



# On the evolution of memory: a time for clocks

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Evolutionarily, what was the earliest engram? Biology has evolved to encode representations of past events, and in neuroscience, we are attempting to link experience-dependent changes in molecular signaling with cellular processes that ultimately lead to behavioral output. The theory of evolution has guided biological research for decades, and since phylogenetically conserved mechanisms drive circadian rhythms, these processes may serve as common predecessors underlying more complex behavioral phenotypes. For example, the cAMP/MAPK/CREB cascade is interwoven with the clock to trigger circadian output, and is also known to affect memory formation. Time-of-day dependent changes have been observed in long-term potentiation (LTP) within the suprachiasmatic nucleus and hippocampus, along with light-induced circadian phase resetting and fear conditioning behaviors. Together this suggests during evolution, similar processes underlying metaplasticity in more simple circuits may have been redeployed in higher-order brain regions. Therefore, this notion predicts a model that LTP and metaplasticity may exist in neural circuits of other species, through phylogenetically conserved pathways, leading to several testable hypotheses.

**Keywords:** transcription, translation, metabolism, sleep, excitability

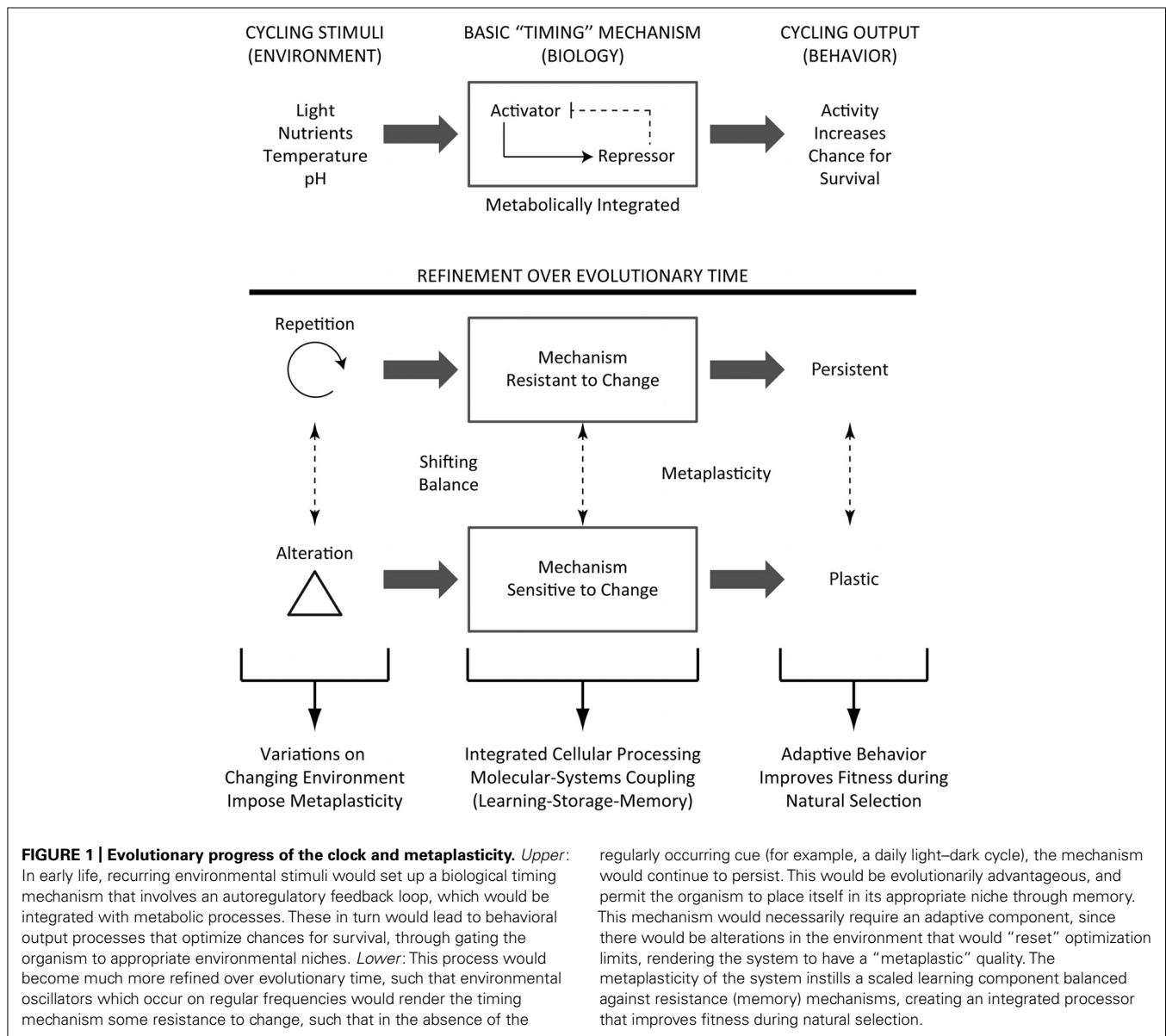
## EVOLUTIONARY EMERGENCE OF “MEMORY”: A PERSPECTIVE

Early forms of life likely encoded molecular processes which integrated basic information necessary for survival: simple environmental stimuli and nutrition, such as light or temperature and essential chemicals (nutrients) for biological energy utilization and early metabolic chemical reactions. The cyclical nature of the earth's rotation on its axis, and orbit around the sun, would have provided daily (circadian) and seasonal (circannual) oscillatory cues from which biology would have evolved molecular mechanisms that optimized energy expenditure from energy acquisition and storage (bioenergetics). Therefore these cyclical events could be considered one basis from which primordial molecular memory evolved.

The repetitive nature of cycling environmental stimulus factors, such as light and temperature, and their overlap with the availability of essential nutrients would have led to early life exhibiting a “timed” and coordinated molecular signature, and in turn this could have led to an organization of molecular and cellular processes contributing to a behavioral response which coincided with regular, cyclical, and predictable stimuli (**Figure 1**). These stimulating events, while repetitive, would have retained some variance over time, such as annual periodic changes in day length. Modulation of stimuli would therefore have led this “timing” machinery subject to an adaptive quality, making the system plastic, and able to adjust to the changing environment, setting optimization limits for energy use and storage. Additional variations in the relative amount of periodicity, due to changes in periods of other regularly occurring environmental cycles, such as circalunar and circatidal rhythms (Tessmar-Raible et al., 2011), contributed further plasticity within this rhythmic biological mechanism, producing an additive ability to be plastic, referred to here broadly as

metaplasticity (Bienenstock et al., 1982; Abraham and Bear, 1996; Jedlicka, 2002), a term adopted from neuroscience describing the plasticity of synaptic plasticity. For this discussion, “metaplasticity” refers to any biological system to change its ability to be plastic. Further modifications to periodicity can be influenced by Earth's orbit eccentricity, axial tilt, and precession. These changes are believed to contribute too much larger periodic environmental oscillations called Milankovitch cycles, leading to a global climatic “pacemaker of the ice ages” (Hays et al., 1976). Individual components can vary in period length, and oscillate over large spans of time, from tens to over hundreds of thousands of years. These variations would induce changes in seasonal alterations in daily periodicity broadly over evolutionary time, reinforcing a metaplastic quality in the biological system (**Figure 1**). However, within early life, the repetitive nature of specific features on shorter time-courses would have allowed more “predictable” alterations in biochemical reactions, leading to a rudimentary process of memory. Thus, an outcome of natural selection on these entrained clocks would have been the ability to “free-run” in the absence of external cues (Pittendrigh, 1993), exhibiting the earliest form of “memory” in biology.

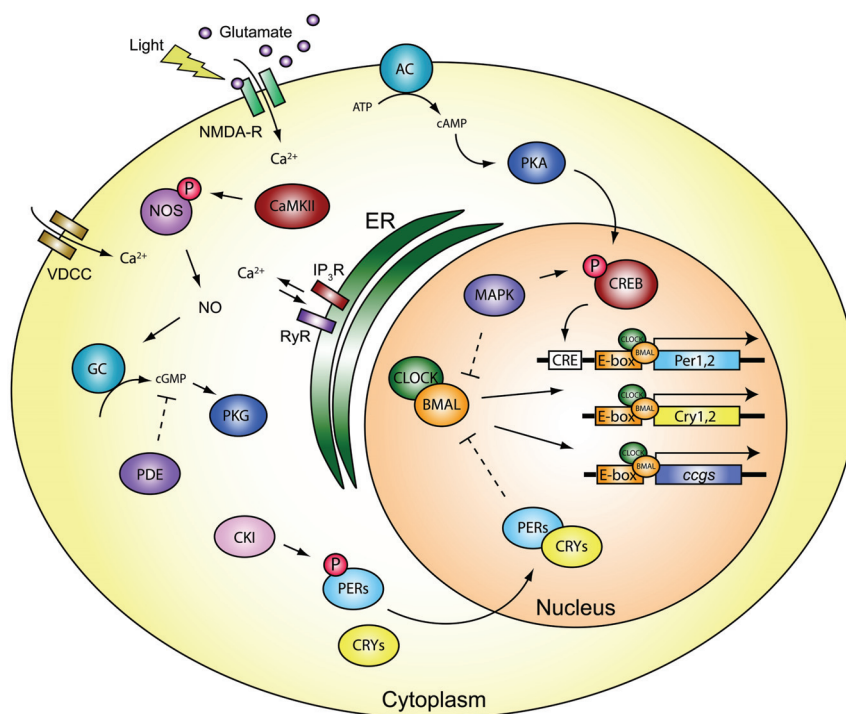
Optimization set-points in environmental conditions would have provided extremes for life to exist and thrive, similar to limits on a spectrum. For life to survive optimally, an adaptive quality with this changing spectrum is absolutely essential, and rationale for why circadian clocks are thought to have evolved out of periodic changes in the environment (Paranjpe and Sharma, 2005; McIntosh et al., 2010). This manuscript is not meant to set a base for this argument, but instead propose that the metaplasticity that is observed in neuronal networks and complex behavior in higher-order organisms today could have evolved out of more simple adaptive molecular machinery, such as from clock-forming



cellular processes and circuits from long ago. Therefore, this adaptive quality needs to be a part of a general programming scheme within the organism, but could be viewed as fundamental to persistent behavioral qualities that better suited species survival during natural selection.

The notion that biochemical and molecular mechanisms which drive circadian rhythms known to exist in life today represent an ancient memory-coding strategy that evolved from earlier life seems quite plausible. Basic cellular processes, such as transcription and translation, are necessary for a functional clock broadly throughout life. It is well known that circadian core clock molecules, such as CLOCK and BMAL are transcription factors themselves, and operate on a transcriptional, translational autoregulatory feedback loop (Figure 2). These proteins are a part of the Per-Arnt-Sim (PAS) domain family that regulate anticipatory and adaptive responses to changes in the environment

(McIntosh et al., 2010). PAS molecules in this loop are critical for the oscillatory nature of the circadian clock, and have also been shown to be involved in various temporal-sensitive processes, such as cell cycle regulation, metabolism, and learning and memory. While there is some overlap in circadian gene homology and function across certain phyla (Panda et al., 2002), strict phylogenetic conservation of specific clock genes is not as completely conserved, but instead resembles a similar operational pathway, consisting of an autoregulatory activation and repression loop structure (Friesen and Block, 1984; Brenner et al., 1990; Bass and Takahashi, 2011). The phylogenetically conserved mechanism then is an activation and repression feedback system (Figure 1), which can persist in an oscillatory nature in the absence of environmental cues to maintain cycling, but retains adaptive qualities to react to changes in the environment.



**FIGURE 2 | Molecular components of the core clock.** Light stimulates glutamatergic synaptic activation via the retinohypothalamic tract on NMDA receptors within the mammalian SCN, to generate signaling cascades which are mediated by various plasticity-related proteins. The cascade can reset the transcriptional/translational autoregulatory feedback loop to

varying degrees based on the time-of-day. This shifting balance in the capacity of the system to regulate changes in behavioral output differentially is central to the notion of circadian metaplasticity in physiology and behavior. Refer to text for further information regarding the signaling pathways and abbreviations.

Recently, it has been shown that persistent cycling processes of peroxiredoxin enzymatic activity occurs independently of transcription in both humans and green algae (O'Neill and Reddy, 2011; O'Neill et al., 2011). The KaiC protein of cyanobacteria can also be phosphorylated in a cyclical manner, and persist in the absence of a zeitgeber ("time-giver") independently of transcriptional and translational mechanisms (Nakajima et al., 2005; Tomita et al., 2005). However, it should be noted that in intact cyanobacteria, KaiC cyclic phosphorylation is coupled with transcriptional rhythms (Kitayama et al., 2008). Therefore it is likely that basic timing systems, such as those coupling nucleotide signaling and energy utilization in a simple negative feedback structure, may have predated more complex cellular processes, in order to optimize internal bioenergetic signaling with varying environmental conditions, thus generating rhythmic outputs which remain adaptive and able to enhance fitness and survival (Figure 1). Evolutionarily more recent oscillators involving transcriptional-translational feedback loops may have emerged after, but remain coupled to, more ancient metabolic oscillators. This coupling would have contributed to enhancement of fuel-utilization cycling, and predicts yet to be identified signaling cofactors which link circadian and metabolic processes together (Bass and Takahashi, 2011). Taken together, this suggests that some least common ancient time-keeping mechanism linking energetic and adaptive qualities would have evolved to increase fitness and survival, and derive the historical predecessor of molecular and

cellular memory properties reused in higher-order organisms with central nervous systems.

Periodic cycles of environmental stimuli would have contributed to selection pressures for these least common time-keeping mechanisms, and allowed for an adaptive advantage, since survival could be enhanced with properly timed anticipatory behavior that better matched nutrient availability and reproductive fitness. Therefore, these clocks likely emerged during evolution out of natural selection; a primitive process predating higher-order memory processes tied to later evolved neuronal systems. These ancient molecular and cellular "timing" mechanisms serve as a basis for supporting more complex learning and memory-coding strategies that we are now trying to understand today. By understanding the functional relationships between these circadian time-keeping mechanisms in simple organisms, we may be able to better approach the questions to test in higher-order species with more complex nervous systems and behaviors.

### CIRCADIAN PLASTICITY: FROM MOLECULES TO CIRCUITS TO BEHAVIOR

The biological clock resides within the suprachiasmatic nucleus (SCN) of the hypothalamus in mammals, and the underlying molecular biology and neurophysiology can "free-run" in the absence of environmental cues, or be reset to environmental stimuli, depending on the time-of-day or type of input, leading to

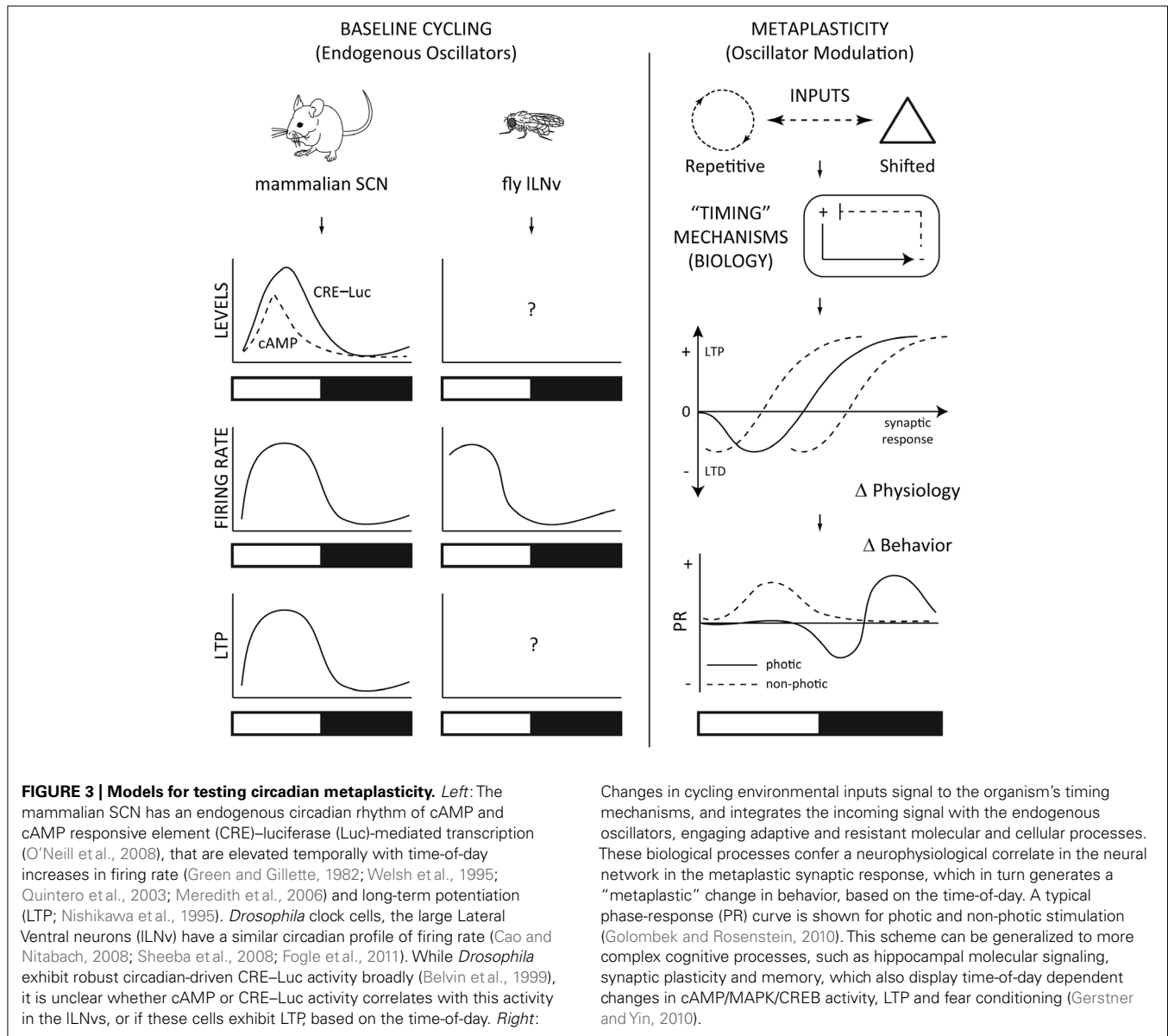
differential changes in behavioral output (Golombek and Rosenstein, 2010). The basic core clock consists of a transcriptional feedback network where CLOCK and BMAL heterodimerize to transactivate Period (Per) and Cryptochrome (Cry) gene expression through E-box elements in the promoter (Figure 2). Per and Cry heterodimerize in the cytoplasm upon phosphorylation by proteins such as casein kinase I (CKI) that regulate protein turnover to inhibit CLOCK:BMAL (Mohawk and Takahashi, 2011). While the majority of neurons in the SCN are GABAergic (Moore and Speh, 1993), photic stimulation of glutamatergic *N*-methyl-D-aspartate receptors (NMDA-R) - mediates calcium (Ca<sup>2+</sup>) influx, leading to downstream signaling cascades. Voltage-dependent calcium channels (VDCC) are rhythmically expressed (Nahm et al., 2005), and have been implicated in light- and glutamate-induced phase shifts (Kim et al., 2005), and tie Ca<sup>2+</sup> influx to downstream clock machinery (Ikeda et al., 2003; Ikeda, 2004). Inositol trisphosphate receptor (IP<sub>3</sub>R) expression also cycles, with Type I peaking during the early dark phase, and Type III peaking near the late dark period (Hamada et al., 1999b), and can regulate the level of Ca<sup>2+</sup> in the SCN (Hamada et al., 1999a). NMDA-R mediated Ca<sup>2+</sup> influx also triggers nitric-oxide synthase (NOS) to liberate nitric oxide (NO) causing a phase delay when light is delivered in the early dark phase, or a phase advance when given later in the dark period (Ding et al., 1994). NO-dependent activation of a neuronal ryanodine receptor (RyR) and protein kinase G (PKG) pathways have been implicated in phase shifts (Weber et al., 1995; Mathur et al., 1996; Ding et al., 1998; Oster et al., 2003; but see Langmesser et al., 2009). NOS activation by CaMKII phosphorylation (P) is necessary for normal light-induced phase shifts in the SCN (Agostino et al., 2004), and gates the activation of soluble guanylyl cyclase (GC) and thought to lead to cGMP-PKG signaling (Golombek and Rosenstein, 2010). The time-of-day sensitivity of this mechanism to respond to light-induced phase shifts also appears to be regulated through phosphodiesterase (PDE) activity, via cGMP degradation (Ferreyra and Golombek, 2001). These events are in anti-phase to what is observed for cAMP-regulated phase shifts that occur during the light phase (Prosser and Gillette, 1989; Prosser et al., 1989). Activation of the cAMP-protein kinase A (PKA) pathway in the SCN is also known to promote the effects of light/glutamate on *Period1* gene expression early in the dark period, but not late in the dark period (Tischkau et al., 2000), suggesting variable pathways could converge on CREB activation to promote changes in SCN clock gene expression (Figure 2).

Stimulation of the SCN by light, exogenous glutamate, or NO was able to generate a phase-response curve that correlated with the amount of time-of-day dependent induction of CREB phosphorylation (Ding et al., 1994, 1997; von Gall et al., 1998). Both CREB phosphorylation and downstream transcription follow a circadian rhythm in the SCN (Obrietan et al., 1999), an effect that is mediated by mitogen-activated protein kinase (MAPK; Obrietan et al., 1998), which has been shown to influence BMAL activity (Sanada et al., 2002; Akashi et al., 2008). Similar processes are in common with the time-of-day expression and persistence of hippocampal-dependent memory (Eckel-Mahan et al., 2008), which depends on an intact SCN (Phan

et al., 2011), suggesting conservation in cAMP/MAPK/CREB-dependent mechanisms that underlie plasticity and behavior. The ability to phase-shift circadian rhythms is also dependent on *de novo* protein synthesis (Jacklet, 1977; Comolli et al., 1994; Zhang et al., 1996), indicating that similar to those in long-term memory, activity-dependent plasticity-related processes also mediate circadian behavioral responses (Amir et al., 2002). Previously it has been shown that the number of photons of light correlated with the amount of the immediate-early gene *c-fos* mRNA expression in the SCN, which in turn correlated with the amount of phase-shift behavioral response, at times when the circadian clock is susceptible to phase-shifts (Kornhauser et al., 1990), and these effects are tightly coupled with CREB phosphorylation (Ginty et al., 1993). These data suggest that activation of the SCN stimulates plasticity-related processes during a specific temporal window, but CREB-related mechanisms exist which render the neurons permissive to changes in stimulation based on the time-of-day.

Exactly how the transcriptional/translational molecular clock operates on neurophysiological changes is not well understood (Ko et al., 2009; Colwell, 2011), but is believed to involve inter-cellular coupling of these cellular processes with synchronization of neuronal networks (Mohawk and Takahashi, 2011). Circadian modulation of action potential firing rates (Green and Gillette, 1982; Cutler et al., 2003; Atkinson et al., 2011) and amplitude (Belle et al., 2009) are known to exist, and include changes in the activity of ion channels, such as the fast-delayed rectifier (Itri et al., 2005), BK-channel induced calcium-activated potassium current (Kent and Meredith, 2008), and the A-type potassium currents (Itri et al., 2010). Changes in SCN neurophysiology has been shown to regulate gene expression, since blockage of firing using TTX has been shown to reduce the amplitude of Period transcript levels (Yamaguchi et al., 2003). Additionally, the neuropeptide vasoactive intestinal peptide (VIP) has been shown to regulate molecular oscillations (Maywood et al., 2006) and firing rates (Aton et al., 2005) in the SCN. Intracellular production of cAMP via adenylate cyclase is stimulated by VIP acting on the VPAC2 receptor (Harmar et al., 2002). Time-of-day changes in the levels of intracellular cAMP are thought to contribute to persistent oscillations of the transcriptional clock (O'Neill et al., 2008). Since the activity of hyperpolarization-activated, cyclic nucleotide-gated (HCN) channels is also necessary for circadian gene expression to be maintained in slice preparations of the SCN (O'Neill et al., 2008), it was thought that cAMP could regulate firing rates of SCN neurons through activation of HCN channels (Atkinson et al., 2011). However, cAMP was not a potent regulator of HCN channel function in SCN slice preparations, suggesting that the way in which cAMP signaling maintains the molecular clock and AP firing in the SCN still needs to be determined (Atkinson et al., 2011). It is interesting to note, however, that the peaks in time-of-day variations in cAMP and CRE-Luc activity (O'Neill et al., 2008) occur at the same time as peaks in both firing rate and resting membrane potential (Green and Gillette, 1982; Groos and Hendriks, 1982; de Jeu et al., 1998; Pennartz et al., 2002; Kuhlman and McMahon, 2004; Kononenko et al., 2008) and long-term potentiation (LTP; Nishikawa et al., 1995) in the SCN (Figure 3), suggesting functional links related to changes in expression of these molecules





Changes in cycling environmental inputs signal to the organism’s timing mechanisms, and integrates the incoming signal with the endogenous oscillators, engaging adaptive and resistant molecular and cellular processes. These biological processes confer a neurophysiological correlate in the neural network in the metaplastic synaptic response, which in turn generates a “metaplastic” change in behavior, based on the time-of-day. A typical phase-response (PR) curve is shown for photic and non-photic stimulation (Golombek and Rosenstein, 2010). This scheme can be generalized to more complex cognitive processes, such as hippocampal molecular signaling, synaptic plasticity and memory, which also display time-of-day dependent changes in cAMP/MAPK/CREB activity, LTP and fear conditioning (Gerstner and Yin, 2010).

and as of yet to be identified channels may underlie the observed changes in neurophysiology.

High-frequency stimulation of the optic tract induces LTP in the rat SCN that varies in field excitatory postsynaptic potentials based on the time-of-day (Nishikawa et al., 1995). This effect can be considered a bona-fide occurrence of metaplasticity (Abraham, 2008) since the same stimulation regimen can generate differences in fEPSP. Time-of-day metaplasticity is also observed in hippocampal circuits (Barnes et al., 1977; Harris and Teyler, 1983; Dana and Martinez Jr., 1984; Raghavan et al., 1999; Chaudhury et al., 2005) and memory behavior (Chaudhury and Colwell, 2002; Eckel-Mahan and Storm, 2009), perhaps suggesting similar molecular and cellular processes that are likely conserved (Gerstner and Yin, 2010). SCN LTP is observed maximally during the subjective light phase, at a time that correlates with higher cAMP levels and firing rate (Figure 3). Interestingly, high-frequency stimulation of

the rat optic nerve induced SCN LTP that was inhibited by both NOS inhibitors or  $Ca^{2+}$ /calmodulin kinase (CaMKII) inhibitors (Fukunaga et al., 2002). Further, SCN LTP induction correlated with increases in phosphorylation of CaMKII, MAPK, and CREB (Fukunaga et al., 2002), corroborating previous evidence which showed increases in CaMKII activation in the SCN following acute light exposure (Yokota et al., 2001). Importantly, SCN LTP was induced to a greater extent at times-of-day that were unable to alter phase-shifts in behavior. This may be predictable, since the clock is already “tuned” to have an elevated firing rate during this range of time (Figure 3). Therefore, changes in excitability could account for increases in LTP during the daytime, and a lack in the photic-stimulated phase-response. Further, Fukunaga et al. (2002) reported that they observed HFS-induced LTP during the night period, while their earlier report showed minimal induction (Nishikawa et al., 1995). An important difference between the two

studies was that, as the authors noted, SCN slices were derived for a 12:12 LD cycle (Fukunaga et al., 2002) compared to “free-run” DD conditions in the previous study (Nishikawa et al., 1995). One possible explanation for the differences in results could revolve around the capacity of light to reinforce the underlying clock-mechanisms, establishing a “priming” effect that could potentially change the susceptibility of the neurons to changes in metaplasticity. Future studies determining the parameters in variations of light–dark periods with stimulation protocols to evoke SCN LTP and phase-response curves will be important before considering the underlying molecular mechanisms involved in generating circadian metaplasticity.

The SCN action potential firing rate is under circadian control (Green and Gillette, 1982; Welsh et al., 1995; Quintero et al., 2003; Meredith et al., 2006). The time-of-day changes in baseline firing rate could affect the sensitivity of time-of-day changes in cellular responsiveness, network properties, and subsequent behavioral phase-shifts in response to light-stimulation. These changes, while metaplastic, could result from non-synaptic plasticity-related mechanism, such as spike timing-dependent plasticity or changes in neuronal excitability (Debanne and Poo, 2010), and still involve cAMP/MAPK/CREB signaling (Benito and Barco, 2010). These changes could provide a mechanism for a “permissive state” to allow synaptic modifications that would be necessary for long-lasting changes, analogous to those that are believed to be necessary for memory storage (Mozzachiodi and Byrne, 2010). Indeed, network organization appears to dictate the plasticity of phase shifting in the SCN (Schaap et al., 2003; Johnston et al., 2005; Rohling et al., 2006; Inagaki et al., 2007; vanderLeest et al., 2007; Naito et al., 2008), which can vary in capacity depending on the intrinsic photoperiod length (vanderLeest et al., 2009). Together, these data suggest that the synchronization of the SCN network can influence the ability of the circuits to adapt to changes in environmental stimuli. In addition to the underlying differences in molecular oscillators discussed earlier, differences in excitability of ion channels, versus LTP-related mechanisms, could provide some explanation for the observed changes in SCN period-length-dependent phase shifting (vanderLeest et al., 2009). What is clear is that time-of-day dependent changes exist in the response to photic input stimulation on network properties within the SCN, which translate to alteration in phase-response behavioral output (Figure 3). These metaplastic changes that are exhibited in the SCN may mirror those that are observed in higher-order network properties responsible for the memory trace, suggesting conservation of underlying molecular and cellular mechanisms for adaptive and long-term changes in neural firing and synaptic plasticity.

## CONCLUSION

Basic timing mechanisms likely evolved to encode more complex plasticity-related processes, and a fundamental aspect is the ability to gate persistence from adaptation. How relevant environmental information is encoded in biology to form “memory” would have evolved using this principle, thereby establishing a metaplastic quality. The molecular mechanisms which appear to gate circadian metaplasticity likely involve the  $\text{Ca}^{2+}$  signaling, cGMP/PKG, and cAMP/MAPK/CREB cascades, and likely represent conserved

regulators of both circadian rhythms and memory formation. The similarities between these systems offers an opportunity to study more simple models from which to further characterize the role of these molecules in the temporal gating that differentiates allocation from long-term storage (Won and Silva, 2008). Future work examining the role of these molecules, and how they relate to SCN period-length-dependent phase shifting, should provide fundamental information on basic nervous system function, and could prove to be a very useful model for examining how its networks integrate properties of excitability with metaplasticity (Jedlicka, 2002; Abraham, 2008) or other forms of plasticity, such as homeostatic plasticity (Nelson and Turrigiano, 2008).

Circadian molecules not previously implicated in synaptic plasticity or learning may be implicated in higher-order cognitive processes, and essential for memory allocation and/or storage. For example, how important are these clock gene pathways for the metaplasticity underlying the time-of-day changes in limbic- or cortical-dependent LTP or memory? Similarly, are molecules that are known to regulate metaplasticity and memory, such as PKMzeta (Drier et al., 2002; Sacktor, 2011; Sajikumar and Korte, 2011), able to regulate circadian rhythm plasticity? The hypothesis would predict that the mechanisms are likely shared, and therefore testable, especially in simple models, where similar functions are likely conserved. *Drosophila* is a unique animal model which offers the possibility to quickly study these questions. If LTP and metaplasticity exist in the SCN through similar mechanisms as the hippocampus of mammals, then this also predicts that LTP and metaplasticity should be observed in the clock cells of *Drosophila* (Figure 3). Since *Drosophila* also have well characterized phase-responses in circadian behavior to light manipulations (Rosato and Kyriacou, 2006), and conservation of most of the molecules involved in both circadian rhythms and memory formation (Gerstner and Yin, 2010), these questions are also testable, and have specific predictions based on what is known in other systems of higher-order species. Further, recent discoveries of the modulatory role of glial cells in plasticity-related processes and synaptic scaling (Panatier et al., 2006; Stellwagen and Malenka, 2006; Henneberger et al., 2010), cognitive and memory processes (Halassa et al., 2009; Halassa and Haydon, 2010; Florian et al., 2011; Suzuki et al., 2011), along with a role in circadian rhythms (Prolo et al., 2005; Suh and Jackson, 2007; Jackson, 2011; Marpegan et al., 2011; Ng et al., 2011), suggest that this cell type is in a unique position to integrate time-of-day dependent metaplasticity. While a considerable amount of progress has been made in elucidating components of the molecular clock, it is clear that a lot of work still remains in the field of circadian rhythms, and future study using it as a model from which to examine functional links between molecular, cellular, and circuit mechanisms, and how they contribute to metaplasticity and behavior should offer a wealth of information for more complex higher-order cognitive processes.

## ACKNOWLEDGMENTS

Thanks to Dr. Allan Pack and the Center for Sleep and Circadian Neurobiology for support and to Kartik Ramamoorthi for useful discussions. Jason R. Gerstner is currently supported by NIH T32 HL07713.

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**Conflict of Interest Statement:** The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 27 October 2011; paper pending published: 12 December 2011; accepted: 11 February 2012; published online: 28 February 2012.

Citation: Gerstner JR (2012) On the evolution of memory: a time for clocks. *Front. Mol. Neurosci.* 5:23. doi: 10.3389/fnmol.2012.00023

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