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Statistical Comparison of DCE-MRI Pharmacokinetic Models in Human Breast Cancer

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Abstract

By fitting dynamic contrast enhanced magnetic resonance imaging (DCE-MRI) data to an appropriate pharmacokinetic model, quantitative physiological parameters can be estimated. In this study, we compare four different models by applying four statistical measures to assess their ability to describe DCE-MRI data obtained in 28 human breast cancer patient sets: the chi-square test (χ^2), Durbin-Watson statistic (DW), Akaike Information Criteria (AIC), and Bayesian Information Criterion (BIC). The pharmacokinetic models include: the fast exchange limit model with (FXL_ v_p) and without (FXL) a plasma component, and the fast and slow exchange regime models (FXR and SXR, respectively). The results show that the FXL_ v_p and FXR models yielded the smallest χ^2 in 45.64% and 47.53% of the voxels, respectively; they also had the smallest number of voxels showing serial correlation with 0.71% and 2.33%, respectively. The AIC indicated that the FXL_ v_p and FXR models were preferred in 42.84% and 46.59% of the voxels, respectively. The BIC also indicated the FXL_ v_p and FXR models were preferred in 39.39% and 45.25% of the voxels, respectively. Thus, these four metrics indicate that the FXL_ v_p and the FXR models provide the most complete statistical description of DCE-MRI time courses for the patients selected in this study.

Keywords

DCE-MRI; water exchange; breast cancer; pharmacokinetic modeling

Introduction

Dynamic contrast enhanced MRI (DCE-MRI) involves the acquisition of images before and after an intravenous injection of contrast agent (CA). By fitting DCE-MRI data to a pharmacokinetic model, quantitative physiological parameters such as the volume transfer constant (K^{trans}), extravascular extracellular volume fraction (v_c), and the plasma fraction (v_p) can be estimated (1-3). In diagnosing breast cancer, DCE-MRI has shown high sensitivity (77-100%), but moderate specificity (26-97%) ((4-8), reviewed in (9)). In monitoring treatment response in breast cancer, there have been many efforts employing DCE-MRI as a surrogate biomarker for predicting response to neoadjuvant chemotherapy. Several investigators have proposed both semi-quantitative and quantitative methods for classifying contrast enhancement curves and have used this information to delineate complete response from partial response and progressive disease (see, e.g., (10-20)). For example, some investigators have shown that changes in tumor size as measured by dynamic MRI correlate significantly with residual disease at time of surgery (e.g.,(10-13)). Considering the potentially more difficult question of predicting treatment response early in the course of therapy, some investigators have shown that changes in tumor volume as measured by dynamic MRI after one cycle of therapy correlate significantly with pathologic response (e.g., (14,15)). Morphological characteristics (such as tumor size) are the downstream effects of underlying physiological changes, so it seems reasonable that changes in metrics of tumor perfusion could serve as biomarkers of early response to treatment.

However, the literature presents differing results regarding the predictive value of quantitative modeling of DCE-MRI data; some have shown that kinetic analysis was not predictive after early therapy (15,21), whereas others have shown that it is (14,22). These contradictory results may not be surprising considering the significant differences in tumor type, treatment regimen, number of patients, clinical and pathological endpoints, imaging data acquisition and data analysis techniques. Another possible reason for such apparent discrepancies is that the standard DCE-MRI model used to analyze such data may not adequately describe the relevant physiology. The standard model relies on a linear dependence between the measured longitudinal relaxation rate constant $R_I (\equiv 1/T_I)$ and the concentration of CA in tissue (23,24). This model assumes that tissue is effectively one well-mixed compartment of water; in MRI, this assumption is referred to as the fast exchange limit (FXL). Several studies have presented evidence that this assumption is violated *in vivo* especially when the concentration of CA in the voxel of interest is high, and efforts have been made to develop analyses that do not make this assumption (23-29). By considering the extravascular space as two separate compartments, an extravascular extracellular space and an extravascular intracellular space, models can be built that account for the limited rate of water exchange between these compartments. This "fast exchange regime" (FXR) model has revealed that significant errors may arise when using the FXL analysis (24). In particular, initial applications of the FXR model to human breast cancer DCE-MRI data suggest that the FXL formalism employed in these studies can grossly underestimate blood flow, vessel wall permeability, and extravascular-extracellular volume fractions (27-29).

While a few of studies have performed comparisons of kinetic models for DCE-MRI data of the prostate or cervix (30,31), none has been performed for breast cancer. Here we report the

results of standard statistical tests on the breast cancer DCE-MRI analyses provided by the FXL with and without a vascular term and the fast and slow exchange regime models (FXR and SXR, respectively) to assess which model is most robust in a statistical sense. Because DCE-MRI ultimately aims to positively impact clinical diagnosis and prediction of treatment response, the choice of model to perform the analysis is of central importance.

Materials and Methods

Data Acquisition

Fifteen patients with locally advanced breast cancer were enrolled in an ongoing clinical trial (32). The patients provided informed consent, and the study was approved by the ethics committee of the Vanderbilt-Ingram Cancer Center. DCE-MRI was performed using a Philips 3T Achieva MR scanner (Philips Healthcare, Best, The Netherlands). A 4-channel receive double-breast coil covering both breasts was used for all imaging (Invivo Inc., Gainesville, FL). Data for constructing a T_1 map were acquired with an RF-spoiled 3D gradient echo multi-flip angle approach with TR = 7.9 ms, TE = 1.3 ms, and ten flip angles from 2 to 20 degrees in two degree increments. The acquisition matrix was 192×192×20 (full-breast) over a sagittal square field of view (22 cm²) with slice thickness of 5 mm, one signal acquisition, and a SENSE factor of 2 for an acquisition time of just under three minutes. The dynamic scans used identical parameters and a flip angle of 20°. Each 20-slice set was collected in 16 seconds at 25 time points for approximately seven minutes of scanning. A catheter placed within an antecubital vein delivered 0.1 mmol/kg (9 – 15 mL) of the contrast agent gadopentetate dimeglumine, Gd-DTPA, (Magnevist, Wayne, NJ) at 2 mL/ sec (followed by a saline flush) via a power injector after the acquisition of three baseline dynamic scans for the DCE study. Four patients were scanned at three time points: pretreatment, after one cycle of neoadjuvant chemotherapy, and after all cycles of chemotherapy; and the other eleven patients were scanned at the first two time points, yielding a total of 34 data sets. Six out of the 34 data sets failed to characterize the first pass or wash-out features of the arterial input function, yielding a total of 28 useable data sets.

Theory

The measured signal intensity from a spoiled gradient echo acquisition can be described by Eq. [1]:

$$S(t) = S_0 \sin \alpha \frac{1 - \exp(-TR/T_1(t))}{1 - \cos \alpha \exp(-TR/T_1(t))},$$
 [1]

where α is the flip angle, TR is the repetition time of the excitation RF pulse of the MR imaging sequence, S_0 is a constant describing the scanner gain and proton density, and we have assumed that $TE << T_2$ *. In order to perform quantitative DCE-MRI data analysis the time-varying longitudinal relaxation time, $T_1(t)$, must be related to the concentration of CA in the tissue, $C_0(t)$. Usually, a linear relationship between the two quantities is assumed:

$$R_1(t) = 1/T_1(t) = r_1C_t(t) + R_{10},$$
 [2]

where R_{I0} is the R_I value of the tissue before CA administration, and r_I is the relaxivity of the contrast agent. In actual DCE-MRI experiments the C_t time course cannot be directly measured, and thus Eq. [2] needs to be expressed in terms of the quantities that are actually measurable in an MRI experiment (i.e., the relaxation rate constants). Towards this end, we employ the Kety relationship (33):

$$C_t(T) = K^{trans} \cdot \int_0^T C_p(t) \cdot e^{-\left(K^{trans}/v_e\right) \cdot (T-t)} dt,$$
 [3]

where K^{trans} is the CA extravasation rate constant, v_e is the extravascular extracellular volume fraction, and $C_p(t)$ is the concentration of CA in blood plasma, also known as the arterial input function (AIF). In this study, a semi-automatic AIF tracking algorithm is used to calculate the AIF for each patient. This algorithm is initialized by defining a kernel centered on a manually selected seed point within the axillary artery in one slice. In an adjacent slice, the center of the artery is detected through searching the maximum Pearson correlation coefficient (CC) of the signal intensity between the kernel and the region of interests in the adjacent slice. The procedure is repeated for all slices to find all voxels within the artery which are then used to construct an AIF; more details are provided in (34).

A more complex model incorporates the blood plasma volume fraction, v_D :

$$C_t(T) = K^{trans} \int_0^T C_p(t) \exp\left(-\left(K^{trans}/v_e\right)(T-t)\right) dt + v_p C_p(t).$$
 [4]

Substituting Eqs. [3] and [4] into [2] yields Eqs. [5] and [6], respectively:

$$R_{1}(t) = r_{1}K^{trans} \cdot \int_{0}^{T} C_{p}(t) \cdot e^{-(K^{trans}/v_{e}) \cdot (T-t)} dt + R_{10},$$
 [5]

$$R_{1}(t) = r_{1}K^{trans} \cdot \int_{0}^{T} C_{p}(t) \cdot e^{-(K^{trans}/v_{e}) \cdot (T-t)} dt + r_{1}v_{p}C_{p}(t) + R_{10}.$$
 [6]

Eqs. [5] and [6] are two of four models we assess in the study, which are termed the FXL and FXL $_{\nu_p}$, respectively.

The "fast exchange limit" relationship described above is equivalent to assuming that all water compartments within the tissue are well mixed so the effects of the CA are completely described by a single rate constant. However, tissue is not homogeneous, but rather it may be compartmentalized within an MRI voxel. The use of Eq. [2] for the entire $^1\mathrm{H}_2\mathrm{O}$ signal from a voxel requires that water exchange between the vascular, extravascular intracellular space, and the extravascular extracellular spaces are sufficiently fast. In practice this is often not the case; and, when it is not, the Bloch equations should incorporate the effects of this exchange, leading to longitudinal relaxation that can be characterized by bi-exponential decay:

$$S(t) = a_L S_{0L} \sin \alpha \frac{1 - \exp(-TR/T_{1L}(t))}{1 - \cos \alpha \exp(-TR/T_{1L}(t))} + a_S S_{0S} \sin \alpha \frac{1 - \exp(-TR/T_{1S}(t))}{1 - \cos \alpha \exp(-TR/T_{1S}(t))}, [7]$$

where

$$a_{L} = \frac{f_{w}/(v_{e}\tau_{i})}{\left(\left(\frac{2}{\tau_{i}} - \frac{f_{w}}{v_{e}\tau_{i}}\right)^{2} + \left(\frac{4}{\tau_{i}^{2}}\left(\frac{f_{w}}{v_{e}} - 1\right)\right)\right)^{1/2}}, \quad \text{and} \quad a_{s} = \frac{1}{2} - \frac{1}{2}a_{L},$$
[8]

and

$$\begin{split} R_{1S,1L}\left(t\right) &= 1/T_{1S,1L} = \frac{1}{2} \left(2R_{1i} + r_1 C_t\left(t\right) + \frac{(R_{10} - R_{1i} + 1/\tau_i)}{(v_e/f_w)} \right) \\ &\pm \frac{1}{2} \left(\left(\frac{2}{\tau_i} - r_1 C_t\left(t\right) - \frac{R_{10} - R_{1i} + 1/\tau_i}{v_e f_w} \right)^2 + 4 \frac{(1 - v_e/f_w)}{\tau_i^2 (v_e/f_w)} \right)^{1/2}. \end{split}$$
 [9]

 T_{IS} and T_{IL} are the apparent shorter and longer T_I components, respectively, R_{Ii} is the intracellular R_I , τ_i is the average intracellular water lifetime of a water molecule, and f_w is the fraction of water that is accessible to mobile CA (23-25), which is set to 1.0 in this study. Eq. [9] with and without the T_{IS} yields the other two models we evaluate, which are termed the FXR and SXR models, respectively (24).

Statistical Analysis

We employed four common statistical tests to assess the analyses provided by Eqs. [5], [6], and [9]. The first is the Durbin-Watson (D-W) statistic which is a commonly used test for detecting serial correlation in residuals (35) and is computed *via* Eq. [10]:

$$d = \frac{\sum_{i=1}^{n} (e_i - e_{i-1})^2}{\sum_{i=1}^{n} e_i^2},$$
 [10]

where e_i are the residuals. In regression analysis, errors are typically assumed to be pairwise uncorrelated; serial correlation is a special case in which correlations between errors separated by i steps are similar (35). If residuals exhibit positive serial correlation, successive residuals tend to be similar; whereas, in negative serial correlation the successive residuals are dissimilar. Eq. [10] provides a way of quantifying these phenomena. When the D-W statistic shows significant serial correlation, the fitting model should be questioned. The range of d lies between 0 and 4; but to establish the significance of d values upper and lower bounds (d_U and d_L , respectively) must be evaluated. Those bounds are determined by the number of observations, the number of free parameters in the model, and the desired significance threshold. If $d < d_L$ or $d - d < d_L$, then d is considered significant for either positive or negative serial correlation, respectively. If $d_L < d < d_U$, then the D-W statistic is indeterminate.

The second statistical test applied to the models is the standard chi-square test, χ^2 , which is given as Eq. [11]:

$$\chi^2 = \sum_{i=1}^{n} \frac{\left(y_{fit} - y_t\right)^2}{n_o},$$
 [11]

where y_{fit} is the estimated value of the actual data, y_i , and n_o is the number of degrees of freedom.

The third statistical test used to determine the validity of the models is the Akaike Information Criteria (AIC). Given a set of models, the AIC is a method to select the model which best balances goodness of fit with number of free parameters (36). It is computed *via* Eq. [12]:

$$AIC_c = 2k + n\ln\left(\frac{RSS}{n}\right) + \frac{2k(k+1)}{n-k-1},$$
 [12]

where n is the number of observations, k is the number of parameters, and RSS is the residual sum of squares. Note that Eq. [12] is the form of the AIC that includes a second order correction to account for a small number of observations; this is typically denoted by the subscript 'c' on 'AIC'. In the experimental data presented below there are 25 observations in the DCE time series data and the FXL model has two free parameters, whereas the FXL $_vv_p$, FXR, and SXR models each have three free parameters. The model

returning the lowest AIC_c value is the model that represents the best balance between complexity (i.e., the number of free parameters) and goodness of fit (i.e., lower RSS).

The fourth and final statistical test we employed is the Bayesian Information Criteria (BIC), which is also used to detect the balance between the goodness of fit and the model complexity. AIC_c and BIC measure a model similarly, except that the BIC applies a heavier penalty on the model complexity:

$$BIC = n\ln\left(\frac{RSS}{n}\right) + k\ln\left(n\right).$$
 [13]

Data Analysis

Pre-contrast T_I values, T_{IO} , values were computed by fitting the multi-flip angle data to Eq. [1]. Voxels for which Eq. [1] could not fit the data were set to zero and not included in the analysis. Data from each DCE-MRI study were fit on a voxel-by-voxel basis with Eqs. [5], [6], and [9] to yield estimates of K^{trans} (all models), v_e (all models), v_p (FXL_ v_p model only), and τ_i (FXR and SXR only). The fitting routine employs a standard gradient-expansion, nonlinear, least-square, curve-fitting algorithm written in the Interactive Data Language (RSI, Boulder, CO).

Implicit in this analysis is the requirement for measuring or estimating the AIF. We have proposed a simple and efficient method (37) to obtain the AIF, through tracking an initial seed point placed within the axillary artery. Using this method, we obtain the AIF for each individual patient. Voxels for which the fitting algorithm did not converge, or converged to unphysical values (e.g., $K^{trans} > 5.0 \, \text{min}^{-1}$, $v_e > 1$, $v_p > 1$, $v_i > 3.0 \, \text{s}$ or any parameter below zero) were set equal to zero. Along with the parameter estimates, values for D-W, χ^2 , AIC_c, and BIC statistics were also saved for each voxel. Voxels were defined as "enhancing" if the averaged post-contrast signal intensities increased by 50% over the average signal intensity pre-contrast time points.

Results

Figure 1 shows an example of the model fit to the experimental data for one enhancing tumor pixel. For this data, the mean absolute differences between the experimental data and the fit data returned by FXL, FXL with v_p , FXR, and SXR are 0.0044, 0.0022, 0.0019, and 0.0034, respectively. (Please note that the "waviness" in the fit curve is due to the noise present in the individually measured AIF; that is, a smoothed AIF would result in a smoothed fit.)

Figure 2 shows an example of K^{trans} parametric maps returned by the four models; from left to right the maps were obtained from the FXL, FXL_ v_p , FXR, and SXR, respectively. The AIF obtained from this patient by our method (37) is also shown in the figure. Observe how the SXR model cannot estimate the K^{trans} values for most of the tumor voxels; the SXR model could converge on only 35% \pm 15% of the enhancing tumor voxels, while the FXL, FXL_ v_p , and FXR models can converge on 74% \pm 17%, 56% \pm 16%, and 72% \pm 16% of the enhancing voxels, respectively. As we need to compare all models involved for each voxel, if we examine the voxels only for which the SXR returns an accurate fit, this greatly reduces the number of data points available for comparison. For this reason, we did not continue the analysis with the SXR model; and hereafter we focus on the remaining three models. We return to this point in the Discussion section.

Figure 3 shows an example in which the parametric maps of K^{trans} , v_e , D-W, χ^2 , AIC_c, and BIC are superimposed on a post-contrast, central slice through the tumor of one patient. The maps were obtained from fitting the signal intensity time courses by the FXL (left column), FXL_ v_p (middle column), and FXR (right column), respectively. For this specific case, the FXL led to the smallest mean D-W value. The χ^2 , AICc, and BIC all favor the FXR analysis in approximately 92% of the enhancing voxels.

Figure 4 displays the box and whisker plots of K^{trans} values obtained by the FXL, FXL_ v_p , and FXR models for each data set. The figure shows a clear trend that FXL_ v_p leads to the smallest median K^{trans} values while the FXR model results in the largest median K^{trans} values in approximately 90% of the data sets. This phenomenon is consistent with the physical assumptions of the FXL_ v_p model since it includes a term for the vascular volume, which results in reduced vessel perfusion and permeability values. Similarly, the v_e values obtained by the three models for each data set are displayed in Figure 5. This figure shows the FXR led to the largest median v_e values in all data sets and FXL returned the smallest median v_e values in 75% data sets. These results match those reported elsewhere in the literature (24-26).

The percentage of voxels with serial correlation is presented in Figure 6. The FXL_ v_p and FXR models result in 0.71% and 2.33% voxels with serial correlation respectively, indicating a substantially superior description of the time courses relative to the FXL model, which displayed serial correlation in 17.64% of the voxels. Figure 7 shows the percentage of voxel numbers with smallest χ^2 , AICc, and BIC for each model with 95% confidence intervals. The FXR model displays the smallest χ^2 , AICc, and BIC in the majority voxels (47.53%, 46.59%, and 45.25%, respectively). Note that the 95% confidence intervals of the FXL_vp and FXR overlap for χ^2 , AICc, and BIC, while the 95% confidence intervals of the FXL and the other two models do not overlap.

The average goodness of fit, over all patient sets, is reported in Table 1. The results show that the FXL with v_p model has the smallest mean χ^2 of 4.15×10^{-5} , while the FXR and FXL models has mean χ^2 values of 4.36×10^{-5} and 5.22×10^{-5} , respectively. The average signal-to-noise ratio (SNR) for the tumor ROI from the central slice is 14.0 ± 6.5 ; as these are SENSE accelerated scans, the SNR was computed as the mean of two pre-contrast scans multiplied by $\sqrt{2}$ and divided by the standard deviation of the difference between those two scans (38). Table 1 also summarizes the other statistical assessment of the three models. The paired t-test was applied to each statistical metric to determine if there was a significant difference between models as quantified by the different statistical measures. The D-W statistic indicated that there was a significant difference ($p < 10^{-6}$) between the FXL and all the other models. The FXL led to the smallest mean D-W value, indicating the FXL model is prone to positive serial correlation. The AIC_c and BIC show that the best balance between goodness of fit and complexity (-263.59 ± 14.69 and -259.93 ± 14.69 , respectively) can be obtained by the FXL_ v_p model. All the p values between the FXL and FXL_ v_p , and between the FXL and FXR, are less than 0.005 in all statistical metrics, while there is no significant difference between the FXL $_{\nu_{D}}$ and FXR models according to the AIC and BIC metrics. These model differences can lead to differences in the actual pharmacokinetic parameter values. Table 2 summarizes the mean parameter values for all tumor voxels of each data set. Consistent with Figures 4 and 5, the FXL_{p} model led to the smallest mean K^{trans} values and the FXR led to the largest mean K^{trans} and mean v_e in all data sets. Furthermore, the p values show significant differences in K^{trans} and v_e values among the three models (p < 0.005). The mean v_p returned by the FXL with v_p model is 0.033 ± 0.033 and the mean τ_i returned by the FXR model is 0.37 ± 0.17 seconds.

Table 3 displays the mean CC for all data sets. The results show that the correlation between K^{trans} returned by the FXL and FXL with v_p models is the strongest (CC = 0.89), while the correlation between K^{trans} returned by the FXL with v_p model and the FXR model is the weakest (CC = 0.51). The correlations between v_e returned by different models are similar (from 0.51 to 0.58).

Discussion

The physiological parameters K^{trans} and v_e are measured in practice to both diagnose and assess treatment response in breast cancer (4-9,39), but their values estimated by DCE-MRI analysis are often strongly influenced by which model is selected. We have attempted to offer evidence that the FXL model with a plasma component (Eq. [6]) and the FXR model (Eq. [9]) are both statistically superior to the FXL model (Eq. [5]) in the analysis of human breast cancer DCE time courses. Furthermore, the three models return statistically significantly different K^{trans} and v_e values. While the FXR model has been argued on physical and physiological grounds (23,24), the question of which model is statistically superior in human breast cancer has not been previously established. Experiments in this study show that the Durbin-Watson, Chi-square, AIC, and BIC all favor the use of either the FXL with the v_p component (FXL_ v_p) or the FXR approach for the patient group used in this study.

Unfortunately, for our data sets, the SXR model was unable to converge on most of the enhancing tumor voxels. One possible reason is that this model calculates both T_{IL} and T_{IS} in Eq. [7] - [9], making the fitting procedure more complicated. This severely limited our ability to compare this model to the others. It could be that the limited signal-to-noise ratio available in our breast DCE-MRI acquisitions (where we have tried to balance spatial and temporal resolution requirements) is not sufficient to allow for analysis with this model. Future studies will investigate this point.

A natural extension to the FXR, for which there is physiological motivation, is to add a blood volume component. Unfortunately, adding a blood compartment and still accounting for water exchange between all the relevant compartments (intravascular, extravascular-extracellular, and extravascular-intracellular) yields a model that is currently difficult to employ in practical situations. More specifically, adding a vascular term to Eq. [9] and still accounting for the effects of water exchange requires a three site (rather than just two sites) model which has (at least) five free parameters (40) and is currently unsuitable for voxel level analysis. Indeed, this model has been studied in simulations (40); and perhaps more extensive studies are required to determine which combinations of parameters can be reliably assessed with a given model. Adding a vascular term to FXL (Eq. [6]) is straightforward and several investigators have done so and applied this model (see, e.g., (41-43)) *in vivo*. Li *et al* (40) have recently shown that when there is sufficient contrast agent extravasation from plasma to interstitium, such as in some tumors, exclusion of a plasma term is an acceptable assumption. But, when contrast extravasation is minimal, such as when *K*^{trans} < 0.01 min⁻¹, exclusion of the plasma term may cause significant errors.

As reported in Table 2, the FXR model results in higher mean K^{trans} and v_e (0.35 min⁻¹ and 0.55, respectively), while the FXL and FXL_ v_p lead to the mean K^{trans} of 0.16 min⁻¹ and 0.12 min⁻¹ and v_e of 0.33 and 0.35, respectively. The results are reasonable, though a bit elevated, compared with other studies (44-46). For instance, the work of Li *et al.* (44) reported that the mean K^{trans} and v_e (obtained from a FXL analysis) at baseline in breast cancer were 0.33 min⁻¹ and 0.44, respectively. In the effort of Li *et al.* (45), the mean K^{trans} and v_e (obtained from the FXR model) were approximately 0.15 min⁻¹ and 0.6, respectively, for breast cancer. Moreover, the maximum v_e reported in (45) was up to 0.8. The study of

Miller et al. (46) also showed that the median K^{trans} of baseline for the patients with metastatic breast cancer ranged from 0.65 min⁻¹ to 1.7 min⁻¹. One possible reason for the higher values in K^{trans} and v_e is the limitation of the models for tumors with the extreme spatial heterogeneity. For example, in regions that are poorly perfused the contrast agent will accumulate and wash out slowly, which can lead to large values in v_c . For example, Jansen et al. (47) found that the contrast agent could accumulate within the milk ducts filled with ductal carcinoma in situ. Under this situation, the models investigated in this study will not be able to accurately estimate the extravascular extracellular volume. Another source of possible error could be in the measured AIF. The inaccuracy in the AIF could cause the propagation of errors in the estimated parameters. The temporal resolution of 16 seconds used in this study is not optimal for AIF characterization (although it's reasonable as it represents a compromise between high temporal resolution and large spatial coverage), and it may miss the peak of AIF and therefore cause larger values of parameters, particularly K^{trans}. Also, direct measurements from the artery are likely to underestimate the peak amplitude of the AIF due to T_2 * and exchange effects. Those factors affect the accuracy of the AIF, and consequently, affect the measurements of the pharmacokinetic parameters.

Use of the FXL with a plasma fraction and the FXR model resulted in a substantial reduction in percentage of voxels showing positive serial correlation of residuals: 17.64%, 0.71%, and 2.33% for the FXL, FXL $_{\nu}$, and FXR models, respectively. In 47.53% of voxels, the χ^2 indicated that the FXR model was superior, and in 46.59% and 45.25% of voxels, the AIC_c and BIC also indicated that the FXR model was superior. This translated into significant differences in the values of K^{trans} and v_e that were extracted in the voxel-byvoxel analyses, and underscores the fact that different models can yield different pharmacokinetic parameter values. It is therefore of great importance to select the appropriate model to analyze the DCE-MRI time courses so that the most accurate parameter estimates are obtained. It is plausible that inappropriate model selection can lead to inaccuracy in, for example, predicting treatment response. It was the overall goal of this study to provide a reasonable rationale for model selection. While the results presented do not provide a physical or physiological basis for selecting a particular model, they do provide an objective statistical basis for selecting a particular model. In general, the applicability of each model, as well as other models, will depend on the physiology, anatomy, and heterogeneity of the cancer and surrounding tissues. The patients selected in this study have clinical stage II/III invasive mammary carcinoma and are at sufficient risk of recurrence based on pre-treatment clinical parameters of size, grade, age and nodal status. For this group of patients, the FXL_vp and FXR models show significant advantages. However, early non-invasive cancers (e.g., ductual carcinoma in situ) may have less blood volume (lower v_p values) compared to the locally advanced breast cancer. Cell size and tumor heterogeneity also have an influence on parameters estimated by different models. It is difficult to know, a priori, the underlying physiological characteristics of a given voxel of breast tissue, so it is difficult to select which model is most realistic. In this case, a statistical assessment of model fitting is not only a reasonable way to proceed, it is also practical because it provides a rigorous reason for selecting a given model over another. Furthermore, the statistical results can reflect some of the underlying physiological properties of a given breast tumor. For example, in cases where the $FXL_{-}v_{p}$ model is selected by the statistical measures as the most accurate, we can infer that those voxels have a significant plasma component (i.e., $v_p > \sim 0.03$), whereas in those situations where the FXR model is selected we can infer that the difference in concentration of CA between the extravascular extracellular space and the extravascular intracellular space must be great enough to drive the system out of the fast exchange limit.

The ultimate test for these models is their ability to answer important clinical questions, such as treatment effects during longitudinal studies of patients undergoing neoadjuvant

chemotherapy, or the ability to distinguish malignant breast tumors from benign lesions. Li *et al.* (29) have performed preliminary analyses on benign and malignant breast diseases. We have an ongoing study testing the abilities of parameters returned by different models to predict the response of breast tumors to neoadjuvant chemotherapy (48).

In conclusion, the results of the four statistical metrics employed in this study indicate that, for the group of patients selected for this study, the FXL with a plasma component and the FXR model have significant advantages over the FXL and SXR models. The methods outlined here also provide a statistical mechanism for selecting and assessing other DCE models. Moreover, our results highlight the possibility that in heterogeneous tissues, the most appropriate models may vary between voxels.

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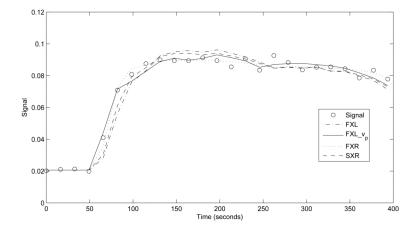


Figure 1. An example of the plots of the fit and experimental data. Please Note that the "waviness" in the fit curve is due to the noise in the individually measured AIF; when the AIF is smoothed, the waviness is eliminated.

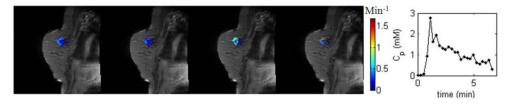


Figure 2. This figure shows an example of the K^{trans} values returned by the four models; from left to righ, the maps are given by FXL, FXL_ v_p , FXR, and SXR models. The AIF obtained from this patient (by our previously proposed method) is also shown on the right. It is clear that model selection can greatly affect the parameter values that are returned, and this is why it is necessary to develop a method to select which model is most appropriate.

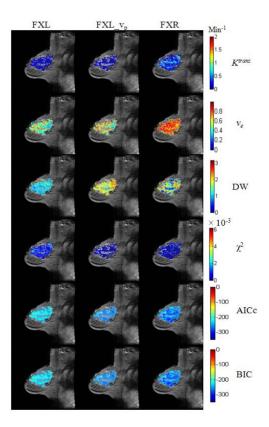


Figure 3. This figure shows an example of the K^{trans} , v_e , D-W, χ^2 , AICc, and BIC parametric maps superimposed on the central tumor slice of one patient. These maps were obtained by FXL (left column), FXL_ v_p (middle column), and FXR (right column), respectively. In the majority voxels displaying contrast enhancement, the χ^2 , AICc, and BIC all prefer the FXL_ v_p and FXR analyses.

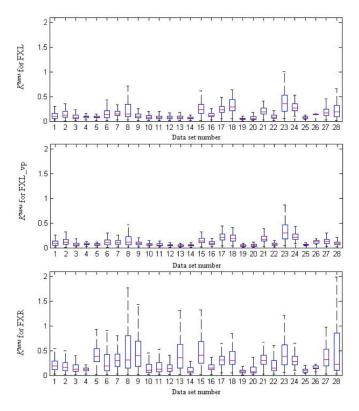


Figure 4. The figure displays the box and whisker plots of K^{trans} returned by the FXL, FXL_ v_p , and FXR model, respectively, for all 28 data sets. The outliers are omitted to keep the figure concise.

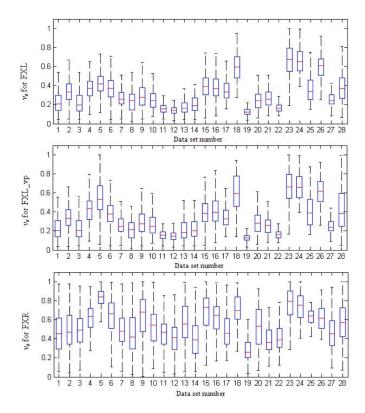


Figure 5. The figure displays the box and whisker plots of ve returned by the FXL, FXL_vp, and FXR model, respectively, for all 28 data sets. The outliers are omitted to keep the figure concise.

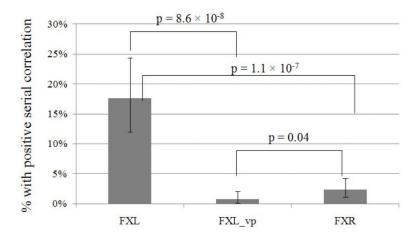


Figure 6. The percentage of voxel numbers with serial correlation for all tumor voxels is presented. FXL_ v_p and FXR result in 0.71% and 2.33% voxels with serial correlation respectively, indicating substantially superior to FXL which led to 17.64% voxels with serial correlation. The D-W statistic results were significantly different (p < 10^{-6}) between the FXL and the other models.

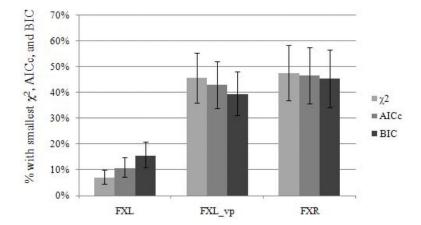


Figure 7. The percentages of all tumor voxels with the smallest χ^2 , AICc, and BIC for each model with 95% confidence intervals are shown. The FXR leads to the majority voxels with smallest statistical measures, indicating the best goodness of fit and balance between the goodness of fit and complexity. See the p values in Table 1.

Table 1

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Summary of statistical measures of three models.

		D-W			χ^2			AICc			BIC	
Data set	FXL	$\mathrm{FXL}_{-^{ u}p}$	FXR	FXL (×10 ⁻⁵)	$\begin{array}{l} \text{FXL}_{-\nu_p} \\ \text{(\times10$^{-5}$)} \end{array}$	FXR (×10×5)	FXL	$\mathrm{FXL}_{-\nu_p}$	FXR	FXL	$\mathrm{FXL}_{-^{p}p}$	FXR
Mean	1.70	2.04	1.96	5.22	4.15	4.36	-255.96	-263.59	-262.47	-253.52	-259.93	-258.82
Std Dev	0.27	0.24	0.22	2.92	2.85	2.84	13.34	14.69	13.93	13.34	14.69	13.93
p value	$(\mathrm{FXL},\\ \mathrm{FXL}_{-}v_{p})$	$\begin{array}{c} (\text{FXL}_{^{V}p},\\ \text{FXR} \end{array}$	(FXL, FXR)	$(\mathrm{FXL},\\ \mathrm{FXL}_{-}v_{\rho})$	$_{\rm FXL_^{\it V}\!\it P}^{\rm P}$	FXL, FXR)	$(FXL, FXL_{-} \nu_{p})$	$_{\rm FXL_^{\it v_p}}^{\rm (FXL_^{\it v_p}}$	(FXL, FXR	$(\mathrm{FXL},\\ \mathrm{FXL}_{-}v_{p})$	$_{\rm FXL_\it v_p}^{\rm (FXL_\it v_p}$	(FXL, FXR
	8.6×10^{-8}	0.04	1.1×10^{-7}	$3.2\times\!10^{-5}$	0.015	0.002	6.4×10^{-7}	0.27	0.0005	9.7×10^{-6}	0.27	0.003

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Table 2

Summary of parameter values obtained by three models.

		K^{trans} (min ⁻¹)			ve		$^{\mathbf{d}}$	t; (sec)
Data sets	FXL	$\mathrm{FXL}_{-^{\nu}p}$	FXR	FXL	$\mathrm{FXL}_{-^{\nu}p}$	FXR	FXR FXL $_{-}v_{p}$ FXR	FXR
Mean	0.16	0.12	0.35	0.33	0.35	0.55	0.033	0.37
Std Dev	0.10	0.07	0.22	0.15	0.15	0.12	0.033	0.17
p value		$(FXL,FXL_{-}v_{p})$ $(FXL_{-}v_{p},FXR)$	(FXL,FXR)	$(\mathrm{FXL},\mathrm{FXL}\nu_p)$	$(\mathrm{FXL},\mathrm{FXR}) (\mathrm{FXL},\mathrm{FXL}_\nu_{\rho}) (\mathrm{FXL}_\nu_{\rho} \; \mathrm{FXR}) (\mathrm{FXL},\mathrm{FXR})$	(FXL,FXR)		
	5.4×10^{-06}	3.2×10^{-06}	2.7×10^{-05}	0.002	7.5×10^{-14}	0.002	N/A	N/A

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Table 3

he corre	lation coetticio	ent (CC) betwe	en K ^{uam} an	d v_e obtained	he correlation coefficient (CC) between K^{ums} and v_e obtained by three models.	Š
		CC of Ktrans			CC of v _e	
Data sets	$(\text{FXL,FXL}_{-}\nu_{p})$	$(\text{FXL}_{-}\nu_{p}, \text{FXR})$	(FXL,FXR)	$(FXL,FXL_{-}\nu_{p})$	Data sets $(\text{FXL,FXL}_{-\nu_p})$ $(\text{FXL}_{-\nu_p},\text{FXR})$ (FXL,FXR) $(\text{FXL,FXL}_{-\nu_p})$ $(\text{FXL}_{-\nu_p},\text{FXR})$ (FXL,FXR)	(FXL,FXR)
Mean	68:0	0.51	0.72	0.58	0.51	0.58
Std Dev	0.13	0.24	0.17	0.11	0.16	0.12

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