefore include other triggers such as mutations or teratogens that initiate the same sequence of events.

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