

Clinical and pathological features of familial frontotemporal dementia caused by C9ORF72 mutation on chromosome 9p

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Frontotemporal dementia and amyotrophic lateral sclerosis are closely related clinical syndromes with overlapping molecular pathogenesis. Several families have been reported with members affected by frontotemporal dementia, amyotrophic lateral sclerosis or both, which show genetic linkage to a region on chromosome 9p21. Recently, two studies identified the FTD/ALS gene defect on chromosome 9p as an expanded GGGGCC hexanucleotide repeat in a non-coding region of the chromosome 9 open reading frame 72 gene (C9ORF72). In the present study, we provide detailed analysis of the clinical features and neuropathology for 16 unrelated families with frontotemporal dementia caused by the C9ORF72 mutation. All had an autosomal dominant pattern of inheritance. Eight families had a combination of frontotemporal dementia and amyotrophic lateral sclerosis while the other eight had a pure frontotemporal dementia phenotype. Clinical information was available for 30 affected members of the 16 families. There was wide variation in age of onset (mean = 54.3, range = 34–74 years) and disease duration (mean = 5.3, range = 1–16 years). Early diagnoses included behavioural variant frontotemporal dementia ($n = 15$), progressive non-fluent aphasia ($n = 5$), amyotrophic lateral sclerosis ($n = 9$) and progressive non-fluent aphasia–amyotrophic lateral sclerosis ($n = 1$). Heterogeneity in clinical presentation was also common within families. However, there was a tendency for the phenotypes to converge with disease progression; seven subjects had final clinical diagnoses of both frontotemporal dementia and amyotrophic lateral sclerosis and all of those with an initial progressive non-fluent aphasia diagnosis subsequently developed significant behavioural abnormalities. Twenty-one affected family members came to autopsy and all were found to have transactive response DNA binding protein with M, 43 kD (TDP-43) pathology in a wide neuroanatomical distribution. All had involvement of the extramotor neocortex and hippocampus (frontotemporal lobar degeneration-TDP) and all but one case (clinically pure frontotemporal dementia) had involvement of lower motor neurons, characteristic of amyotrophic lateral sclerosis. In addition, a consistent and relatively specific pathological finding was the presence of neuronal inclusions in

the cerebellar cortex that were ubiquitin/p62-positive but TDP-43-negative. Our findings indicate that the *C9ORF72* mutation is a major cause of familial frontotemporal dementia with TDP-43 pathology, that likely accounts for the majority of families with combined frontotemporal dementia/amyotrophic lateral sclerosis presentation, and further support the concept that frontotemporal dementia and amyotrophic lateral sclerosis represent a clinicopathological spectrum of disease with overlapping molecular pathogenesis.

Keywords: frontotemporal dementia; frontotemporal lobar degeneration; amyotrophic lateral sclerosis; *C9ORF72*, TDP-43

Abbreviations: ALS = amyotrophic lateral sclerosis; FTD = frontotemporal dementia; FTLD = frontotemporal lobar degeneration; PNFA = progressive non-fluent aphasia

Introduction

Frontotemporal dementia (FTD) and amyotrophic lateral sclerosis (ALS) are closely related clinical syndromes with overlapping molecular pathogenesis (Mackenzie *et al.*, 2010). A significant proportion of patients with FTD develop features of motor neuron dysfunction (Lomen-Hoerth *et al.*, 2002; Burrell *et al.*, 2011) and up to half of those presenting with ALS are found to have evidence of frontal lobe impairment (Lomen-Hoerth *et al.*, 2003; Phukan *et al.*, 2007). The concept that FTD and ALS represent a clinicopathological spectrum of disease is strongly supported by the discovery of the transactive response DNA binding protein with Mr, 43 kD (TDP-43) as the pathological protein in the vast majority of cases with ALS and in the most common pathological subtype of FTD (Neumann *et al.*, 2006; Cairns *et al.*, 2007; Mackenzie *et al.*, 2007), now referred to as frontotemporal lobar degeneration with TDP-43 pathology (FTLD-TDP) (Mackenzie *et al.*, 2009).

Both FTD and ALS have a strong genetic basis (Valdmanis *et al.*, 2009; See *et al.*, 2010). Most of the known gene abnormalities preferentially cause just one phenotype; mutations in the microtubule associated protein tau (*MAPT*) and progranulin (*GRN*) genes are major causes of familial FTD but not motor neuron disease, while mutations in the Cu/Zn superoxide dismutase 1 (*SOD1*), TDP-43 (*TARDBP*) and fused in sarcoma (*FUS*) genes tend to cause familial ALS without dementia. However, a number of families have been reported with an autosomal dominant pattern of disease in which affected members may develop either FTD or ALS or both (FTD–ALS). Several of these families have shown genetic linkage to a region on chromosome 9p21, with the combined data defining a minimum linkage region of 3.7 Mb, containing only five known genes (Momeni *et al.*, 2006; Morita *et al.*, 2006; Vance *et al.*, 2006; Valdmanis *et al.*, 2007; Luty *et al.*, 2008; Le Ber *et al.*, 2009; Gijssels *et al.*, 2010; Boxer *et al.*, 2011; Pearson *et al.*, 2011). Importantly, the same chromosomal region has been identified in several large independent genome-wide association studies of both ALS and FTD, implicating the genetic defect at chromosome 9p in sporadic forms of both diseases (van Es *et al.*, 2009; Laaksovirta *et al.*, 2010; Shatunov *et al.*, 2010; van Deerlin *et al.*, 2010).

Recently, two independent studies reported identification of the FTD/ALS gene defect on chromosome 9p as being a massively expanded GGGGCC hexanucleotide repeat in a non-coding region of the chromosome 9 open reading frame 72 gene (*C9ORF72*)

(DeJesus-Hernandez *et al.*, 2011; Renton *et al.*, 2011). The mutation was found to result in loss of one alternatively spliced *C9ORF72* transcript and the formation of nuclear RNA foci composed of the hexanucleotide repeat, suggesting multiple pathogenic mechanisms (DeJesus-Hernandez *et al.*, 2011). In these two studies, the *C9ORF72* mutation was found to be the most common genetic abnormality in familial and sporadic forms of both FTD and of ALS and was particularly frequent in patients and families with both conditions. However, the descriptions of the associated clinical features were limited to basic demographic information and broad phenotypes, while the neuropathology was described for only one family (DeJesus-Hernandez *et al.*, 2011).

In the present study, we provide a detailed analysis of the clinical features and neuropathology for 16 unrelated families with FTD (\pm ALS) caused by the *C9ORF72* mutation. We highlight the degree of clinical heterogeneity and specific pathological changes associated with this important, newly discovered genetic abnormality.

Materials and methods

Subjects

The subjects included in this study were part of a series screened for the *C9ORF72* mutation in one of the recent mutation discovery studies (DeJesus-Hernandez *et al.*, 2011). All subjects were participants in an ongoing FTD research study at the University of British Columbia (Vancouver, Canada), which focuses on the longitudinal assessment of relatives of probands with pathologically confirmed FTLD-TDP. The initial cohort consisted of 29 unrelated probands with autopsy proven FTLD-TDP (Table 1). Twenty-three probands had a family history suggestive of autosomal dominant inheritance with multiple affected family members. Three others were apparently sporadic and three had only a single first-degree relative with clinical dementia, not fulfilling FTD criteria and without autopsy confirmation (classified as 'possible family history'). All probands ($n = 29$) had previously been screened for *GRN* mutations and seven were found to be positive. As part of the recent gene discovery study, DNA samples from the remaining 22 *GRN* mutation-negative probands (or one of their affected relatives) were analysed using a combination of fluorescent fragment-length and repeat-primed polymerase chain reaction analyses, and 16 were found to carry alleles with abnormally expanded GGGGCC hexanucleotide repeats in intron 1 of *C9ORF72*. While detailed molecular genetic methodology and basic demographic features of the probands have been published previously (DeJesus-Hernandez

Table 1 Mutations in probands^a with autopsy proven FTLD-TDP pathology

Inheritance	Phenotype	n	Mutation	
			<i>C9ORF72</i>	<i>GRN</i>
Autosomal dominant	FTD/ALS	8	8	0
Autosomal dominant	FTD	15	8	7
Possible family history	FTD/ALS	1	0	0
Possible family history	FTD	2	0	0
Sporadic	FTD/ALS	1	0	0
Sporadic	FTD	2	0	0
<i>Total</i>		29	16	7

^a In some cases, DNA was not available from the FTLD-TDP-positive proband and the mutation was identified in an affected first-degree relative. Possible family history = one first-degree relative with dementia of unspecified type. *GRN* = progranulin gene.

et al., 2011), the current study focuses on the detailed analysis of the clinical and pathological features of these 16 families with FTLD-TDP caused by the *C9ORF72* mutation.

Clinical information

All available clinical records of 30 affected members from the 16 families with the *C9ORF72* mutation were reviewed. This included retrospective chart review for deceased individuals and the evaluation of clinical data collected prospectively during the longitudinal assessment of living subjects. Clinical features were scored using a semi-quantitative grading system (0, absent; 1, mild; 2, moderate; 3, severe). The amount and quality of available clinical information varied considerably among subjects and features that could not be evaluated with confidence were not scored. A clinical diagnosis of FTD was based on Neary criteria (Neary *et al.*, 1998), while ALS diagnosis was based on El Escorial criteria (Brooks *et al.*, 2000). One of the families (Family E) has previously been described in detail (Boxer *et al.*, 2010). For this family, only the proband was included in the present analysis.

Neuropathology

In addition to the 16 probands, post-mortem material was available from an additional five affected family members (total 21 autopsies). Twenty of these cases were also included in the clinical evaluation (above). Pathological changes were evaluated in multiple brain and spinal cord regions and graded using a semi-quantitative system (0, absent; 1, mild; 2, moderate; 3, severe). Sections of cerebellum from 25 cases in which the *C9ORF72* had been excluded (sporadic ALS, *n* = 10; familial FTD with *GRN* mutations, *n* = 10; sporadic FTLD-TDP, *n* = 5) were evaluated to determine the specificity of ubiquitin/p62-immunoreactive cerebellar inclusions. Controls for *C9ORF72* immunohistochemistry included hippocampal sections from cases of FTLD-TDP without *C9ORF72* mutation, FTLD-tau, FTLD-FUS, Alzheimer's disease and spinal cord sections from cases of sporadic ALS and ALS with *SOD1* mutations (*n* = 5, each).

Histochemistry and immunohistochemistry

Sections of formalin fixed, paraffin-embedded tissue were stained with haematoxylin and eosin, haematoxylin and eosin combined with Luxol fast blue and Bielschowsky silver method. Immunohistochemistry was

performed on 5- μ m thick sections using the Ventana BenchMark[®] XT automated staining system (Ventana) and developed with aminoethyl-carbazole. The primary antibodies employed recognized ubiquitin (Dako anti-ubiquitin; 1:500, following microwave antigen retrieval), p62 (BD Transduction Laboratories p62 Lck ligand; 1:500 following microwave antigen retrieval), hyperphosphorylated tau (Innogenetics AT-8; 1:2000 following microwave antigen retrieval and Sigma TAU-2; 1:1000 with 3 h initial incubation at room temperature), α -synuclein (Zymed anti- α -synuclein; 1:10 000, following microwave antigen retrieval), A β (Dako anti-beta amyloid; 1:100 with initial incubation for 3 h at room temperature), TDP-43 (ProteinTech Group anti-TARDBP; 1:1000 following microwave antigen retrieval), FUS (Sigma-Aldrich anti-FUS, 1:200, initial overnight incubation at room temperature, following microwave antigen retrieval) and *C9ORF72* (Sigma-Aldrich, anti-*C9orf72*; 1:50 overnight incubation following microwave antigen retrieval).

Statistical analysis

Descriptive statistics was used to characterize the demographics of the cohort. Age of onset and duration of disease were compared between subjects with and without ALS by *t*-test. ANOVA with *post hoc* Student–Newman–Keuls test was used for multiple group comparisons. Spearman's rho was used to examine correlations between clinical and pathological variables. As this was intended to be an exploratory analysis, no adjustment for multiple comparisons was made.

Results

Frequency of mutations in the cohort

The *C9ORF72* mutation was identified in 16/29 (55%) FTLD-TDP probands or one of their affected first-degree relatives (Table 1). All eight families in our series with autosomal dominant disease and a combination of FTD and ALS were found to have the *C9ORF72* mutation. Of the 15 autosomal dominant families with clinically pure FTD, eight (53%) were explained by the *C9ORF72* mutation while the other seven (47%) had a *GRN* mutation. None of the six cases with sporadic disease (*n* = 3) or a questionable family history (*n* = 3) was found to have mutations in either *C9ORF72* or *GRN*; this included two cases with FTD and ALS.

The following sections describe only those families and subjects with the *C9ORF72* mutation.

Families with the *C9ORF72* mutation

Pedigrees of the 16 families with the *C9ORF72* mutation are demonstrated in Supplementary Fig. 1. All showed a pattern of inheritance consistent with autosomal dominant disease with high penetrance. In eight families (50%) the clinical phenotype included both FTD and ALS while in the other eight (50%) the phenotype was only FTD. In five families, DNA was available from multiple affected members and all showed segregation of the mutation with clinical disease.

Subject demographics

All families with the *C9ORF72* mutation were of European ethnic origin, including English, Scottish, Irish, German, Icelandic, Swedish, Dutch and Greek descent. Thirty affected members from the 16 families with the *C9ORF72* mutation had sufficient

clinical information for analysis. Twenty-one (70%) were male and nine female (Table 2). Twenty-seven subjects were deceased and three living subjects are currently being followed. The mutation had been confirmed in 21, while the other nine were affected relatives of proven mutation carriers.

Fifteen subjects had a final clinical diagnosis of FTD, eight ALS and seven had both FTD and ALS (FTD–ALS). Of those with FTD–ALS, five began with FTD, one with ALS and one had synchronous onset. The mean age of onset of all clinical symptoms was 54.3 ± 10.2 years (range = 34–74 years), age of death was 61.5 ± 9.9 years (range = 41–84 years) and the disease duration was 5.3 ± 3.9 years (range = 1–16 years). Cognitive dysfunction tended to start slightly earlier than ALS (52.6 ± 9.6 versus 56.5 ± 8.8 , not significant). The only significant difference in the disease course between the clinical groups was that those with ALS (\pm FTD) had a shorter duration than those with only FTD (2.8 ± 1.5 versus 8.4 ± 3.8 years, $P = 0.0002$). There was a trend for the age of onset in each subsequent generation to be younger (genetic anticipation), with the mean age of onset in the parents of probands at 61.3 ± 7.7 years, the probands at

Table 2 Demographic information, clinical phenotype and disease course of study subjects

Subject	Sex	Mutation	Initial diagnosis	Final diagnosis	FTD subtype	Dementia onset (years)	ALS onset (years)	Death (years)	Duration (years)
A-1	M	NA	Alcoholic dementia	FTD–ALS	bvFTD	56	60	62	6
A-2	M	Yes	ALS	ALS			59	62	3
A-3	F	Yes	ALS	ALS			74	76	2
B-1	M	Yes	bvFTD	FTD	bvFTD	66		71	5
B-2	M	NA	bvFTD	FTD	bvFTD	58		66	8
C-1	F	NA	PNFA	FTD–ALS	PNFA/bvFTD	54	56	58	4
C-2	M	Yes	PNFA	FTD	PNFA/bvFTD	67		76	9
C-3	M	Yes	PNFA	FTD–ALS	PNFA/bvFTD	52	54	55	3
C-4	F	NA	ALS	ALS			53	56	3
D-1	M	Yes	ALS	FTD–ALS	PNFA	55	54	56	2
D-2	M	NA	ALS	ALS			72	75	3
E-1	F	Yes	PNFA–ALS	FTD–ALS	PNFA/bvFTD	39	39	41	2
F-1	M	Yes	bvFTD	FTD	bvFTD	56		69	12
G-1	M	Yes	bvFTD	FTD	bvFTD	56		72	16
G-2	M	Yes	bvFTD	FTD	bvFTD	42		Alive (52)	> 10
H-1	M	Yes	ALS	ALS			45	47	2
H-2	M	NA	ALS	ALS			58	59	1
H-3	F	NA	bvFTD	FTD	bvFTD	40		Alive (54)	> 14
I-1	F	Yes	PNFA	FTD–ALS	PNFA/bvFTD	56	57	58	2
I-2	M	Yes	ALS	ALS			53	55	2
J-1	M	Yes	bvFTD	FTD	bvFTD	58		66	9
K-1	M	Yes	bvFTD	FTD–ALS	bvFTD	50	53	56	6
K-2	F	NA	ALS	ALS			61	62	1
L-1	M	Yes	Amnesic MCI	FTD	PNFA/bvFTD	51		61	10
M-1	F	Yes	bvFTD, park	FTD, park	bvFTD	34		43	9
M-2	M	Yes	bvFTD	FTD	bvFTD	36		Alive (39)	> 3
M-3	M	NA	Alcoholic dementia	FTD	bvFTD	54		62	8
N-1	M	Yes	Atypical AD	FTD	bvFTD	74		84	10
O-1	M	Yes	bvFTD	FTD	bvFTD	53		56	3
P-1	F	Yes	Psychosis	FTD	bvFTD	49		51	2
mean						53.0 ± 9.7	56.5 ± 8.8	61.3 ± 10.2	5.3 ± 3.9

AD = Alzheimer's disease; bvFTD = behavioural variant frontotemporal dementia; F = female; M = male; MCI = mild cognitive impairment; NA = not available; park = parkinsonism.

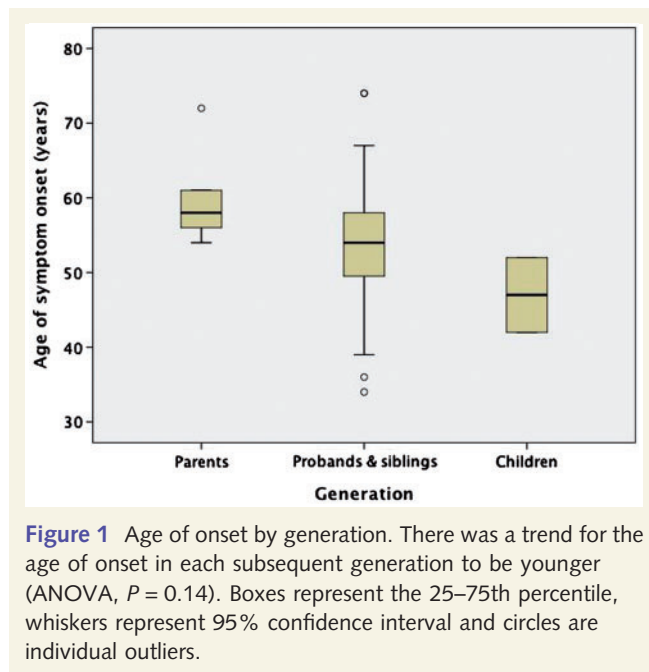


Figure 1 Age of onset by generation. There was a trend for the age of onset in each subsequent generation to be younger (ANOVA, $P = 0.14$). Boxes represent the 25–75th percentile, whiskers represent 95% confidence interval and circles are individual outliers.

53.8 ± 10.4 years and the children of the probands at 47.0 ± 7.1 years (ANOVA, $P = 0.14$) (Fig. 1).

Clinical features

The initial clinical diagnoses were FTD ($n = 15$), ALS ($n = 9$), FTD–ALS ($n = 1$), alcoholic dementia ($n = 2$), mild cognitive impairment ($n = 1$), psychosis/schizophrenia ($n = 1$) and atypical Alzheimer's disease ($n = 1$) (Table 2). The patients who were initially thought to have alcoholic dementia, atypical Alzheimer's disease and the one who presented with delusions were all subsequently diagnosed with behavioural variant FTD. No other subjects had delusions or hallucinations severe enough to fulfil a diagnosis of psychosis. One patient, who was initially diagnosed with amnesic mild cognitive impairment because of difficulty with word recall, developed progressive non-fluent aphasia (PNFA) after 4 years.

The final clinical diagnoses were FTD ($n = 15$), ALS ($n = 8$) and FTD–ALS ($n = 7$). For those with a final phenotype that included FTD ($n = 22$), the specific subtype(s) included behavioural variant FTD ($n = 15$), PNFA ($n = 1$) and a combination of PNFA and behavioural variant FTD ($n = 6$). No patient was diagnosed with semantic dementia. The most common features of behavioural variant FTD included decline in personal care, disinhibition, poor judgement, apathy, perseveration and executive dysfunction. The one subject with an FTD diagnosis of pure PNFA and three of the eight subjects with only ALS also had mildly abnormal behaviour and mild executive dysfunction (Table 3). The seven subjects with a diagnosis of PNFA all had a combination of decreased verbal fluency and word finding difficulty. All of the subjects with a final diagnosis of behavioural variant FTD and one with pure ALS also had at least mild language abnormalities. Memory problems were quite common in patients with FTD (16/22, 73%), but were usually mild in severity and later in onset. Visuospatial problems and apraxia were uncommon.

Fifteen subjects had a clinical diagnosis of ALS with evidence of both upper and lower motor neuron involvement (Table 4). Weakness tended to be distal and 47% of this group had prominent bulbar features. Of the subjects with a clinical diagnosis of only FTD, four (27%) had at least mild pyramidal system dysfunction, with a predominance of upper motor neuron and bulbar findings. Other motor abnormalities were common but usually mild. An extrapyramidal syndrome was present in 12 and was a prominent and presenting feature in one patient. Extrapyramidal features were usually limited to akinetic rigidity, with only four having true parkinsonism with associated resting tremor. Six patients were reported to have some combination of ataxia, unsteady gait, poor balance and abnormal position/vibration sense. Supranuclear gaze palsy was present in two patients and one had dystonic hand posturing. Urinary incontinence was a significant problem, early in the disease, for four subjects.

There was a tendency for the clinical symptoms to accumulate and phenotypes to converge during the course of disease. The variety of signs and symptoms experienced by each subject became more complex on subsequent assessments (Table 5). Whereas only one subject had features diagnostic of both FTD and ALS at the time of initial assessment, this rose to $n = 7$ by the late stages of their disease (Fig. 2). All six patients with an initial FTD phenotype of PNFA later developed significant abnormalities of behaviour, affect and/or executive function.

Clinical variation within C9ORF72 families

There was significant clinical heterogeneity, not only between families, but also within families (Table 2). For nine families, there was clinical information available for multiple affected members. The age of onset within families varied by as much as 22 years (Family M) and the duration by >14 years (Family H). In only three families did members have a consistent clinical phenotype (behavioural variant FTD); whereas, in the other six, the presentation and final diagnoses included ALS, FTD or both and the FTD could be either behavioural variant FTD or PNFA. An example of such intrafamilial variation is provided below.

Illustrative cases

The following case descriptions are included to illustrate the degree of clinical variation that was present within some individual families. The four subjects described were all related. The family designation, the relationship among the members and their exact ages, have been removed in order to protect the identity of the family.

Family member 1

This female began experiencing cognitive difficulties in her mid-fifties, with increasing forgetfulness, hesitant speech and word finding problems, resulting in the loss of her employment. Her family became concerned about her inattentiveness and difficulties with banking. On initial assessment, she was pleasant and well-groomed but giggled inappropriately. Her speech was interrupted by frequent stuttering, slurring, perseverative phrases and

Table 3 Behavioural and cognitive features of study subjects

Subject	Final diagnosis	FTD subtype	Domain						
			Behaviour	Affect	Executive	Language	Memory	Visuospatial	Praxis
A-1	FTD–ALS	bvFTD	2	2	2	1	2	2	0
A-2	ALS		0	0	0	0	1	0	0
A-3	ALS		0	0	0	0	0	0	0
B-1	FTD	bvFTD	1	2	2	1	2	0	0
B-2	FTD	bvFTD	2	1	2	2	2	0	0
C-1	FTD–ALS	PNFA/bvFTD	2	2	2	3	1	0	0
C-2	FTD	PNFA/bvFTD	2	1	2	2	1	1	0
C-3	FTD–ALS	PNFA/bvFTD	1	2	2	2	0	0	0
C-4	ALS		0	0	0	0	0	0	0
D-1	FTD–ALS	PNFA	1	0	NA	2	1	0	NA
D-2	ALS		NA	NA	NA	NA	NA	NA	NA
E-1	FTD–ALS	PNFA/bvFTD	1	1	2	2	1	0	2
F-1	FTD	bvFTD	3	2	2	1	1	1	1
G-1	FTD	bvFTD	2	NA	2	1	1	2	NA
G-2	FTD	bvFTD	2	1	1	1	0	1	0
H-1	ALS		1	0	1	0	1	0	0
H-2	ALS		NA	NA	NA	NA	NA	NA	NA
H-3	FTD	bvFTD	2	NA	1	1	0	1	0
I-1	FTD–ALS	PNFA/bvFTD	1	2	1	3	0	0	0
I-2	ALS		1	0	1	1	0	0	0
J-1	FTD	bvFTD	2	1	2	1	0	0	0
K-1	FTD–ALS	bvFTD	2	3	2	1	1	0	0
K-2	ALS		1	0	1	0	0	0	0
L-1	FTD	PNFA/bvFTD	2	0	1	1	2	0	0
M-1	FTD + park	bvFTD	1	3	2	2	1	1	1
M-2	FTD	bvFTD	2	0	2	1	1	0	1
M-3	FTD	bvFTD	3	1	3	1	1	0	0
N-1	FTD	bvFTD	3	1	2	1	1	1	0
O-1	FTD	bvFTD	3	3	3	1	0	0	0
P-1	FTD	bvFTD	3	1	3	1	1	0	0

Semiquantitative grading: 0, absent; 1, mild; 2, moderate; 3, severe.

NA = not available; bvFTD = behavioural variant frontotemporal dementia; park = parkinsonism.

semantic and phonemic paraphasic errors. Mini-Mental State Examination score was 20/30 with points lost on orientation, object recall, mental reversal and sentence repetition. Her clock-drawing was mildly impaired. Neuropsychological testing identified severe impairment in letter fluency and abstraction of similarities. She had moderate impairment in visual and auditory receptive language and verbal memory acquisition and retention. Her non-verbal memory was mildly impaired. Initial neurological examination was normal apart from diffusely brisk limb reflexes. Neuroimaging demonstrated mild generalized atrophy on head CT and frontal and perisylvian hypometabolism on FDG-PET. Her initial diagnosis was PNFA because language difficulty was felt to be the most prominent deficit during the initial stage of her disease. Over the following year she progressed rapidly, becoming mute and apathetic. She developed progressive motor impairment with dysphagia, spasticity, mild rigidity and an extensor plantar response. Her EMG revealed diffuse denervation and her diagnosis was changed to FTD–ALS. She died after an illness of 4 years from respiratory complications.

Family member 2

This well-educated male noted difficulty completing his income tax return in his late sixties. In the following year, he developed progressive memory problems and changes in behaviour that included making inappropriate comments to several children. When he was initially assessed, his Mini-Mental State Examination was 22/30 with problems noted in his orientation, mental control and naming, with relative preservation of short term recall. He had difficulty interpreting proverbs and on language testing demonstrated reduced verbal fluency, significant anomia, alexia and verbal apraxia. His clock drawing was mildly impaired. The remainder of his neurological examination was normal, except for frontal release signs with positive palmomental and grasp reflexes. An initial diagnosis of PNFA was made, based on the relative severity of his language deficits. His behaviour declined progressively over the next year, with frequent agitation and aggressive outbursts. He was placed in a nursing home where he stabilized until the final year of his life when he was noted to have frequent falls. He died a decade after the onset of symptoms from respiratory complications.

Table 4 Motor features of study subjects

Subject	Final diagnosis	Pattern of ALS features				Extra pyramidal	Other
		UMN	LMN	Distal/Proximal	Bulbar		
A-1	FTD–ALS	1	2	Distal	0	2	Unsteady gait
A-2	ALS	2	2	Distal	0	0	↓ Position/vibration sense
A-3	ALS	1	2	Distal	3	0	
B-1	FTD	0	0	0	1	1	
B-2	FTD	NA	NA	NA	NA	1	Incontinence
C-1	FTD–ALS	1	1	Distal/prox	2	1	
C-2	FTD	0	1	NA	NA	0	Incontinence
C-3	FTD–ALS	1	2	Distal	2	1	
C-4	ALS	2	2	Distal/prox	1	0	
D-1	FTD–ALS	2	2	Distal	1	1	
D-2	ALS	2	1	Distal	2	0	
E-1	FTD–ALS	2	2	Distal	1	2	Gaze palsy
F-1	FTD	0	0	0	0	0	
G-1	FTD	NA	NA	NA	NA	NA	
G-2	FTD	0	0	0	0	0	
H-1	ALS	2	2	Distal	1	0	
H-2	FTD	NA	NA	NA	NA	NA	
H-3	ALS	NA	NA	NA	NA	NA	
I-1	FTD–ALS	2	1	Distal	2	0	
I-2	ALS	2	1	NA	2	0	
J-1	FTD	NA	NA	NA	NA	NA	
K-1	FTD–ALS	2	2	Distal	2	0	
K-2	ALS	NA	NA	NA	NA	NA	
L-1	FTD	1	0	0	1	1	↓ Balance
M-1	FTD + park	2	0	Distal/prox	1	2	↓ Balance, dystonia, ataxia, incontinence
M-2	FTD	NA	NA	NA	NA	1	Incontinence
M-3	FTD	0	0	0	0	1	↓ Balance
N-1	FTD	NA	NA	NA	NA	1	Unsteady gait
O-1	FTD	0	0	0	0	0	
P-1	FTD	0	0	0	0	0	Gaze palsy

Semiquantitative grading: 0, absent; 1, mild; 2, moderate; 3, severe.

NA = not available; bvFTD = behavioural variant frontotemporal dementia; park = parkinsonism; LMN = lower motor neuron; prox = proximal; UMN = upper motor neuron; ↓ = reduced.

Family member 3

This patient was assessed in his mid-fifties, following 2 years of progressive speech difficulty. His fluency had declined with frequent syntactical errors, and he was slow to respond in conversation. His verbal comprehension and reading abilities were described as being intact. His family had also noted more recent behavioural changes with apathy, impulsivity and poor financial planning. He had limited insight into these difficulties and denied any problems. When assessed initially he was co-operative but noted to have blunted affect. His speech was hesitant and slow, with occasional stuttering. He scored 29/30 on Mini-Mental State Examination and 93/100 on the modified Mini-Mental State Examination with points lost on verbal fluency and verbal abstract reasoning. His clock drawing was normal. On the Montreal Cognitive Assessment, he scored 24/30 with problems in naming, delayed recall, phonemic verbal fluency and sentence repetition. On the Frontal Assessment Battery, he scored 16/18 with points lost for phonemic fluency and inhibitory control. His general neurological examination was unremarkable.

Neuropsychological testing demonstrated moderate impairment in language, attention and executive function with relative sparing of verbal and non-verbal memory. Neuroimaging with MRI showed frontal and temporal atrophy, greater on the left (Fig. 3A), and FDG-PET demonstrated frontal hypometabolism (Fig. 3B). He was diagnosed as having FTD with PNFA and some behavioural symptoms. Within the next year, he developed mild cognitive decline and significant new left-sided weakness, progressive slurring of speech and dysphagia. He had mixed upper and lower motor neuron signs with bilateral facial weakness, hoarseness, brisk jaw jerk and spasticity in all limbs. He had tongue atrophy with fasciculations and weakness in his neck flexors and extensors (4/5) as well as his left arm and leg (4+/5). Fasciculations were noted in his thighs. EMG study confirmed widespread denervation and his diagnosis was changed to FTD–ALS. He was treated with riluzole. Over the next 3 months, his dysphagia worsened and a percutaneous endoscopic gastrostomy tube was inserted because of aspiration risk. He died <2 years after disease onset from sepsis.

Table 5 Evolution of clinical features of study subjects

Domain Symptoms	<i>n</i> (%) affected in first year	<i>n</i> (%) affected in final year
Behaviour	14 (47)	25 (83)
Disinhibition	12 (40)	21 (70)
Decline in self-care	6 (20)	22 (73)
Rigidity/perseveration	8 (27)	15 (50)
Affect	12 (40)	20 (67)
Apathy	9 (30)	14 (47)
Depression	4 (13)	7 (23)
Executive	12 (40)	24 (80)
Planning/set shifting	12 (40)	22 (73)
Impaired abstraction	10 (33)	17 (57)
Poor judgement	8 (27)	21 (70)
Poor attention	6 (20)	12 (40)
Language	14 (47)	23 (77)
Reduced fluency	12 (40)	21 (70)
Word finding difficulty	10 (33)	17 (57)
Fluent aphasia	0 (0)	0 (0)
Memory (short-term)	9 (30)	18 (60)
Visuospatial	3 (10)	8 (27)
Apraxia	1 (3)	4 (13)
Extrapyramidal signs	3 (10)	12 (40)
Rigidity/bradykinesia	2 (7)	9 (30)
Tremor	1 (3)	4 (13)
ALS features	10 (33)	15 (50)
Upper motor neuron dysfunction	7 (23)	15 (50)
Lower motor neuron dysfunction	5 (17)	14 (47)
Bulbar dysfunction	2 (7)	14 (47)

Family member 4

This patient initially presented in her early fifties with gradually progressive leg weakness. On her assessment, she was noted to have marked hyper-reflexia in all limbs and bilateral extensor plantar responses. Her MRI showed narrowing of her spinal canal at C3/C4 with possible spinal cord compression. She had decompressive spinal surgery for cervical myelopathy with initial improvement in her leg strength. However, within a few years, she developed recurrent weakness of her legs as well as her right arm. There were no obvious cognitive problems, although relatives felt she was more irritable. Neurological examination at that time revealed motor abnormalities with slow tongue movements and weakness in her right arm (4+/5) and both legs (4–/5). Muscle wasting and fasciculations were noted in her right biceps and left quadriceps. A biopsy of her right biceps showed changes of chronic denervation atrophy, consistent with ALS. Over the next 2 years, she developed progressive neck and bulbar weakness with increasing dysphagia. She died of respiratory failure after a 5-year illness.

Neuroimaging

Neuroimaging reports were available for 21 subjects, including; CT ($n = 16$), MRI ($n = 11$), FDG-PET ($n = 5$) and SPECT ($n = 5$). A summary of imaging findings is shown in Supplementary Table 1. In subjects with FTD, focal atrophy was more often detected with MRI (7/9 focal atrophy, 2/9 diffuse atrophy) compared to CT (5/15 focal atrophy, 7/15 diffuse atrophy and 3/15 normal). PET (4/5) and SPECT (3/5) helped to further identify frontal abnormalities in several subjects with non-focal structural imaging. Left–right asymmetry was reported in only one case of

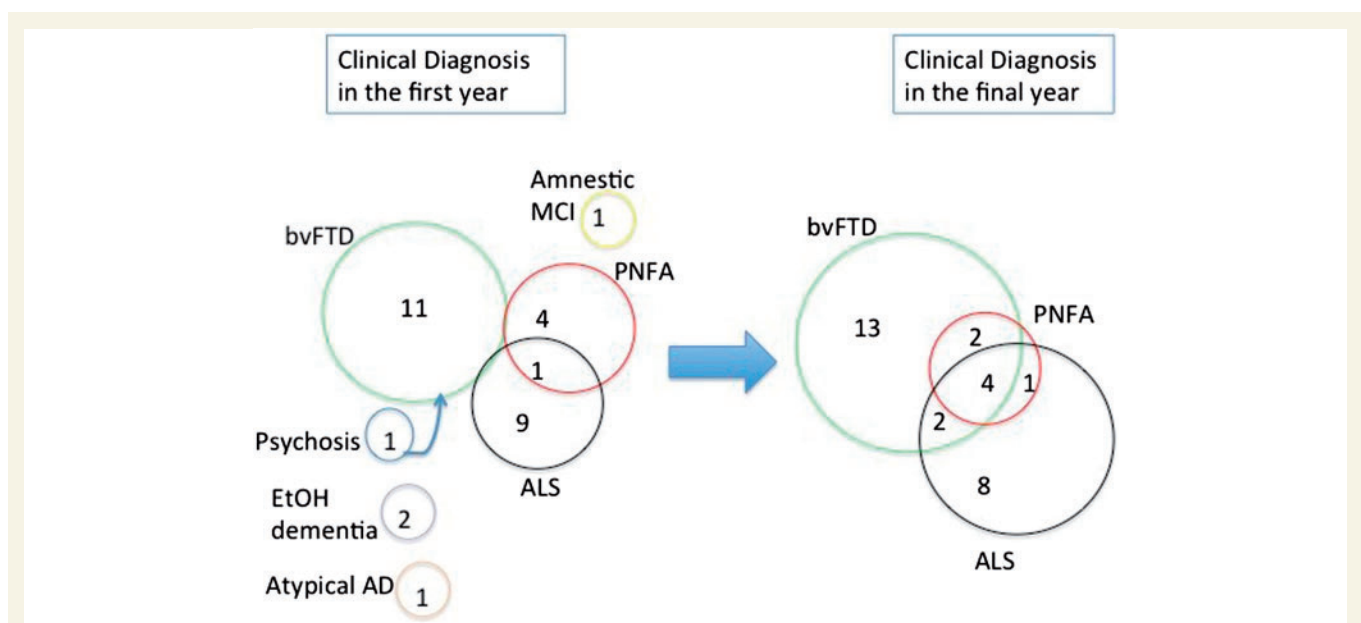


Figure 2 Evolution of clinical diagnoses. There was a tendency for the clinical phenotypes to converge with disease progression. AD = Alzheimer's disease; bvFTD = behavioural variant frontotemporal dementia; EtOH = alcohol related; MCI = mild cognitive impairment.

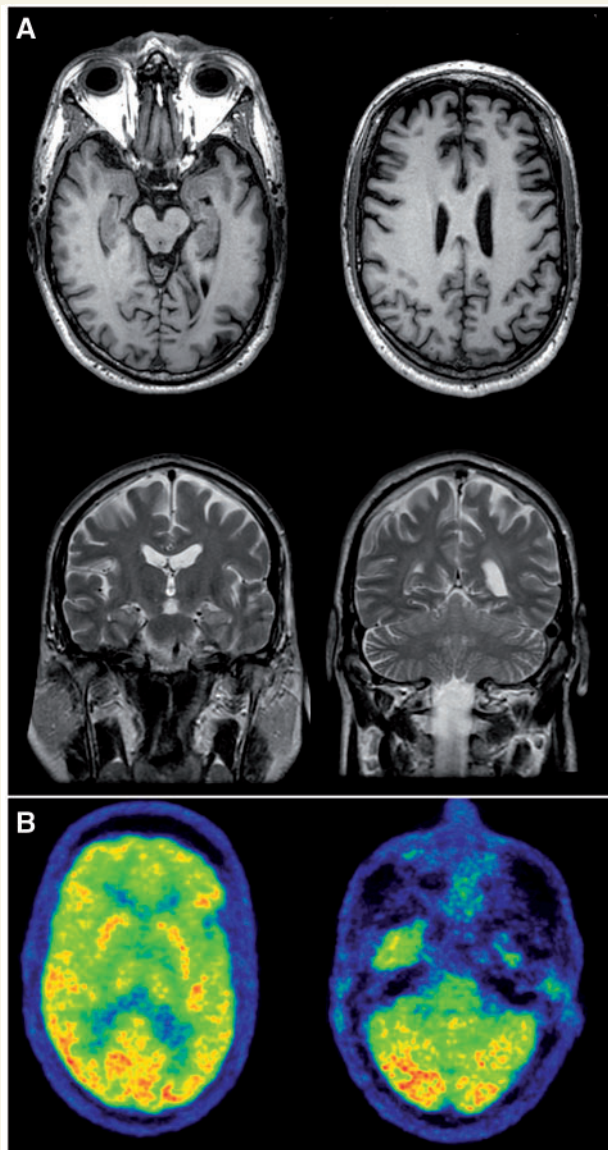


Figure 3 Neuroimaging on illustrative Family member 3. MRI at the age of 54 (A). *Top*: T₁ axial images at the level of hippocampus (*left*) and corona radiata (*right*), demonstrating anterior frontal and temporal lobar atrophy (*left* > *right*). *Bottom*: T₂ coronal images at the level of the hippocampus (*left*) and cerebellum (*right*), further demonstrating the asymmetric atrophy and showing hippocampal atrophy that is mild compared to the degree of cortical involvement. FDG-PET at the age of 54 years (B) shows hypometabolism in the frontal lobes relative to the occipital cortex at the level of the basal ganglia (*left*) and the cerebellum (*right*).

PNFA (Case C-3). In the three subjects who had ALS at initial presentation, one had a normal MRI, one had generalized atrophy, and one demonstrated abnormal corticospinal tract T₂ signal.

Neuropathology

Autopsy material was available from 21 affected members from the 16 families. Their final clinical diagnoses were FTD ($n = 11$),

FTD-ALS ($n = 8$) and ALS ($n = 2$). The presence of the *C9ORF72* mutation had been confirmed in 18 while the other three had an affected first-degree relative with proven mutation.

The weight of the post-mortem brain specimen (mean = 1238 ± 212 g, range 720–1680 g) and the degree of cerebral atrophy varied considerably (Table 6). Gross atrophy of the cerebral lobes was noted in 14 (67%) cases, was generally symmetric and always involved the frontal lobes, with the temporal lobes less commonly affected. There was reduced pigmentation of the substantia nigra in 12 (57%) cases and atrophy of the head of the caudate nucleus in four (19%). Non-specific changes of chronic degeneration in affected regions of the cerebral cortex included neuronal loss, astrocytic gliosis, microglial activation and laminar superficial spongiosis. Chronic degenerative changes were also common in the striatum and substantia nigra. Thirteen cases showed selective pyramidal cell loss from the CA1 region of the hippocampus, which was usually mild or moderate. There was reduced myelin staining of the corticospinal tracts in 14 cases and loss of lower motor neurons in all but one of the cases where the spinal cord was available. Other brain regions were inconsistently affected or spared.

The microscopic neuropathology was characterized by the presence of TDP-43 immunoreactive cellular inclusions, in a wide range of neuroanatomical regions (Table 6). The cerebral neocortical pathology could be divided into two broad groups. In 13 cases (62%), the pattern was consistent with FTLD-TDP type B (equivalent to Mackenzie type 3 and Sampathu type 2) (Mackenzie *et al.*, 2011), characterized by compact neuronal cytoplasmic inclusions in all cortical layers with relatively few dystrophic neurites (Fig. 4A). In addition, many small layer II neurons had diffuse granular cytoplasmic TDP-43 reactivity (pre-inclusions) (Fig. 4B). The remaining eight cases (38%) also showed FTLD-TDP type B features, but in combination with a superficial band of more compact small neuronal cytoplasmic inclusions and dystrophic neurites, as well as rare lentiform neuronal intranuclear inclusions (NII); a pattern consistent with FTLD-TDP type A (equivalent to Mackenzie type 1 and Sampathu type 3) (Fig. 4C) (Mackenzie *et al.*, 2011). In the hippocampus, all cases had numerous compact and granular TDP-43 immunoreactive neuronal cytoplasmic inclusions in dentate granule cells and a majority had small thread-like processes concentrated in the CA1 region (Fig. 4D). Variable numbers of TDP-43 immunoreactive neuronal cytoplasmic inclusions and dystrophic neurites were present in many subcortical regions with the striatum and substantia nigra consistently involved. Small numbers of TDP-43 immunoreactive glial cytoplasmic inclusions were a common finding in subcortical nuclei and white matter. In all but one case (Case C-2, clinical FTD), at least some TDP-43 immunoreactive neuronal and glial inclusions were present in the ventral grey matter of the spinal cord (when available) and/or brainstem motor nuclei. The morphology of neuronal cytoplasmic inclusions in lower motor neurons included bundles of filaments, compact round bodies and diffuse cytoplasmic granules (Fig. 4E and F).

Immunohistochemistry for ubiquitin and p62 labelled the compact TDP-43 immunoreactive neuronal cytoplasmic inclusions, dystrophic neurites and neuronal intranuclear inclusions but not the granular neuronal pre-inclusions or the small CA1 processes.

Table 6 Neuropathological findings of study subjects

Case	Final clinical diagnosis	Brain weight (g)	Gross atrophy			Microscopic changes												FTLD-TDP type	Other		
			FL	TL	BG	SN	FC	TC	NC	HC CA1	HC dent	BG	SN	CST	LMN	cer ctx ub					
						deg		TDP		deg		TDP		deg		TDP					
A-1	FTD-ALS	1680	1	0	0	0	1	2	0	1	2	2	2	0	1	2	3	3	3	B + A	
A-3	ALS	1296	0	0	0	0	1	2	3	3	3	1	2	1	3	3	3	3	3	B	
B-1	FTD	1230	1	1	0	1	1	3	1	1	3	1	2	1	2	1	1 ^a	2 ^a	3	B + A	
B-2	FTD	1480	2	3	0	0	2	3	1	3	3	1	2	2	1	NA	NA	NA	3	B + A	
C-1	FTD-ALS	1400	0	0	0	0	1	2	0	0	3	1	2	NA	2	NA	NA	NA	B		AD
C-2	FTD	1150	2	2	0	1	1	2	1	3	3	0	1	0	1	0	0	0	1	B	
C-3	FTD-ALS	NA	0	0	0	1	1	2	0	0	3	0	3	1	2	2	3	3	2	B	
D-1	FTD-ALS	1470	1	0	0	1	1	2	0	0	3	0	1	2	2	1	2	3	NA	B	
E-1	FTD-ALS	970	2	0	1	1	3	2	3	1	3	1	2	1	2	3	3	3	3	B	
E-2	FTD-ALS	1130	2	0	0	0	1	0	2	0	1	NA	NA	NA	NA	2	1 ^a	2 ^a	1	B	
F-1	FTD	1300	2	2	3	2	2	3	2	1	3	3	3	3	3	1	1	1	3	B + A	AD
G-1	FTD	1165	0	0	0	2	2	2	2	1	3	2	3	2	2	1	0 ^a	1 ^a	3	B + A	AD
H-1	ALS	NA	0	0	0	0	1	NA	2	1	0	1	2	1	2	3	3	3	3	B	
I-1	FTD-ALS	1175	2	1	1	1	2	2	3	2	0	2	2	2	3	2	2	3	2	B	
J-1	FTD	1400	1	2	0	1	1	2	2	2	3	1	1	2	1	0	1	2	2	B	
K-1	FTD-ALS	NA	0	0	0	0	1	1	2	1	3	1	2	1	2	2	2	3	3	B	
L-1	FTD	1242	3	0	0	2	2	0	3	0	1	3	1	2	2	0	1	1	2	B + A	
M-1	FTD + park	720	3	3	0	3	3	3	2	3	3	3	3	3	2	1	1	1	3	B + A	
N-1	FTD	1216	0	0	0	0	1	NA	2	3	2	2	NA	1	1	1	0 ^a	1 ^a	NA	B + A	
O-1	FTD	1075	2	2	0	3	2	1	2	0	0	0	1	2	2	0	1	2	3	B	
P-1	FTD	1180	2	1	0	2	2	2	3	0	1	2	1	2	1	2	1	2	1	B	

^a Only medulla, no spinal cord available. Semi-quantitative grading: 0, absent; 1, mild; 2, moderate; 3, severe. NA = not available; AD = Alzheimer's disease pathology; BG = basal ganglia; CA1 = cornu ammonis zone 1; cer ctx = cerebellar cortex; CST = corticospinal tracts; deg = degenerative changes; dent = dentate granule cell layer; FC = frontal cortex; FL = frontal lobe; HC = hippocampus; LMN = lower motor nuclei (hypoglossal nucleus and spinal cord ventral grey matter); park = parkinsonism; SN = substantia nigra; TC = temporal cortex; TL = temporal lobe; ub = ubiquitin immunoreactive pathology.

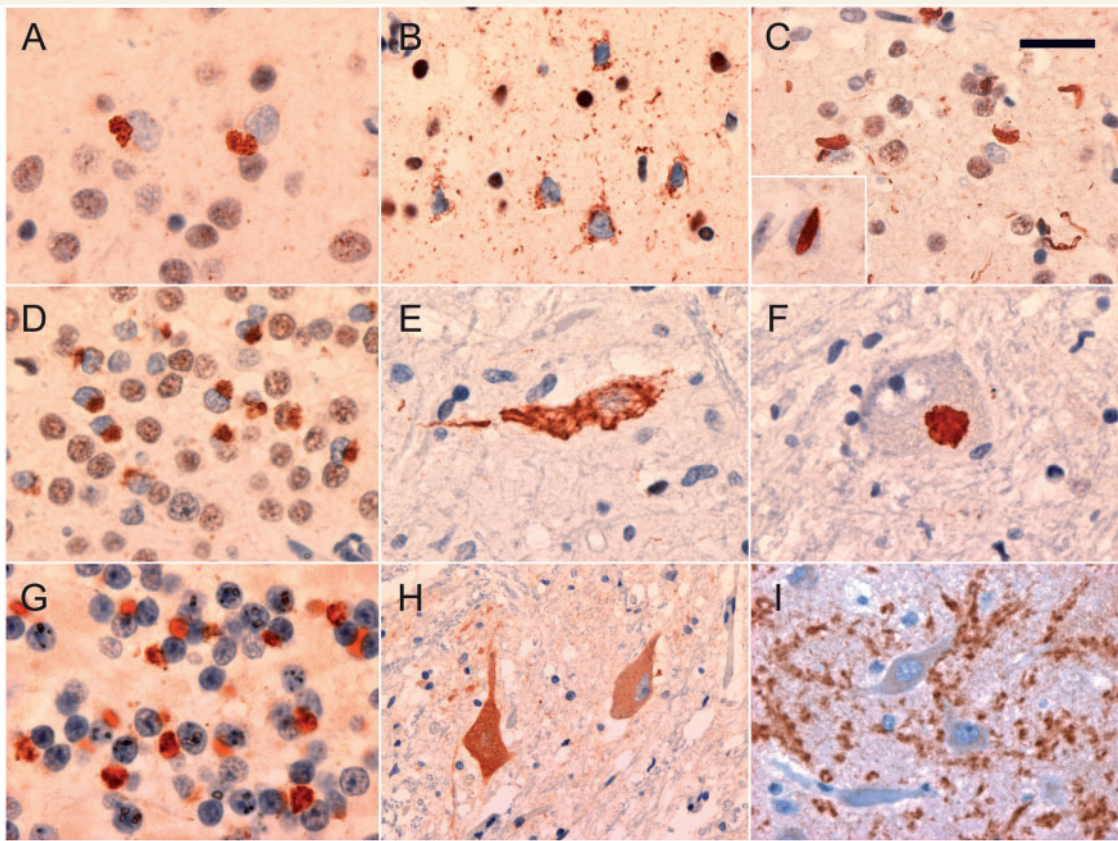


Figure 4 Neuropathological features associated with the *C9ORF72* mutation. All cases showed compact and granular TDP-43-immunoreactive neuronal cytoplasmic inclusions in the neocortex, typical of FTLD-TDP type B (A). Granular neuronal pre-inclusions in neocortex layer II were common (B). A subset of cases had compact neuronal cytoplasmic inclusions, short neurites and rare lentiform neuronal intranuclear inclusions (*inset*) in layer II neocortex, consistent with FTLD-TDP type A (C). Compact and granular neuronal cytoplasmic inclusions in hippocampal dentate granule cells were a consistent feature (D). Lower motor neurons contained neuronal cytoplasmic inclusions with granular, filamentous (E) or compact Lewy body-like morphology (F). Small neuronal cytoplasmic inclusions and short neurites in the granule cell layer of the cerebellar cortex were immunoreactive for ubiquitin and p62, but negative for TDP-43 (G). Increased cytoplasmic staining of some lower motor neurons was seen in cases of ALS, both with and without the *C9ORF72* mutation (H). In all cases of FTLD (with and without the *C9ORF72* mutation) hippocampal pyramidal neurons were surrounded by coarse punctate staining, consistent with enlarged presynaptic terminals (I). Immunohistochemistry for TDP-43 (A–F), ubiquitin (G) and *C9ORF72* (H and I). Scale bars: A, D–F, H and I = 25 μ m; B and C = 30 μ m; G = 12 μ m.

A number of other types of inclusions were demonstrated with ubiquitin and p62 immunohistochemistry that were negative for TDP-43. These included small granular dot-like neuronal cytoplasmic inclusions and glial cytoplasmic inclusions and rare large swollen dystrophic neurites in the cerebral neocortex, focal cytoplasmic collections of granules in hippocampal pyramidal neurons, and neuronal cytoplasmic inclusions and small dystrophic neurites in the cerebellar granule cell layer (Fig. 4G). The most consistent and specific of these TDP-negative, ubiquitin/p62-immunoreactive pathologies was the cerebellar inclusions, which were present in all 18 study subjects examined but only rarely present in very small numbers in 25 mutation-negative FTLD-TDP and ALS controls.

In most cases, immunohistochemistry for tau and A β proteins did not show any specific pathological changes beyond those expected for patient age. However, three cases had sufficient numbers of classical neuritic senile plaques (CERAD 'moderate' or 'frequent') and neurofibrillary tangles (Braak stage V or VI) to

fulfil diagnostic criteria for Alzheimer's disease (The National Institute on Ageing and Reagan Institute Working Group on Diagnostic Criteria for the Neuropathological Assessment of Alzheimer's Disease, 1997). These cases were somewhat unusual in that all three showed a severe degree of cortical tau-immunoreactive neuritic pathology, disproportionate to the number of senile plaques, and a frequency of subcortical tau-immunoreactive neurites and glial cytoplasmic inclusions that was excessive for typical Alzheimer's disease (Supplementary Fig. 2). The frequency and anatomical distribution of the tau-immunoreactive neurites in these cases was distinct from the TDP-43 immunoreactive dystrophic neurites. No pathological changes were demonstrated with immunohistochemistry for α -synuclein or FUS.

Immunohistochemistry using a commercially available antibody against *C9ORF72* showed weak cytoplasmic reactivity of most large neurons and diffuse finely punctate immunostaining of

grey matter, consistent with synaptic localization. In cases with ALS, some lower motor neurons that appeared to be chromatolytic showed more intense cytoplasmic reactivity (Fig. 4H) and occasional swollen axons in the spinal cord ventral grey matter were strongly reactive. However, this staining pattern was also seen in cases with ALS in which the *C9ORF72* mutation had been excluded. Hippocampal pyramidal neurons were surrounded by coarse punctuate staining, consistent with large presynaptic terminals (Fig. 4I). Although this pattern was more prominent than in neurologically normal controls, it was similar in other subtypes of FTLN and also seen in some cases with Alzheimer's disease. Most importantly, *C9ORF72* immunohistochemistry did not demonstrate any cellular inclusions; specifically, it did not co-label the TDP-43 immunoreactive neuronal or glial inclusion and was not present in any of the TDP-negative, ubiquitin/p62-immunoreactive neuronal inclusions.

Clinicopathological correlations

Cases with FTD had more severe degenerative changes and more TDP-43 immunoreactive pathology in the frontal and temporal neocortex than cases with ALS alone. However, the specific FTD subtype (behavioural variant FTD or PNFA) did not correlate with the lobar pattern (frontal versus temporal) of atrophy or degenerative change. Tissue blocks were not available from both right and left temporal lobes to assess possible correlation between laterality and language deficits. A diagnosis of ALS was associated with greater pyramidal tract degeneration and lower motor pathology. Moreover, the pattern of motor neuron disease showed the expected correlations; upper motor neuron dysfunction correlated with corticospinal tract degeneration while the degree of lower motor neuron dysfunction correlated with lower motor neuron degeneration and the frequency of TDP-43 immunoreactive inclusions. There was no correlation between the degree of extrapyramidal dysfunction and any measure of pathology in the striatum or substantia nigra. Memory loss was not associated with greater hippocampal pathology or the presence of concomitant Alzheimer's disease pathology. Interestingly, the presence of Alzheimer's disease and FTLN-TDP type A pathology both showed a positive correlation with age at death and were even more strongly associated with longer disease duration ($P < 0.003$).

Pathological variation within families

In four families (Families A, B, C and E), post-mortem examination had been performed on multiple affected members. Although there tended to be greater overlap in the neuropathology compared to the clinical phenotypes; nonetheless, there was significant heterogeneity among members of individual families, in the severity of degeneration and TDP-43 immunoreactive pathology in different neuroanatomical regions (Table 6). Moreover, two affected members of Family A showed different FTLN-TDP subtypes (only B versus B + A).

Discussion

Our findings confirm that the newly discovered *C9ORF72* mutation is an important cause of familial FTD. In our series of cases, selected for the presence of the most common molecular subtype of FTLN (FTLN-TDP), the *C9ORF72* mutation was found to be present in all autosomal dominant families in which multiple members were affected by a combination of FTD and ALS. In addition, all autosomal dominant families in our series with a pure FTD phenotype and FTLN-TDP pathology were explained by mutations in either *C9ORF72* or *GRN*, with the two genetic abnormalities being of similar frequency in this group. We did not find mutations in any sporadic cases or those with only a weak family history; however, the number of such cases in our series was very small. The entry criteria of our study also prohibited us from determining the frequency of the *C9ORF72* mutation in families or sporadic cases with clinically pure ALS. Nonetheless, our findings suggest that *C9ORF72* is the most common genetic cause of familial FTLN-TDP and likely accounts for the majority of families with FTD/ALS.

As our series was centred in a dementia research clinic, there was probably a selection bias towards families with FTD. Despite this, over half of the subjects had ALS, providing us a broad view of the spectrum of clinical phenotypes associated with the *C9ORF72* mutation. A striking finding was the degree of clinical heterogeneity, particularly at the time of initial presentation. The age of onset had a particularly broad range (40 years), while the disease duration also varied considerably (15 years). The initial diagnoses included behavioural variant FTD (37%), PNFA (13%) and ALS (30%). Significant clinical variation was also common within individual families. However, despite the heterogeneity in their initial presentations, a notable feature of our subjects was the degree to which the phenotypes converged as their disease progressed. Whereas all but one subject had a single diagnosis at the time of initial presentation, by the end of the disease course, 23% fulfilled diagnostic criteria for both FTD and ALS, 27% of those with FTD had features of both behavioural variant FTD and PNFA and 13% had a combination of ALS with both PNFA and behavioural variant FTD. In fact, the degree of overlap was even greater when milder symptoms were taken into account; 67% of those with ALS had at least some abnormalities of behaviour and/or language and 50% of those with FTD had some motor dysfunction. Although there was some variation in the pattern of motor neuron dysfunction, it was generally typical of classical ALS with mixed upper and lower motor neuron findings. Extrapyramidal dysfunction and memory problems were common but usually mild with late onset.

The spectrum of clinical features in our patients from families with the *C9ORF72* mutation was similar to what has been reported previously in a number of chromosome 9p-linked families, all of which demonstrated a combination of FTD and ALS with marked clinical variability among family members (Momeni *et al.*, 2006; Morita *et al.*, 2006; Vance *et al.*, 2006; Valdmanis *et al.*, 2007; Luty *et al.*, 2008; Le Ber *et al.*, 2009; Gijssels *et al.*, 2010; Boxer *et al.*, 2011; Pearson *et al.*, 2011). However, we have shown that the *C9ORF72* mutation may also be responsible for

a significant proportion of families with a pure FTD phenotype. Distinguishing families with autosomal dominant FTD caused by the *C9ORF72* mutation from those with either *GRN* or *MAPT* mutations may prove difficult on clinical grounds since these three groups show similar demographic features, frequencies of different FTD phenotypes and intrafamilial heterogeneity (Kelly *et al.*, 2009).

In all cases, neuropathological assessment found TDP-43 immunoreactive inclusions in a broad range of neuroanatomical regions. Cases with FTD all had typical findings of FTLD-TDP while those with ALS all showed degeneration of both upper and lower motor neurons with TDP-43 immunoreactive neuronal cytoplasmic inclusions. Similar to the clinical phenotypes, there was significant overlap in the pathology between the major diagnostic groups; both cases with a final diagnosis of pure ALS had some TDP-43 immunoreactive pathology in the extramotor neocortex and hippocampus, while 10/11 (91%) cases with pure FTD had at least rare TDP-43 immunoreactive neuronal cytoplasmic inclusions in lower motor neurons (Table 6).

The cortical pattern of TDP-43 immunoreactive pathology in our subjects was always consistent with FTLD-TDP type B, which is the form that is most commonly found in patients with both FTD and ALS (Mackenzie *et al.*, 2011). However, slightly more than a third of cases in our series also had pathological changes of FTLD-TDP type A. The presence of this dual pattern of FTLD-TDP was strongly associated with advanced age and longer disease duration. Interestingly, in Alzheimer's disease and many other common neurodegenerative conditions, TDP-43 immunoreactive pathology is found in 25–50% of cases as an age-related secondary pathological change (Arai *et al.*, 2009). It usually has features of FTLD-TDP type A and is associated with the less common allele of the rs5848 polymorphism in the *GRN* gene (Dickson *et al.*, 2010). This suggests that FTLD-TDP type B might be the primary pathology associated with the *C9ORF72* mutation and that additional TDP-43 immunoreactive pathology develops in a genetically susceptible subset of patients with advancing age.

In three subjects, we also found sufficient numbers of neocortical senile plaques and neurofibrillary tangles to warrant a pathological diagnosis of Alzheimer's disease. Although this could simply represent the coincidental occurrence of a common age-related disorder in some of our older subjects (mean age, with Alzheimer's disease = 72.3 ± 3.5 versus without Alzheimer's disease = 58.5 ± 3.5), future studies are needed to explore whether or not the *C9ORF72* mutation is associated with an increased risk for Alzheimer's disease. The fact that the Alzheimer's disease pathology was somewhat atypical, with more abundant tau-immunoreactive neuritic and glial inclusions, may indicate an influence of the *C9ORF72* mutation on the development of Alzheimer's disease pathology. These cases also demonstrate that the finding of abundant tau-immunoreactive pathology in a case with clinical FTD does not necessarily restrict the diagnosis to FTLD-tau; there may still be coexistent TDP-43 immunoreactive pathology and an associated *C9ORF72* mutation.

Finally, the most intriguing pathological finding in our subjects was the consistent presence of inclusions in certain neuronal populations, such as the granule cells of the cerebellar cortex, that were

immunoreactive for ubiquitin and p62 but negative for TDP-43. Similar pathological changes have been described previously and seem to be specific for the spectrum of FTLD-TDP and ALS where they are more commonly found in familial cases (Pikkarainen *et al.*, 2010; King *et al.*, 2011). Our findings further suggest that this pathological change may be specifically related to the *C9ORF72* mutation, which implies that this genetic abnormality results in the abnormal intracellular accumulation of some unknown protein(s) that is not TDP-43 or *C9ORF72*.

In summary, expansion of the GGGGCC hexanucleotide repeat in intron 1 of *C9ORF72* is an important genetic cause of both FTD and ALS with TDP pathology and probably accounts for most families in which both conditions occur. Patients with this mutation show a high degree of heterogeneity in clinical presentation but the final phenotype usually includes a combination of frontotemporal lobar dysfunction and motor abnormalities. Discovery of the *C9ORF72* mutation is the strongest evidence to date that FTD and ALS represent a clinicopathological spectrum of disease with overlapping molecular pathogenesis.

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Supplementary material

Supplementary material is available at *Brain* online.

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