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Validation of a hip-worn accelerometer in measuring sleep time in children

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Abstract

This study compared measures of sleep from an accelerometer worn on the hip to measures obtained from an accelerometer worn on the wrist, the gold standard measure of sleep behavior in community research. The accelerometer worn on the hip provides a measure of TST in 10-11 year old children comparable to the wrist-worn unit. We provide an alternate method to ascertain bedtime and final wake time when diary data are missing. A hip-worn accelerometer may provide a cost-effective means of gathering physical activity and sleep data simultaneously in large samples of children with or without an accompanying sleep diary.

Keywords

obesity; sleep diary; physical activity; adolescent; children

Introduction

Over the past three decades the prevalence of obesity has more than tripled among U.S. children and adolescents (Ogden et al., 2006) and nurse practitioners and pediatricians are struggling to curtail the epidemic (Jacobson & Gance-Cleveland, 2010). While physical activity protects against obesity (Strong et al., 2005), it is well below recommended levels for children and adolescents (Whitt-Glover et al., 2009). Inadequate physical activity is of significant concern as it is strongly predictive of cardiovascular mortality among adults (Mitchell et al., 2010), and cardiovascular risk among youth (Owen et al., 2010), independent of weight status.

Low levels of activity have also been linked to poor sleep quality (Gupta, Mueller, Chan, & Meininger, 2002; Nixon et al., 2008). According to the Third National Health and Nutrition

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examination Survey (1988-1994) the prevalence of obesity and decreased levels of physical activity parallel the prevalence of sleep deprivation (Vgontzas, 2008). While sleep deprivation is linked to declines in daytime physical activity and to increases in obesity in adolescents (Gupta, Mueller, Chan, & Meininger, 2002; Nixon et al., 2008) the causal direction of this association is still unclear.

One barrier to further exploring the relationship among sleep, physical activity, and obesity is the difficulty inherent in measuring sleep and physical activity simultaneously. Currently, the majority of studies investigating sleep and physical activity use primarily self-report data (Landis, Parker, & Dunbar, 2009; Liou, Liou, & Chang, 2010), which are not reliable in children (Trost, 2007) and do not allow for distinguishing between sedentary, light, and moderate-vigorous physical activity. While accelerometers are an objective means of measuring both sleep and physical activity (Johnson et al., 2007), accelerometer protocols for assessment of sleep and activity are different. Currently, accelerometers are worn at the wrist or ankle to assess sleep among children (Gruber et al., 2007; Pesonen et al., 2009; Sadeh, Gruber, & Raviv, 2002), while accelerometers should be worn at the hip or low back to record physical activity (Trost, McIver, & Pate, 2005). Thus, accurate assessment of both sleep and physical activity requires that a subject wear two accelerometers – an expensive and burdensome endeavor – or switch the accelerometer between hip and wrist, a protocol that would likely be met with low compliance, particularly when a minimum of three consecutive days of accelerometer data are recommended to obtain valid and reliable measures (Littner et al., 2003).

The most complete sleep and physical activity data would be obtained if a single unit worn on the hip could capture both. However, only a handful of studies have assessed sleep with a hip- or trunk-worn accelerometer in children (Butte et al., 2007; Nixon et al., 2008), and no studies have compared wrist to hip placement in children. Therefore, it is unclear if use of a hip-worn accelerometer is a valid approach to measuring sleep. An additional complication when using accelerometers to measure sleep is the need for sleep diaries (to provide the child's bedtime and final wake time), which are frequently incomplete (Cao & Guilleminault, 2008; Carskadon et al., 1976; Nixon et al., 2008; Treuth et al., 2003).

The primary purpose of this study was to compare estimates of total sleep time (TST) obtained from the Actigraph GT1M (Actigraph, Pensacola, FL) worn at the hip to that obtained from the Mini-MotionLogger (MML) accelerometer (Ambulatory Monitoring Inc., Ardsley, NY) worn on the wrist. Additionally, to maximize valid data when sleep diaries are incomplete or missing, we developed and tested criteria to estimate children's bedtime and final wake time from accelerometer activity counts. Finally, a hip-worn accelerometer may be less sensitive to small movements during sleep than a wrist-worn accelerometer, which could lead to differences in estimates of sleep time in children with more active sleep patterns. Therefore, we sought to determine if quiet or active sleep patterns (Bullock & Schall, 2005) could account for any difference in TST between the hip- and wrist-worn units.

Methods

Participants

Fifth grade students were recruited to participate in this study from a physical education class in an urban elementary school. Written consent from a parent/guardian and verbal assent from the child were obtained. This study was approved by the University of California San Francisco Committee on Human Research.

Measures

The MML was chosen as the "gold standard" measure of sleep because it has been previously validated against polysomnography in children (Hyde et al., 2007; Sadeh, Sharkey, & Carskadon, 1994; So, Buckley, Adamson, & Horne, 2005; Tonetti, Pasquini, Fabbri, Belluzzi, & Natale, 2008) and is the most widely used measure of sleep in field research (Johnson et al., 2007; Sadeh et al., 1994). The MML is a wrist-watch size, batteryoperated microprocessor that senses motion with a piezo-electric linear accelerometer with a sensitivity of ≥ 0.01 g, 2-3Hz filter. The GT1M was chosen as the measure of physical activity because its activity counts have been validated against calorimetry in calibration studies (Puyau, Adolph, Vohra, Zakeri, & Butte, 2004; Treuth et al., 2004) and is widely used in research capturing physical activity in youth, including in the National Health and Nutrition Examination Surveys (Mark & Janssen, 2008; Mark & Janssen, 2009). The GT1M is a small ($3.8 \times 3.7 \times 1.8$ cm), lightweight (27g) accelerometer designed to detect vertical accelerations ranging in magnitude from 0.05g to 2.00g, with a frequency response of 0.25-2.50 Hz that senses motion using integrated circuitry. Participants were also given sleep diaries and asked to report their bedtime and final wake time.

Procedures

Participants were asked to wear the MML accelerometer on their non-dominant wrist and the GT1M accelerometer at their left hip (on an elastic belt) for three consecutive days and nights (72 hours) and to remove the units only when bathing or swimming. Parents and/or participants were telephoned each night to remind the child to wear the accelerometers appropriately and complete the sleep diary.

Researcher estimate of bedtime and wake time—Bedtime and wake times were available from sleep diaries. In addition, two separate researchers estimated bedtimes and final wake times from each participant's raw accelerometer data. Bedtime was estimated by identifying the time after which: 1) activity counts dropped by at least 50% of the participant's average daytime activity level; 2) a period of at least 5 consecutive minutes during which activity counts of 0 occurred; and 3) activity counts did not rise above 50% of daytime activity level for more than 5 consecutive minutes within a 3-hour period. Final wake time was estimated by identifying the time after which activity counts were greater than 0 continuously for at least 10 minutes. The average of the two researchers' times was used; if these two estimates differed by more than 5 minutes, consensus was achieved with a third researcher (KL), an expert in sleep monitoring.

Sleep scoring routines—Each accelerometer unit has software algorithms based on the Sadeh scoring method (Sadeh et al., 1994) that analyze the raw accelerometer data to calculate sleep time. Action4 software (Ambulatory Monitoring Inc., Ardsley, NY) was used for the MML unit (data collected using zero-crossing mode), and Actilife Lifestyle Monitor version 3.6.0 software (Actigraph, Pensacola, FL) was used for the GT1M unit (data collected using summed magnitude), and each 1-minute epoch was scored in a binary fashion as sleep (1) or wake (0).

The following sleep measures were calculated from raw accelerometer data for each unit: 1) sleep onset time – the 1st minute of the first consecutive 15 min of sleep after bedtime; 2) total sleep period – the number of minutes from sleep onset to final morning awakening; and 3) total sleep time (TST) – the subset of minutes scored as sleep during the total sleep period (excludes any minutes scored as wake). Each sleep measure was calculated separately using bedtime and final wake time from sleep diaries and researcher estimate.

Variations in sleep architecture between active and quiet sleepers (Bullock & Schall, 2005) may affect the validity of the hip-worn accelerometer in estimating TST compared to the more sensitive wrist-worn accelerometer. Participants were categorized as either "quiet" or "active" by reviewing de-identified hypnograms of each child's sleep patterns from both the GT1M and MML units. Children who primarily manifested inactivity on both hypnograms, were categorized as quiet sleepers. Children with hypnograms showing movement throughout the night were categorized as active sleepers. To control for possible adaptation to wearing the accelerometers during sleep, the second night's sleep data were used to categorize quiet and active sleepers. For one child, the third night was used because the MML unit was worn incorrectly on the second night.

Primary analyses were limited to those children with complete sleep diary and accelerometer data (n=15). The average TST across nights was used for each child. Pearson correlations determined the strength of the association between the MML and GT1M units in estimating TST for all subjects, and separately for quiet and active sleepers. Paired t-tests compared mean TST between the MML and GT1M units. To determine the reliability of researchers in estimating bedtime and final wake time, we used paired t-tests to compare researcher estimates to diary accounts of bedtime and final wake time within each unit. Statistical analyses were carried out using STATA 10 software (StataCorp LP, College Station, TX).

Results

A total of 25 fifth graders were enrolled (age 10 or 11 years), 3 of whom were excluded because of missing accelerometer data (Figure 1). Fifteen participants returned sleep diaries; these children were similar to those who did not return diaries in proportion girls and active vs. quiet sleepers (Figure 1). When possible, analyses were conducted among all 22 study participants, although analyses requiring diary reports of bedtime and final wake time were necessarily limited to the 15 participants with a sleep diary.

Difference between units in TST

On average, children slept less than eight hours per night. Among children with diaries (n=15), the MML and GT1M unit estimates of TST were highly correlated whether bedtime and final wake times were based on diary (r=0.93) or researcher estimate from the raw activity counts (r=0.89). The difference in TST between the hip and wrist was 6.6 min (95% CI -3.6,16.8) when using diary bedtime and final wake time, and 2.2 min (95% CI -10.4, 14.7) when using researchers' estimate of bedtime and final wake time (Table 1). When looking at all 22 subjects using researchers' estimate of bedtime and final wake time, the difference between units in TST was 5.8 mins (95% CI -4.7, 16.3 mins).

Difference between diary account and researchers' estimates of bedtime and wake time

Researchers' estimates of final wake time were within 2 minutes of diary accounts within both the MML and GT1M units (Table 2). Researchers' estimates of bedtime were approximately 20 minutes later than diary accounts for both units (Table 2). Despite later estimates of bedtime by researchers' estimate, TST differed by only 0.7 mins (95% CI -11.8, 10.5 mins) within the GT1M unit and by 5.1mins (95% CI -16.4, 6.2 mins) within the MML based on researchers' estimates vs. diary accounts of bedtime and final wake time.

Effect of sleep architecture

For the 15 subjects with a sleep diary, correlations between the MML and GT1M estimates of TST were the same (r = 0.97) among quiet sleepers and active sleepers based on diary accounts of bedtime and final wake time, and similar for quiet (r=0.94) and active (r=0.96) sleepers based on researchers' estimates of bedtime and final wake time. The mean

difference in TST between units was 9.1 min (95% CI -7.0, 25.3 mins) for quiet sleepers and 10.9 min (95% CI -4.0, 25.8) for active sleepers based on diary accounts on night 2. Using researcher estimate of bedtime and final wake time for all 22 subjects, correlations between units (Figure 2) were similar for quiet (r=0.92) and active sleepers (r=0.93), but the GT1M unit yielded consistently higher estimates of TST than the MML unit for active sleepers (25.3 minutes, 95% CI 4.9, 45.6). For quiet sleepers, TST was similar between units (-4 minutes, 95% CI -23.7, 15.7).

Discussion

This study represents a systematic attempt to validate a hip-worn accelerometer in measuring total sleep time in children against the field gold standard, a wrist-worn accelerometer. The results of this study indicate that the GT1M accelerometer worn on the hip is comparable to the MML wrist-worn accelerometer in estimating TST. With an average difference in TST between units of 6.6 minutes, the hip-worn accelerometer appears to be a useful method for estimating sleep time outside of controlled laboratory conditions when bedtimes and final wake times can be reasonably documented.

This study provides valuable information for practitioners and researchers interested in capturing children's physical activity and sleep patterns simultaneously while in their natural environment. The present results suggest that a unit worn at the hip can reliably assess sleep in children. To date, we are aware of only one study (Middelkoop, van Dam, Smilde-van den Doel, & Van Dijk, 1997) that compared wrist versus trunk placement of accelerometers in measuring sleep. Middelkoop's study was done in adults and found weaker correlations (r=0.63-0.79) than the present study. However, Middelkoop used "mean duration of uninterrupted immobility" to calculate sleep time, which codes only continuous epochs with 0 activity counts as sleep (Middelkoop et al., 1997). The present study used the Sadeh scoring method developed for use with children, which allows for some movement during sleep and has been validated against polysomnography (Sadeh et al., 1994). Our findings support the use of a hip-worn accelerometer to capture both sleep and physical activity data.

This study also sought to develop and test criteria for estimating time in bedtime and final wake time from accelerometer data. We found that researcher estimates of bedtime and wake time were closely aligned with sleep diary entries. Despite calling participants or parents in this study nightly to remind them to complete the sleep diary, only 15 of 22 participants returned diaries with usable data. Our findings suggest that researcher estimates based on activity counts from the accelerometer could be used to impute bedtime and final wake time when diary data are missing, thereby maximizing usable data. Although estimating bedtime and final wake time from raw accelerometer data was labor intensive in the present study, further work to develop algorithms to automate estimations might reduce reliance on sleep diaries. The reliability of other technologies currently available to automatically assess bedtime should be considered for future studies. For example, light sensors in combination with an accelerometer unit and event marker to push at the time of lights out and final awakening may be useful in estimating bedtime, although each method is likely to pose its own set of challenges.

Correlations between units in estimating TST were similar for both active and quiet sleepers, although the hip-worn unit yielded consistently higher estimates of sleep time than the wrist unit by an average of 25 minutes among active sleepers. The greater difference in TST seen among active sleepers likely reflects motion of the hands at night among active sleepers (including self-soothing activities such as thumb-sucking) (Wolf & Lozoff, 1989) that goes undetected by the hip-worn unit, but is detected and scored as "wake" time by the wrist-worn unit. This also likely reflects the increased sensitivity of the MML in detecting smaller

wrist movements using a zero-crossing mode. Without videography or polysomnography, it is not possible to determine whether the hip unit overestimates sleep, or the wrist unit underestimates sleep. Further work to develop algorithms to determine sleep architecture would be helpful for researchers interested in comparing differences between active and quiet sleepers, and could suggest appropriate corrections to use when assessing TST for active sleepers with a hip-worn accelerometer.

Limitations

While the upper bound of the 95% confidence interval suggests a difference between units in TST of no greater than 16 minutes (based on diary times or researcher estimates), the standard deviations were not trivial. Therefore, hip-worn accelerometers should be used cautiously in assessing sleep for an individual, but the present findings suggest they are reliable for tracking sleep in groups of children. The overall sample size was small, and some differences in TST might have been statistically significant with a larger sample size. However, the 95% CI suggests that even if statistically significant, differences in TST between units would not be clinically important, given the small effect sizes.

We did not use polysomnography as the gold standard measure of true sleep in this study. However, we chose the MML unit because it has been tested in the field and has been validated against polysomnography in multiple studies (Sadeh et al., 1994; So et al., 2005; Tonetti et al., 2008), one specifically in children (Hyde et al., 2007). The GT1M was specifically chosen for this validation study as it is widely used in field studies of physical activity and is the accelerometer being used in the National Health and Nutrition Examination Studies (NHANES) (Matthew, 2005; Troiano, 2005).

The lack of completed diary data by some study participants further limits our study findings. However, even when TST was calculated based on researcher estimated bedtime and wake time for all subjects, the difference between units was less than 6 minutes. It is important to note that using researcher estimates of bedtime precludes any valid calculation of sleep onset latency. "Bedtime" as estimated by researchers in the present study was approximately 20 minutes later than "Time in Bed" per sleep diaries, suggesting that researchers recognize "sleep onset" rather than the time at which children get into bed at night or turn off the light to get to sleep. While diary data or light sensor data would have allowed us to estimate sleep onset latency, that was not a specific aim of the present study.

These findings suggest that a hip-worn accelerometer can accurately assess TST with a sensitivity of about ± 15 minutes in groups of young children. The ability to reliably capture both physical activity and sleep data with an accelerometer worn at the hip will facilitate examination of the relationship between physical activity and sleep and their potentially related effects on common childhood health problems such as obesity, attention deficit disorder (ADD), depression, and posttraumatic stress disorder (PTSD) (Davis, Tkacz, Gregoski, Boyle, & Lovrekovic, 2006; Glod, Teicher, Hartman, & Harakal, 1997; Gupta et al., 2002; Hong et al., 2009; Sadeh, Pergamin, & Bar-Haim, 2006). Combined with prior research on the validity of hip-worn accelerometers in assessing physical activity, findings from this research could make studying physical activity and sleep in large populations of children more feasible and cost-effective. Ultimately, greater understanding of the relationship between sleep, physical activity, and obesity may help to develop interventions effective in ameliorating pediatric obesity, and support practitioners as they work to improve child health.

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Figure 1. Participants enrolled in study.

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Figure 2. Total Sleep Time (TST) from wrist-worn MML unit vs. hip-worn GT1M unit among active and quiet sleepers on Night 2

Correlations between GT1M and MML estimates of TST in quiet (n = 10) and active (n = 12) sleepers, with best fit regression lines.

Table 1

Total sleep time (TST) from wrist- vs. hip-worn accelerometers (n=15)

	Wrist-worn MML	Hip-worn GT1M	Difference	Effect size
	Mean ± SD [Range]		Mean ± SD [95% CI]	(Cohen's d)
Using Diary Account of Bed/Wake times	7h 46m ± 50m [5h 34m, 8h 35m]	7h 53m ± 47m [5h 39m, 8h 50m]	$7m \pm 18m$ [-4m, 17m]	0.13
Using Researcher Estimate of Bed/Wake times	7h 51m ± 49m [5h 40m, 8h 46m]	7h 53m ± 46m [5h 47m, 9h 0m]	$2m \pm 23m$ [-10m, 15m]	0.05

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		Researche (RE) ba	r Estimate ised on:		Differences	
	Diary Account	MML data	GT1M data	Diary vs. RE - MML	Diary vs. RE - GT1M	RE - MML vs. GTIM
	W	ean ± SD (m	in)	Mea	n (min) ± SD [9	5% CI]
Bedtime	9:36PM ± 48m	9:57PM ± 48m	9:57PM ± 46m	20.9 ± 0.7 [20.6, 21.3]	$\begin{array}{c} 21.5 \pm 1.6 \\ [18.0, 24.9] \end{array}$	0.5 ± 2.3 [-0.6, 1.7]
Final wake time	6:04AM ± 24m	$\begin{array}{l} 6:05AM\\ \pm 17m\end{array}$	$\begin{array}{c} 6:03 AM \\ \pm 17m \end{array}$	0.9 ± 7.2 [-2.8, 4.5]	$\begin{array}{c} 1.5 \pm 6.8 \\ [-1.9, 5.0] \end{array}$	2.4 ± 0.4 [2.2, 2.6]