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## Optical Imaging with HER2-targeted Affibody Molecules can monitor Hsp90 treatment response in a breast cancer xenograft mouse model

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### Abstract

**Purpose**—To determine if optical imaging can be used for *in vivo* therapy response monitoring as an alternative to radionuclide techniques. For this we evaluated the known Her2 response to 17-DMAG treatment, a Hsp90 inhibitor.

**Experimental design**—After *in vitro* 17-DMAG treatment response evaluation of MCF7 parental cells and two HER2 transfected clones (Clone A medium, B high Her2 expression), we established human breast cancer xenografts in nude mice (only parental and clone B) for *in vivo* evaluation. Mice received 120 mg/kg of 17-DMAG in 4 doses at 12 hour intervals i.p. (n=14), or PBS as carrier control (n=9). Optical images were obtained both pre-treatment (day 0) and post-treatment (day 3, 6, and 9), always 5 hours post-injection of 500 pmol of anti-Her2 Affibody-AlexaFluor680 via tail vein (with pre-injection background subtraction). Day 3 and 9 *in vivo* optical imaging signal was further correlated with *ex vivo* Her2 levels by western blot after sacrifice.

**Results**—Her2 expression decreased with 17-DMAG dose *in vitro*. *In vivo* optical imaging signal was reduced by 22.5% in Clone B (p=0.003) and by 9% in MCF7 parental tumors (p=0.23) at 3 days after 17-DMAG treatment; optical imaging signal recovered in both tumor types at day 6–9. In the carrier group no signal reduction was observed. Pearson correlation of *in vivo* optical imaging signal with *ex vivo* Her2 levels ranged from 0.73 to 0.89.

**Conclusion**—Optical imaging with an affibody can be used to non-invasively monitor changes in Her2 expression *in vivo* as a response to treatment with an Hsp90 inhibitor, with results similar to response measurements in PET imaging studies.

### Keywords

Optical imaging; molecular imaging; HER2; treatment monitoring; Affibody

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## Introduction

Molecular imaging is likely to become increasingly important in the drug development process, both preclinical and clinical, and eventually in treatment response monitoring in routine clinical practice. Treatment monitoring is of key importance in patient management and drug development. As contemporary drug development is particularly focussed on targeted drugs, which are often more cytostatic than cytotoxic, response to treatment as determined by changes in the anatomy, e.g. shrinkage of tumor size on computed tomography (CT) as commonly assessed by the Response Evaluation Criteria in Solid Tumors (RECIST) (1), is insufficient. Furthermore, any anatomical changes occur relatively late in the treatment process and do not necessarily provide specific information on tissue function and viability. Magnetic resonance imaging (MRI) can provide additional functional information on blood flow and water diffusion, but no specific molecular information on early treatment response (2). Radionuclide imaging techniques such as positron emission tomography (PET) and single photon emission computed tomography (SPECT) with the use of selective radiotracers could partly offer a solution (3), but its radioactive components hinder repetitive imaging and tracers are relatively difficult to generate and expensive. Optical imaging could be a valuable tool for treatment monitoring at the molecular level. Optical molecular imaging is not yet available as a routine clinical modality, however optical breast imaging devices using diffuse near-infrared light are currently under evaluation in clinical studies (4–11). The breast is an accessible target organ for diffuse optical imaging since light can penetrate deep enough (~5 to 15 cm) into the tissue without having to pass through other highly absorbing or scattering tissues (such as bone or lung) (12). Optical imaging is widely used in the preclinical setting and new molecular imaging agents, specifically targeting cancer-associated molecules, are rapidly being developed (13). The major advantages of optical imaging are that it uses no ionizing radiation and that the optical imaging probes are much cheaper and easier to generate than PET tracers. However, optical signal quantification is challenging and more complex than in PET imaging. We established a preclinical model to study the feasibility of optical imaging as a molecular imaging tool for treatment monitoring. If signal quantification is accurate enough to measure known treatment effects, optical imaging of putative drug targets can play a key role in high throughput screening and testing of new drugs in preclinical models, an important application area that may help to streamline and speed up the drug development process. In addition, optical imaging has great potential to be used in clinical studies for the evaluation of drug treatment. For instance, in the neoadjuvant setting optical imaging could be used to verify if the tumor responds to the targeted therapy. Optical imaging could serve as a surrogate endpoint for the evaluation of response to treatment at a very early stage, and could obviate the need to wait for the RECIST criteria at a later stage. Measuring the response to treatment is very important in the clinical setting. Not all tumors expressing a certain target will respond to targeted therapy. For example in a subset of highly human epidermal growth factor receptor-2 (HER2) positive tumors the response rate to trastuzumab was less than 35% (14). Besides anti-Her2 drugs, one could also apply this strategy to other targeted treatments and for example use the individual's response to treatment as an indicator for continued therapy after surgery (in order to reduce recurrence rate and improve survival). When applied as a treatment monitoring tool in phase III clinical studies, optical imaging could be used as a secondary outcome and evaluated as a predictive biomarker for survival.

We decided to use a well-established preclinical model with known variables to evaluate optical imaging in the application of treatment monitoring. For our xenografts we chose human epidermal growth factor receptor-2 (Her2) positive human breast cancer cell lines. Her2 overexpression (up to 40–100 fold) is seen in approximately 25–30% of breast cancers and is associated with aggressive biological behavior (15). Her2 has been thoroughly studied

and there are various imaging agents available that target Her2 (16). In our imaging experiments we chose to use an affibody for its small size (7KDa) and favorable pharmacokinetic characteristics (17–19). Recently, this affibody was used to image metastatic breast cancer in humans (20). To influence the Her2 levels expressed by the tumor cells we decided to use a heat shock protein 90 (Hsp90) inhibitor. Hsp90 is a molecular chaperone responsible for the correct folding, intracellular disposition, and function of a range of proteins, including oncoproteins (such as Her2) that are highly expressed or mutated in cancer cells (21). Hsp90 inhibition can induce a transient degradation of Her2 as has been reported previously (22, 23).

The goal of this study is to determine if optical imaging can be used for *in vivo* therapy response monitoring as an alternative to radionuclide techniques. We were able to show in a preclinical model that optical imaging with a Her2-targeted affibody molecule can be used for non-invasive assessment of Her2 expression *in vivo* and for monitoring the Hsp90 treatment effect on Her2 expression in mice bearing human breast cancer xenografts.

## Methods

### Overview

The affibody was labeled with a fluorophore and cell lines with different levels of Her2 expression were established. *In vitro* flow cytometry and western blotting experiments were performed to determine Her2 expression and the effect of the Hsp90 inhibitor on Her2 levels. Tumor xenografts were then established in mice and *in vivo* optical imaging experiments were executed before, and 3, 6, and 9 days after mice were treated with the Hsp90 inhibitor or a carrier control. At 9 days post-treatment tumors were excised and western blotting was performed to correlate *in vivo* optical imaging signal with Her2 expression levels. In a subgroup of 8 mice, tumors were excised at day 3 to correlate the *in vivo* imaging signal with Her2 levels when treatment effect was maximal.

### Affibody labeling

The anti-Her2 imaging agent, Affibody molecule Z<sub>Her2:342</sub> (7 KDa; Affibody AB, Stockholm, Sweden) was conjugated with Alexa Fluor 680 C2 Maleimide (Invitrogen, Carlsbad, CA) following the manufacturer's protocol (see also supplementary information). The affibody molecules contain a unique COOH-terminal cysteine residue that allows for site-specific labeling in a 1:1 ratio. High Performance Liquid Chromatography (HPLC) was used to purify the end product.

### Cell culture

Human breast cancer (MCF7) cells (American Tissue Type Collection, Manassas, VA) innately expressing low levels of Her2 were transfected with a pcDNA 3.1-puromycin based plasmid containing full length human HER2/*neu* cDNA by using superfect and selected with 1 µg/ml puromycin. After 2 weeks, thirty single colonies were picked, populated separately and screened for Her2 expression by ELISA, using 15 µg of total protein lysates and following the manufacturer recommended protocol. Two clones were selected with a medium (Clone A) and a high (Clone B) expression level of Her2, respectively.

### Flow cytometry

MCF7 parental, Clone A, and Clone B cells were characterized using a FACS Calibur system (Becton Dickinson, San Jose, CA) and the data was analyzed using FlowJo Software (TreeStar, Inc, Ashland, OR). For each sample, 10 000 events were recorded and the population corresponding to viable single cells was gated and analyzed as a histogram plot. Experiments were performed in triplicates (see also supplementary information).

## Western Blot

**Cell lysis and drug treatment**—Approximately  $4 \times 10^6$  cells of each cell line were plated overnight in 6 dishes of 10 cm diameter in 10 ml medium. The following day medium was aspirated and the cells were washed once with PBS. In 5 dishes of each cell line the Hsp90 inhibitor 17-Dimethylaminoethylamino-17-demethoxygeldanamycin hydrochloride (17-DMAG, LC Laboratories, Woburn, MA) dissolved in PBS was added in 5 doses in media, i.e. concentrations of 0.15, 0.30, 0.45, 0.60, and 0.90  $\mu\text{M}$  respectively, and in the sixth dish medium only was added (dose 0  $\mu\text{M}$ ). The drug was allowed to incubate for 24 hours. After 24 hours, cells were lysed using 300  $\mu\text{L}$  of NP-40 lysis buffer (Cell Signaling Technology Inc., Danvers, MA) with 1 tablet of protease inhibitor cocktail per 10.5 ml added (Roche Diagnostic Corporation, Indianapolis, IN). Cells with lysis buffer were incubated for 10 minutes at  $4^\circ\text{C}$  on ice. The cells/lysates were pipetted out of the dishes, transferred into microcentrifuge tubes and centrifuged at 10 000 g at  $4^\circ\text{C}$  for 30 minutes. The supernatant was collected and the protein concentration was quantified by Protein Dc assay (Bio-Rad Laboratories, Hercules, CA). Three independent experiments were performed for every treatment condition.

**Tissue lysis**—Xenograft tumor tissue from the animal experiments was also lysed and then homogenized and centrifuged. The supernatant was collected and the protein concentration was quantified by Protein Dc assay.

**SDS PAGE and Blotting**—Equal amount of protein from cell or tumor lysates ( $\sim 30 \mu\text{g}$ ) was used for SDS PAGE and western blotting (see supplementary information). After incubation with primary antibodies against Her2 (c-erbB-2 Ab-17, Thermo Fisher Scientific, Fremont, CA) and  $\alpha$ -Tubulin (clone B-5-1-2, Sigma-Aldrich Inc. ), and development of the blot, the protein density bands were analyzed using ImageJ software (Version 1.41, National Institutes of Health, Bethesda, MD). To evaluate the protein expression in the different samples, ratios of Her2 and  $\alpha$ -Tubulin density were compared.

## Tumor xenografts

All animal experiments were performed in accordance with the federal and local institutional rules for animal experimentation. Approximately  $8 \times 10^6$  MCF7 Clone B cells and  $5 \times 10^6$  MCF7 parental cells in 40  $\mu\text{l}$  PBS were separately suspended with 50  $\mu\text{l}$  Matrigel (BD Biosciences, San Jose, CA) and implanted subcutaneously on the shoulder region of a female athymic nude mouse (nu/nu, 6–10 weeks old, Charles River Laboratories, Inc., Wilmington, MA). For each mouse, Clone B cells were inoculated on the right or the left side, and MCF7 parental cells on the other side; a  $\beta$ -estradiol 17-valerate pellet (0.5 mg, 60 day release, Innovative Research of America) was implanted subcutaneously on the neck region to support tumor growth. Tumors were allowed to grow to a size of 5–10 mm diameter (2–4 weeks) before the mice (28 in total) were subjected to imaging studies. Tumor sizes were monitored at the imaging days by caliper measurements of the largest longitudinal (length) and transverse (width) diameter; tumor volume was then calculated by the formula:  $tumor\ volume = 1/2(length \times width^2)$ . In 8 mice (each with 2 tumors), micro-ultrasound (Vevo 2100, Visualsonics, Toronto, Canada) was performed to obtain more accurate volume measurements of the tumors. Tumors were measured in three orthogonal directions at 4 time points up to imaging day 3, and tumor volume was then calculated using the formula:  $tumor\ volume = \pi xyz/6$ .

## Fluorescence Optical Imaging

Mice were anesthetized with 2% isoflurane in oxygen at 2 l/minute and placed on a heating pad. Mice were maintained under anesthesia during fluorescence imaging using a time-

domain *in vivo* small animal fluorescence imager (eXplore Optix, ART Advanced Research Technologies, Montreal, Canada)(24). A 670 nm pulsed diode laser was used for excitation. The average power of the laser was kept at approximately 1 mW at a repetition frequency of 80 MHz. The full width at half maximum (FWHM) of the laser pulse was approximately 100 ps. A 693 nm long-pass filter was used to let the emitted fluorescent signal pass through. The eXplore Optix detector is a fast photomultiplier tube coupled to a time-correlated single-photon counting system for time-resolved measurements. The overall time resolution of the detection module was approximately 250 ps.

Before injection of the fluorescently labeled affibody, pre-injection images were acquired in order to remove the auto-fluorescence or background signal later. Mice were injected intravenously (via the tail vein) with 500 pmol of Affibody-AlexaFluor680 dissolved in PBS in a total volume of 150  $\mu$ l. Post-injection images were acquired after 5 hours as based on pilot data (best signal-to-background ratios). Mice were positioned on their left and right sides successively, each time adjusting the table height to allow for optimal fluorescence imaging of each tumor. The field of view was adjusted for each scan so that the entire tumor including some surrounding tissue was imaged ( $\sim 200 - 300 \text{ mm}^2$ ). The scan resolution was  $1.0 \times 1.0 \text{ mm}$  (i.e. scan step size of 1.0 mm in both x and y directions). Acquisition time varied between 3 and 9 minutes for each scan.

Images were analyzed using the Optiview software, Version 2.02 (ART Advanced Research Technologies, Montreal, Canada). First, the pre-injection image was used to remove the background auto fluorescence signal. Then, a region of interest (ROI) was drawn around the tumor. Average normalized counts were calculated for each ROI. In pilot studies the coefficient of variation (COV) for the average normalized counts was determined. In six imaging sessions, Clone B xenografts were imaged thrice at approximately 5 hours after a single affibody injection. For each scan the mice were repositioned, the imaging system was readjusted, and average normalized counts were independently assessed.

Imaging procedures were started when tumors were  $\sim 5-10 \text{ mm}$  in size. First, pre-treatment images were taken (Day 0). Then, mice were divided into two groups. One group (n=14) received treatment with 17-DMAG, 120 mg/kg dissolved in PBS in 4 doses intraperitoneal at 12 hour intervals, as described in Schwock et al. (25). The other group (n=9) received only the carrier, PBS, intraperitoneally in an equal volume. After treatment, fluorescence optical imaging was performed at Days 3 (60 hours after the first treatment injection), 6, and 9. This time interval ensured complete clearance of previous affibody injections as determined in a pilot study and as confirmed on the pre-injection images performed on each imaging day. A subgroup of 5 mice were only imaged once and did not undergo any treatment; these were used to collect more data for the correlation of the *in vivo* imaging signal with the Her2 expression as measured *ex vivo*. After the study procedures, the mice were euthanized by cervical dislocation and the tumors were isolated, frozen on dry ice, and stored at  $-80^\circ\text{C}$  for tissue lysis and western blotting. At day 3, 8 mice (4 received the treatment and 4 the carrier) were sacrificed to correlate the *in vivo* imaging signal with the Her2 expression *ex vivo*. At day 9, we experienced difficulties performing the tail vein injection in one of the mice in the carrier group, leading to administration of only a small amount of the imaging agent. Also, two Clone B tumors in the 17-DMAG treated group had shrunken to volumes too small to measure by caliper ( $< 10 \text{ mm}^3$ ) at day 9. Therefore, the respective images and tissue samples were left out of further analyses.

## Statistical Analysis

Data are presented as absolute numbers and means  $\pm$  standard errors. For *in vitro* analyses, independent samples T-tests were used to test the differences within the cell lines for each drug dose compared to the non-treated cells. For *in vivo* analyses, independent samples T-

tests were used to test the difference in optical imaging signal between the carrier and the 17-DMAG treated groups. Paired samples T-tests were used to test the differences within groups between imaging days (pre- and post-treatment). Correlation was determined with Pearson's correlation coefficient after the data was log transformed to obtain a normal distribution. All tests were two-sided and a p-value  $\leq 0.05$  was considered significant. The software package SPSS 16.0 (SPSS Inc., Chicago, IL, USA) was used for the statistical computations.

## Results

### In vitro Her2 expression levels are down-regulated by 17-DMAG

Flow cytometry results showed that the three cell lines, MCF7 parental, Clone A, and Clone B, have low, intermediate, and high expression levels of Her2, respectively, and consist of pure populations (Figure 1). Western blot results confirmed these relative Her2 protein differences. Furthermore, Her2 expression decreased dependent on the 17-DMAG dose (Figure 2). Her2 down-regulation at 0.45  $\mu\text{M}$  17-DMAG compared to non-treated cells was  $74 \pm 5\%$  for MCF7 parental cells ( $p = 0.0003$ ),  $66 \pm 14\%$  for Clone A cells ( $p = 0.010$ ), and  $72 \pm 17\%$  for Clone B cells ( $p = 0.019$ ).

### Optical imaging signal transiently decreases in living mice in response to 17-DMAG treatment

Before treatment was initiated, optical imaging confirmed that the overall mean optical imaging signal (average counts) was significantly higher for Clone B tumors than for MCF7 parental tumors ( $6402$  vs.  $2759$  counts/ $\text{mm}^2$ ;  $p = 0.0008$ ). Furthermore, imaging each tumor thrice, 5 hours after a single injection of affibody and after repositioning the mice, re-adjusting the imaging device and independently assessing the average counts for each exam, yielded an average coefficient of variation of 5.9% ( $N=6$ ) supporting the reproducibility of the optical imaging technique.

Optical imaging signal for Clone B tumors dropped significantly in the 17-DMAG treated group to  $77.5 \pm 4\%$  at day 3 compared to pre-treatment ( $p = 0.003$ ), then increased again to  $106 \pm 14\%$  at day 6 and  $135 \pm 22\%$  at day 9. In the carrier group, the optical imaging signal did not drop after carrier treatment but slightly increased to  $109 \pm 5\%$  at day 3 compared to pre-treatment ( $p = 0.128$ ),  $123 \pm 10\%$  at day 6, and  $142 \pm 17\%$  at day 9 (Figure 3B). The same trend, although not statistically significant, was seen for the MCF7 parental tumors. MCF7 parental signal decreased compared to pre-treatment in the 17-DMAG treated group to  $91 \pm 6\%$  at day 3 ( $p = 0.23$ ), then increased again to  $128 \pm 7\%$  at day 6, and  $134 \pm 9\%$  at day 9 (Figure 3A). In the carrier group the optical imaging signal increased to  $118 \pm 10\%$  at day 3 compared to pre-treatment ( $p = 0.137$ ),  $153 \pm 24\%$  at day 6, and  $172 \pm 30\%$  at day 9. Figure 3C summarizes the statistically significant 17-DMAG treatment effect (day 3 *post* vs. day 0 *pre*) between the 17-DMAG treated group and the carrier group for both MCF7 parental ( $p = 0.05$ ) and Clone B ( $p = 0.004$ ) tumors. Comparisons between the treatment and carrier groups for both tumor types at later time-points were not statistically significant. Figures 4A (MCF7 parental) and 4B (Clone B) exemplify the *in vivo* optical images pre- and post-treatment, showing a decrease in optical imaging signal for the 17-DMAG treated mice and an increase in optical imaging signal for the carrier mice on day 3 compared to day 0.

In contrast to the significant effects as assessed by *in vivo* molecular imaging, no significant changes in tumor volume were measured after 17-DMAG treatment up to 9 days post treatment, neither for Clone B, nor for MCF7 parental tumors (see supplementary information).

## Ex vivo Her2 expression levels correlate with in vivo optical imaging signal

In a total of 28 mice with two tumors each (MCF7 parental and Clone B) were sacrificed and the tumor tissue was surgically removed and processed for western blotting. A total of 52 tumors were available for determining the correlation of *ex vivo* Her2 levels with *in vivo* optical imaging signal (see methods); 16 tumors were removed at day 3 after treatment, and 36 at day 9 after treatment. The overall Pearson correlation was 0.77, and for subgroups it ranged from 0.73 to 0.89; correlation was 0.73 for the treated and 0.85 for the carrier group at day 3 (Figure 5).

## Discussion

We have shown that optical imaging with an affibody can be used to non-invasively monitor changes in Her2 expression *in vivo* as a response to treatment with a specific Hsp90 inhibitor, whereas effects of the therapy on tumor volume were limited during the study period and statistically non-significant. Results of this study are very promising for the use of optical imaging as a molecular imaging tool for treatment monitoring in a clinical setting. This is the first work we know of to show the feasibility of optical imaging in the visualization of the response to Hsp90 therapy at a molecular level in living subjects. Affibody molecules have been used successfully in optical imaging studies before, for instance by Lee et al. targeting Her2 in a mouse model (26), but the feasibility of treatment monitoring with the affibody in optical imaging studies has not been addressed yet. Other research groups have demonstrated the potential of target-specific radiotracers in PET imaging to measure the treatment effects on molecular targets (27–29). Smith-Jones et al. monitored Her2 changes after treatment with a Hsp90 inhibitor (17-AAG) using PET imaging with <sup>68</sup>Ga-labelled trastuzumab F(ab')<sub>2</sub> fragments (27). They found a reduction in tumor uptake of 70% in BT474 breast tumor xenografts. The reduced uptake lasted until 5 days after treatment. Her2 levels were not determined *ex vivo*, only imaging studies were performed. Oude Munnink et al. used PET imaging with full length <sup>89</sup>Zr-labelled trastuzumab to measure Her2 down-regulation after treatment with a Hsp90 inhibitor (NVP-AUY922) in SKOV-3 ovarian tumor xenografts (28). They reported a reduction in tumor uptake of 41%. Immunohistochemistry confirmed the decrease in Her2 expression *ex vivo* in a qualitative way only. Kramer-Marek et al. measured changes in Her2 expression after 17-DMAG treatment using the same affibody as we used in our study, but instead of using optical imaging they labeled the affibody with <sup>18</sup>F for PET imaging and only performed a single pre- and post-treatment scan (29). They reported a reduction of 33% in a MCF7 cell line transfected with Her2 (Clone 18) and of 71% in BT474 breast tumor xenografts. Her2 downregulation was confirmed *ex vivo* by western blot and ELISA.

Both Oude Munnink et al. and Kamer-Marek et al. compared a single post-treatment measurement with pre-treatment. Her2 expression was not monitored over a longer period of time. The strength of our study is that we followed each mouse over 10 days, which enabled us to see the Her2 levels decrease after treatment and recover after the treatment was stopped (which is in line with data from Smith-Jones et al. (27)). This indicates that we can monitor the molecular changes non-invasively over time with our optical imaging strategy, whereas we did not observe significant changes in tumor volume during the study. Our *in vivo* results of 22.5% signal reduction are consistent with the previous reports, considering that different cell lines were used for the tumor xenografts and that the imaging technique used was also different. In addition, correlating *in vivo* optical imaging signal with *ex vivo* Her2 levels by western blotting further supported our results. Although tumor volume did not change significantly after 17-DMAG treatment, in 2 of the 17-DMAG treated mice the Clone B tumors shrunk to very small volumes at day 9. To confirm that the changes in optical imaging signal were due to a decrease in Her2 expression levels and not caused by other non-specific anti-tumor effects of the drug, we correlated the *in vivo* optical imaging

signal with the *ex vivo* Her2 expression levels not only at day 9, but also at day 3 in a subgroup of 8 mice. In that group we also closely monitored the tumor volume by ultrasound measurements up to day 3, and confirmed that there was no decrease in tumor volume after treatment (supplementary information). Results indicate that the measured changes in optical imaging signal reflect the changes in Her2 expression after drug treatment.

An important advantage of optical imaging in comparison with PET imaging is that it does not use radioactive components or ionizing radiation and can thus be used more frequently. An additional advantage is that optical imaging agents are easier to generate and much cheaper than PET tracers. In contrast to radionuclide imaging approaches where over time the imaging signal disappears as a result of natural decay in addition to clearance from the subject, in optical imaging the clearance of the imaging signal is predominantly dependent on clearance of the imaging probe from the body. For this reason, small molecules with quick clearance, such as affibody molecules, may be preferable over large molecules in optical imaging. In addition, pre-injection optical signal can be measured and subtracted from subsequent imaging exams in optical imaging to adjust for the residual signal as done in this work.

Limitations of optical imaging include its limited spatial resolution and the complexity of its image reconstruction/quantitation. These aspects make it difficult to draw ROIs precisely around the tumor border. Chances are that different observers would draw ROIs differently. To evaluate if this would influence the results of our study, two different sizes of ROIs were also drawn besides the medium ROI we used for the primary results (an ROI drawn as accurately as possible around the apparent tumor border): a very small ROI in the center of the tumor, and a very large ROI around the entire tumor including some surrounding normal tissue. Average counts of all of these ROIs were calculated at all imaging time points. We found comparable differences in optical imaging signal post- and pre-treatment for all ROI sizes (see supplementary information). This implies that the interpretation of the signal changes was not importantly influenced by the manner in which the ROI was drawn. Another limitation of the relatively low spatial resolution is that partial volume effects can lead to inaccurate optical imaging signals in very small lesions compared to the system's spatial resolution.

Quantification of optical imaging signal is more complicated as compared to PET imaging in which percentage injected dose per gram of tissue can be calculated. Due to the fairly large background signal (noise) *in vivo*, the correlation between *in vivo* and *in vitro* results is relatively limited. However, our results support that relative signal quantification with the right optical imaging set up is achievable and in the range of Oude Munnink et al. and Kamer-Marek et al. (28, 29), and that it is thus feasible to semi-quantitatively measure molecular changes over time using optical imaging.

In ongoing studies we are evaluating other molecular imaging agents, such as engineered antibodies and peptides, in the same xenograft model to make better comparisons between the different imaging agents. We aim to translate (one or more of) these molecular imaging agents to clinical studies. For clinical applications, deeper light penetration is required and therefore it will be advantageous to conjugate the targeted agents to a near-infrared dye with excitation wavelengths above 700–750 nm, for example IRdye 800CW (LI-COR Biosciences, Lincoln, NE), which has already been registered with the European regulatory authorities and the United States Food and Drug Administration in anticipation of clinical trials. Future preclinical studies will also include administering 17-DMAG more than once to repeatedly monitor the transient effect on Her2 expression over time, and investigating whether repeated probe injection within hours yields reproducible imaging results after pre-



injection background subtraction to adjust for residual probe levels. If possible, we will be able to show the reproducibility of the entire optical imaging procedure, and not only from probe injection onwards which we showed to be highly reproducible (average COV 5.9%). This will give a better understanding of the magnitude of effects that can be measured with this optical imaging assay.

In conclusion, optical imaging with an affibody can be used for non-invasive *in vivo* imaging of Her2 expression and for monitoring the changes in Her2 expression as a response to treatment. This makes optical imaging a promising molecular imaging tool for treatment monitoring in preclinical models and potentially in patients.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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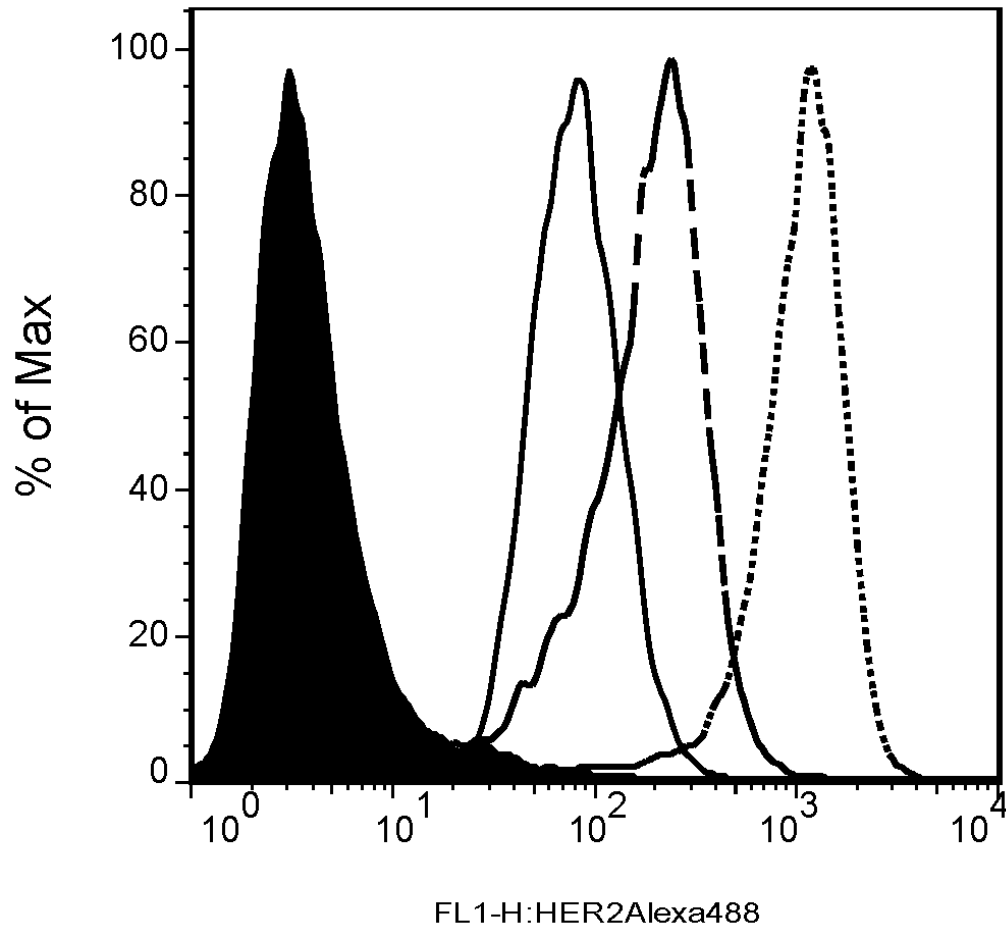
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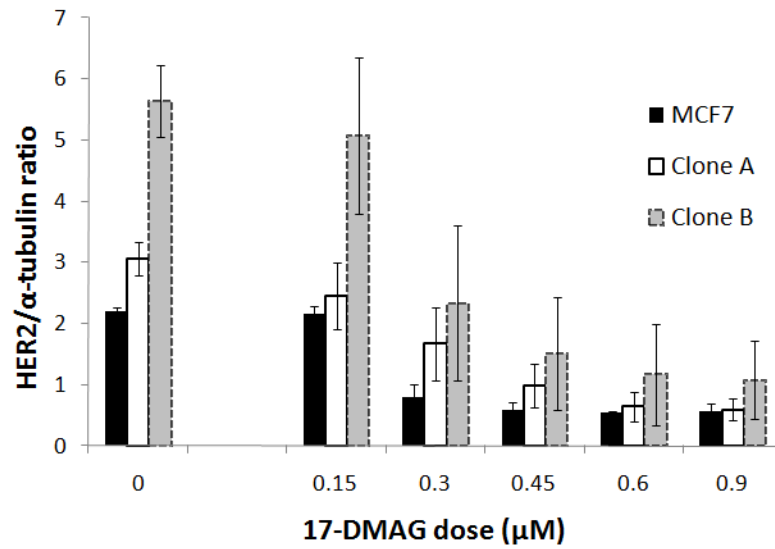
### Translational Relevance

We showed that optical imaging with Her2-targeted affibody molecules can be used for non-invasive *in vivo* imaging of Her2 expression and for monitoring the changes in Her2 expression as a response to treatment. This emphasizes the potential of optical molecular imaging in high throughput screening and testing of new drugs in preclinical models. In addition, optical imaging can play a key role in rapid drug response evaluation in human neoadjuvant breast cancer treatment both in trials as eventual routine practice, and is likely to outperform treatment response evaluation based on anatomic changes (RECIST criteria).



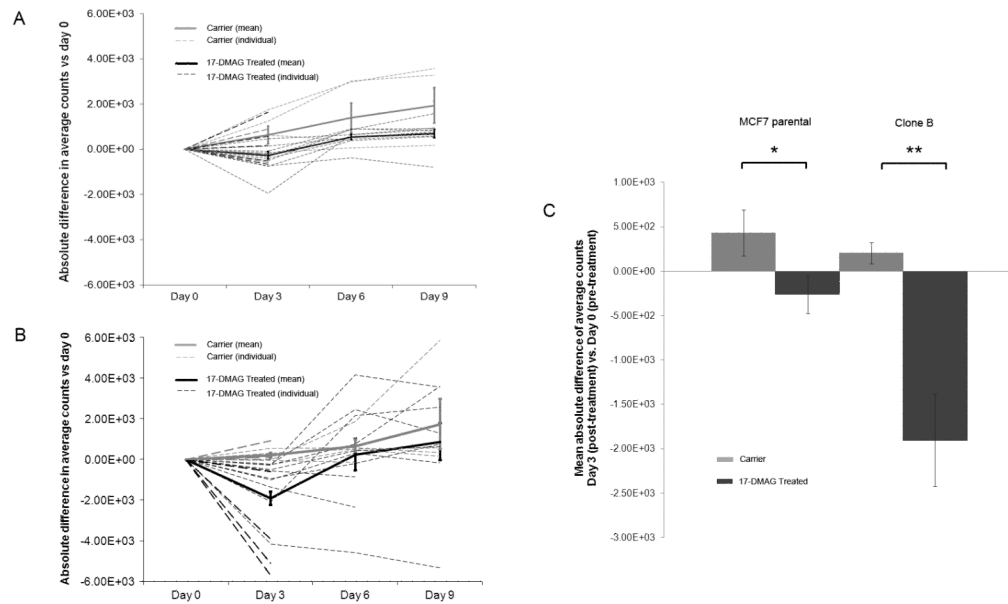
**Figure 1.**

Flow cytometry results showed low (MCF7 parental; —), intermediate (Clone A; ---), and high (Clone B; ····) expression levels of Her2, respectively, in comparison with the control MCF7 parental cells (filled black) that were only stained with the secondary (nonspecific) antibody. The single peaks for each sample indicate pure cell populations.

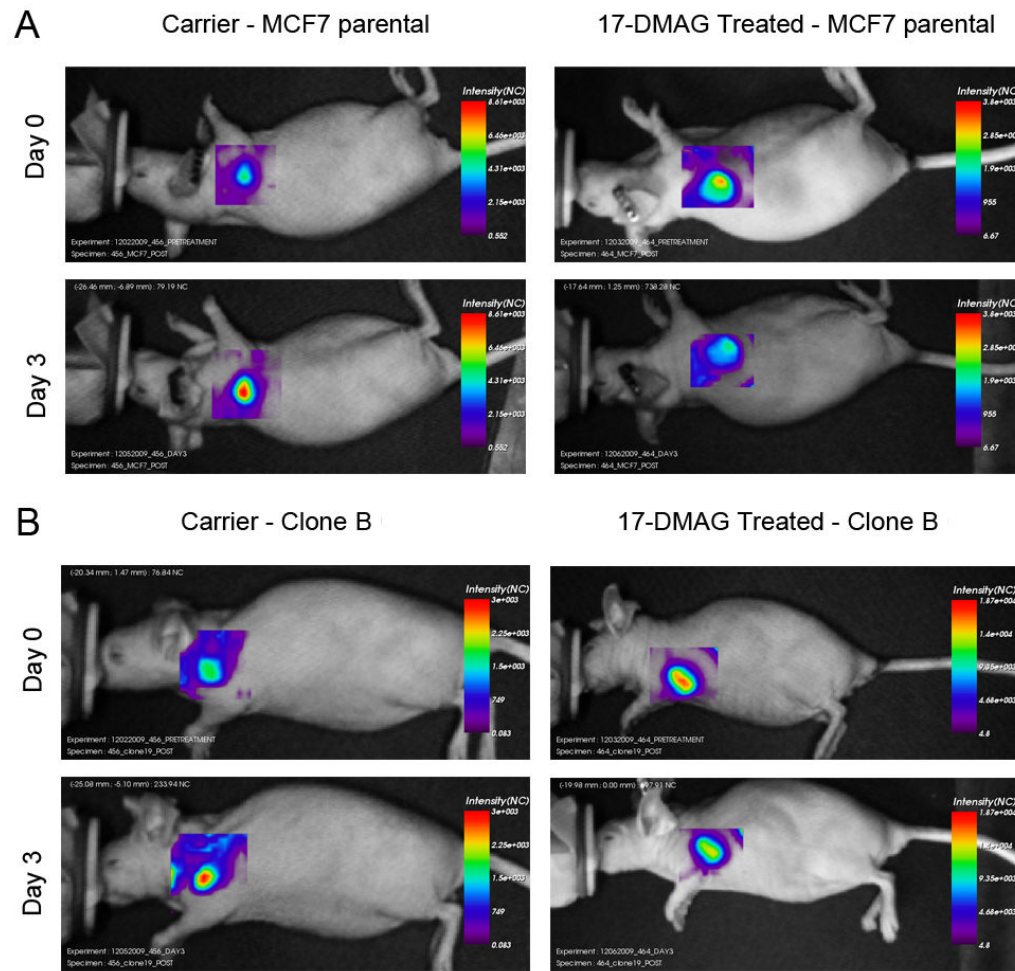


**Figure 2.**

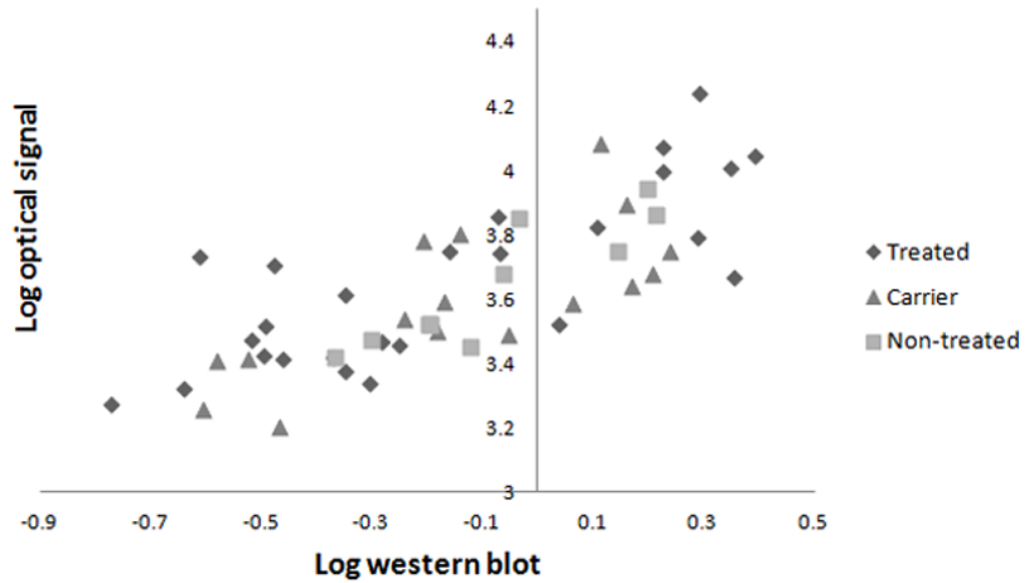
Western blots confirmed low (MCF7 parental) intermediate (Clone A) and high (Clone B) Her2 expression. On the left (no 17-DMAG, dose 0), samples of 3 experiments were loaded into 1 gel to show the standard error of the mean. Her2 expression decreased dependent on the 17-DMAG dose added to the cells (incubation time was 24 hours). Data was normalized to the mean Her2 expression for each cell line at dose 0 in the same gel. All experiments were repeated 3 times. Results are statistically significant ( $p < 0.05$ ) from 0.45  $\mu\text{M}$  for Clone B and Clone A, and from 0.30  $\mu\text{M}$  for MCF7 parental cells. Error bars represent the standard error of the mean.



**Figure 3.** Absolute difference in average counts for MCF7 parental (A) and Clone B tumors (B): Treatment vs. Carrier compared to Day 0 (pre-treatment). At Day 0, mean average counts/mm<sup>2</sup> were 2759 for MCF7 parental (A) and 6402 for Clone B tumors (B). Dashed lines represent the individual mice, solid lines the mean and error bars the standard error of the mean. Both MCF7 parental tumors (A) and Clone B tumors (B) showed a significant decrease in average counts at Day 3 for the 17-DMAG treated compared to the carrier group ( $p = 0.05$  and  $p = 0.004$ , respectively). At day 3, optical imaging signal was reduced by 9% in 17-DMAG treated MCF7 parental tumors ( $p = 0.23$ ) and by 22.5% in Clone B tumors ( $p = 0.003$ ); signal recovered at day 6–9. Mean absolute difference in average counts of Day 3 (post-treatment) vs. Day 0 (pre-treatment) is also shown separately (C). Optical imaging signal decreased significantly for the 17-DMAG treated mice compared to the carrier mice for both MCF7 parental (\*  $p = 0.05$ ) and Clone B (\*\*  $p = 0.004$ ) tumors. Error bars represent the standard error of the mean.



**Figure 4.** Examples of *in vivo* optical imaging results of mice bearing MCF7 parental (A) and Clone B tumor xenografts (B). Images were obtained before (day 0) and after (day 3) treatment with 17-DMAG or carrier control.



**Figure 5.** Correlation between *ex vivo* Her2 levels by Western and *in vivo* optical imaging signal. Pearson's correlation coefficient was 0.77 ( $y = 0.60x + 3.72$ ) for the total group of tumors ( $n=52$ ); 0.74 ( $y = 0.52x + 3.75$ ) for the treated group at day 9 ( $n=18$ ); 0.84 ( $y = 0.82x + 3.80$ ) for the carrier group at day 9 ( $n=8$ ); 0.73 ( $y = 1.01x + 3.69$ ) for the treated group at day 3 ( $n=8$ ); 0.85 ( $y = 0.45x + 3.62$ ) for the carrier group at day 3 ( $n=8$ ); and 0.89 ( $y = 0.74x + 3.70$ ) for the non-treated group ( $n=10$ ).