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$APOE$ modifies the association between AB load and cognition in cognitively normal older adults

ABSTRACT

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Objective: To determine the relationship between β -amyloid (A β) load as measured by [¹¹C]-Pittsburgh compound B (PiB) PET and cognitive function in cognitively normal older adults.

Methods: We studied 408 cognitively normal older adults who participated in the population-based Mayo Clinic Study of Aging (MCSA) from January 2009 through March 2011. The participants underwent PiB PET and neuropsychometric testing within 6 months. The association between PiB retention and cognitive function was measured by partial correlation and an interaction with *APOE* status was tested using linear regression after adjusting for age, sex, and education.

Results: Higher PiB retention was associated with cognitive performance (Spearman partial *r* -0.18; *p* 0.01), specifically the memory, language, attention/executive, and visual-spatial processing domains in the whole group of participants. The association between PiB retention and cognition was modified by the *APOE* status on linear regression analysis even after controlling for the differences in the distribution of PiB values among APOE ϵ 4 carriers and noncarriers (p = 0.02). Cognitive performance was associated with the A β deposition in the frontal, temporal, and parietal lobe association cortices in APOE ϵ 4 carriers on SPM analysis (p < 0.001).

Conclusion: There is a modest association between PiB retention and cognitive function in cognitively normal older adults and this relationship between A_B load and cognitive function is modified by APOE status. Whereas A*β* load is associated with greater cognitive impairment in APOE ϵ 4 carriers, the cognitive function in *APOE* -4 noncarriers is influenced less by the A load, suggesting that *APOE* isoforms modulate the harmful effects of Aβ on cognitive function. **Neurology[®] 2012;78:232-240**

GLOSSARY

 $A\beta$ = β -amyloid; **AD** = Alzheimer disease; **ADNI** = Alzheimer's Disease Neuroimaging Initiative; **ANOVA** = analysis of variance; **GM** = gray matter; **MCSA** = Mayo Clinic Study of Aging; **MPRAGE** = magnetization-prepared rapid gradient echo; **PiB** = Pittsburgh compound B; WAIS-R = Wechsler Adult Intelligence Scale—Revised.

Identifying individuals with preclinical Alzheimer disease (AD) is critical for preventive clinical trials.¹ While 30% of cognitively normal older adults have increased β -amyloid (A β) deposition on PET imaging with amyloid ligand $[^{11}C]$ –Pittsburgh Compound B (PiB),^{2,3} the effects of A β load on cognitive function in cognitively normal individuals has been equivocal.⁴

The ${\it APOE}$ ϵ 4 allele increases the risk for AD and lowers the age at onset in a gene-dosedependent manner.⁵ APOE isoforms differentially regulate Aß clearance with APOE **e**4 having a greater disruptive effect on A β clearance than either $APOE$ ϵ 3 or $APOE$ ϵ 2.^{6,7} In line with these observations, cognitively normal carriers of ${\it APOE}$ ϵ 4 have greater A β load than noncarriers at a given age, $3,8-11$ and A β load increases the risk of cognitive decline in cognitively normal individuals³ or individuals without dementia.¹² Because both A β load and *APOE* ϵ 4 increase the risk of AD, we hypothesized that *APOE* status modifies the relationship between A β load and cognitive performance in the early stages of A β pathology in older adults.

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Our primary objective was to determine the association between $\mathbf{A}\boldsymbol{\beta}$ load and cognitive function in a population-based sample of cognitively normal older adults. We further investigated the effects of *APOE* isoforms on the association between \overrightarrow{AB} load and cognitive performance. Finally, we performed voxel-based analysis to determine the regional pattern of \overline{AB} deposition that is associated with cognitive performance in cognitively normal older adults.

METHODS Participants. We studied 408 cognitively normal older adults who participated in the Mayo Clinic Study of Aging (MCSA) from January 2009 through March 2011. MCSA is a prospective population-based study of older adults without dementia.¹³ Individuals participating in the MCSA undergo clinical examinations, *APOE* genotyping, a battery of neuropsychological tests, and MRI examinations every 15 months. After completion of each evaluation, a consensus committee meeting is held involving the behavioral neurologists, neuropsychologists, and nurses who evaluated the subjects to assign a clinical diagnosis to the participant. PET studies have been offered to all MCSA participants since January 2009 and are performed within 6 months of MRI and cognitive testing (figure e-1 on the *Neurology®* Web site at www.neurology.org).

Inclusion criterion was normal cognitive function which was judged according to the published criteria from normative data.¹⁴ Subjects who had a contraindication for MRI such as a pacemaker, or who were unable to participate in imaging studies because of severe illness, were excluded. Subjects were not excluded due to neurologic, psychiatric, or systemic illnesses to preserve the representativeness of the study sample as much as possible.

Participants with *APOE* genotype 2/2, 2/3 were labeled €2 carriers, genotype 3/3 was labeled **∈**3 homozygote, genotypes 3/4 and 4/4 were labeled ϵ 4 carriers. Since the impact of ϵ 2/4 on AD risk remains unclear, data for this genotype were treated as a separate group.

Standard protocol approvals, registrations, and patient consents. This study was approved by the Mayo Clinic Institutional Review Board, and informed consent for participation was obtained from every participant.

Neuropsychological testing. Memory was evaluated by free recall retention scores computed after a 30-minute delay for the Wechsler Memory Scale—Revised Logical Memory and Visual Reproduction subtests and the Rey Auditory Verbal Learning Test. Language tests measured naming to confrontation (i.e., the Boston Naming Test) and category fluency (i.e., naming animals, fruits, and vegetables). The attention/executive measures included the Trail Making Test part B, and the Wechsler Adult Intelligence Scale—Revised (WAIS-R) Digit Symbol subtest. Visual-spatial processing was examined by the WAIS-R Picture Completion and Block Design subtests. All tests were administered by experienced psychometrists and supervised by a clinical neuropsychologist (R.J.I.). All raw neuropsychological test scores were standardized in the entire MCSA sample.14 We obtained individual domain standard (*Z*) scores by averaging the *z* scores of the individual tests included in each domain. A global cognitive function *Z* score was derived by averaging the 4 standardized cognitive domain scores.

MRI and PET acquisition. MRIs were performed at 3 Tesla using an 8-channel phased array coil (GE, Milwaukee, WI). A 3-dimensional high-resolution magnetization-prepared rapid gradient echo (MPRAGE) acquisition was performed for anatomic segmentation and labeling of PiB PET scans. PET images were acquired using a PET/CT scanner (DRX; GE Healthcare) operating in 3-dimensional mode. The subjects were injected with 292-729 MBq [¹¹C] PiB. After a 40-minute uptake period, a 20-minute PiB scan was obtained. The PiB-PET acquisition consisted of 4 5-minute dynamic frames, acquired from 40 to 60 minutes after injection. The pixel size for PET images was 1.0 mm and the slice thickness was 3.3 mm.

Analysis of PiB PET images. PiB-PET quantitative image analysis was performed using the fully automated image processing pipeline which has previously been described in detail.15 Briefly, the method includes registering PET images to 3-dimensional MPRAGE for gray matter (GM) sharpening on SPM5. PiB-PET cortical ratio images are calculated by dividing each PiB-PET GM voxel value by the median value in the cerebellar GM region in the subject's MRI space. The global cortical PiB retention was determined by calculating the median value of the PiB-PET GM ratio from all voxels in the bilateral parietal, posterior cingulate, precuneus, temporal, prefrontal, orbitofrontal, and anterior cingulate GM regions as defined in the in-house modified anatomic labeling atlas where the average is weighted by region of interest size.¹⁵

Voxel-based analysis was performed in order to determine the topographic pattern of correlations between PiB retention and global cognitive function in cognitively normal older adults. To do so, each subject's MRI scan was spatially normalized to a custom template¹⁶ using the unified segmentation model of SPM5. The resulting deformation was applied to the PiB retention ratio images in native space in order to warp the segmented native PiB retention ratio images to the customized template. Voxel-based correlations between PiB retention ratio and global cognitive performance were assessed in SPM5. Statistical maps were displayed at a significance value of $p < 0.001$, uncorrected for multiple comparisons.

Statistical analysis. For our primary analysis, we summarize associations between cognition and PiB using Spearman partial rank-order correlations which we denote by "partial *r*^s ."17 This statistic can be thought of as a nonparametric correlation between 2 variables (e.g., PiB retention and cognitive performance) after "partialling out," or controlling for, possible confounders. We report partial Spearman rank-order correlations adjusting for age, sex, and education among all subjects. Because the PiB distributions were highly skewed, we used partial r_s to quantify associations since this method does not assume normally distributed data.17 The non-normal distribution of PiB also motivated our use of the Kruskal-Wallis test to perform nonparametric analysis of variance (ANOVA) to test for differences by *APOE* status.

Next, the effect of *APOE* genotype on the association between PiB retention and cognitive function was evaluated using linear regression models in which we included an interaction between *APOE* genotype and the natural log of PiB and adjust linearly for age, sex, and education. We test for associations using so-called sequential ANOVA in which we report 3 *p* values: 1) the significance of the log-transformed PiB variable given that age, sex, and education are in the model; 2) whether adding *APOE* to this model is significant; and 3) whether adding an interaction between log-transformed PiB and *APOE* adds significantly to the model.

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Table 1 Demographic characteristics and cognitive domain *z* **scores in the PET study participants (i.e., study sample), nonparticipants (i.e., MCSA subjects who did not participate in the current imaging study), and the entire cognitively normal MCSA cohort (combined group of PET study participants and nonparticipants) from January 2009 through March 2011^a**

Abbreviations: $CDR =$ Clinical Dementia Rating; MCSA = Mayo Clinic Study of Aging. a Median (IQR) is listed for the continuous variables.

^b A total of 14% of the *APOE* information is missing for the MCSA cognitively normal subjects and 18% of the *APOE* information is missing for the PET study nonparticipants.

> As a secondary analysis intended to isolate the effect of carrying an *APOE* **∈**4 allele, we performed 1:1 matching of *APOE* **∈**4 carriers to noncarriers matching on global cortical PiB, age, sex, and education. We then performed the regression analysis and sequential ANOVA as described above. We chose APOE ϵ 4 carriers as the reference group for the matched analysis because they manifest the full dynamic range of PiB retention in cognitively normal older adults and it is widely accepted that $APOE \epsilon 4$ carriers are at a higher risk for AD. We grouped the $APOE \epsilon2$ carriers and €3 homozygotes for this analysis because *APOE* €2 carriers did not represent the full dynamic range of PiB retention in cognitively normal older adults; therefore matching $APOE \epsilon2$ carriers to ϵ 4 carriers was not possible. By performing the matched analysis we isolate the effect of having an *APOE* ϵ 4 allele for a given level of global cortical PiB.

> **RESULTS Study sample.** Characteristics of the study sample are described in table 1. The demographic features of the study sample (participants) were on average similar to the MCSA subjects who were evaluated from January 2009 through March 2011 but did not participate in the MRI and PET studies or did not undergo genetic testing (nonparticipants). The only exception was that the fraction of women participants was less than the fraction of women non

participants. The cognitive performance of the participants was slightly better than the nonparticipants specifically in memory, visual-spatial processing, and language function.

Correlations between $\mathbf{A}\boldsymbol{\beta}$ load and cognitive function **within the entire cohort.** In this population-based sample of cognitively normal older adults, the median (interquartile range) of the global cortical PiB retention ratio was 1.39 (1.32 to 1.67). According to the typically used cutoff of 1.50 ,¹⁸ 139 out of 408 $(34\%; 95\% \text{ confidence interval} = 29\% - 39\%) \text{ of the}$ subjects were classified as PiB positive. We did not dichotomize the subjects into high or low PiB retention groups and treated cortical PiB retention ratio as a continuous variable in all analysis. Higher global cortical PiB retention ratio was associated with worse overall cognitive performance (partial $r_s = -0.18$; $p < 0.01$) specifically in the memory (partial r_s = $-0.14; p < 0.01$), attention/executive (partial $r_s = -0.12; p = 0.02$), language (partial $r_s =$ $-0.13; p = 0.01$), and visual-spatial processing (partial $r_s = 0.13$; $p < 0.01$) functions after adjusting for age, sex, and education.

Effect of *APOE* ϵ 4 allele on the association between **A load and cognitive function.** Global cortical PiB retention ratio increased from *APOE* €2 carriers to *APOE* ϵ 3 homozygotes to ϵ 2/4 genotype, with APOE ϵ 4 carriers having the highest levels of PiB retention ($p < 0.001$) (figure 1). *APOE* ϵ 2/4 genotype was excluded from the 3-level linear regression analysis. In the linear regression analysis, there was an interaction with *APOE* status for the associations between global cortical PiB retention and global cognition ($p = 0.05$), memory ($p = 0.05$), and visualspatial processing ($p = 0.02$). The association between higher PiB retention and lower cognitive performance was strongest in the $APOE \epsilon^2$ carriers and weakest in *APOE €*2 carriers with *APOE €*3 homozygotes being in-between the 2 groups (figure 2). We found no relationship between *APOE* status and cognitive function after adjusting for age, sex, and education ($p > 0.09$) (table e-1).

In our secondary analysis, we matched 97 ϵ 4 carriers to ϵ 4 noncarriers using global cortical PiB, age, sex, and education as matching criteria in order to isolate the *APOE* effect. A significant interaction with ${\it APOE}$ ϵ 4 carrier status was found for the associations between global cortical PiB retention and global cognition ($p = 0.01$), language ($p = 0.04$), visual-spatial processing ($p < 0.01$), and a trend with memory function ($p = 0.08$), but not with attention/executive function ($p = 0.74$) (figure 3). Overall, the linear regression analysis both in the whole group and in the matched group on PiB

retention showed that *APOE* genotype modified the relationship between cortical PiB retention and global cognition.

Voxel-based analysis in *APOE* **e**4 carriers demonstrated that lower global cognitive performance was associated with higher PiB retention in the frontal, temporal, and parietal association cortices ($p <$ 0.001) (figure 4). When we correlated PiB retention in specific cortical atlas regions and cognitive *Z* scores, we found correlations between frontal, temporal, parietal, and posterior cingulate/precuneus PiB retention ratio and global cognition, memory, language, and visual-spatial processing ($p < 0.01$) in APOE **e**4 carriers. A weaker correlation between higher cingulate/precuneus PiB retention and lower memory function ($p = 0.05$) and global cognition $(p = 0.03)$ was present in *APOE* ϵ 4 noncarriers.

DISCUSSION The current study showed that greater PiB retention on PET is associated with worse cognitive function in a population-based sample of cognitively normal older adults. The decline in cognition was observed to be steepest in $APOE \epsilon 4$ carriers and least steep in ${\it APOE}$ ϵ 2 carriers. When we stratified the cognitively normal group into ${\it APOE}$ $\epsilon4$ carriers and noncarriers, it was apparent that the association between PiB retention and cognitive function was primarily driven by the $APOE$ ϵ 4 carriers on matched analysis in which the dynamic range of PiB retention ratio was similar by design.

We found modest but significant associations between higher cortical PiB retention and cognitive domain functions in the whole group of participants. Similar trends of associations between $A\beta$ load and cognitive function specifically in the memory and visual-spatial domains have been observed in smaller samples of cognitively normal older adults^{19,20} or older adults without dementia.²¹ A β load was treated as a continuous variable in these studies. Others that dichotomized the cognitively normal subjects into high and low PiB retention groups did not find any differences in cognitive function between the 2 groups.15,18,22,23 However, we note that even with high levels of PiB retention typically seen in subjects with AD, there was relatively little cognitive disturbance, suggesting the influence of additional mediators such as cognitive reserve, which was previously investigated,24,25 and the *APOE* genotype that we investigated in the current study. To our knowledge the current study demonstrated for the first time that the associations between $A\beta$ load and cognitive function in cognitively normal older adults is modified by the *APOE* status. The associations between cognition and PiB retention found in cognitively normal older adults appeared to be primarily driven by the 25% of the participants who were ${\it APOE}$ ϵ 4 carriers. Conversely, we did not find any relationship between cognitive function and *APOE* status most likely due to the older age of our sample. AD risk in *APOE* ϵ 4 carriers decreases after roughly age 80,²⁶ and the influence of *APOE* genotype on cognitive performance dissipates after age 80.²⁷ Hence, absence of a relationship between *APOE* genotype and cognition may be expected in this sample with a median age of 79.

The effects of *APOE* isoforms on AD pathology appear to be multifactorial. There is evidence that \emph{APOE} $\epsilon4$ may influence AD pathology by interacting with $A\beta$ clearance thereby increasing the concentrations of toxic oligomeric $A\beta$,^{6,7,28} enhancing hyperphosphorylation of tau protein²⁹ and reducing choline acetyltransferase activity.³⁰ Cognitively normal young-adult *APOE* ϵ 4 carriers show glucose metabolic reductions in regions similar to the metabolic reductions typically observed in AD,³¹ even in the absence of neurofibrillary tangles and $A\beta$ plaques.32 Furthermore, the resting state fMRI connectivity is reduced in PiB-negative cognitively normal $APOE \epsilon$ 4 carriers in regions that show reduced connectivity in AD.33 Overall, these data suggest that *APOE* ϵ 4 genotype not only increases the risk for A β deposition but also influences AD pathology by modulating the harmful effects of $A\beta$ on cognitive function through synergistic mechanisms.³⁴

On voxel-based analysis we found that lower global cognitive function (derived from the 4 cogni-

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Figure 2 Associations between global cortical Pittsburgh compound B (PiB) retention and standardized cognitive scores according to *APOE* **status**

The trend lines indicate estimated mean cognition as a function of log-transformed PiB with green representing *APOE* -2 carriers (n = 51), blue representing APOE _ε3 homozygotes (n = 254), and orange representing APOE _ε4 carriers (n = 97). Shaded regions indicate 95% confidence intervals. The *p* values from the sequential analysis of variance (ANOVA) are listed for each cognitive domain.

The trend lines indicate estimated mean cognition as a function of log-transformed PiB with orange representing APOE ϵ 4 carriers (n = 97) and blue representing APOE ϵ 4 noncarriers (n = 97) matched to the APOE ϵ 4 carriers on age, sex, education, and PiB retention ratio. Shaded regions indicate 95% confidence intervals. The *p* values from the sequential analysis of variance (ANOVA) are listed for each cognitive domain.

Figure 4 Correlations between cortical Pittsburgh compound B (PiB) retention and global cognitive performance score in *APOE* -**4 carriers**

Voxelwise analysis demonstrates that global cognitive performance is associated with PiB retention in the frontal, temporal, and parietal lobe association cortices ($p < 0.001$).

tive domain scores) correlated with greater PiB retention in the frontal, temporal, and parietal unimodal and heteromodal association cortices but not the primary visual and sensory-motor cortices and the medial temporal limbic cortex. The absence of an association between medial temporal cortex $A\beta$ load and memory function argues against a direct functional-anatomic relationship between localized cognitive function and localized $A\beta$ load. Associations were present in regions where there was significant A β deposition but not in regions with lower A β load. In fact, the voxel-based map of the correlation between PiB retention and cognitive performance revealed the topography of $A\beta$ deposition that is confined to the association cortices early in the disease process in AD, as described by Braak and Braak.35

A strength of the current study is its sample. Participants were randomly selected from the Olmsted County population, in contrast to the previously studied cohorts of cognitively normal volunteers consecutively recruited from the community through advertisements or memory clinics, thereby increasing the potential for selection or volunteer bias.^{3,15,21,22} A high level of PiB retention $(>1.5$ global cortical re-

tention ratio) was observed in 34% of the cognitively normal individuals in the current study. This is slightly higher than the 20%–30% rate of high cortical PiB retention ratio that we and others have reported in the literature, possibly because of the older age range of our sample compared to the others,3,15,21,22 but lower than the 47% rate reported in the Alzheimer's Disease Neuroimaging Initiative (ADNI) cognitively normal cohort. This was unexpected given that the ADNI cognitively normal cohort is relatively younger than the MCSA sample.³⁶ The differences are most likely associated with the ascertainment of participants as we did not exclude subjects due to neurologic, psychiatric, or systemic illnesses in order to study a representative sample of the population. However, it is possible that people with poor general health would be less likely to participate in imaging studies. The participants performed slightly better in memory, visual-spatial function, and attention/executive function, suggesting minimal nonparticipation bias.

Although PiB retention is modestly associated with cognitive function, the presence of an ${\it APOE}$ $\epsilon4$ allele significantly increases $A\beta$ load and influences the relationship between $\Delta \beta$ load and cognitive function in cognitively normal older adults. Conversely, A β load decreases with the presence of an $APOE$ ϵ 2 allele and PiB retention does not appear to influence cognitive function in *APOE* €2 carriers.³⁷ Whereas high Aβ load pushes *APOE €*4 carriers closer to cognitive impairment, the cognitive function in *APOE* ϵ 3 homozygotes and *APOE* ϵ 2 carriers are incrementally influenced less by the $A\beta$ load. This finding agrees with the hypothesis that *APOE* ϵ 4 shifts the "AD biomarker cascade model"38 toward younger age, which results in an earlier onset of AD and more severe AD pathology at a given age in *APOE* **e**4 carriers compared to noncarriers.3,10 A limitation of our study is the insufficient follow-up on the cohort we scanned during the last 2 years, precluding our ability to assess longitudinal associations. PiB predicted future cognitive decline in visual-spatial performance, semantic and episodic memory function in 2 independent cohorts of cognitively normal older adults or older adults without dementia^{12,39} and progression to AD in patients with mild cognitive impairment, indicating that PiB retention in a patient with no dementia is associated with an increased risk of cognitive dysfunction in the future.⁴⁰ The effects of $APOE$ status on $\mathbf{A}\beta$ -associated cognitive decline in cognitively normal older adults is subject to future investigations.

AUTHOR CONTRIBUTIONS

Dr. Kantarci: drafting/revising the manuscript, study concept or design, analysis or interpretation of data, acquisition of data, statistical analysis,

study supervision, obtaining funding. Dr. Lowe: drafting/revising the manuscript, study concept or design, analysis or interpretation of data, acquisition of data, study supervision, obtaining funding. S. Przybelski: analysis or interpretation of data, acquisition of data, statistical analysis. S. Weigand: drafting/revising the manuscript, analysis or interpretation of data, statistical analysis. M. Senjem: analysis or interpretation of data, contribution of vital reagents/tools/patients, statistical analysis. Dr. Ivnik: drafting/revising the manuscript, study concept or design, acquisition of data, study supervision. G. Preboske: analysis or interpretation of data, acquisition of data. Dr. Roberts: drafting/revising the manuscript, analysis or interpretation of data, acquisition of data, study supervision. Dr. Geda: drafting/revising the manuscript, acquisition of data. Dr. Boeve: analysis or interpretation of data, study supervision. Dr. Knopman: drafting/ revising the manuscript, study concept or design, analysis or interpretation of data. Dr. Petersen: drafting/revising the manuscript, obtaining funding. Dr. Jack: drafting/revising the manuscript, study concept or design, analysis or interpretation of data, contribution of vital reagents/ tools/patients, acquisition of data, study supervision, obtaining funding.

DISCLOSURE

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