

Review Article

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Genetic architecture of HIV-1 genes circulating in north India & their functional implications

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This review presents data on genetic and functional analysis of some of the HIV-1 genes derived from HIV-1 infected individuals from north India (Delhi, Punjab and Chandigarh). We found evidence of novel B/C recombinants in HIV-1 LTR region showing relatedness to China/Mynmar with 3 copies of Nfkb sites; B/C/D mosaic genomes for HIV-1 Vpr and novel B/C Tat. We reported appearance of a complex recombinant form CRF_02AG of HIV-1 envelope sequences which is predominantly found in Central/Western Africa. Also one Indian HIV-1 envelope subtype C sequence suggested exclusive CXCR4 co-receptor usage. This extensive recombination, which is observed in about 10 per cent HIV-1 infected individuals in the *Vpr* genes, resulted in remarkably altered functions when compared with prototype subtype B Vpr. The Vpu C was found to be more potent in causing apoptosis when compared with Vpu B when analyzed for subG1 DNA content. The functional implications of these changes as well as in other genes of HIV-1 are discussed in detail with possible implications for subtype-specific pathogenesis highlighted.

Key words HIV-1 genetic variants - HIV-1 recombinants - HIV-1 subtypes - India

Introduction

Since the first detection of HIV-1 in India¹ in Chennai, Tamil Nadu, it has now been reported from almost all the States of India. The prevalence rates vary from 0.2-0.3 per cent in majority of the States to almost about 1 per cent reported for two southern States. The reasons for this discrepancy are not known and remain an interesting research problem. The HIV-1 epidemic in India started with genetic subtype C which probably entered through Southern Africa and it is believed that even today it is the most predominant

subtype in all the States. The current estimate of HIV-1 infected individuals in India is 2.5 to 3.1 million based on the surveillance report of National AIDS Control Organization (NACO) and other agencies². The molecular epidemiology of HIV-1, drug resistance, immune response and host genetics with reference to Indian scenario have been reviewed by several Indian investigators³⁻⁶ including our group^{7,8}. This mostly covers the HIV-1/AIDS scenario based on studies carried out mostly in southern India. Most of these studies were restricted to genetic analyses and very little information was available with respect to

functional implications of the genetic changes in the viral genes.

India is a large country and independent pockets of HIV-1 infections may be forming at multiple regions of India. Since very little information on the nature of HIV-1 epidemic in north India is available, we will focus, in this review, the genetic architecture of HIV-1 genes circulating in this region and functional implications of some of the genes which may help in understanding the molecular basis of genetic subtype-specific pathogenesis. We report the novel mosaic/recombinant structures of several important HIV-1 genes; increasing frequency of B/C or B/C/D genome segments and other novel and complex recombination events in the open reading frames (ORFs) of several viral genes which underscores the importance of co-circulation of multiple genetic subtypes that are likely to impact various HIV-1 prevention programmes. These recombinants are unique in the sense that cross-over events have taken place within the short ORFs of several HIV-1 genes. Some of these recombinants have widely divergent functions. We have deposited approximately 200 HIV-1 gene sequences from north India in the data bank and can be accessed from www.ncbi.nlm.nih.gov/pubmed site (select nucleotide from the menu and type "banerjea a" - to retrieve sequences - most of them are named as NII-PGI-viral genes).

Global HIV-1 epidemiology and Indian scenario

HIV diversity is associated with the error prone reverse transcriptase enzyme. While subtype A is prevalent in Africa, subtype B is predominant in North and South American continent. The Indian subcontinent has subtype C as the major prevalent subtype along with China and South Africa, which is the major subtype contributing to the epidemic worldwide. Although, there is no direct correlation between the fitness of various subtypes to their respective spread, the prevalence of subtype C among majority of the HIV infected individuals throughout the world would suggest that it has been naturally selected over other subtypes. The transmission rate of subtype C HIV-1 long terminal repeats (LTRs) from mother to infant was shown to be more efficient when compared to either subtype A or inter-subtype recombinants⁹. Also, in a recent review by scientists at National AIDS Research Institute, Pune, suggested that subtype C possessed fitness advantage over subtype A³. Most of the studies pertaining to HIV-1 pathogenesis have been carried out on subtype B from Europe and USA. In contrast little information is available for subtype C, responsible for

majority of the global epidemic (Africa and Asia). It has been suggested that the most recent ancestor of genetic subtype C appeared in Karonga District in Malawi in the mid-to late 1960¹⁰. Numerous reports suggest the presence of subtype C throughout India¹¹⁻¹³. Less prevalent HIV-1 subtypes, other than subtype C, have also been reported by several investigators in different parts of the country. These include subtypes B and A from New Delhi and Punjab (northern India), West Bengal and Manipur (eastern and north-eastern region), Maharashtra, Andhra Pradesh, Tamil Nadu and Gujarat (southern, western, and south western)¹⁴⁻²¹. So, it would be worthwhile determining the implications of other subtypes on HIV pathogenesis and their impact on the spatio-dynamics of HIV-1 epidemic.

HIV-1 LTR promoter variants, transactivation potential and mother-to-child transmission

HIV-1 LTR promoter region governs HIV-1 transcription and contains several transcription factor binding sites. Out of several transcription factors, NfκB has attracted the most attention. Most subtype B HIV-1 LTRs possess 2 whereas LTR C is known to possess 3 or sometimes even 4 copies of it which often positively correlates with HIV-1 gene expression. The most intriguing feature is the conservation of short subtype-specific sequences between the two successive NfκB sites. We recently reported novel HIV-1 LTR B/C recombinants that had segments derived from B/C China/Mynamar, B/C India²² (Fig. 1). There were numerous changes in the nucleotide sequences of the LTR region (~ 650 bases) which include the transcription factor binding regions. These novel mosaic B/C LTRs retained their ability to get activated by HIV-1 Tat protein. We have further extended this study and characterized 4 pairs of mother-child samples with respect to conservation of LTR sequences

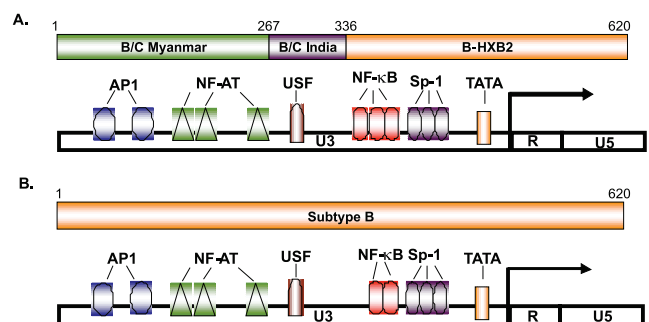


Fig. 1. Mosaic pattern and organization of transcription factor binding sites in HIV-1 LTR reported from north India: (A) B/C LTR showing 3 NF-κB (B) B LTR showing 2 NF-κB.

Source: Ref. 22.

as well as their ability to get transactivated with HIV-1 Tat protein. Three out of four LTR sequences from children samples exhibited increased transactivation when compared with their mother's LTR sequences (unpublished observation) and this observation closely mimics the observation made for subtype B LTR sequences carried out in USA²³. Thus high expression LTR phenotypes are selectively transmitted.

HIV-1 Tat variants - presence of a novel Tat B/C recombinant

HIV-1 Tat is a multifunctional protein that is intricately involved with HIV-1 gene expression and replication. It is produced from multiply spliced HIV-1 transcript and usually the 1st exon is sufficient for majority of its known functions²⁴. It consists of at least 4 well defined domains. It is noteworthy that the cysteine rich domain of subtype C possesses C31S mutation. This has enormous implications on its chemokine activity which is linked to very low incidence of HIV-associated dementia (HAD) in subtype C infected Indian patients as reported earlier²⁵. Subsequent studies from the National Brain Research Institute, Manesar, Haryana, using human foetal central nervous system progenitor cell-derived astrocytes and neurons found clade B Tat protein or DNA to be more potent as compared to those of clade C in inducing apoptosis in the neurons and successfully demonstrated clade-specific functional differences²⁶. It is also worth noting that most subtype C isolates possess QGD motif in the second exon of Tat but subtype B isolates possess RGD motif at similar position. Our group at National Institute of Immunology, New Delhi, created chimeric Tat constructs possessing domains from subtype B and C (from an Indian isolate 93IN905). This study clearly indicated that subtype B was more apoptogenic for T and monocytic cells. It also established that QGD motif present in subtype C Tat increased the Tat B mediated transactivation 3-fold more²⁷. When the Tat gene was analyzed from the infected individuals, we occasionally observed (3 out of 30) novel B/C recombinant (sample A9; Fig. 2). A precise breakpoint was observed at nucleotide position 123- the N-terminal half consisted of subtype B and the C-terminal of subtype C (unpublished observation). We observed that subtype B Tat was always more potent activator of LTR promoter than C Tat. When this recombinant Tat gene was tested for its ability to activate HIV-1 LTR promoter, it activated with intermediate efficiency. LTR activation related activities of Tat variants correlated with their ability to bind to Tar RNA (unpublished

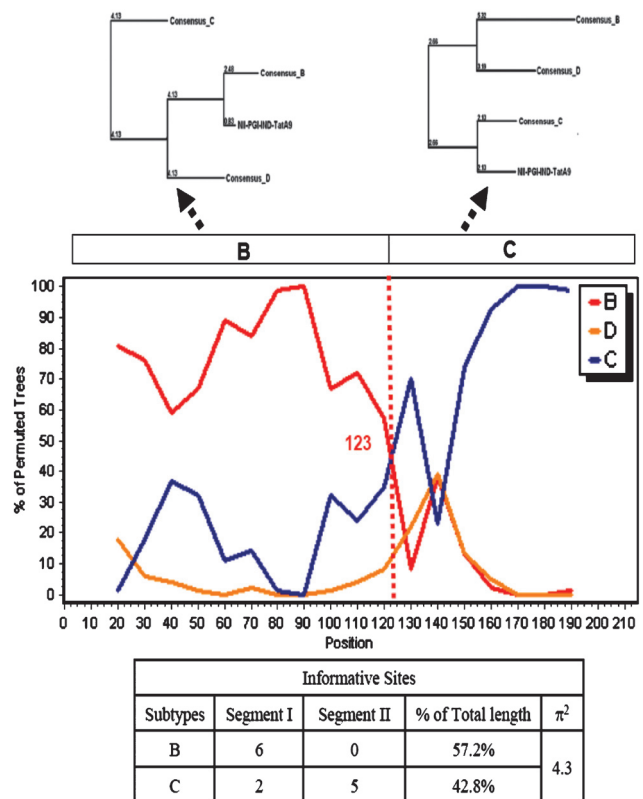


Fig. 2. Bootscan, informative and phylogenetic analysis of NII-PGI-IND-TatA9 variant using SimPlot ver 3.5.1. Bootscan analysis was carried out using Kimura (2-parameter) with a window size of 40 bp and a stepsize of 20 bp. Precise breakpoint and genomic makeup of the recombinant A9 isolate is shown.

data). Potent anti-Tat antibody production has been reported in some infected individuals which could neutralize a wide variety of genetic subtypes²⁸. Since Tat plays a very dominant role in HIV-1 gene expression and replication, the appearance of natural mutations and their functional implications will constitute an important comprehensive epidemiological study.

Sequence variation and functional domain analysis of Vif B and C genes

Vif is an accessory protein originally described as Virion infectivity factor. It is present in almost all lentiviruses and is required for viral replication and pathogenesis *in vivo*²⁹. It is mainly involved in neutralizing the DNA-editing enzymes, namely, cytidine deaminases of APOBEC family of proteins by promoting their degradation by proteasomal pathway. There are very significant differences in the sequence of aminoacids of Vif derived from a prototypic subtype B (pNL4-3) and a prototypic subtype C derived from an Indian isolate -93IN905- originally described by Lole

*et al*³⁰. This subtype C isolate is very close (>90%) to the consensus subtype C and, therefore, extensively used as a standard by various investigators. Gupta & Banerjee³¹ made several chimeric B/C mutants of this protein and broadly defined the domains responsible for APOBEC protein degradation. Their study suggested that C-terminal of Vif C possessed the major determinants for this function. We analyzed the Vif sequences derived from north Indian population. About 10 per cent of the samples exhibited a novel B/C recombinant structure with a precise break-point at position 292. The N-terminal half was derived from B subtype and the C-terminal half from C subtype. This Vif B/C recombinant possessed ability to degrade APOBEC protein comparable to Vif C (unpublished data). This is the first novel B/C recombinant from India with a precise breakpoint in the middle of the ORF of this gene (data not shown).

Novel B/C/D mosaic structure of Vpr genes - differential apoptotic and transactivation potential

HIV-1 Vpr, another viral accessory gene plays an important role in virus replication and can influence several viral and cellular functions (reverse-transcription process, nuclear import of viral DNA, cell cycle arrest, activation of cellular and HIV-1

LTR promoter³². Therefore, genetic and functional analysis of the Vpr gene from eight HIV-1 infected individual from north India was recently carried out by our group to understand its possible role in HIV-1/AIDS pathogenesis³³. Vpr gene from six out of the eight HIV-1 infected samples showed clustering with subtype C and possessed L64P mutation. Two samples were recombinants consisting of B, C and D subtype derived genomes (Fig. 3). The most interesting feature between the two recombinants was the presence of either subtype C or subtype B genome segments at their C-terminal halves. Their N-terminal halves consisted of identical mosaic B/C and D structure. Also functional analysis of these six samples showed that two L64P mutants (VprS1 and S3) poorly activated HIV-1 LTR promoter but the recombinant VprS2 was fully competent. All the three samples (VprS1, S2 and S3) retained their ability to cause apoptosis. The VprC variants with L64P mutation show selective functional inactivation. Thus, Vpr recombinants with mosaic genome segments highlight the importance of multiple genetic subtypes in shaping the HIV-1 epidemic in north India. This study also reinforces the fact that 291nt encoding Vpr is a hot spot for the formation of inter-subtype recombinants.

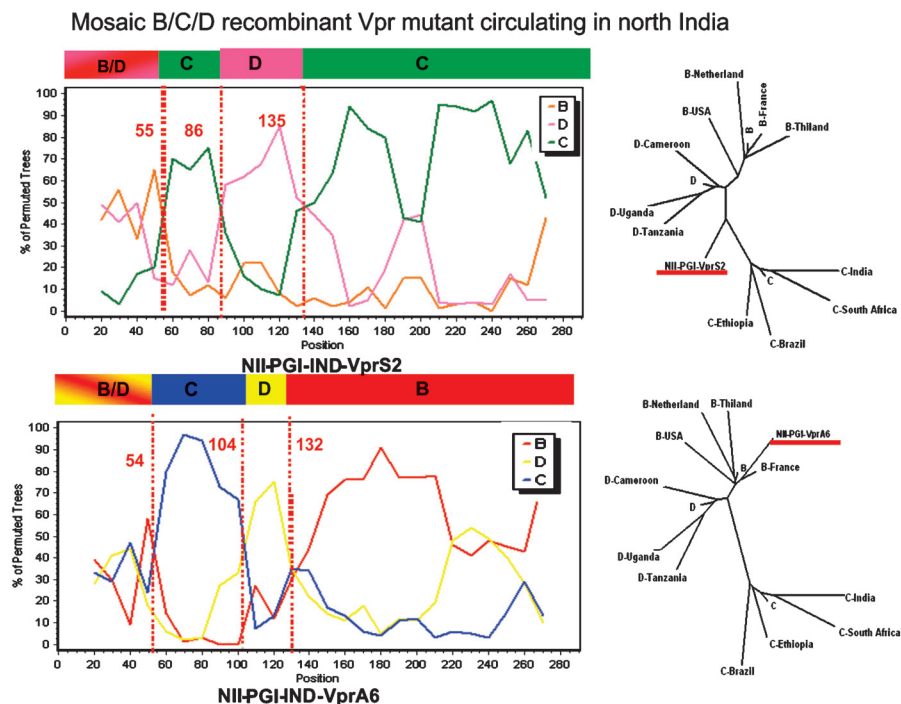


Fig. 3. Genetic analysis of the two Vpr recombinant samples from north India: Similar to Fig. 2, genetic tools were used to characterize the two Vpr sequences. The nature of the mosaic genome segments are shown along with their phylogenetic analysis. *Source:* Ref. 33.

HIV-1 envelope sequence variants

This gene is undoubtedly the most rapidly accumulating mutations during the course of the disease. It has been made up of multiple constant and variant regions. The gp41 region possesses the fusogenic region and HIV-1 gp120 possesses the CD4 receptor and co-receptor binding regions. It is very heavily glycosylated and responsible for eliciting the antibody responses. Evidence of mosaicism involving A, E and G in this region was reported as early as in 1999³⁰ from southern India. Using prototypic subtype C envelope the roles of V3 and V5 in viral entry were defined³⁴. Two groups^{35,36} reported southern subtype C isolates with novel co-receptor requirements to initiate infection. Our group has reported for the first time appearance of CRF_02AG (Central and western African origin) from Delhi besides subtypes B and C³⁷ (Fig. 4). Remarkably this study also predicted one of the subtype C isolates from northern India to be X4-tropic using two different programmes. This observation is important because earlier published work suggested

that subtype C infections do not show R5 to X4 co-receptor (chemokine receptor) switch observed among majority of subtype B infections³⁸. The remarkable feature of the V3 loop, which is primarily responsible for generating neutralizing antibodies and determining the co-receptor usage, is the presence of subtype-specific GPGQ and GPGR sequences in the V3 crown sequence. Our group³⁹ showed the presence of CCR5 Δ 32 in the Indian population and this was an extremely rare event. Thus our population is genetically more prone for HIV-1 infection and progression³⁹. Our group was also the first to exploit this target as an antiviral approach (ribozymes, catalytic DNAs, siRNAs)⁴⁰. Several other genes modulate HIV-1 progression and have recently been reviewed by us⁸.

Rev Response Element (RRE) RNA and Rev protein interaction

The amino acid sequence of Rev B and Rev C (derived from prototype subtype C – 93IN905) differs considerably in the RNA binding domain and the same



Fig. 4. Radial phylogenetic analysis of the envelope sequences. Note the Indian CRF02_AG clustering with earlier described CRF_02AG strains. The other two clusters are B and C subtype-specific.

is true for the 248nt long sequence of RRE. Rev protein is critically involved in the cytoplasmic transport of full length (~9kb genomic) and singly spliced envelope transcript. We observed that Rev B protein was very efficient in binding either RRE B or RRE C. On the other hand, Rev C showed RRE C binding but it failed to show RRE B binding (unpublished observations). These observations are likely to influence genetic subtype-specific gene expression. It is, therefore, important to monitor the nature and extent of mutations in Rev gene and RRE sequences from the same genomic RNA from clinical isolates. We observed that despite changes in the RRE RNA structure, the Rev binding region was retained by carrying out interaction studies between RRE RNA + purified Rev protein by gel analysis⁴¹.

HIV-1 Vpu subtype-specific differences

We have recently reported a novel function of HIV-1 Vpu with respect to stabilization of tumour suppressor p53 which is TrcP dependent. It inhibits the ubiquitination of p53. The stabilization of p53 leads to more apoptosis in human T-cells, a hall mark of HIV-1 pathology⁴². We observed that Vpu C was more potent in causing apoptosis as measured by SubG1 content⁴². We have sequenced subtype B and C-specific Vpu from HIV-1 infected individuals from north India and observed novel mutations (deletions in the trans-membrane and more substitutions in the C-terminal of Vpu protein variants (data not shown).

Conclusions

The hallmark of HIV is its widespread genetic diversity. The error prone reverse transcriptase (3×10^{-5} sites/genome/replication cycle) coupled with its enormous capacity to produce virus *in vivo* at a rate exceeding 10^9 per day and its persistent nature of infection, there exists tremendous scope for the generation of viral diversity⁴³. Recombinant viruses have already contributed substantially to the global pandemic, and the likelihood of generating recombinant viruses will continue to increase as the different HIV-1 subtypes spread worldwide⁴⁴. Mixing of different lineages and clades of HIV-1 strains could quickly lead to the evolution of new recombinant strains. Even recombinant viruses will recombine, evolving to produce the second generation recombinants, termed as inter CRF recombinants (ICRs). As an example a CRF namely ICR01_0708 was identified among intravenous drug users (IDUs) in Yunnan Province of China, composed of two closely related CRFs, CRF07_BC and CRF08_BC co-circulating in China^{45,46}.

There have been numerous reports for the presence of different subtypes in India in which different viral genes of different subclades exist together to produce a recombinant virus but the presence of sequences belonging to different subtypes within the same ORF of the viral genes has been reported recently by our group for Vpr and LTR genes^{23,33}. We have emphasized the contribution of these short stretches of gene sequences in modulating the evolution and spread of virus in this region. Although the L64P mutation in the Vpr gene found in most of the samples analyzed, showed reduction in the transactivation capabilities, these were equally competent to the prototype B and C Vpr in inducing apoptosis. This is not surprising as others have reported similar observations with artificially created mutants in Vpr B genes⁴⁷. We also suggest that these recombination events within the open reading frame of the viral gene are not random but predictable as the breakpoints relate to the points having low entropy values which correlated with the ability to form secondary structures (mountain plot)³³. The virus has to selectively accumulate the functionally active mutations which do not hamper the normal pathogenesis and this hypothesis has been supported by experiments carried out using HIV-1 env gene by different groups^{48,49}. Thus, there exists a functional constraint over recombination events occurring within these small gene segments. Recombination may thus significantly accelerate viral diversification and facilitate them to develop multi-drug resistance in infected individuals⁵⁰⁻⁵³. But, the emergence of viral variants which carry a novel pattern of mutations, conferring them with resistance against antiretroviral therapy, can lead to a loss of diversity throughout the viral genome, even when only a single gene segment is under selective pressure⁵⁴. Also, many believe recombination increases human immunodeficiency virus fitness, but not necessarily its diversity⁵⁵.

The pure HIV subtypes are gradually phasing out and are being replaced by mosaic genome viruses owing to the recombinogenic nature of the virus⁵⁶⁻⁵⁸. Recent studies suggest the co-existence of multiple HIV proviruses in infected cells, which enable the formation of heterozygous virions and presents necessary substrate for recombination which induces genomic diversification^{59,60}. Many recombinants between subtype A and C and between A and D have been reported from Eastern African countries which include Tanzania, Zambia, Uganda and Kenya, where subtype A, C and D usually circulate^{61,62}. The

prevalence of unique recombinant forms (URFs) and circulatory recombinant forms (CRFs) were also reported from different part of India³⁰. URF B/C was reported from mainly north eastern part (Manipur) with different ancestral origin and breakpoint and B/C/D recombinants from Punjab, indicating that these URFs and CRFs may evolve through mixing of the co-existing strains or enter through trafficking due to the close geographical proximity. The AC recombinant was identified from Maharashtra in India, where subtype C and A co-circulate⁶³. The prevalence of CRFs and URFs indicates the changing patterns of HIV-1 epidemiology in India. The nature of HIV-1 circulating in different parts of India has been shown so far in the context of specific HIV-1 structural genes *env* and *gag*⁶⁴⁻⁶⁶. A report from north India showed the prevalence of multiple subtype including B' (Thai B), C and A which indicates that the genetic diversity of HIV in India is gradually expanding⁶⁷. Since HIV-1 recombination occurs in a random manner, it is increasingly likely that cross-over breakpoints could also occur within the ORFs of the HIV-1 genes. Extensive intra-subtype recombination in South African HIV-1 subtype C genome⁶⁸, supports the above possibility. The appearance of CRF_02AG envelope sequences was reported for the first time by our group. This observation is important because majority of the earlier studies suggest that subtype C isolates originated from Southern Africa whereas CRF_02AG is predominantly found in Central and West Africa. Three independent reports from Indian investigators suggest that it is possible to occasionally find an X4-tropic virus among subtype C infected individuals and this happens with much reduced frequency as compared to subtype B which exceeds 50 per cent³⁴⁻³⁶. Earlier V3 to V5 regions of subtype C was reported to contribute to higher levels of HIV-1 replication⁶⁹. Also co-infections (mycobacterial and viral) among HIV-1 infected individuals in India is rampant and may significantly alter the course of HIV-1 progression. In this connection, our group for the first time showed that the X gene of hepatitis B virus could augment HIV-1 LTR C promoter driven expression significantly more than subtype B LTR promoter and that Tat + HBx gene could act synergistically to activate HIV-1 gene expression⁷⁰. Our observation that Vpu C causes more apoptosis than Vpu B may help explain some of the features associated with subtype C specific pathogenesis.

The appearance of new recombinants from several regions of India has potential to impact on the largely subtype-C driven epidemic. How they eventually impact

on the overall fitness of the virus will be important for several reasons including possibility of generating new epitopes important for eliciting protective immune responses.

The genetic information of accessory genes from India also reveals the presence of multiple subtypes. All earlier studies with respect to recombinant viruses from India suggested any one of the ten viral genes to be related to a different subtype, for *e.g.* HIV-1 *gag* could be related to subtype C but the envelope from subtype B. Our studies clearly show that multiple cross-over events can occur within the open reading frame of a single HIV-1 gene.

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