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Genetic Analysis Workshop 17 mini-exome simulation

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From Genetic Analysis Workshop 17 Boston, MA, USA. 13-16 October 2010

Abstract

The data set simulated for Genetic Analysis Workshop 17 was designed to mimic a subset of data that might be produced in a full exome screen for a complex disorder and related risk factors in order to permit workshop participants to investigate issues of study design and statistical genetic analysis. Real sequence data from the 1000 Genomes Project formed the basis for simulating a common disease trait with a prevalence of 30% and three related quantitative risk factors in a sample of 697 unrelated individuals and a second sample of 697 individuals in large, extended pedigrees. Called genotypes for 24,487 autosomal markers assigned to 3,205 genes and simulated affection status, quantitative traits, age, sex, pedigree relationships, and cigarette smoking were provided to workshop participants. The simulating model included both common and rare variants with minor allele frequencies ranging from 0.07% to 25.8% and a wide range of effect sizes for these variants. Genotype-smoking interaction effects were included for variants in one gene. Functional variants were concentrated in genes selected from specific biological pathways and were selected on the basis of the predicted deleteriousness of the coding change. For each sample, unrelated individuals and family, 200 replicates of the phenotypes were simulated.

Background

The state of the science for localization and identification of genes that influence common complex diseases has changed rapidly over the past 20 years. As laboratory costs continue to fall with the development of more efficient high-throughput techniques, the field is quickly proceeding toward studies that make use of genome-wide sequence data. There is as yet no consensus on optimal, or even appropriate, statistical genetic approaches for analyzing exome sequence data, and few investigators have had experience analyzing such data sets. This was the motivation for the Genetic Analysis Workshop 17 (GAW17) "mini-exome" data set. The GAW17 data set is a hybrid of simulated and real data. Real exome sequence data from the 1000 Genomes Project were used as the basis for simulating a common complex disease and related quantitative risk factors.

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1000 Genomes Project

The 1000 Genomes Project (http://www.1000genomes. org) is designed to survey genetic variation at the sequence level across multiple human population groups. It includes individuals of European, East Asian, South Asian, West African, and American Indian ancestry. Three pilot projects for the 1000 Genomes Project were completed in 2010: low-fold genome-wide sequencing of 179 individuals, higher fold sequencing of two parent-child trios, and exonic sequencing in 697 individuals [1]. Publicly available exon sequence data from the 1000 Genomes Project were used to provide a realistic pattern of number and frequency of single-nucleotide polymorphisms (SNPs), including cross-population variation and linkage disequilibrium between sites, for the GAW17 simulations.



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Methods

Genotype calling

SNP genotypes were obtained from the sequence alignment files provided by the 1000 Genomes Project for their pilot3 study. When the GAW17 data set was generated, the 1000 Genomes Project had not yet posted processed calls of these genotypes for each individual. Thus the UnifiedGenotyper method from the Genome Analysis Toolkit (GATK) package [2] was used for the detection of SNPs and for the calling of SNP genotypes. A male human genome based on National Center for Biotechnology Information reference sequence 36 (RefSeq36) human genome release (human_b36_male. fasta.gz) was used as the reference genome sequence for both male and female alignments.

The UnifiedGenotyper method was run twice on the alignment files. The first time it was allowed to scan freely through the alignments to search for variation against the reference sequence to be considered as SNP candidates. Genotypes that were not homozygous for the reference base allele were called for the candidate SNPs detected. Because of time and technical constraints, GAW17 SNPs were chosen to be the subset of candidate SNP genotypes that were called from an alignment of 10 or more sequencing reads. During the second run, genotypes, including those homozygous for the reference base, were called only for the subset of SNPs selected in the first run.

This procedure had the advantages of being fast, correctly calling most of the true common SNP variants, generating a large volume of rare SNP variants, and producing a genotype matrix with few missing genotypes to simplify downstream preparation of the simulated data set. However, it was not meant to detect the true natural variation present in the 1000 Genomes Project. Thus there were more rare SNPs in the GAW17 data set than those described in the 1000 Genomes Project Consortium analyses of their own pilot data sets [1]. The enrichment of rare variants in the GAW17 data set was caused in part by artifacts introduced by, for example, lack of filtering.

The 1000 Genomes Project genotypes were not phased, and some genotypes were missing as a result of incomplete sequence coverage in some individuals. We used the program fastPHASE [http://depts.washington. edu/uwc4c/express-licenses/assets/fastphase/] to infer missing genotypes and haplotypic phase. In the family data set (described later), we used the program CHRSIM [3] to drop the phased founder haplotypes throughout the rest of the pedigree. Recombination was taken into account, with a single obligate crossover event occurring on each chromosome.

As noted, the GAW17 genotypes differ from the official 1000 Genomes Project called genotypes for the

same individuals because of differing approaches to genotype calling, inclusion or exclusion of regions of lowfold coverage, and the inclusion of the imputed genotypes in the GAW17 data set. Imputed genotypes were not identified as such in the distributed data and were treated as equally "real" as called genotypes in the phenotype simulations. These choices were motivated by a focus on designing a data set that would be useful for developing methods related to gene localization, identification, and characterization, with the 1000 Genomes Project data primarily serving as a source of sequence data with realistic patterns of SNP distribution, allele frequency, population variation, and linkage disequilibrium. However, these decisions limited the utility of the GAW17 data for population genetic analyses or for examination of effects of genotype calling or data cleaning on gene finding.

Distributed genotype data

The called genotype data distributed for GAW17 included the inferred genotypes, such that all individuals had genotypes for all base-pair positions, and phenotypes were simulated on the basis of these data. Markers were numbered sequentially on each chromosome and were labeled C#S# (e.g., C1S254 is the 254th SNP on chromosome 1); marker locations were recorded as RefSeq36 base-pair coordinates. The 24,487 autosomal SNPs detected in genotype calling were, for purposes of the simulation, assigned to 3,205 genes based on the first intersection found of the marker location and the basepair coordinates of all genes obtained from RefSeg36 annotations. SNPs that overlapped multiple genes were assigned to only one of those genes. There were 1 to 231 SNPs per gene (mean = 7.64, SD = 14.00). Of the SNPs, 9,433 (38.4%) were private variants, occurring once in the set of 697 unrelated individuals. Multiple private variants carried by the same individual resulted in SNPs with identical genotypes, including a SNP in the KDR gene that was designated as functional in the phenotype simulations which had identical genotypes to multiple nonfunctional SNPs. Relatively few of the variants were common; 74% had minor allele frequency (MAF) ≤ 0.01 and only 12.8% had MAF \geq 0.05 (Figure 1). The median MAF was 0.002, that is, three copies of the minor allele in the sample of 697 unrelated individuals.

Unrelated individuals and pedigree samples

Two disparate sampling designs were used in the construction of the simulated data. One sample consisted of 697 unrelated individuals, each of whom corresponded to an individual from the 1000 Genomes Project data. The 1000 Genomes Project subjects whose data were used came from the CEPH (European-descent residents



of Utah; n = 90), Denver Chinese (n = 107), Han Chinese (n = 109), Japanese (n = 72), Luhya (n = 108), Tuscan (n = 66), and Yoruban (n = 112) population groups. The second sample configuration used in GAW17 simulations consisted of 697 individuals in 8 extended families with the genotypes for the 202 pedigree founders being taken from the 1000 Genomes Project data. The founders of the pedigrees were chosen at random from the unrelated individuals sample and included 12 CEPH, 18 Denver Chinese, 19 Han Chinese, 28 Japanese, 50 Luhya, 66 Tuscan, and 9 Yoruban samples. Because of a computational error, the genotypes of pedigree founders were merged incorrectly across files, resulting in an incomplete match between the genotypes of the pedigree founders and the corresponding individual from the unrelated individuals sample. This affected a small proportion of genotypes (7%) but impacted all pedigree founders. Approximately one-third of the SNPs were unaffected, and for the two-thirds that had substitutions, most had only one or two founders with altered genotypes. Pedigree configurations were adapted from the pedigrees used for simulated data in Genetic Analysis Workshops 10 and 12 [4,5] and included four generations and relatives as distant as second cousins. The data set was designed such that all family members had genotype and phenotype data available with no missing or unexamined relatives.

Because the pedigree founders were a subset of the unrelated individuals, genetic diversity was restricted in the families compared to the unrelated individuals sample. Of the 24,487 variant sites identified in the unrelated individuals sample, 10,703 were monomorphic in the family sample with only one allele appearing. On the other hand, some variants that were present in single copies or at low frequency in the unrelated individuals sample appeared many times in the family sample, because they were transmitted by a founder with numerous descendants. For example, C6S2981, which was designated as functional in the phenotype simulations, was present in 3 copies in the unrelated individuals sample and in 46 copies in the family sample. C4S4935, also designated as functional in the simulations, was present in a single copy in the unrelated individuals sample but in 31 copies in the pedigree sample.

There are 327 males and 370 females in the unrelated individuals data set, which preserved the listed sex for each of the 1000 Genomes Project samples. The family set included 346 males and 351 females. Pedigree founders were allowed to have a different sex from the unrelated individuals whose genotypes they shared. However, only autosomal markers were used in the GAW17 simulations (i.e., X and Y data were not included). Assigned ages were matched across the family and unrelated individuals data sets and ranged from 16 to 91 years, with a mean of 41.8 years.

For the family data set, fully informative markers were generated at each gene (recombination was not allowed within genes) and used to compute identical-by-descent (IBD) allele sharing at each gene location under the rationale that family-based data sets were likely to have previous short tandem repeat (STR) or high-density SNP genotyping that could be used to estimate the IBD allele sharing. These IBD matrices were provided as part of the GAW17 data set.

Phenotype model

A common disease, with a prevalence of 30%, was simulated along with three related quantitative risk factors, Q1, Q2, and Q4. Smoking status (prevalence 25%) was also simulated. Phenotype simulations were performed multiple times to generate 200 replicates of the unrelated individuals and pedigree data sets. Note that the genotype data remained constant across replicates, as did age, sex, and pedigree configuration.

Knowledge about biological pathways and statistical predictions regarding the potential deleteriousness of coding variants was used in designing the simulation model. Pathways for gene enrichment were selected from the publicly available Kyoto Encyclopedia of Genes and Genomes (KEGG) database (http://www.genome.jp/kegg/) and the proprietary software Ingenuity Pathways Analysis (IPA), version 8.7 (http://www.ingenuity.com). The vascular endothelial growth factor (VEGF) pathway was observed to have numerous genes with available typed SNPs and was therefore selected as the source of a subset of the functional loci for phenotype simulations. The IPA version of the VEGF signaling pathway was used as the core source because it included most of the genes in the KEGG VEGF signaling pathway as well as some additional upstream information, primarily relating to VEGF transcriptional control. The overlap between the two data sources was considered significant enough not to impede the investigations of any researchers limited to the freely available KEGG data set.

Genes influencing Q1 come primarily from the VEGF pathway; those influencing Q2 were chosen without reference to pathways and were primarily related to cardiovascular disease risk and inflammation, and those influencing latent disease liability also came primarily from the VEGF pathway (a different section from the one in which genes were selected for Q1). Effect sizes for coding variants within genes were assigned using PolyPhen and SIFT predictions of the likelihood that the variant would be deleterious. The functional variants included both rare and common alleles and a range of effect sizes, with most having small effects but a few having large effects that should be reliably detectable in most replicates of the data set. Some genes contained a single functional variant and others contained many. Population origin of the 1000 Genomes Project participants was not used in the phenotype simulations. In general, there was little disequilibrium between the functional variants (Figures 2, 3, 4), with a few exceptions that were primarily private variants carried in a single copy by the same individual (e.g., C3S4836 and C10S3092 for Q2 and C4S1877 and C4S1889 for Q1).

Quantitative risk factors Q1, Q2, and Q4 were simulated as normally distributed phenotypes. Disease was simulated using a liability threshold model, and the top 30% of the distribution was declared affected. All SNP effects were additive on the quantitative trait or liability





scale, with each copy of the minor allele increasing the mean trait value by an equal amount. Genotype by environment effects were simulated for Q1. Because genotype, age, and sex were held constant across replicates, the variation in phenotype across replicates came primarily from the residual polygenic and residual environmental components. The residual polygenic components were correlated between relatives, by definition, and also correlated between Q1, Q2, and latent liability. The residual environmental components were unique to each individual and were simulated to be weakly correlated between Q1, Q2, and latent liability.

Q1

Quantitative risk factor Q1 was influenced by 39 SNPs in 9 genes (see Table 1). There were 1–11 functional

variants per gene. Their MAFs in the 1000 Genomes Project data ranged from 0.07% (i.e., a single copy of the minor allele) to 16.5%. In all cases, the minor allele was associated with higher mean Q1; the β column in the table provides the displacement in mean levels of Q1 for each copy of the minor allele. Q1 also had a residual heritability of 0.44, resulting from variants at loci not included in the current sequence data set. The residual genetic component of Q1 was correlated with the residual genetic components of Q2 and latent liability. There were also weaker environmental correlations between Q1 and Q2 and latent liability. Values of Q1 were higher in smokers, and there was genotype by smoking interaction for the effects of variants in the KDR gene on Q1. Effects of the KDR variants were 50% higher in smokers than in nonsmokers. (Note that for



KDR the effect sizes given in Table 1 are those for non-smokers.) Q1 also increased with age.

Q2

Q2 was influenced by 72 SNPs in 13 genes (see Table 2). There were 1–13 functional variants per gene. MAFs ranged from 0.07% to 17.07%. In all cases, the minor allele was associated with higher mean Q2. Q2 had a residual heritability of 0.29. The residual genetic component of Q2 was correlated with the residual genetic components of Q1 and latent liability. There were also weaker environmental correlations between Q2 and Q1 and latent liability. Q2 was not influenced by age, sex, or smoking status.

Q4

Q4 had a heritability of 0.70, but none of this genetic component was due to genes in this sequencing set (i.e., it was not influenced by any of the genotyped exonic SNPs). Q4 was lower in smokers, decreased with age, and was lower in females. Q4 was protective; that is, individuals with higher levels of Q4 had lower risk of disease.

Affection status

A normally distributed latent liability trait (not included in the distributed phenotype data) was simulated; it was influenced by 51 SNPs in 15 genes with 1-24 functional variants per gene (see Table 3). MAFs of these variants ranged from 0.07% to 25.8%. In all cases, the minor

Table	1	Effects	on	Q1
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Table 2 Effects on O2

Gene	SNP	MAF	β	Gene	SNP	MAF	β
ARNT	C1S6533	0.011478	0.589734	BCHE	C3S4834	0.000717	0.232562
ARNT	C1S6537	0.000717	0.642689	BCHE	C3S4836	0.000717	0.352589
ARNT	C1S6540	0.001435	0.323662	BCHE	C3S4856	0.000717	0.311344
ARNT	C1S6542	0.002152	0.488219	BCHE	C3S4859	0.002152	0.557489
ARNT	C1S6561	0.000717	0.625721	BCHE	C3S4860	0.000717	0.339017
ELAVL4	C1S3181	0.000717	0.795093	BCHE	C3S4862	0.000717	0.93321
ELAVL4	C1S3182	0.000717	0.328748	BCHE	C3S4867	0.000717	0.67704
FLT1	C13S320	0.001435	0.18047	BCHE	C3S4869	0.000717	1.15994
FLT1	C13S399	0.000717	0.457361	BCHE	C3S4873	0.002869	0.588113
FLT1	C13S431	0.017217	0.732566	BCHE	C3S4874	0.000717	1.06857
FLT1	C13S479	0.000717	0.839669	BCHE	C3S4875	0.000717	1.15207
FLT1	C13S505	0.000717	0.38582	BCHE	C3S4876	0.000717	0.798247
FLT1	C13S514	0.000717	0.549816	BCHE	C3S4880	0.001435	0.164995
FLT1	C13S522	0.027977	0.623466	GCKR	C2S354	0.012195	0.396642
FLT1	C13S523	0.066714	0.653351	INSIG1	C7S5132	0.000717	0.0983783
FLT1	C13S524	0.004304	0.596704	INSIG1	C7S5133	0.000717	0.106056
FLT1	C13S547	0.000717	0.549214	INSIG1	C7S5144	0.000717	0.237783
FLT1	C13S567	0.000717	0.0905862	LPL	C8S442	0.015782	0.490165
FLT4	C5S5133	0.001435	0.120761	LPL	C8S476	0.000717	0.725673
FLT4	C5S5156	0.000717	0.385374	LPL	C8S530	0.001435	0.800024
HIF1A	C14S1718	0.000717	0.251622	PDGFD	C11S5292	0.008608	0.60155
HIF1A	C14S1729	0.002152	0.329088	PDGFD	C11S5299	0.000717	0.823159
HIF1A	C14S1734	0.012195	0.220448	PDGFD	C11S5301	0.000717	0.982146
HIF1A	C14S1736	0.000717	0.228202	PDGFD	C11S5302	0.001435	0.814925
HIF3A	C19S4799	0.000717	0.174668	PLAT	C8S1741	0.003587	0.71858
HIF3A	C19S4815	0.000717	0.51468	PLAT	C8S1742	0.000717	0.891241
HIF3A	C19S4831	0.000717	0.265181	PLAT	C8S1758	0.001435	0.86814
KDR	C4S1861	0.002152	0.598271	PLAT	C8S1770	0.000717	0.58405
KDR	C4S1873	0.000717	0.715613	PLAT	C8S1772	0.001435	0.219187
KDR	C4S1874	0.000717	0.503025	PLAT	C8S1773	0.001435	0.515733
KDR	C4S1877	0.000717	1.17194	PLAT	C8S1799	0.005739	0.190653
KDR	C4S1878	0.164993	0.149975	PLAT	C8S1811	0.001435	0.0753783
KDR	C4S1879	0.000717	0.610938	RARB	C3S635	0.000717	0.653224
KDR	C4S1884	0.020803	0.318125	RARB	C3S679	0.005022	0.632142
KDR	C4S1887	0.000717	0.312058	SIRT1	C10S3048	0.002152	0.825893
KDR	C4S1889	0.000717	1.17194	SIRT1	C10S3050	0.002152	0.956865
KDR	C4S1890	0.002152	0.417977	SIRT1	C10S3058	0.000717	0.393157
VEGFA	C6S2981	0.002152	1.13045	SIRT1	C10S3092	0.000717	0.352589
VEGFC	C4S4935	0.000717	1.40529	SIRT1	C10S3093	0.000717	0.47264
				SIRT1	C10S3107	0.000717	0.99946
				SIRT1	C10S3108	0.000717	0.52925
allele was	associated with	higher mean	liability This	SIRT1	C10S3109	0.000717	0.57047
latent liab	oility trait was	also hjøher in	smokers and	SIRT1	C10S3110	0.002152	0.117719
increased	with age Diseas	e risk was a fi	inction of this	SREBF1	C17S1007	0.002152	0.548739
latent liahi	lity. 01. 02. and	04:		SREBF1	C17S1009	0.000717	0.716057
Liability	to disease = laten	$\sim \sim 10$ t liability + 01	+ 02 - 04 (1)	SRFBF1	C17S1024	0.004304	0.447239

SREBF1

SREBF1

SREBF1

SREBF1

SREBF1

C17S1030

C17S1043

C17S1045

C17S1046

C17S1048

0.000717

0.004304

0.003587

0.002869

0.001435

0.734055

0.459494

0.30998

0.604567

0.297328

Using this formula, a quantitative liability score was ily + Q_{4} , (1) calculated for each individual, and the top 30% of the distribution in each simulation replicate was declared affected. A consequence of this assignment was that each replicate had the same number of affected

Table 2 Effects on Q2 (Continued)

SREBF1	C17S1055	0.001435	0.957889
SREBF1	C17S1056	0.000717	0.46384
VLDLR	C9S367	0.000717	0.510889
VLDLR	C9S376	0.002869	0.543897
VLDLR	C9S377	0.001435	1.20543
VLDLR	C9S391	0.000717	0.483147
VLDLR	C9S430	0.000717	0.677573
VLDLR	C9S443	0.001435	0.61953
VLDLR	C9S444	0.001435	0.901646
VLDLR	C9S497	0.000717	0.731422
VNN1	C6S5378	0.005739	0.466305
VNN1	C6S5380	0.170732	0.248606
VNN3	C6S5412	0.000717	0.551757
VNN3	C6S5426	0.032999	0.110779
VNN3	C6S5439	0.000717	0.127341
VNN3	C6S5441	0.098278	0.268411
VNN3	C6S5446	0.000717	0.528353
VNN3	C6S5448	0.000717	0.581462
VNN3	C6S5449	0.010043	0.680317
VWF	C12S181	0.000717	0.76848
VWF	C12S211	0.005739	0.337463

Table 3	3 Effects	on disease	liability
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Gene	SNP	MAF	β
AKT3	C1S11396	0.000717	0.340456
BCL2L11	C2S2286	0.000717	0.274592
BCL2L11	C2S2288	0.002869	0.563598
BCL2L11	C2S2307	0.000717	0.606816
ELAVL4	C1S3181	0.000717	0.64359
ELAVL4	C1S3182	0.000717	0.214219
HSP90AA1	C14S3630	0.000717	0.0579258
HSP90AA1	C14S3695	0.000717	0.152617
HSP90AA1	C14S3704	0.003587	0.0789197
HSP90AA1	C14S3706	0.258250	0.0874168
NRAS	C1S5748	0.000717	0.409806
РІКЗС2В	C1S9164	0.001435	0.205094
РІКЗС2В	C1S9165	0.000717	0.141183
РІКЗС2В	C1S9172	0.004304	0.508901
РІКЗС2В	C1S9173	0.001435	0.12026
РІКЗС2В	C1S9174	0.000717	0.634406
РІКЗС2В	C1S9189	0.006456	0.454308
РІКЗС2В	C1S9200	0.000717	0.679158
РІКЗС2В	C1S9222	0.000717	0.38177
РІКЗС2В	C1S9250	0.001435	0.358232
РІКЗС2В	C1S9266	0.002869	0.184476
РІКЗС2В	C1S9267	0.002152	0.504508
РІКЗС2В	C1S9306	0.000717	0.239692
РІКЗС2В	C1S9320	0.000717	0.653693
РІКЗС2В	C1S9333	0.000717	0.703217
РІКЗС2В	C1S9346	0.000717	0.29823
РІКЗС2В	C1S9373	0.000717	0.399922

Table 3	Effects	on	disease	liability	(Continued)
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РІКЗС2В	C1S9391	0.000717	0.582382
РІКЗС2В	C1S9423	0.000717	0.590111
РІКЗС2В	C1S9432	0.010760	0.461306
РІКЗС2В	C1S9445	0.000717	0.582247
РІКЗС2В	C1S9446	0.000717	0.477664
РІКЗС2В	C1S9449	0.000717	0.647146
РІКЗС2В	C1S9455	0.002869	0.518095
РІКЗС2В	C1S9457	0.000717	0.497112
РІКЗСЗ	C18S2475	0.000717	0.695313
РІКЗСЗ	C18S2492	0.017217	0.576351
PIK3R3	C1S2919	0.000717	0.414798
PRKCA	C17S4578	0.166428	0.39334
PRKCA	C17S4581	0.000717	0.129034
PRKCB1	C16S1894	0.000717	0.45754
PTK2	C8S4825	0.000717	0.0164796
PTK2	C8S4839	0.000717	0.142502
PTK2B	C8S886	0.000717	0.466067
PTK2B	C8S900	0.001435	0.111154
PTK2B	C8S909	0.001435	0.431062
RRAS	C19S4929	0.001435	0.34384
RRAS	C19S4937	0.001435	0.462103
SHC1	C1S7061	0.006456	0.206036
SOS2	C14S1381	0.000717	0.613801
SOS2	C14S1382	0.003587	0.633247

individuals, although the identity of these individuals varied across replicates. The effect sizes in Table 3 are for liability to disease.

Acknowledgments

The Genetic Analysis Workshops are supported by National Institutes of Health (NIH) grant R01 GM031575. This work was supported in part by NIH grant R01 MH059490. We are grateful to the 1000 Genomes Project for the sequence data on which this simulation was based. This article has been published as part of *BMC Proceedings* Volume 5 Supplement 9, 2011: Genetic Analysis Workshop 17. The full contents of the supplement are available online at http://www.biomedcentral.com/1753-6561/5?issue=S9.

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Authors' contributions

LA, TDD, and JB designed the phenotypic trait model and the pedigree and unrelated individuals data sets. JMP aligned and processed sequence data. JCC and JEC provided input on incorporation of pathway and functional information and selection of functional variants. TDD conducted the gene dropping and phenotype simulations. JWK, TDD, LA, and JB performed error checking on the simulated data. All authors read and approved the final manuscript.

Competing interests

The authors declare that there are no competing interests.

Published: 29 November 2011

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doi:10.1186/1753-6561-5-S9-S2

Cite this article as: Almasy *et al.*: Genetic Analysis Workshop 17 miniexome simulation. *BMC Proceedings* 2011 5(Suppl 9):52.

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