

Dysregulation of DNA polymerase κ recruitment to replication forks results in genomic instability

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Translesion synthesis polymerases (TLS Pols) are required to tolerate DNA lesions that would otherwise cause replication arrest and cell death. Aberrant expression of these specialized Pols may be responsible for increased mutagenesis and loss of genome integrity in human cancers. The molecular events that control the usage of TLS Pols in non-pathological conditions remain largely unknown. Here, we show that aberrant recruitment of TLS Polk to replication forks results in genomic instability and can be mediated through the loss of the deubiquitinase USP1. Moreover, artificial tethering of Polk to proliferating cell nuclear antigen (PCNA) circumvents the need for its ubiquitin-binding domain in the promotion of genomic instability. Finally, we show that the loss of USP1 leads to a dramatic reduction of replication fork speed in a Polk-dependent manner. We propose a mechanism whereby reversible ubiquitination of PCNA can prevent spurious TLS Pol recruitment and regulate replication fork speed to ensure the maintenance of genome integrity.

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Introduction

Many DNA mutations that occur in cancer cells arise from the error-generating activities of DNA polymerases (Pols) (Lange *et al.*, 2011). In normal dividing cells, a subtle equilibrium exists between the accurate duplication of the genome and less stringent DNA damage tolerance mechanisms that allow cells to endure DNA damage (Hoffmann and Cazaux, 2010). Translesion synthesis (TLS) is a major DNA damage tolerance mechanism whereby alternative DNA Pols known as TLS Pols are required to bypass bulky DNA lesions that would otherwise cause replication arrest and cell death (Friedberg, 2005). As these TLS Pols are largely inaccurate when replicating undamaged DNA templates, their expression and function need to be carefully monitored and controlled. Dysregulation

of these error-prone Pols, including Polk, can drive genomic instability and tumorigenesis (Bavoux *et al.*, 2005a; Lange *et al.*, 2011). How the cell normally prevents the aberrant usage of these specialized Pols in undamaged cells is presently unknown.

The deubiquitinase (DUB), USP1, is a key regulator of both TLS and the Fanconi Anaemia (FA) crosslink repair/genome stability pathway (Nijman *et al.*, 2005; Huang and D'Andrea, 2006; Huang *et al.*, 2006). First identified in a DUB siRNA screen for the FA pathway (Nijman *et al.*, 2005), USP1 is responsible for antagonizing the monoubiquitination of two FA proteins, FANCD2 and FANCI, involved in DNA crosslink repair. Disruption of the *Usp1* gene in mice causes chromosomal instability and produces a phenotype resembling FA mice, implying that dynamic ubiquitin conjugation and deconjugation of FANCD2 and FANCI are critical for efficient DNA repair and for the maintenance of genomic integrity (Kim *et al.*, 2009). However, it is still unclear whether the lack of reversible ubiquitination in the FA pathway, due to the loss of USP1, is indeed the source for genomic instability in mammalian cells (Oestergaard *et al.*, 2007; Kim *et al.*, 2009). Interestingly, knockout of both *Usp1* and *Fancd2* in mice results in a more severe genomic instability phenotype (Kim *et al.*, 2009). Perhaps, the regulation of additional USP1 substrates is required to protect cells against genomic instability.

USP1 is also responsible for the deubiquitination of proliferating cell nuclear antigen (PCNA), the replication sliding clamp or processivity factor for DNA replication (Huang *et al.*, 2006). Monoubiquitination of PCNA by the ubiquitin E3 ligase RAD18 recruits TLS Pols to sites of DNA damage and stalled replication forks (Kannouche *et al.*, 2004; Watanabe *et al.*, 2004). Most of these specialized enzymes belong to the Y-family Pols, including Polk, Pol η , Pol ι , and Rev1 (Waters *et al.*, 2009). Importantly, all Y-family Pols possess ubiquitin-binding domains (UBDs) that increase their binding affinity for ubiquitinated forms of PCNA (Bienko *et al.*, 2005). Thus, the recruitment of TLS Pols to the replication fork can be directly regulated by events that activate PCNA ubiquitination. It is currently unknown whether aberrant ubiquitination of PCNA has any detrimental cellular effects during normal S-phase progression. Specifically, it is unknown whether genomic integrity is compromised when PCNA deubiquitination is blocked.

In this study, we set out to determine whether misregulation of TLS was primarily responsible for the genomic instability phenotype observed in USP1-depleted cells. We report that USP1 is required to prevent the aberrant recruitment of Polk to the replication fork. Failure to do so results in enhanced micronuclei formation (marker of genomic instability) and slower replication fork speed as measured by single-molecule DNA fiber analysis. Overexpression of Polk by itself can also cause micronuclei formation. Moreover, the direct tethering of Polk to PCNA can further enhance genomic instability in a manner that is no longer dependent on its

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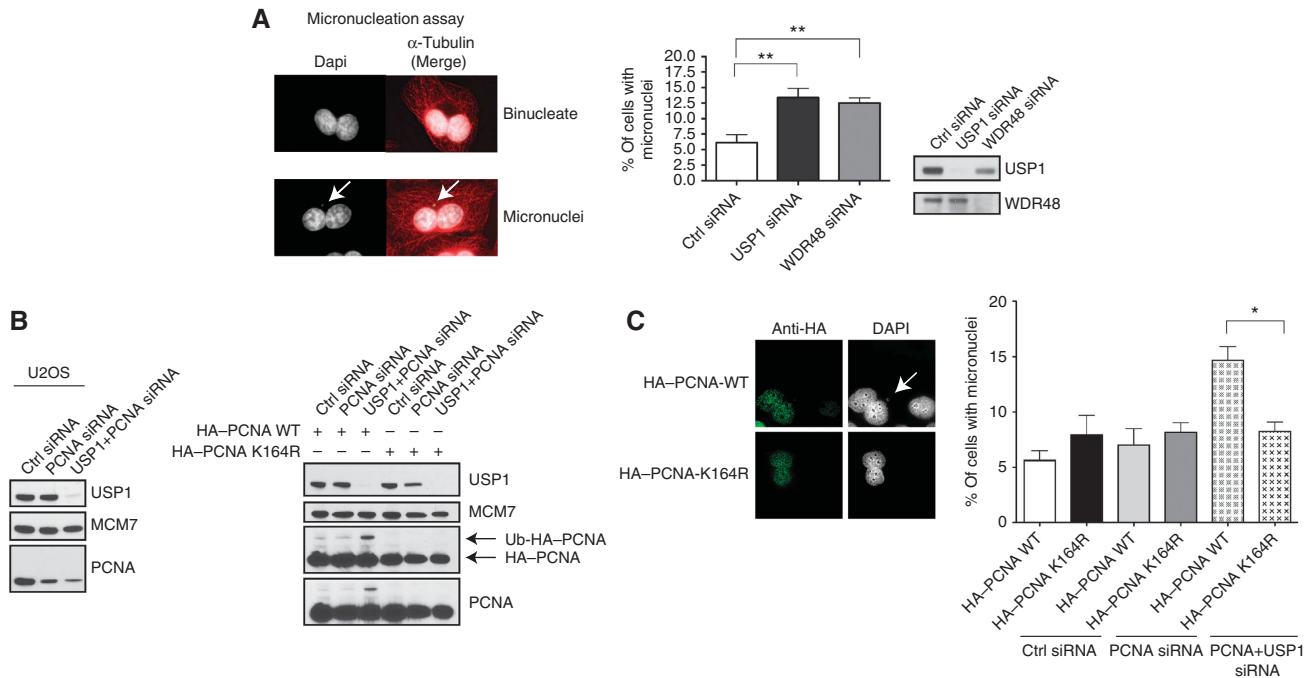


Figure 1 A ubiquitination-defective PCNA mutant can rescue the genomic instability caused by USP1 depletion. **(A)** U2OS cells were transfected with siRNAs as indicated and treated with cytochalasin-B for 24 h prior to fixation for micronucleation assay. Representative images of normal and micronuclei-positive binucleate cells. Cells were co-stained for DAPI (grey) and α -tubulin (red). Graph displays percentage (%) of cells with micronuclei. Error bars represent standard deviation of experiment done in triplicate ($n = 300$). **(B)** Normal U2OS or U2OS cells stably expressing siRNA-resistant HA-tagged PCNA WT or K164R mutant were transfected with the indicated siRNAs. **(C)** Representative images of U2OS cells stably expressing HA-tagged WT or K164R siRNA-resistant PCNA. U2OS cells were transfected with PCNA and USP1 siRNAs and treated with cytochalasin-B for the micronucleation assay. Cells were stained for DAPI (grey) and anti-HA (green). Micronucleation assay was performed in these cells with the indicated siRNAs. Graph displays percentage (%) of cells with micronuclei (only anti-HA positive-stained cells were counted). Error bars represent standard deviation of experiment done in triplicate ($n = 300$). Single asterisk represents P -value < 0.05 , double asterisks represent P -value < 0.01 .

ubiquitin-binding function. Based on our findings, we propose a novel replication stress pathway that occurs in the absence of USP1, resulting from elevated PCNA ubiquitination and recruitment of Polk.

Results

A ubiquitination-defective PCNA mutant can rescue the genomic instability caused by USP1 depletion

Usp1 knockout mice have increased incidence of perinatal lethality and a strong resemblance to FA mice (small size, infertility, mitomycin C hypersensitivity, and chromosome instability; Kim *et al*, 2009). However, at the cellular level, it is unclear which genome maintenance pathways are deregulated by the loss of USP1, thereby causing genomic instability. To investigate this further, we employed a micronucleation assay to measure genomic instability in undamaged cells transfected with siRNAs targeting USP1 or UAF1/WDR48 (catalytic cofactor of USP1) (Cohn *et al*, 2007; Figure 1A). Micronuclei are common in cells undergoing genotoxic or replicative stress and may contain entire chromosome pieces or fragments, making them important and highly sensitive indicators of genomic instability (Utani *et al*, 2010). After treatment of cells with cytochalasin-B (actin polymerization inhibitor) for 24 h, binucleate cells (cells that have undergone cell division in the absence of cytokinesis) were scored for the presence of micronuclei as a percentage of total cells. We found that depletion of either USP1

or UAF1/WDR48 increased the percentage of cells with micronuclei (Figure 1A). As a positive control, cells were treated with the DNA polymerase inhibitor, aphidicolin (APH), a known inducer of micronuclei formation and genomic instability (Supplementary Figure S1A; Chan *et al*, 2009; Naim and Rosselli, 2009). These data demonstrate that USP1, along with its catalytic partner UAF1/WDR48, is required for faithful chromosome segregation.

In previous work, we and other groups have shown that reversible ubiquitination of PCNA is regulated by USP1 (Huang *et al*, 2006; Niimi *et al*, 2008). Therefore, we wanted to examine whether the major effects of USP1 depletion in promoting genomic instability are mediated through PCNA ubiquitination. We generated U2OS cells that stably express HA-tagged wild-type (WT) PCNA, or a K164R mutant that cannot be ubiquitinated. The PCNA cDNA harboured silent mutations that made it refractory to siRNA-directed targeting against endogenous PCNA, using an siRNA sequence that was previously validated (Niimi *et al*, 2008). We first confirmed that the PCNA siRNA could effectively knockdown endogenous PCNA in untransfected U2OS cells (Figure 1B). Cells depleted of endogenous PCNA but expressing the K164R variant did not accumulate monoubiquitinated PCNA after USP1 knockdown, in contrast to similar cells ectopically expressing WT PCNA (Figure 1B). This was confirmed by western blot by probing for total PCNA (recognizes both HA-tagged PCNA and endogenous PCNA) using an anti-PCNA antibody (Figure 1B). In the context of endogenous

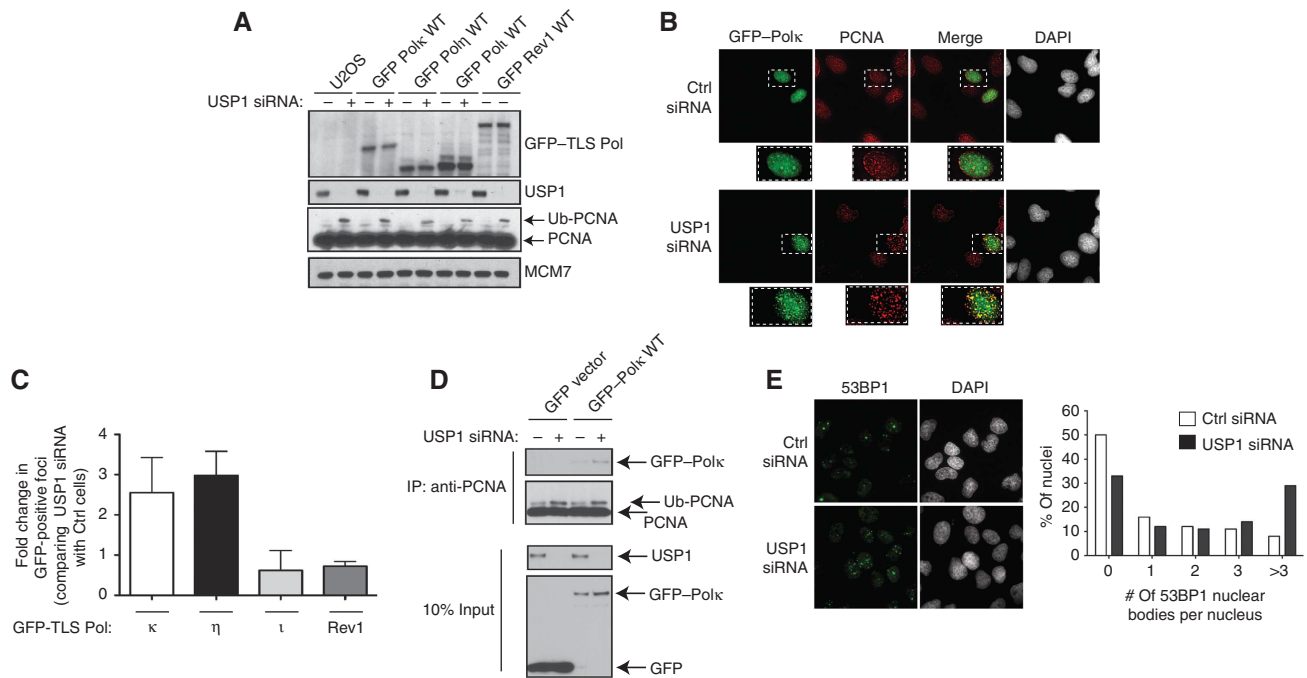


Figure 2 USP1 depletion contributes to aberrant Polk recruitment to the replication fork. (A) U2OS cells stably expressing GFP-Polk, - η , - ι or -Rev1 were transfected with control (Ctrl) or USP1 siRNA and western blot analysis was performed and probed with the indicated antibodies. (B) Representative images of GFP-Polk in cells transfected with indicated siRNAs. Cells were immunostained with DAPI (grey), anti-GFP (green) and anti-PCNA (red) antibodies, with merged images displayed to show colocalization. (C) Graph displays the fold change in cells with GFP-positive foci normalized to Ctrl siRNA-treated cells. GFP-positive cells were counted as cells containing >5 GFP foci ($n=600$). Experiments were performed in triplicate and error bars represent standard deviation. (D) USP1 siRNA knockdown was followed by transfection with either GFP-Polk WT or GFP vector control. Cells were lysed and formaldehyde crosslinked as described in Materials and methods. Samples that were not crosslinked (input) were analysed by western blot. Extracts that were crosslinked and solubilized were also immunoprecipitated with anti-PCNA (PC10, Santa Cruz) and probed with the indicated antibodies. (E) Representative images of U2OS cells immunostained with anti-53BP1 antibody (green) and DAPI (Grey). Graph represents the quantification of the number of 53BP1 nuclear bodies per cell for the indicated siRNAs ($n>300$ for each siRNA knockdown condition).

PCNA depletion, we found that knockdown of USP1 increased micronuclei formation in the PCNA WT-expressing cells (Figure 1C). Importantly, this effect was prevented in the PCNA K164R mutant-expressing cells (Figure 1C). These data strongly suggest that the genomic instability phenotype observed in USP1-deficient cells is driven by aberrant PCNA ubiquitination.

USP1 depletion contributes to aberrant Polk recruitment to the replication fork

Since PCNA ubiquitination is known to be required for TLS Pol recruitment, we investigated whether USP1 depletion affects the localization of specific TLS Pols. To address this question, we generated stable U2OS cell lines expressing either GFP-tagged TLS Pol κ , - η , - ι or -Rev1 (Figure 2A). It has been previously reported that GFP-Polk forms much less replication foci than that of GFP-Pol η in undamaged cells (Ogi *et al*, 2005). Our immunolocalization results of stably expressing GFP-Polk and GFP-Pol η are consistent with this finding (Figure 2B; Supplementary Figure S2). To determine whether the loss of USP1 results in a further increase in GFP-Pol foci formation above their individual baseline levels, we compared the fold change in the number of cells with GFP-Pol foci formation between control and USP1 siRNA treatments. Interestingly, more Pol κ and Pol η foci were detected in cells after USP1 depletion while there were very little changes in both Pol ι and Rev1 foci levels

(Figure 2B and C). GFP-Polk and Pol η nuclear foci were also found to partially colocalize with PCNA in USP1-depleted cells (Figure 2B; Supplementary Figure S2). Importantly, ectopic expression of GFP-Polk can interact preferentially with PCNA in USP1 knockdown U2OS cells (Figure 2D). These data suggest that loss of USP1 in human cells leads to aberrant recruitment or misuse of specific error-prone TLS Pols at the replication fork in undamaged conditions.

USP1 depletion elevates 53BP1 nuclear bodies but does not cause replication checkpoint activation or cell-cycle delay

Since mislocalization of TLS Pols may cause elevated endogenous DNA damage or replication stress, we investigated whether replication checkpoint pathways were activated in USP1-depleted cells. In untreated U2OS cells, depletion of USP1 did not increase checkpoint signalling events, as measured by Chk1 and RPA2 phosphorylation (Gatei *et al*, 2003; Sorensen *et al*, 2003; Anantha *et al*, 2007; Vassin *et al*, 2009), and did not result in cell-cycle delay or arrest (Supplementary Figure 1B and C), even though the monoubiquitination of both FANCD2 and PCNA were elevated (Supplementary Figure S1B). USP1-depleted cells remained checkpoint competent in the presence of the replication inhibitor, hydroxyurea (HU), suggesting that USP1 itself was not required for checkpoint activation (Supplementary Figure S1B). However, unresolved replication stress due to incomplete DNA synth-

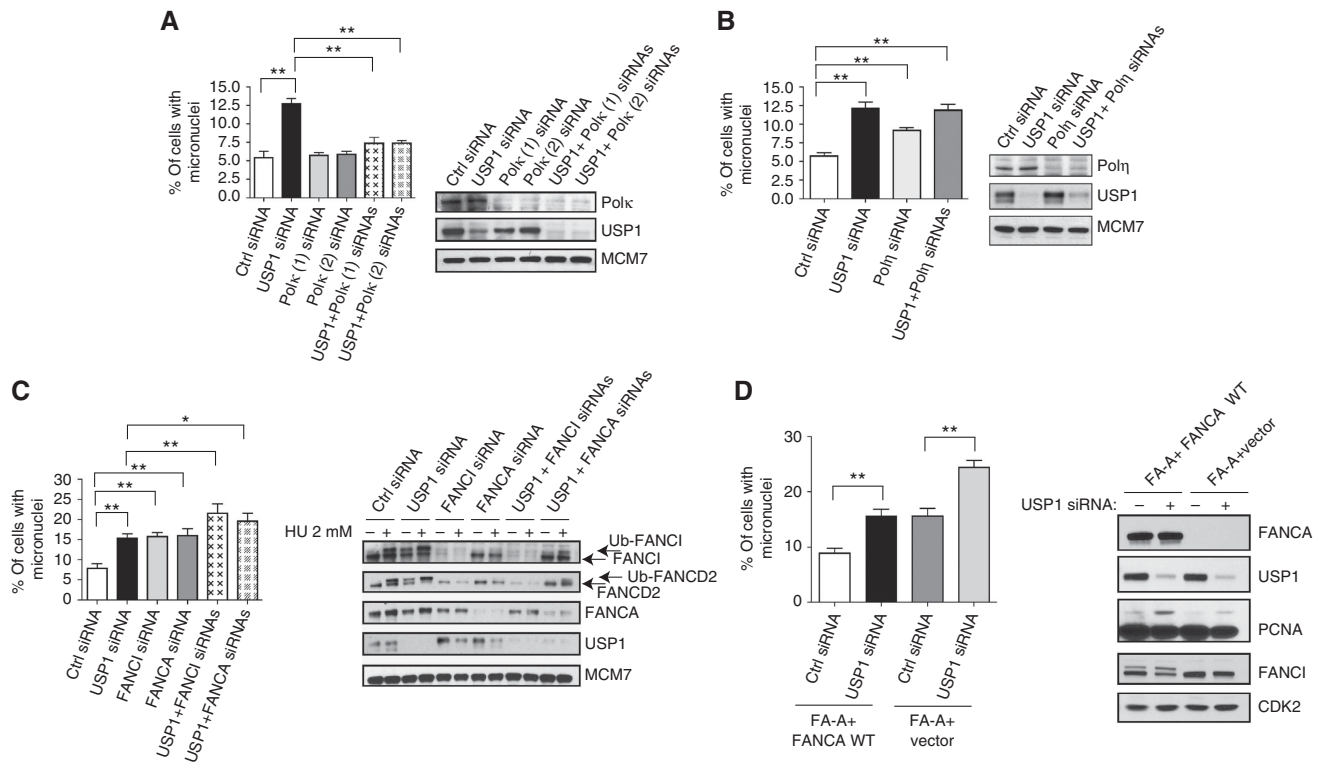


Figure 3 Increased micronuclei formation caused by USP1 depletion is Polk dependent. (A, B) U2OS cells were transfected with the indicated siRNAs and assayed for micronucleation ($n = 600$). Western blots were performed with cells from the same transfection to demonstrate efficiency of siRNA knockdown and probed with the indicated antibodies. (C) U2OS cells were transfected with the indicated siRNAs and assayed for micronucleation and western blot analysis. Hydroxyurea (HU) treatment was used as a control for DNA damage-induced monoubiquitination of FANCI and FANCD2 to validate the functional knockdown of the FA pathway. (D) Patient-derived FANCA-deficient (GM6914) fibroblasts retrovirally complemented with either vector or wild-type FANCA cDNA were treated with the indicated siRNAs and analysed by western blot or assayed for micronucleation. Graph displays percentage (%) of cells with micronuclei. Error bars represent standard deviation of experiment done in triplicate ($n = 300$). Single asterisk represents P -value < 0.05 , double asterisks represent P -value < 0.01 .

esis during S phase has recently been shown to transmit lingering DNA damage into the G1 phase of successive cell cycles, which are marked by the p53 binding protein-1 (53BP1) (Harrigan *et al*, 2011; Lukas *et al*, 2011). These 53BP1 nuclear bodies are sensitive markers of elevated replication stress and serve to prevent loss of chromosome integrity (Harrigan *et al*, 2011; Lukas *et al*, 2011). We found that USP1 knockdown resulted in elevated number of 53BP1 nuclear bodies per cell (Figure 2E). Accordingly, the increased number of 53BP1 foci observed in USP1-depleted cells suggests that these cells may possess elevated levels of replication stress, but not above the cellular threshold to cause checkpoint activation and cell-cycle arrest.

Increased micronuclei formation caused by USP1 depletion is Polk dependent

The results in Figure 2C showed that USP1 was required to prevent the mislocalization of Polk and Pol η in undamaged cells. Thus, the misregulation of either Polk or Pol η could be directly responsible for causing elevated levels of genomic instability in USP1-depleted cells. First, we investigated the functional role of Polk and found that the increase in micronuclei formation caused by USP1 knockdown was prevented by the co-depletion of Polk (Figure 3A). This effect was observed using either of two different siRNA sequences to knockdown Polk and also with a second USP1 (2) siRNA sequence (Supplementary Figure S3). In contrast, depletion of Pol η

was unable to rescue the heightened levels of micronuclei formation occurring after loss of USP1 (Figure 3B). Knockdown of Pol η alone, in fact, increased micronuclei formation (Figure 3B). This result is in agreement with a recent study demonstrating a role for Pol η in the maintenance of genomic stability during unperturbed S phase (Rey *et al*, 2009). These findings highlight different functional requirements between Polk and Pol η and suggest that USP1 promotes genomic stability in human cells by selectively inhibiting or limiting Polk usage during normal DNA replication.

The FA pathway protects cells against genomic instability caused by USP1 depletion

Previous studies have shown that the FA pathway plays an important role in protecting cells undergoing replication stress (Chan *et al*, 2009; Naim and Rosselli, 2009). Although loss of USP1 results in elevated levels of monoubiquitinated FANCD2 and FANCI even in the absence of DNA damage (Sims *et al*, 2007; Kim *et al*, 2009), it is unclear whether this increased monoubiquitination in undamaged cells perturbs the FA pathway to a similar extent as in response to induced DNA damage conditions (Oestergaard *et al*, 2007; Kim *et al*, 2009; Murai *et al*, 2011). As expected, knockdown of either USP1 or UAF1 in U2OS cells causes hyperaccumulation of FANCD2 and FANCI in the chromatin fraction (Supplementary Figure S4A). However, loss of USP1 elevated FANCD2 paired mitotic foci formation, suggesting an

active role of the FA pathway in response to USP1-mediated replication stress (Supplementary Figure S4B). Elevated FANCD2 paired mitotic foci are indicative of unresolved replication stress and possibly even fragile site expression (Chan *et al*, 2009; Naim and Rosselli, 2009). Next, we addressed whether the FA pathway is important for preventing genomic instability in USP1-depleted cells. Knockdown of either FANCI or FANCA alone increased micronuclei formation, in agreement with a previous study that supported a role for the FA pathway in protecting cells undergoing replication stress (Naim and Rosselli, 2009; Figure 3C). Importantly, knockdown of FANCI or FANCA further enhanced micronuclei formation in USP1-depleted cells (Figure 3C). We were also able to confirm this finding in FA patient fibroblasts and show that USP1 knockdown in these cells can increase micronuclei formation, both in the presence and absence of FANCA WT complementation (Figure 3D). Therefore, an intact FA pathway protects cells against genomic instability and does so both in the presence and absence of USP1.

Additionally, we confirmed that RAD18, the major ubiquitin E3 ligase for PCNA in mammalian cells, was required for PCNA ubiquitination after USP1 knockdown (Supplementary Figure S4C). Unexpectedly, the loss of RAD18 alone increased micronuclei formation in U2OS cells, suggesting that RAD18 may play additional roles in the maintenance of genomic stability (Supplementary Figure S4C). RAD18 was recently identified as a positive regulator of the FA pathway (Geng *et al*, 2010; Williams *et al*, 2011).

Increase in genomic instability by Polk overexpression depends on its UBD

Polk overexpression, which is notably observed in lung cancer, results not only in increased spontaneous mutagenesis, but also in pleiotropic alterations such as DNA breaks, genetic exchanges, and aneuploidy (Ogi *et al*, 1999; Wang *et al*, 2001; Bergoglio *et al*, 2002; Bavoux *et al*, 2005a, 2005b). We therefore tested whether misregulation of Polk through overexpression could mimic the genomic instability phenotype observed with USP1 depletion. Indeed, overexpression of GFP-tagged Polk, but not Pol η , enhanced micronuclei formation above the empty vector control (Figure 4A). Together, these data confirm the different functional roles between Polk and Pol η seen with USP1 depletion. Previous studies have shown that PCNA monoubiquitination facilitates Polk recruitment to stalled replication forks after DNA damage treatment (Bi *et al*, 2006; Guo *et al*, 2008). Similarly to the mechanism of recruitment for Pol η to PCNA (Bienko *et al*, 2010), most TLS polymerases possess a UBD to associate with the ubiquitinated form of PCNA (Bienko *et al*, 2005). We therefore examined whether the UBD of Polk is important for the increase in micronuclei formation. Significantly, the increase in genomic instability caused by Polk overexpression required its two ubiquitin-binding zinc-finger domains (UBZs) (Bienko *et al*, 2005; Guo *et al*, 2008), because deletion of the Polk UBZs reduced micronuclei formation to levels similar to that caused by expression of a Polk catalytic mutant (D198A, E199A) or the GFP vector control (Figure 4A, see schematic diagram). The UBZs of Polk were previously shown to facilitate the interaction between monoubiquitinated PCNA and Polk (Guo *et al*, 2008). Thus, our data strongly suggest that Polk causes genomic instability through the engagement of its UBZs with ubiquitinated PCNA.

Tethering Polk to PCNA enhances micronuclei formation in a ubiquitin binding-independent manner

To further demonstrate the ability of Polk to promote genomic instability through its direct interaction with PCNA, we developed a novel method to allow tethering of a 'specific protein of interest' to PCNA, in which we took advantage of what is currently known about PCNA-interacting domains on high-affinity binding factors. PCNA interacts with a large number of proteins involved in replication, repair, cell cycle, chromatin assembly, and sister chromatid cohesion (Moldovan *et al*, 2007). Most of these proteins have a conserved sequence, called 'PCNA-interacting protein box' or PIP box (Warbrick, 1998). Proteins with a canonical PIP box motif, including the human p21 cell-cycle inhibitor protein, have strong interactions with PCNA (Gulbis *et al*, 1996). Previous studies have assigned a PCNA-binding sequence in human Pol η , Pol ι , and Polk, none of which has a canonical PIP box sequence (Haracska *et al*, 2001a, b, c; Vidal *et al*, 2004; Ogi *et al*, 2005). The fact that Y-family TLS Pols do not have a canonical PIP box may be consistent with the notion that TLS Pols, which are intrinsically error-prone, have lower affinity for PCNA than the replicative Pols. A study measuring the binding affinity of PCNA to PIP box peptides derived from canonical and non-canonical PIP box-containing proteins clearly showed that the PIP box of Polk has a lower affinity for PCNA than those of Pol η and Pol ι , and all three TLS Pol PIP boxes have significantly lower affinity than the canonical p21 PIP box (Hishiki *et al*, 2009). Furthermore, an extended PCNA interaction surface, termed PCNA-interacting region (PIR), was recently identified on the extreme C-terminus of Pol η and encompassed the non-canonical PIP box motif (Bienko *et al*, 2010). Polk and other known interactors of PCNA, including p21, also contain a putative PIR on their C-termini (Bienko *et al*, 2010). To engineer TLS Pols to bind tighter to PCNA, we swapped the PIR of Polk and Pol η for the p21 PIR (see schematic diagram, Figure 4B). To remove the possibility that the p21 PIP box may also function as a degron for the CRL4 (Cdt2) ubiquitin ligase complex (Havens and Walter, 2009), we also changed the critical Arg residue to Ala (shown as an asterisk, Figure 4B) to prevent unscheduled degradation of the chimera protein.

Expression of either GFP-tagged Polk p21 PIP WT or the Pol η p21 PIP WT caused the dramatic formation of punctate nuclear dots that are restricted to S phase (as determined by PCNA staining pattern) (Supplementary Figure S5A and data not shown). The nuclear foci generated by these p21 PIP chimera proteins colocalized with endogenous PCNA, unlike their non-chimera counterparts (Figure 4C). Importantly, deletion of the UBZ domains in the context of Polk p21 PIP chimera still allowed formation of distinct Polk foci at levels similar to Polk p21 PIP WT protein (Figure 4C). In the absence of exogenous DNA damage, expression of the non-chimera Polk WT and the UBZ mutant constructs did not form robust replication foci (Figure 4C). This may be due to the transitory nature of the Polk association with the replication fork in the presence of USP1. We also found that both GFP-tagged Polk PIP WT and Pol η p21 PIP WT proteins could robustly interact with both ubiquitinated and unmodified PCNA (Figure 4D and E). Expression of the Polk WT chimera Pols could stabilize and pull down K164-specific mono- and possibly poly-ubiquitinated forms of PCNA (Figure 4D-F).

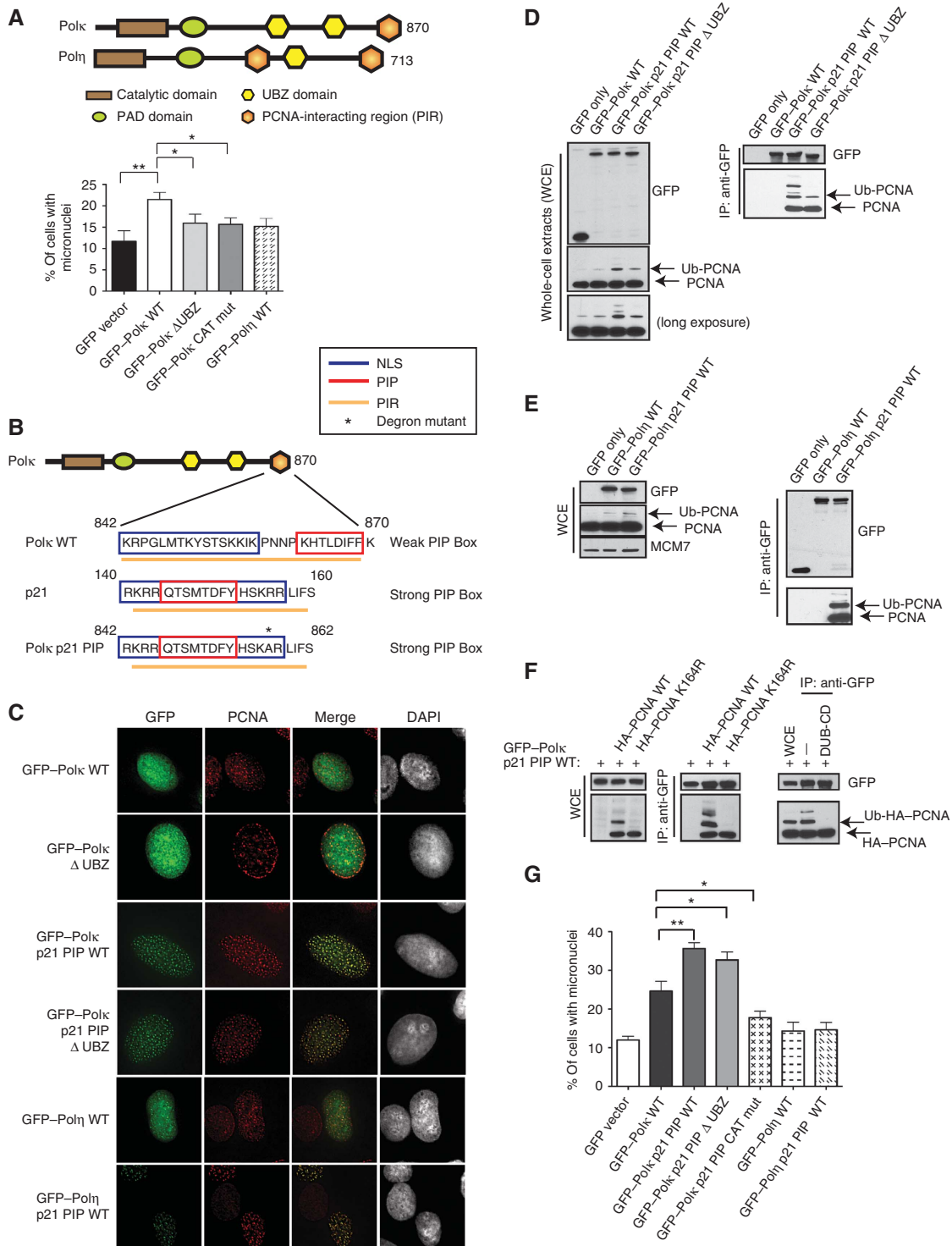


Figure 4 Increasing the amount of Polk bound to PCNA can elevate genomic instability. **(A)** Schematic representation of the structural domains of Polk and Polη. Domain abbreviations are as follows: polymerase-associated domain (PAD), ubiquitin-binding zinc-finger motif (UBZ), PCNA-interacting region (PIR). U2OS cells were transfected with GFP vector, GFP-Polκ WT, GFP-Polκ CAT mut (catalytic dead, D198A, E199A), GFP-Polκ ΔUBZ (deletion of both UBZ1 and UBZ2) or GFP-Polη WT constructs and scored for micronuclei formation ($n = 300$). Graph displays percentage (%) of cells with micronucleation. Error bars represent standard deviation of experiment done in triplicate. **(B)** Amino-acid sequences denoting the PIP for Polk, p21 protein, and the Polκ p21 PIP chimera. Characterization of PIP box affinity is based on the extrapolation of published results (Hishiki *et al*, 2009). **(C)** Representative images of U2OS cells transiently expressing the indicated expression constructs. Cells were co-stained for DAPI (grey), GFP (green), and PCNA (red). **(D–F)** 293T cells were transfected with the plasmids indicated and lysed for co-immunoprecipitation (co-IP) with anti-GFP beads. Whole-cell extracts (WCE) from parallel samples used for co-IP were also analysed. Western blots were probed with the antibodies indicated. In **(F)**, recombinant enzyme from non-specific catalytic domain (DUB-CD) of USP2 was used in ubiquitin deconjugation *in-vitro* assay to confirm that the slower migrating bands above the PCNA protein were ubiquitin conjugates. **(G)** Graph displays the percentage (%) of cells with micronuclei. Error bars represent standard deviation of experiment done in triplicate ($n = 300$). Single asterisk represents P -value < 0.05 , double asterisks represent P -value < 0.01 .

Recombinant USP2 containing only its catalytic domain (DUB-CD) was added to the anti-GFP immunoprecipitation in order to show that the higher migrating bands that correspond to modified PCNA are indeed ubiquitinated species of PCNA (Figure 4F, right panel). Interestingly, the amount of ubiquitinated versus unmodified form of PCNA captured by the tethered Polk was influenced by the presence of a functional UBD (Figure 4D). More importantly, expression of the Polk p21 PIP WT, but not the Pol η p21 PIP WT chimera, could dramatically increase micronuclei formation in U2OS cells (Figure 4G). The level of micronuclei formation (both in the percent of cells with micronuclei and the number of micronuclei per cell) caused by the expression of the Polk p21 PIP WT chimera was significantly above that seen following expression of the Polk WT non-chimera (Figure 4G; Supplementary Figure S5B). We also show that both the WT and the UBZ-deleted Polk p21 PIP chimera proteins caused similar levels of elevated micronuclei formation (Figure 4G; Supplementary Figure S5B). Finally, the genomic instability caused by the Polk p21 PIP protein was still dependent on its catalytic activity, because the Polk p21 PIP CAT (D198A, E199A) was unable to promote micronuclei formation to the levels of the WT non-chimera protein (Figure 4G). The Polk p21 PIP CAT was capable of forming nuclear foci at levels similar to that of WT Polk p21 PIP chimera protein (Supplementary Figure S5C). Collectively, our data strongly suggest that the Polk ubiquitin-binding function is mainly required for recruitment to the replication fork and is largely dispensable when Polk is directly tethered to PCNA.

USP1 depletion causes reduced replication fork speed in a Polk-dependent manner

To gain further insight into the molecular basis of how the loss of USP1 contributes to genomic instability, we analysed the replication program of USP1-depleted cells using single-molecule DNA fiber analysis. U2OS cells transfected with different siRNAs were sequentially pulse-labelled with iodo-deoxyuridine (IdU) and chlorodeoxyuridine (CldU) to determine the polarity of elongating replication forks and replication fork speed (Figure 5A). Following antibody staining and imaging of purified fibers, we observed an average fork speed of 1.44 Kb min⁻¹, consistent with previous studies of asynchronous cells (Berezney *et al*, 2000) (Figure 5A). In USP1-depleted cells, a significant reduction in the average fork speed (1.10 Kb min⁻¹) was detected (Figure 5A). Importantly, the co-depletion of Polk in the USP1 knockdown cells rescued the replication fork speed to rates similar to those in control cells (1.45 Kb min⁻¹) (Figure 5A). These results suggest that the misuse of Polk is primarily responsible for the reduced fork velocity in USP1-depleted cells.

Collectively, our data support a model whereby the loss of USP1 in undamaged cells promotes genomic instability through a novel replication stress mechanism consisting of (1) hyperubiquitination of PCNA, (2) aberrant recruitment of Polk to ubiquitinated PCNA, (3) uncontrolled engagement of Polk at the replication fork leading to slower fork speeds, and (4) interference of timely completion of genome-wide DNA synthesis resulting in partially unreplicated genomic regions (expression of common fragile sites) and micronuclei formation after cell division (Figure 5B).

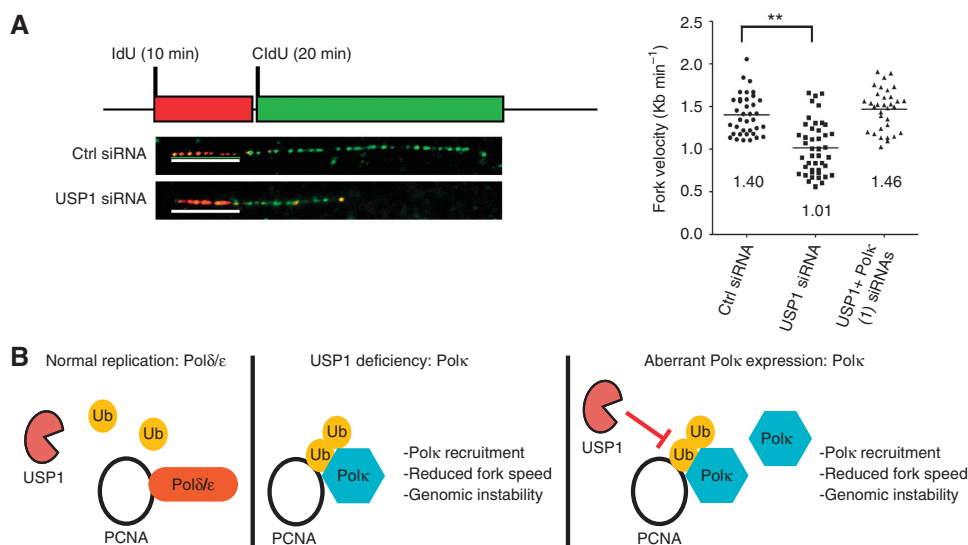


Figure 5 USP1 depletion reduces the replication fork speed in a Polk-dependent manner. **(A)** U2OS cells were transfected with the indicated siRNAs for 72 h and then labelled with IdU and CldU and prepared for single-molecule DNA fiber analysis. Schematic representation of replication pattern on combed DNA molecules. A representative DNA fiber from Ctrl or USP1 siRNA-treated cells. Scale bar = 5 Kb. Distribution of replication fork velocities is displayed, showing the mean replication fork velocity for each siRNA condition. **(B)** Schematic model for the maintenance of genomic stability through the regulation of Polk recruitment by USP1. In the absence of DNA damage, high levels of USP1 during S phase inhibit PCNA ubiquitination, ensuring replicative polymerases Pol δ/ϵ are maintained at the replication fork (left diagram). In USP1-deficient cells, PCNA ubiquitination is increased, leading to aberrant Polk recruitment to the replication fork, reduced replication fork speed and genomic instability (middle). Similarly, Polk overexpression results in increased Polk recruitment to the replication fork, reduced replication fork speed, and increased genomic instability. Depending on the availability of USP1, genomic instability manifested in these cells by aberrant Polk expression may vary (right). Double asterisks represent P -value < 0.01.

Discussion

Results from our study provide mechanistic insights into how USP1 maintains genomic stability in human cells. Unexpectedly, a major genome stabilizing function of USP1 is to suppress sporadic PCNA ubiquitination during the normal DNA replication process. Failure to reduce the pool of ubiquitinated PCNA leads to the aberrant recruitment of Polk to the replication fork. Misuse of Polk during DNA replication results in a slower replication fork, micronuclei formation, and genomic instability. While it is known that the FA pathway can also be regulated by USP1, it is still unclear how USP1 is directly involved in mediating DNA crosslink repair and the maintenance of genomic stability through the function of FA proteins. On the other hand, the FA pathway likely plays a critical role in preventing further replication stress-induced DNA damage in USP1-deficient cells. In summary, our data demonstrate that the genomic instability observed in USP1-depleted cells can be primarily attributed to the dysregulation of the TLS pathway through elevated levels of PCNA ubiquitination and hyperaccumulation of Polk to the replication fork (model in Figure 5B).

Past studies have strongly hinted at this potentially genome destabilizing function of Polk. Typically, genetic studies investigating the function of TLS Pols in mammalian systems are based on 'loss-of-function' studies (Limoli *et al*, 2002; Ogi *et al*, 2002; Dumstorf *et al*, 2006; Lin *et al*, 2006; Stancel *et al*, 2009). However, the inactivation of Polk, similar to that of Polt, does not result in any dramatic phenotype (Ogi *et al*, 2002; Stancel *et al*, 2009). In contrast, the overexpression of Polk has much more deleterious consequences (Ogi *et al*, 1999; Bergoglio *et al*, 2002; Bavoux *et al*, 2005b). Studies have shown that transient overexpression of Polk in mouse and human fibroblasts increased the mutation rate at the chromosomal HPR1 locus (Ogi *et al*, 1999; Bergoglio *et al*, 2002). Ectopic expression of Polk in Chinese hamster ovary (CHO) cells not only promoted an increase in mutation rate but also DNA breaks and high levels of genetic recombination (both homologous and non-homologous), losses of heterozygosities (LOH), and aneuploidy (Bavoux *et al*, 2005b). Additionally, excess Polk in a p53-deficient background favoured tumourigenesis in nude mice. Finally, the role of Polk in cancer development was highlighted by a study measuring an excess of Polk in non-small cell lung cancer patients (NSCLC; Wang *et al*, 2001).

In our study, we showed that overexpression of Polk, but not Pol η , increases micronuclei formation. It was previously shown that Pol η overexpression does not elevate the spontaneous mutagenic rate in human cells (King *et al*, 2005). In contrast, depletion of Pol η , but not Polk, increased micronuclei formation, confirming an important role for Pol η in maintaining genomic stability during an unperturbed S phase (Rey *et al*, 2009). Although the function of Pol η during a normal S phase is presently unclear, recent structural analysis suggests that Pol η may contain a catalytic domain capable of acting as a wedge to help assist replication through D loop (during homologous recombination) and fragile site structures (Biertumpfel *et al*, 2010). Together, our data demonstrate that Polk possesses a 'gain-of-function' ability to interfere with the normal replication program, while Pol η plays an important DNA repair role during replication to preserve genomic integrity. In the future, it will be important

to determine whether the expression, protein turnover, or activity of Polk is subjected to tighter control than Pol η during S-phase progression.

It is presently unclear why the aberrant recruitment of Polk to PCNA is so detrimental to undamaged cells. Among TLS Pols, Polk has been reported to have moderate processive DNA Pol activity based on *in-vitro* primer extension assays despite being error prone, including the generation of frameshift mutations (Ohashi *et al*, 2000; Zhang *et al*, 2000; Gerlach *et al*, 2001). We speculate that Polk may possess higher affinity for the ubiquitinated forms of PCNA due to its two UBZ domains. Also, once it is loaded onto PCNA, the mutagenic Polk may remain associated with the replication fork for a much longer time period based on its moderate processivity and ability to generate frameshift mutations in comparison with other TLS Pols. Alternatively, other TLS Pols, including Polt and Rev1, may require additional unknown factors for recruitment to the replication fork. Recently, two Rad5-related ubiquitin E3 ligases, SHPRH and HLTF, have been shown to coordinate the recruitment of different TLS Pols for post-replication repair (Lin *et al*, 2011). Perhaps, SHPRH is responsible for the selective recruitment of Polk to the replication fork in the absence of USP1. Structural analysis of Polk associated with either a damaged or non-damaged template is still lacking and will likely provide important mechanistic clues as to how the misuse of Polk contributes to genomic instability in unperturbed cells.

We devised a novel PCNA tethering system to enhance our study of the 'gain-of-function' property for Polk. Constructing a Polk chimera protein harbouring a specific high-affinity PIP box region, we efficiently targeted Polk to the replication fork to cause elevated PCNA ubiquitination, greater localization to nuclear foci, and enhanced micronuclei formation, as compared with cells ectopically expressing WT Polk. Interestingly, the tethering of Pol η to the replication fork also elevates PCNA ubiquitination and nuclear foci formation, but did not increase genomic instability. This demonstrates that the PCNA tethering system did not introduce grossly artificial functions to the Pols, but rather simply enhanced their intrinsic Pol function due to their prolonged residence on the replication fork. Furthermore, tethering a catalytically dead Polk mutant to PCNA was unable to elevate micronuclei formation, suggesting that the Polk-mediated genomic instability was not simply due to blocking or interfering with the recruitment of high-fidelity replicative Pols to the replication fork. We believe that the PCNA tethering system may be a useful tool to study specific 'gain-of-function' properties of TLS Pols in an *in-vivo* setting, especially to determine the natural replicative bypass function of these Pols for damaged and undamaged DNA templates in human cells.

Usp1-deficient mice displayed an FA-like phenotype (Kim *et al*, 2009). However, the double knockout of *Usp1* and *Fancd2* resulted in an even more severe phenotype than either single knockout, suggesting that *Usp1* may regulate additional genome stability pathways in mice (Kim *et al*, 2009). Based on the findings presented in this report, it would be of particular interest to determine whether the phenotype linked to chromosomal instability in the *Usp1* knockout mice can be partially or fully reversed in animals also containing a *Polk* deletion. Importantly, *Polk*-deficient mice are viable and

do not display obvious phenotypes (Schenten *et al*, 2002; Stancel *et al*, 2009). We predict that some of the phenotypic severity of USP1 protein deficiency will be attributable to Polk misregulation.

We propose that the genomic instability in USP1-depleted cells is due, in part, to the overengagement of Polk with PCNA, which can ultimately lead to a slow down of replication fork speed. In agreement with our findings, overexpression of Polk was previously shown to reduce replication fork speed without activation the S-phase checkpoint machinery (Pillaire *et al*, 2007). In order to compensate for the slow fork, cells overexpressing Polk were able to promote the activation of additional replication origins (Pillaire *et al*, 2007). A similar compensatory mechanism was reported by Debatisse and colleagues upon reduction of intracellular nucleotide pools (Anglana *et al*, 2003). In this study, loss of USP1 did not activate cell-cycle checkpoints nor did it delay S-phase progression, implying that the reduction in replication fork speed may also require a concomitant overall increase in origin firing/initiation in order to complete DNA synthesis in a timely manner. In future studies, it will be interesting to determine whether USP1-deficient cells have shorter inter-origin distances at the genome-wide level.

Precisely, how general slowing of replication fork speeds in human cells can affect genomic stability is still currently not well appreciated. Data from past studies suggest that processes that interfere with replication fork progression are capable of causing genomic instability and fragile site expression. For example, replicative polymerase mutants in budding yeast that affect replication fork progression are capable of inducing chromosomal rearrangements at specific loci reminiscent of common fragile sites (Lemoine *et al*, 2005). In human cells, elevated fragile site expression has been reported in cells containing a compromised ATR/Chk1 checkpoint signalling pathway (Casper *et al*, 2002; Durkin *et al*, 2006) and inhibiting the ATR/Chk1 pathway in an unperturbed S phase has been shown to cause a two-fold reduction in replication fork speed (Petermann *et al*, 2006, 2010). The use of APH to induce fragile site expression is further evidence linking replication fork speed and genomic instability at fragile sites (Durkin and Glover, 2007). Interestingly, fragile sites may be sensitive to reduced replication forks speeds due to these regions possessing a low abundance of replication initiation events, coupled to the relatively late firing of these origins (Letessier *et al*, 2011). In the future, it will be interesting to test whether the reduced replication fork speed in USP1-deficient cells is correlated with increased expression of common fragile sites. In summary, our findings unveil a novel mechanism of replication stress in USP1-depleted cells involving reduced replication fork speed due to the misuse of error-prone Polk.

Materials and methods

Cell culture

HeLa, U2OS, and HEK293 cells (ATCC) were grown in Dulbecco's modified Eagle's medium (DMEM) (Invitrogen) with 10% fetal bovine serum (FBS), 1% Pen-Strep, 1% Glutamine at 5% CO₂ and 37°C incubator. U2OS cell lines stably expressing GFP-Polk, -η, -ι and Rev1 were selected for GFP expression by flow cytometry on a MoFlo (Dako, Carpinteria, CA). FA patient-derived FANCA-deficient fibroblasts GM6914 (Coriell Cell Repository) were grown in 15% FBS.

Transfections, DNA constructs, and siRNA oligonucleotides

Transfections with plasmid DNA were performed using Fugene6 transfection reagent (Roche Applied Science) and siRNA oligos were transfected using Hiperfect transfection reagent (Qiagen). The siRNAs used were synthesized by Qiagen. Targeting sequences are human Polk (1) AACCTCTAGAAATGTCTCATA, (2) AAGATTGAA GCCCATCCAA; Polη CTGGTGTGAGCATTCTGTGA; USP1 (1) TCGG CAATACTTGCTATCTTA, (2) TTGGCAAGTTATGAATTGATA; FANCA AAGGGTCAAGAGGGAAAAATA; WDR48 CCGGTCGAGACTCTATCA TAA; FANCI CACGGGCATCTGGGAGATATA; ATR AACCTCCGTGA TGTGCTTGA; PCNA GCCGAGAUCUCAGCCAUAUTT and the All-Stars Negative Control siRNA. Mutagenesis was performed by PCR using QuikChange Site-Directed Mutagenesis Kit (Stratagene) with the following primers: PCNA siRNA-resistant forward primer (5'-GG TGAATTTGCACGTATATGCAGGACTTATCTCATATTGGAGATGCTGT TGTGA-3') reverse (5'-TACAACAGCATCTCCAATATGAGATAAGTCCCT GCATATACGTGCAAATTCACC-3'), Polη p21 PIP forward primer (5'-GAACCTCGAGCTGATCCAAAAAGAGAGAAAGTAAATGCTACTG GACAGGATCGAGTGGTT-3') reverse (5'-GAACGAATCTTAGGAGA AGATCAGCCGTGCTTTGGAGTGGTAGAAATCTGTATGCTGGTCTG CCGCTTTTCGGCTTGATGAGATACGGCAGA-3'), Polk p21 PIP mutant forward primer (5'-GAACCTCGAGCTGATCCAAAAAGAGAGAAAGTAAATGAGTAAAGTAAAGTAAAGG-3') reverse (5'-CCTTT CTTAATCTTGGATAAAACTTTTATTTTGGCCTGTTTCACTTCACA TAAGTAAGG-3'), Polk catalytic dead mutant forward primer (5'-GG CCATGAGTCTGCAGCTGCCTACTTGAATATAACAAAGC-3') reverse (5'-GCTTTGTTATATCAAGTAGGCAGTCAAGACTCATGGCC-3').

Western blotting, immunoprecipitation and in-vitro DUB assay

Western blots were performed with whole-cell extracts prepared in SDS sample buffer (0.1 M Tris pH 6.8, 2% (w/v) SDS and 12% (v/v) β-mercaptoethanol). For co-immunoprecipitation studies, cells were lysed in low IP buffer (25 mM Tris-HCl pH 7.5, 150 mM NaCl, 0.2% NP-40) and incubated with anti-GFP agarose (MBL). Protein extracts were separated onto NuPage 3–8% Tris-Acetate or 4–12% Bis-Tris gels (Invitrogen). Immunoblotting was performed as previously described (Sims *et al*, 2007). The following antibodies were used for western blot analysis: WDR48/UAF1 (Evoquest, Invitrogen), FANCI (Bethyl), PCNA (PC10, Santa Cruz), FANCA (Bethyl), MCM7 (sc-9966, Santa Cruz), Polk (Bethyl), Polη (Bethyl), GFP (ab290, Abcam), Rad17 (Bethyl), 53BP1 (Ab36823, Abcam), RFC2 (Bethyl), anti-HA (MMS-101R, Covance), and Rad18 (Ab79763, Abcam). Isolation of formaldehyde crosslinked Triton-insoluble and -soluble fractions is as previously described (Huang *et al*, 2006). *In-vitro* DUB assay was performed in reaction buffer (50 mM Tris-HCl pH 7.5, 150 mM NaCl, and 2 mM DTT) at 37°C for 30 min using recombinant USP2 catalytic domain (DUB-CD) (Boston Biochem) and terminated with 2 × SDS loading buffer.

Immunofluorescence and micronucleation assay

Cells were grown and processed in 8-well Lab-Tek® II Chamber Slide™ System slides from Nunc (Naperville, IL). Mitotic FANCD2 staining was performed in U2OS cells fixed with 4% (v/v) paraformaldehyde in PBS. Cells were blocked with PBS containing 1% BSA, 0.2% Triton X-100, and incubated with anti-FANCD2 (1:400, NB 100-182 Novus) for 2 h. GFP TLS Pol nuclear foci formation was detected after methanol fixation and stained with anti-GFP (B-2, Santa Cruz Biotechnology) for 2 h. Micronuclei were measured in cells treated with cytochalasin-B (2 μg ml⁻¹) (Sigma) for 24 h before fixation with methanol. Cells fixed for the micronucleation assay were also stained with mouse anti-α tubulin (Sigma) or DAPI. Secondary antibodies used were Alexa Fluor 488-conjugated goat anti-rabbit, goat anti-mouse IgG (Invitrogen), Alexa Fluor 564-conjugated goat anti-mouse, and goat anti-rabbit IgG (Invitrogen). Slides were mounted in Vectashield with DAPI (Vector Laboratories, Burlingame, CA). Images were deconvolved using Softworx software (Applied Precision). The images were opened and then sized and placed into figures using image J (available at

<http://rsb.info.nih.gov/ij/>) or Adobe Photoshop 7.0 Professional (Adobe Systems, Mountain View, CA).

Single-molecule DNA fiber analysis

U2OS cells were labelled for 10 min with 50 μ M IdU (Sigma), washed 3 \times in PBS and incubated with 200 μ M CldU (Sigma) for 20 min. The labelled cells were then treated with 1 mM thymidine for 1 h before they were harvested with trypsin. Genomic DNA was extracted from proteinase K-treated agarose plugs (10^5 cells per plug). Genomic DNA combed onto the silanated coverslips (Matsunami Glass) as described previously (Michalet *et al*, 1997). IdU and CldU were detected with monoclonal mouse BrdU (1:10, Becton Dickinson) and rat anti-BrdU antibodies (1:10, Abcam), respectively. Total denatured DNA was also detected to ensure labelled fibers remained intact using Anti-Human ssDNA (MAB3034, Millipore). Secondary antibodies Alexa Fluor 488-conjugated chicken anti-rat IgG (Invitrogen), Alexa Fluor 546-conjugated goat anti-mouse IgG (Invitrogen), and Alexa 647 goat anti-mouse IgG (Invitrogen) were used to detect primary antibodies. Images were captured and distances were measured using a Deltavision personalDV system (Applied Precision, Issaquah, WA) on a base Olympus IX71 microscope and a CoolSnap HQ camera (Photometrics). Fork speed was calculated by dividing the track size in Kb by the labelling time.

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Supplementary data

Supplementary data are available at *The EMBO Journal* Online (<http://www.embojournal.org>).

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Conflict of interest

The authors declare that they have no conflict of interest.

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