

# Differences in Human Meibum Lipid Composition with Meibomian Gland Dysfunction Using NMR and Principal Component Analysis

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**PURPOSE.** Nuclear magnetic resonance (NMR) spectroscopy has been used to quantify lipid wax, cholesterol ester terpenoid and glyceride composition, saturation, oxidation, and CH<sub>2</sub> and CH<sub>3</sub> moiety distribution. This tool was used to measure changes in human meibum composition with meibomian gland dysfunction (MGD).

**METHODS.** <sup>1</sup>H-NMR spectra of meibum from 39 donors with meibomian gland dysfunction (Md) were compared to meibum from 33 normal donors (Mn).

**RESULTS.** Principal component analysis (PCA) was applied to the CH<sub>2</sub>/CH<sub>3</sub> regions of a set of training NMR spectra of human meibum. PCA discriminated between Mn and Md with an accuracy of 86%. There was a bias toward more accurately predicting normal samples (92%) compared with predicting MGD samples (78%). When the NMR spectra of Md were compared with those of Mn, three statistically significant decreases were observed in the relative amounts of CH<sub>3</sub> moieties at 1.26 ppm, the products of lipid oxidation above 7 ppm, and the =CH moieties at 5.2 ppm associated with terpenoids.

**CONCLUSIONS.** Loss of the terpenoids could be deleterious to meibum since they exhibit a plethora of mostly positive biological functions and could account for the lower level of cholesterol esters observed in Md compared with Mn. All three changes could account for the higher degree of lipid order of Md compared with age-matched Mn. In addition to the power of NMR spectroscopy to detect differences in the composition of meibum, it is promising that NMR can be used as a diagnostic tool. (*Invest Ophthalmol Vis Sci.* 2012;53:337-347) DOI: 10.1167/iovs.11-8551

The correlation between dry eye and an abnormal lipid layer of the tear film has been made by use of interference microscopy<sup>1-5</sup> and other techniques, all reviewed in 2004.<sup>6</sup> Most of the tear lipids are produced by the meibomian gland,

and when the gland is dysfunctional, dry-eye conditions ensue.<sup>7,8</sup> When the meibomian gland in patients with dry eye is expressed, the lipid layer thickness increases, as does tear stability.<sup>9,10</sup> The correlation between the lipid layer and dry eye conditions has also been associated with contact lens wear<sup>11,12</sup> and exposure to substances such as solvent vapors, which cause disruption of the lipid layer.<sup>13</sup> Relationships between meibomian gland dysfunction (MGD) and dry eye symptoms have been reviewed.<sup>14-18</sup>

A lipidomics approach to measuring meibum lipid has been reviewed<sup>19</sup> and has been very successful in identifying and discovering unique lipids. A problem with this approach is that complete quantification is complicated by the great number (thousands) of species present in human meibum.<sup>20</sup> Because each lipid class has a different ionization efficiency, standards are needed for the quantification of each of them. The lipid composition of human meibum varies greatly from study to study.<sup>19</sup> This variation has been attributed to the different analytical methodologies employed and the inherent variability of biological samples.<sup>19</sup> Indeed, the lipid composition ranges from study to study are for wax esters (8% to 39%), for cholesterol esters (8% to 39%), for glycerides (0% to 5%), for phospholipids (0% to 16%), and for hydrocarbons (1% to 38%).<sup>19</sup>

Spectroscopic studies have identified changes that occur with MGD that could be important to the function of the tear film. Infrared spectral studies along with the use of principal component analysis (PCA) enable the quantification of the variance among the lipid spectra which identified and quantified the protein (another biomarker of instability) associated with human meibum.<sup>21,22</sup> The spectra were used to discriminate between meibum from normal donors (Mn) and meibum from donors with MGD (Md) with an accuracy of 93%.<sup>22</sup> More importantly, it shows that certain spectral regions (eigenvectors) contain compositional and structural information about the changes that occur with the principal component (or variable). The spectral features, which in this case is MGD, of the major eigenvector provided three biomarkers showing that meibum from donors with MGD (Md) contains more protein and relatively less methyl groups (CH<sub>3</sub>) and *cis* double bonds (*cis*=CH) compared to meibum from donors with normal meibomian glands. The amount of protein was confirmed from relative infrared band intensities.<sup>22</sup>

Age-related changes in human meibum have also been observed using spectroscopic analysis. Infrared and nuclear magnetic resonance (NMR) and Raman spectroscopies were used to show that meibum from children has fewer double bonds,<sup>21,23</sup> cholesterol esters,<sup>21,24</sup> and protein<sup>22</sup> and more carotenoids,<sup>23,25</sup> and CH<sub>2</sub> groups<sup>21,23</sup> than older normal adults. It has yet to be determined whether these changes are associated with functional changes, such as the rate of evaporation, tear breakup time and meibum spreading.

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TABLE 1. Meibum Donor Grouping and Characteristics

	Average Age (y)	Age Range (y)	Male (%)	Race (%)	Sample (n)
Infant	1.1	<1-2	86	C 57, B 14, H 14, U 14	7
Child	7.6	3-12	57	C 71, U 29	7
Adolescent	20	13-21	86	C 86, U 14	7
Adult	54	32-83	82, U9	C 54, B 9, A 9, U 28	11
MGD	67	29-87	72, U3	C7 7, B 13, H 3, U 7	39

C, Caucasian; B, Black; H, Hispanic; U, unknown.

Two other groups have documented changes in meibum composition that may contribute and be diagnostic of dry eye symptoms. The level of wax and cholesterol ester saturation changed in meibum from donors with meibomian keratoconjunctivitis depending on whether the comparison was made with Mn from a population containing cholesterol esters or not.<sup>26</sup> Triglycerides in Md were more saturated compared to those in Mn,<sup>27</sup> and Md had a lower percentage of monounsaturated (oleic acid).<sup>28</sup> Another group has shown that Md contains a lower percentage of saturation and a higher percentage of branched acyl chains.<sup>29</sup> Md also contains higher levels of phosphatidylcholine<sup>30</sup> and phospholipid unsaturation<sup>31</sup> and lower levels of phosphatidylethanolamine and sphingomyelin<sup>32</sup> compared with Mn. There is still controversy about the actual composition of meibum, especially regarding the polar lipids. Discrepancies may depend on the analytical methods, the sample collection techniques, and contamination.<sup>32-36</sup>

Infrared spectroscopic studies show that in MGD, both the lipid order and corresponding phase transition temperature increase, indicating a stiffer lipid arrangement.<sup>37,38</sup> Stiffer meibum lipid could impede the flow of meibum, resulting in less lipid on the lid margin, which leads to a higher rate of tear evaporation. Furthermore, stiffer meibum lipids are unlikely to spread on the surface of the tear film.<sup>1</sup> We hypothesize that the elevated protein content associated with Md causes meibum to

be more ordered and, on visual inspection, to appear to be more viscous.<sup>22</sup>

Infrared and Raman spectroscopy have been applied to study meibum hydrocarbon chain conformation and have provided limited information regarding meibum composition.<sup>1,21,22,25,37-42</sup> These techniques may be applicable to high-throughput screening, and FTIR has recently been used as a diagnostic tool.<sup>22</sup> The advantage of spectroscopic techniques is that the sample is not destroyed in the process of analysis, and the same sample can be analyzed later by other techniques including mass spectrometry. NMR analysis has been useful in the identification and characterization of new lipids in the human lens<sup>43-48</sup> and in quantifying waxes and the primary structure and composition of cholesterol esters and triglycerides, which are major components of human meibum.<sup>49-59</sup> In this study, we used NMR spectroscopy to quantify CH<sub>3</sub> and CH<sub>2</sub>, =CH, which are moieties and products of lipid oxidation in human meibum with MGD.

## MATERIALS AND METHODS

The following Materials and Methods section is almost identical with that in our prior publications.<sup>23,24</sup>

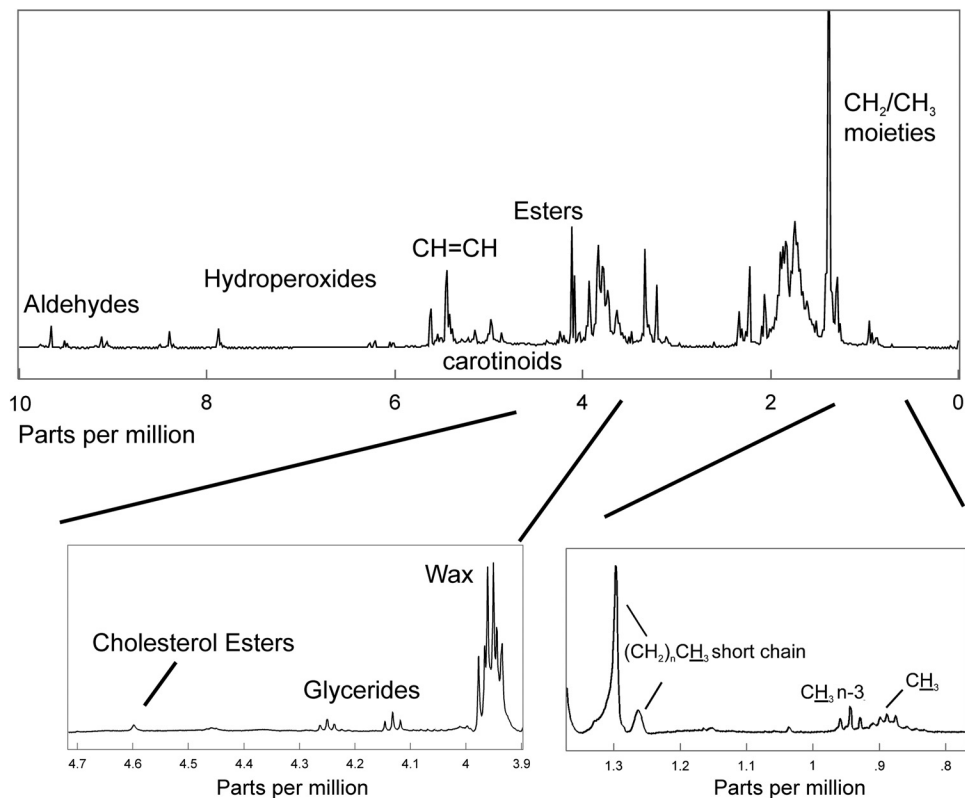


FIGURE 1. The average <sup>1</sup>H NMR spectrum of human meibum from donors with MGD. The <sup>1</sup>H NMR spectra of human meibum may be divided into five regions: The CH<sub>2</sub> and CH<sub>3</sub> region from 0 to 1.4 ppm, the CH and deshielded CH<sub>2</sub> region from 1.4 to 3.9 ppm, the ester region from 3.9 to 4.7, the C=C region from 4.7 to 7 ppm, and the oxidative products region above 7 ppm. The insets at the bottom are presented to show more detail.

TABLE 2. Eigenvector Scores

Eigenvector	Normal Group (Mn)	MGD Group (Md)
1	-0.2 ± 0.5	0.3 ± 0.5
2	-0.1 ± 0.4	0.1 ± 0.4
3	-0.1 ± 0.3	0.1 ± 0.2
4	-0.06 ± 0.2	0.1 ± 0.2
5	-0.03 ± 0.1	0.04 ± 0.1
6	-0.02 ± 0.1	0.02 ± 0.1
7	-0.2 ± 0.1	0.02 ± 0.09

Data are the average eigenvector scores ± SD.

## Materials

Cyclohexane- $d_{12}$ , tetrahydrofuran (THF) and methanol (MeOH) were obtained from Sigma-Aldrich (St. Louis, MO).

## Clinical Diagnosis

Subjects were recruited from the Kentucky Lion's Eye Center and the Robley Rex Veterans Affairs Medical Center in Louisville, KY. Normal status was assigned when the subject's meibomian gland orifices showed no evidence of keratinization or plugging with turbid or thickened secretions and no dilated blood vessels were observed on the eyelid margin.

The diagnosis of MGD was made according to the criteria of Foulks and Bron.<sup>60</sup> Plugging of the meibomian glands of at least 5 of 10 orifices in the central portion of the upper eyelid was required for diagnosis of MGD. The secretion expressed by the meibomian gland had to be turbid, turbid with clumps, or pastelike. Both, inflammation of the eyelid margin, as evidenced by swelling of the eyelid margin, and 2+ vascular injection of the posterior lid margin, were necessary for diagnosis. The presence of telangiectasia of the posterior eyelid margin was confirmatory of chronic disease but not required for entry. Tear film stability was determined by instillation of sodium fluorescein into the tear film. Tear breakup time was <5 seconds for all Md subjects sampled.

## Collection and Processing of Human Meibum

Written informed consent was obtained from all donors. Protocols and procedures were reviewed by the institutional review boards of the University of Louisville and Robley Rex Veterans Affairs. All procedures were in accord with the Declaration of Helsinki. The meibomian gland was expressed by compressing the eyelid between cotton-tipped applicators, with strict attention to avoiding touching the eyelid margin during expression. All four eyelids were expressed, and approximately 1 mg of meibum was collected per individual for direct spectroscopic study. The expressate was collected with a platinum spatula and immediately spread onto the AgCl window and into 0.5 mL of THF/MeOH, 3:1 vol:vol, in a 9-mm microvial with a Teflon cap (Microliter Analytical Supplies., Suwanee, GA). All samples were frozen under argon gas until analysis. Analyses were performed within 3 weeks of collection of the sample. Storage of the sample on AgCl windows for more than 2 months under argon did not affect the sample.<sup>25</sup> Before NMR analysis, the THF/MeOH in the microvial containing meibum lipid (ML) rinsed from the spatula was evaporated with a stream of argon gas.

After infrared analysis and solvent evaporation, the ML was removed from the AgCl window by using a series of solvents with different hydrophobicities to ensure that all lipid classes were extracted from the AgCl window. First, the AgCl window was placed with the ML side down, into a 15-mL glass scintillation vial containing 1 mL of hexane and purged with argon gas. A glass vial, rather than a plastic one, was used in all protocols to avoid plasticizer contamination. The vial was sonicated in an ultrasonic bath (model 1510; Branson Ultrasonics, Danbury, CT) for 10 minutes. The hexane was decanted into the microvial containing the ML rinsed from the spatula. The hexane was evaporated under a stream of nitrogen gas. Methanol (1.5 mL) was then added to the scintillation vial containing the AgCl window and purged with argon gas. The vial was sonicated in an ultrasonic bath (Branson Ultrasonics) for 10 minutes. The methanol was decanted into the microvial containing the ML rinsed from the spatula and was evaporated under a stream of nitrogen gas. THF/MeOH (1.5 mL) was added to the scintillation vial containing the AgCl window and purged with argon gas. The vial was sonicated in an ultrasonic bath (Branson Ultrasonics) for 10 minutes. The microvial containing

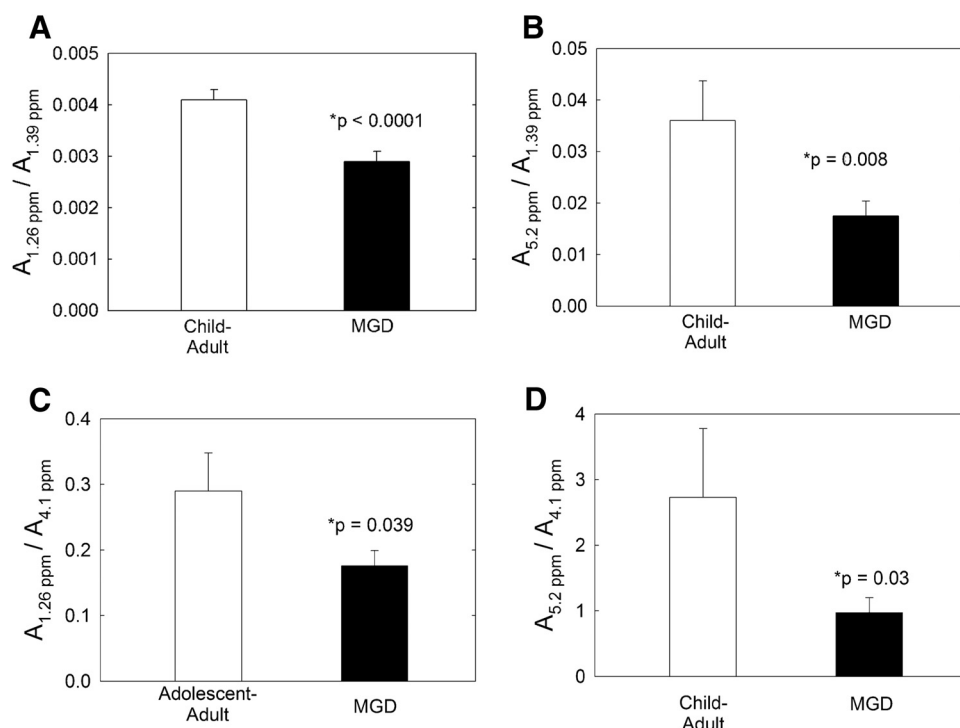


FIGURE 2. Relative area ratios used to compare Mn with Md. The assignment for the proton resonance at 1.26 ppm is uncertain and has been assigned to methylene protons associated with short-chain esters. The area of this resonance was divided by the area of the  $\text{CH}_2$  resonance in (A) and the area of the wax ester resonance in (C). The resonance at 5.2 ppm has been assigned to terpenoid protons in the  $\text{=CH-}$  moiety. The area of this resonance was divided by the area of the  $\text{CH}_2$  resonance in (B) and the area of the wax ester resonance in (D).

the extracted meibum lipid was lyophilized for 12 hours to remove trace amounts of organic solvents. Finally, deuterated cyclohexane (0.5 mL) was added to the sample and sonicated (Branson Ultrasonics) for 10 minutes in a bath sonicator. The solution was transferred to glass NMR tubes (Sigma-Aldrich), and the NMR spectra were collected.

### NMR Spectral Measurement

Spectral data were acquired (Inova-500 spectrometer; Varian, Lexington, MA). The following parameters were used: 800 scans were acquired with a spectral width of 15 ppm, 60° pulse, 4-K data points, 1.0-second delay time, and 2.049-second acquisition time at 25°C. A computer running commercial software (GRAMS 386; Galactic Industries Corp., Salem, NH) was used for phasing and integrating.

PCA was used to detect subtle differences in the spectral data and to assess whether or not spectra of meibum from normal donors (Mn) differ from those of donors with MGD (Md; analysis performed with GRAMS/386 software; Galactic Industries). For each spectrum, two constituents were used: age and MGD/normal score. The training set was set up by assigning Mn spectra an MGD/normal score of 0 and Md spectra an MGD/normal score of 100.

### Statistics

Data are presented as the average  $\pm$  the standard error of the mean (SEM). Statistical significance was determined using Student's *t*-test or the correlation coefficient from the linear regression best fit.  $P < 0.05$  was considered statistically significant.

## RESULTS

NMR spectra of Md from 39 donors were compared with Mn spectra from 33 normal donors. The spectra were grouped by age and MGD according to Table 1.

An average of the  $^1\text{H}$  NMR spectra of human meibum is shown in Figure 1. The  $^1\text{H}$  NMR spectra of human meibum may be divided into five regions: the  $\text{CH}_2$  and  $\text{CH}_3$  region from 0 to 1.4 ppm, the CH and deshielded  $\text{CH}_2$  region from 1.4 to 3.9 ppm, the ester region from 3.9 to 4.7, the  $\text{C}=\text{C}$  region from 4.7 to 7 ppm, and the oxidative products region above 7 ppm. Tentative band assignments were made from an  $^1\text{H}$  and  $^{13}\text{C}$  NMR study of waxes and triacylglycerols, oils, and waxes<sup>49–59</sup> (Table 2).

### The $\text{CH}_2$ and $\text{CH}_3$ Region

The  $\text{CH}_2$  and  $\text{CH}_3$   $^1\text{H}$  NMR resonance region of an average NMR spectrum of human meibum can be seen at the bottom of Figure 1. Five major proton moieties were resolved in the NMR spectra of human meibum (Fig. 1, bottom right). The most intense resonance corresponded to the  $-(\text{CH}_2)_n-$  protons at 1.39 ppm (Fig. 1, bottom right). The resonances near 0.89 ppm were assigned to  $\text{CH}_3$  protons, excluding methylene moieties near omega 3 double bonds (Fig. 1, bottom right). A triplet centered near 0.94 ppm was assigned to methylene moieties near omega 3 double bonds (Fig. 1, bottom right). A less intense proton resonance was observed near 1.03 ppm (Fig. 1) that was assigned tentatively to  $-\text{CH}_2\text{OHCH}_2-\text{CH}_3$  and  $-\text{CH}_2-\text{CH}-(\text{CH}_3)_2$ , isobranched protons (Table 2). The assignments for the proton resonances near 1.29 and 1.26 ppm are less certain and have been assigned tentatively to methylene protons associated with short-chain esters (Fig. 1; Table 2), as the proximity of the ester linkage would lead to greater deshielding. Two proton resonances near 0.72 and 0.09 ppm appear in all the NMR spectra of human meibum and are relatively less intense, only a thousandth of the intensity of the 1.39  $\text{CH}_2$  resonance (Table 2, resonance not shown). No proton assignments were made for these resonances.

In comparing the  $\text{CH}_2/\text{CH}_3$  regions of Mn with Md, only the area of the 1.26 ppm was significantly lower ( $P < 0.05$ ) for Md compared with Mn from children and adults (Figs. 2A, 2C). The relative areas of all the other resonances in the region were similar ( $P > 0.05$ ; Table 2). The area of the resonance at 1.26 ppm was divided by the area of the resonance at 1.39 ppm assigned to  $\text{CH}_2$  protons and also was divided by the resonance at 4.1 ppm assigned to the wax ester proton (Fig. 3). By comparing the area of the resonance at 1.26 ppm to the area of two other resonances, we can be assured that the differences observed in Figures 2A and 2C were due to a change in the area of the 1.26-ppm resonance and not to a change in the areas of the 1.39- or 4.1-ppm resonances. The relative area ratios of the 0.94 to 0.84 ppm resonances did not change with MGD ( $0.17 \pm 0.02$ ,  $0.18 \pm 0.02$  for Mn and Md, respectively), nor did the ratio of the area of the 1.03-ppm resonance to the area of the 0.84-ppm resonance change with MGD ( $0.059 \pm 0.005$  and  $0.051 \pm 0.004$  for Mn and Md, respectively).

**PCA of the  $\text{CH}_2/\text{CH}_3$  region of NMR Spectra.** As further evidence of the relative intensity changes observed in Figure 2, PCA was used to analyze variations within a group of spectra denoted as the training set. PCA finds the variations, in this case the spectral regions, which appear to rise or drop proportionally. These regions are extracted and are referred to as eigenvectors. Eigenvectors are also called loading spectra or factors and, again, they represent components that change their relative contributions from sample to sample. The first step in obtaining eigenvectors from a training set of data is to determine the number of eigenvectors to use. Too many eigenvectors tend to overfit the calibration model, whereas too few eigenvectors result in underfit calibration models and lead to

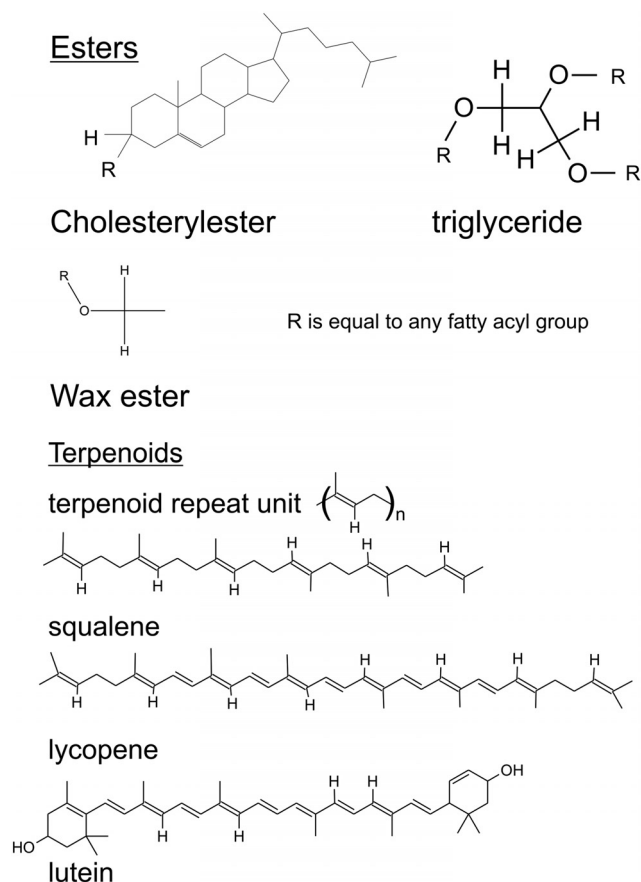
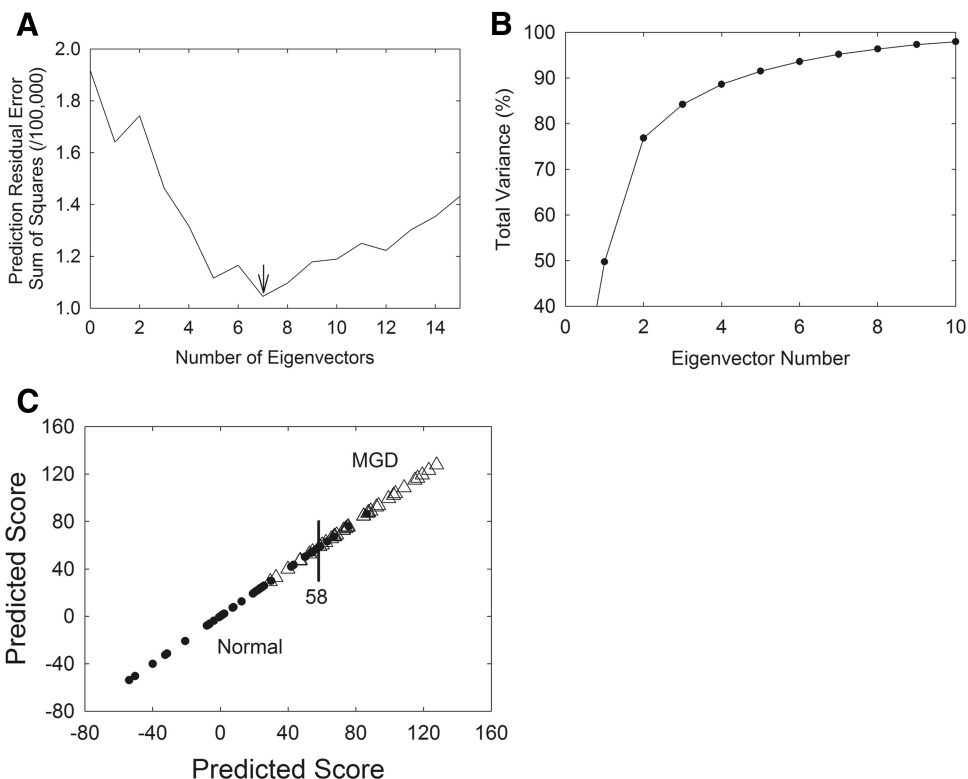


FIGURE 3. Protons potentially associated with resonances observed in the  $^1\text{H}$  NMR spectra of human meibum.



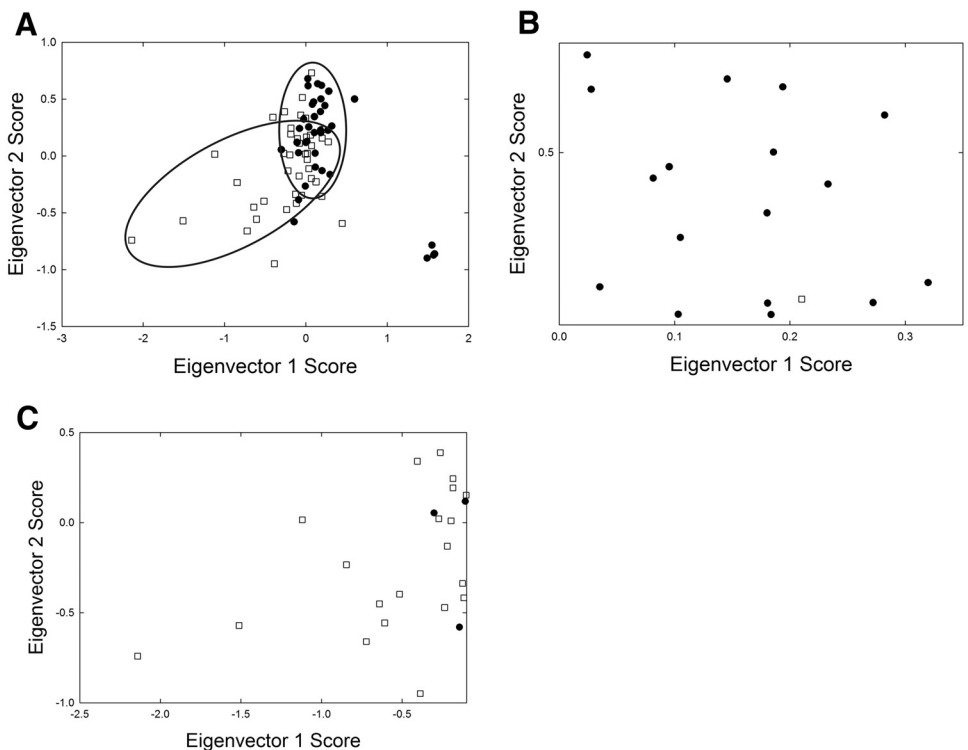


**FIGURE 4.** (A) A PRESS plot was used to choose the proper number of eigenvectors for PCA analysis. The smaller the PRESS value, the better the model is able to predict the contributions of the calibrated constituent MGD/normal scores. (B) The contribution of each eigenvector can be evaluated by plotting the eigenvector number versus the percentage of total variance. (C) (●) Mn. (△) Md. The training set used in PCA discriminated between Mn and Md, with an accuracy of 86%. A score below 58 was considered normal and above 58 MGD.

inaccurate predictions. To choose the proper number of eigenvectors, we used a prediction residual error sum of the squares (PRESS) plot. The smaller the PRESS value, the better the model is able to predict the contributions of the calibrated constituent MGD/normal scores (Fig. 4A). Seven eigenvectors were necessary to describe most of the variance in our NMR spectra for the constituent MGD/normal score.

The contribution of each eigenvector can be evaluated by plotting the eigenvector number versus the percentage of total variance. In Figure 3B, eigenvectors 1 to 7 accounted for 50%, 27%, 7%, 4%, 3%, 2%, and 2% of the variance in the MGD/normal constituent scores.

**Spectral Discrimination.** The group of spectra used to generate eigenvectors is called a training set. The training

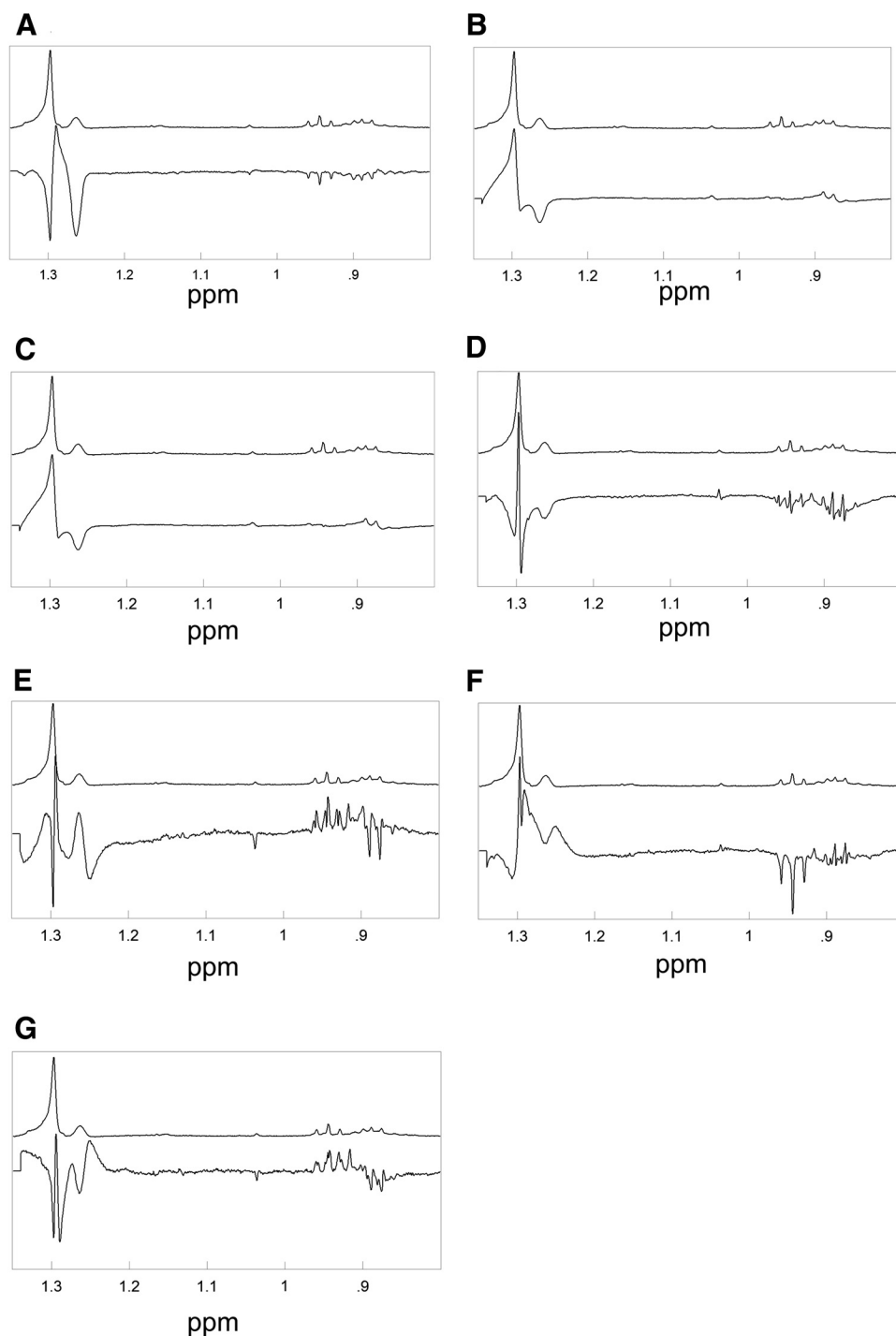


**FIGURE 5.** The scores for an eigenvector plotted against the scores of another eigenvector were used to determine which eigenvectors were the most important modeling components. (A) Eigenvectors 1 and 2 grouped the Mn and Md spectra into two classic elliptical Mahalanobis boundaries. (B) A negative score for eigenvectors 1 and 2 favored Mn. (C) A positive score favored Md. (●) Md, (□) Mn.

sets may eventually be used to model how the eigenvectors relate to a component, such as age, or in this study, disease. The training set we chose was used to discriminate between Mn and Md, with an accuracy of 86% (Fig. 4C). There was a bias toward more accurately predicting normal samples, 92% compared to predicting MGD samples, 78%. Cross validation was used for each spectrum, removing a spectrum from the training set and using the remaining training set samples to perform the decomposition and calibration calculations. Because we are able to discriminate between Mn and Md, the eigenvectors must contain spectral features that relate to compositional and conformational changes that occur with MGD, as discussed in the following sections.

**Scores and Mahalanobis Boundary Groupings.** A mathematically reconstructed spectrum can be obtained by adding all the products of each score associated with an eigenvector times the eigenvector. If the PCA model is good, that is if the spectral variations are caused by the principal component (in this case MGD), the reconstructed spectrum will be very similar to the actual original spectrum. The scores for an eigenvector plotted against the scores of another eigenvector were used to determine which eigenvectors were the most important modeling components.

Eigenvectors 1 and 2 grouped the Mn and Md spectra into two classic elliptical Mahalanobis boundaries (Fig. 5A).<sup>61</sup> In general, a negative score for eigenvectors 1 and 2 favored Mn (Fig. 5C) whereas a positive score favored Md (Fig. 5B). Eigen-



**FIGURE 6.** The major eigenvector spectra for the constituent MGD/normal score, used in PCA are shown: (A) eigenvector 1, (B) eigenvector 2, (C) eigenvector 3, (D) eigenvector 4, (E) eigenvector 5, (F) eigenvector 6, (G) eigenvector 7. The average spectra of MGD are presented on top in each frame for comparison.

TABLE 3. Sign of Eigenvector Band Intensity Change

Eigenvector	1.29 ppm	1.26 ppm	0.94 ppm	0.85 ppm
1	–	–	–	–
2	+	–	NC	+
3	–	+	+	–
4	Shift	–	–	–
5	Shift	Shift	–	Shift
6	Shift	Shift	–	Shift
7	Narrow width	Shift	+	Shift

NC, no change.

vectors 1 and 3 grouped the Mn and Md spectra into three classic elliptical Mahalanobis boundaries (data not shown). In general, a positive score for eigenvectors 1 and 3 favored Md (Table 2), whereas a negative score favored Mn (Table 2). The average eigenvector scores for Mn and Md are presented in Table 2. Positive 2 and 3 scores generally favored Md (Fig. 5A), and negative 2 and 3 scores generally favored Mn.

**Eigenvector Spectra.** The seven major eigenvector spectra for the constituent MGD/normal score, are shown in Figure 6. The eigenvectors 1 to 4 accounted for 88% of the variance in the spectra of Md and Mn (Fig. 4B). Some of the spectral characteristics of the eigenvectors are listed in Table 3.

Positive scores for eigenvectors 1 and 2 favored Md compared with Mn (Fig. 5B). This result means that eigenvectors 1 and 2 were added to the average spectrum to account for the variance of Md. The 1.26-ppm resonance was negative for both eigenvectors 1 and 2 (Table 2) so a lower intensity of the 1.26 resonance of Md was used to discriminate Md from Mn. This finding was confirmed by the relative intensities of the 1.26 ppm resonance (Figs. 2A, 2C).

Eigenvectors 4 through 7 generally account for variability due to shielding and deshielding in the chemical shifts and band width adjustments, rather than intensity differences (Table 3). For example, note in Figure 6E how the eigenvector shows a positive intensity for the 1.26-ppm resonance at higher parts per million and a negative intensity for the 1.26 ppm resonance at slightly

lower parts per million. Adding or subtracting this eigenvector would shift the intensity of the 1.26-ppm band.

### Double Bond Region

Proton resonances associated with C=C bonds were located between 4.8 and 6 ppm (Fig. 1). One of the most intense proton resonances in the <sup>1</sup>H NMR spectra of human meibum appeared at 5.45 ppm and was assigned to *cis* C=C bonds (Fig. 1, Table 4). Three proton resonances associated with other double bonds appeared near 5.2, 5.0, and 4.87 ppm (Fig. 1, Table 4). The resonances near 5 ppm in the <sup>1</sup>H NMR spectrum of human meibum have been assigned to squalene by Robosky et al.<sup>49</sup> by comparison of the NMR spectrum of meibum and pure squalene. Others have identified this resonance as the terpenoid squalene in other systems<sup>62–64</sup> and as a general terpenoid in olive oil.<sup>65</sup> As covered in more detail in the Discussion section, it is likely that the bands near 5 are due to the proton (bold underlined) *trans* to the methyl group in the repeating unit: *trans* –(CH<sub>3</sub>C=CHCH<sub>2</sub>CH<sub>2</sub>)<sub>n</sub>– (Fig. 3). This polymer is found in terpenoids in which squalene and the carotenoids lycopene and β carotene are members (Fig. 3). The four resonances due to =CH protons between 4.8 and 5.5 ppm were lower, but only the area of the 5.2 ppm resonance was significantly lower (*P* < 0.05) for Md compared with Mn from children and adults (Figs. 2B, 2D; Table 5). The relative area of the resonance at 5.2 ppm was measured using the area of the resonances at 1.39 and 4.1, to assure that the differences observed in Figures 2B and 2D were not an anomaly.

### Oxidative Products Region

Products of lipid oxidation appear from 5.5 to 10 ppm.<sup>66,67</sup> Four proton resonances were observed at 7.9, 8.7, 9.65, and 9.71 ppm in all the human meibum <sup>1</sup>H NMR spectra (Fig. 1; Table 5). The resonances between 6 and 9 ppm were assigned to hydroperoxides and those between 9 and 10 were assigned to aldehydes in accordance with the assignments for oxidized waxes.<sup>66,67</sup>

The sum of the intensity of the resonances in the oxidative products region was significantly lower (*P* < 0.05) for Md

TABLE 4. Integrated Band Areas Relative to the Area of the 1.39 CH<sub>2</sub> Resonance

Chemical Shifts (ppm)	Normal Child-Adult Unless Indicated	Meibomian Gland Dysfunction	<i>P</i>	Tentative Assignment†
0.72	0.00151 ± 0.00022	0.00187 ± 0.00025	0.33	No Assignment
0.89	0.0228 ± 0.0033 (adolescent-adult)	0.026 ± 0.003	0.43	–CH <sub>3</sub> , except n-3
0.94	0.0043 ± 0.0013	0.0038 ± 0.0004	0.78	–CH <sub>3</sub> , n-3
1.03	0.0014 ± 0.0002	0.0019 ± 0.0003	0.21	–CH <sub>2</sub> OHCH <sub>2</sub> CH <sub>3</sub> –CH <sub>2</sub> –CH–(CH <sub>3</sub> ) <sub>2</sub> , isobranched
1.26	0.0041 ± 0.0002	0.0029 ± 0.0002	>0.0001*	–COO(CH <sub>2</sub> ) <sub>n</sub> CH <sub>3</sub> , short chain esters
1.29	0.058 ± 0.009	0.062 ± 0.007	0.72	–COO(CH <sub>2</sub> ) <sub>n</sub> CH <sub>3</sub> , short chain esters
1.39	1	1	1	–CH <sub>2</sub> ) <sub>n</sub> –, core,
1.70	0.43 ± 0.043	0.52 ± 0.005	0.17	R–CH <sub>2</sub> –CH <sub>2</sub> –COO–R
4.10	0.026 ± 0.0034	0.025 ± 0.003	0.83	ROCH <sub>2</sub> -alkyl, wax ester
4.18	0.0031 ± 0.0005	0.003 ± 0.001	1	CHCH <sub>2</sub> OR; C1, 3 protons, glyceryl. 4 H per molecule
4.25	0.0036 ± 0.0004	0.0033 ± 0.0003	0.55	C1, 3 protons, glyceryl
4.60	0.0047 ± 0.0008	0.0036 ± 0.0007	0.31	R–O–CH, C3 of cholesterol ester
4.85	0.014 ± 0.0044 (adolescent-adult)	0.0121 ± 0.0038	0.76	=CH–, terpenoid
5.00	0.050 ± 0.0097 (adolescent-adult)	0.032 ± 0.0047	0.063	=CH–, terpenoid
5.20	0.036 ± 0.0077 (adolescent-adult)	0.0175 ± 0.0029	0.008*	=CH–, terpenoid
5.45	0.206 ± 0.013	0.212 ± 0.016	0.79	–CH=CH–
7.90	0.0088 ± 0.0013	0.0066 ± 0.0004	0.024*	Hydroperoxides
8.70	0.108 ± 0.012	0.024 ± 0.006	>0.001*	Hydroperoxides
9.65	0.002 ± 0.0008	0.0053 ± 0.0036	0.6	<i>trans</i> -2-Alkenals
9.71	0.00026 ± 0.00004	0.00043 ± 0.00007	0.96	R–CH=O, <i>n</i> -alkenals

\* Significantly different, *P* < 0.05.

† The bold underlined proton is the proton for which the tentative assignment was made.

TABLE 5. Relative Band Areas

Chemical Shifts (ppm)	Normal		MGD		Tentative Assignment†
	A/A <sub>1.39ppm</sub>	A/A <sub>4.1ppm</sub>	A/A <sub>1.39ppm</sub>	A/A <sub>4.1ppm</sub>	
0.09	0.0067 ± 0.0046	0.045 ± 0.012	0.00063 ± 0.00008	0.032 ± 0.004	No Assignment
0.72	0.0015 ± 0.0002	0.012 ± 0.03	0.0017 ± 0.0003	0.15 ± 0.03	No Assignment
0.89	0.022 ± 0.003	1.7 ± 0.4	0.025 ± 0.003	1.7 ± 0.2	-CH <sub>3</sub> , except n-3
0.94	0.004 ± 0.001	0.21 ± 0.03	0.0033 ± 0.0003	0.19 ± 0.02	-CH <sub>3</sub> , n-3
1.03	0.0014 ± 0.0002	0.9 ± 0.02	0.0017 ± 0.0003	0.11 ± 0.02	-CH <sub>2</sub> OHCH <sub>2</sub> CH <sub>3</sub> -CH <sub>2</sub> -CH-(CH <sub>3</sub> ) <sub>2</sub> , isobranched
1.26	0.0041 ± 0.0002	0.29 ± 0.06*	0.0037 ± 0.0001	0.18 ± 0.02*	-COO(CH <sub>2</sub> ) <sub>n</sub> CH <sub>3</sub> , short-chain esters
1.29	0.058 ± 0.009	0.48 ± 0.09	0.054 ± 0.007	0.4 ± 0.1	-COO(CH <sub>2</sub> ) <sub>n</sub> CH <sub>3</sub> , short-chain esters
1.39	1	38 ± 4	1	40 ± 5	-(CH <sub>2</sub> ) <sub>n</sub> -, core
1.70	0.43 ± 0.04	36 ± 7	0.52 ± 0.005	45 ± 7	R-CH <sub>2</sub> -CH <sub>2</sub> -COO-R
4.10	0.026 ± 0.003	1	0.025 ± 0.003	1	ROCH <sub>2</sub> -alkyl, wax ester
4.18	0.003 ± 0.001	0.20 ± 0.04	0.003 ± 0.001	0.13 ± 0.01	CHCH <sub>2</sub> OR; C1, 3 protons, glyceryl. 4 H per molecule
4.25	0.0036 ± 0.0004	0.25 ± 0.05	0.0033 ± 0.0003	0.27 ± 0.04	C1, 3 protons, glyceryl
4.60	0.0047 ± 0.0008	0.28 ± 0.04*	0.0036 ± 0.0007	0.17 ± 0.02*	R-O-CH <sub>2</sub> , C3 of cholesterol ester
5.00	0.042 ± 0.008	3.3 ± 0.8*	0.033 ± 0.005	1.6 ± 0.2*	-CH=CH-, conjugated
5.20	0.036 ± 0.003	2.7 ± 1.1	0.016 ± 0.005	1.7 ± .7	-CH=CH-, conjugated
5.45	0.21 ± 0.01	13 ± 2	0.20 ± 0.02	17 ± 2	-CH=CH-
7.90	0.009 ± 0.001	0.77 ± 0.13	0.0065 ± 0.0005	0.53 ± 0.08	Hydroperoxides
9.65	0.0026 ± 0.0008	0.014 ± 0.002	0.0017 ± 0.0006	0.0098 ± 0.0024	trans-2-Alkenals
9.71	0.00026 ± 0.00004	0.0037 ± 0.0009	0.00045 ± 0.00009	0.0064 ± 0.0015	R-CH=O, n-alkenals

\* Significantly different,  $P < 0.05$ .

† The bold underlined proton is the proton for which the tentative assignment was made.

compared with Mn from children and adults (Fig. 7C). This relationship was due to the lower relative intensity of both the 7.85- and 8.6-ppm resonances (Figs. 7A, 7B). As oxidation progresses, the amount of aldehydes increases relative to the amount of hydroperoxides.<sup>66,67</sup> Even though the amounts of hydroperoxides and aldehydes were lower in Md than in Mn (Fig. 7C), the ratio of aldehydes (sum of areas of resonances at 9.65 and 9.71) to hydroperoxides (sum of areas of resonances at 7.9 and 8.7) increased with age and MGD (Fig. 7D). The lower content of hydroperoxides and aldehydes in Md compared with Mn shows the degree of lipid oxidation is less with

MGD. Since the ratio of aldehydes to hydroperoxides was larger in Md than in Mn, the breakdown of hydroperoxides was greater in Md (Fig. 7D).

## DISCUSSION

When the NMR spectra of Md were compared with those of Mn, three statistically significant decreases were observed in the relative amounts of CH<sub>3</sub> moieties at 1.26 ppm, products of lipid oxidation above 7 ppm, and =CH moieties at 5.2 ppm

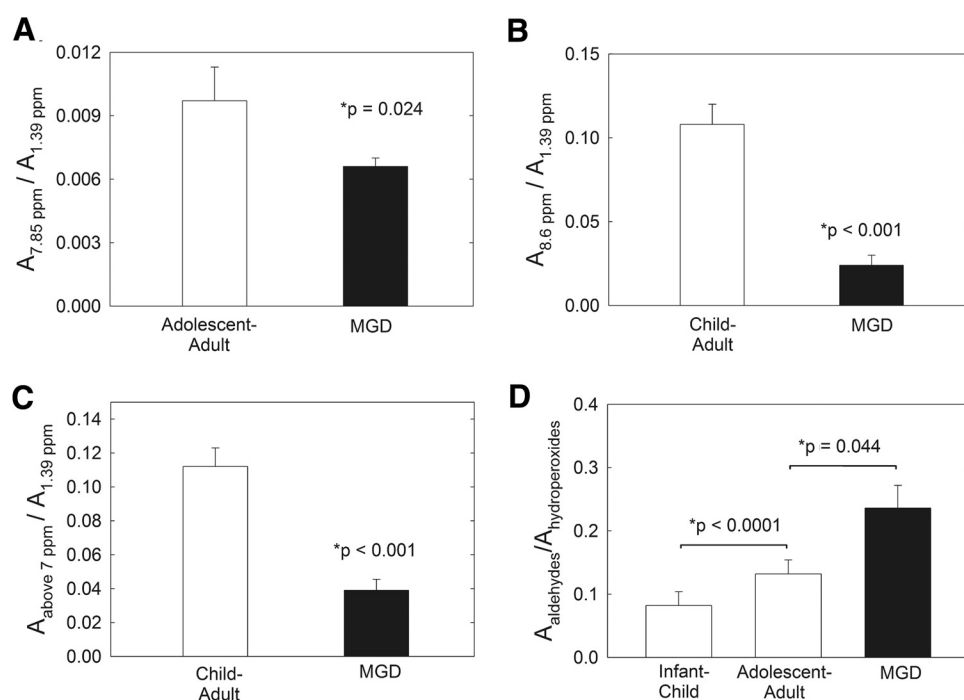


FIGURE 7. (A) The ratio  $A_{7.85}/A_{1.39}$  is the ratio of hydroperoxides to CH<sub>2</sub> moieties. (B) The ratio  $A_{8.6}/A_{1.39}$  is the ratio of aldehydes to CH<sub>2</sub> moieties. (C) The sum of the area of the resonances in the oxidative products region (resonances >7 ppm) was significantly lower ( $P < 0.05$ ) for Md than for Mn from children and adults. (D) The area ratio of aldehydes (sum of the areas of the resonances at 9.65 and 9.71 ppm) to hydroperoxides (sum of the areas of the resonances at 7.9 and 8.7 ppm) increased with age and MGD. As oxidation progressed, the ratio of aldehydes to hydroperoxides generally decreased.



associated with terpenoids. All three changes could account for the higher degree of lipid order of Md compared with age-matched Mn.<sup>37,38</sup> Lipid order was measured as the percentage of *trans* rotomers in the hydrocarbon chains and is related to meibum viscosity.<sup>37,38</sup> The higher the lipid order/viscosity the stronger the lipid-lipid interactions. At 34°C, 47% of the Md hydrocarbons were ordered compared with 35% of the Mn hydrocarbons.<sup>36,37</sup> The major factor governing the order of lipids in the lens<sup>68</sup> and meibum<sup>25,38</sup> is lipid saturation. The decrease in unsaturation in the same samples used in the present study was confirmed by infrared spectroscopy.<sup>22</sup>

Aldehydes and hydroperoxides, which are hydrophilic groups in the hydrophobic hydrocarbon chain region of lipids, inhibit lipid-lipid interactions and fluidize the hydrocarbon chains,<sup>69,70</sup> and therefore the lower level of products of lipid oxidation in Md observed in the present NMR study could also contribute to the higher order of Md compared with Mn.<sup>37,38</sup> Chain length is another factor that is related to lipid order, longer hydrocarbon chains have more extensive van der Waals interactions that contribute to stronger lipid-lipid interactions.<sup>38</sup> A decrease in the shorter chain length segments associated with the 1.26-ppm resonance in the present study would also contribute to lowering the hydrocarbon chain order of Md. In addition to lipid compositional changes that contribute to the ordering of Md hydrocarbon chains, Md has more protein than Mn.<sup>22</sup> The amount of protein and lipid order was related to age in Mn and in MGD.<sup>22</sup>

Lipid order and the amount of lipid observed on the lid margin correlated indirectly. Possibly, and as a consequence of a more ordered viscous meibum with MGD, less lipid flows out of the meibomian gland orifice and, in conjunction with elevated protein content, could facilitate the plugging of the meibomian gland orifices.<sup>71</sup> The amount of lipid on the lid margin with MGD does not influence tear stability, because there is at least 17 times more lipid pooled on the lid margin than is needed to cover the tear surface, even with MGD.<sup>71</sup> However, the lipid composition, especially the lipid in plugged glands, could change. We noted that even though the amount of products of lipid oxidation was lower in Md compared with Mn, the rate of the breakdown of hydroperoxides to aldehydes was higher in Md. Perhaps inflammation and the slower rate of meibum expression contribute to the greater degree of oxidation observed in the present study.

The resonances near 5 ppm in the <sup>1</sup>H NMR spectrum of human meibum have been assigned to squalene on the basis of a comparison of the spectra of human meibum versus that of squalene.<sup>49</sup> Others have also identified those resonances to the terpenoid squalene in other systems<sup>62-64,72</sup> and as a general terpenoid in olive oil.<sup>65</sup> A careful NMR evaluation of purified squalene shows that the resonance at 5.1 is from the protons on C3 and C4 carbons, and the resonance at 5.17 ppm is from the proton on C11.<sup>73</sup> In a pilot Raman spectroscopic study, carotenoid-like molecules were found in human meibum.<sup>25</sup> The compounds decreased with increasing age and MGD. In the present study, it is likely that the bands near 5 ppm are due to the proton *trans* to the methyl group in terpenoids (Fig. 3). The repeating unit of the polymer: *trans* —(CH<sub>3</sub>C=CHCH<sub>2</sub>CH<sub>2</sub>)<sub>n</sub>— is found in terpenoids in which squalene, and the carotenoids lycopene and β-carotene are members (Fig. 3).

Terpenoids exhibit a plethora of mostly positive biological functions, such as being anticarcinogenic, and possess antimycobacterial properties.<sup>74-76</sup> More than 140,000 papers and more than 8000 review articles have been written on the function of terpenoids to date. The benefits of dietary carotenoids, a type of terpenoid, have been reviewed.<sup>77</sup> Terpenoids protect against many diseases.<sup>78-80</sup> The terpenoid lycopene found in the eye, is a powerful antioxidant<sup>82</sup> that inhibits

reactive oxygen production, controls cholesterol synthesis, reduces inflammatory response through changes in cytokine production and regulates apoptosis.<sup>75</sup> Terpenoids are important for biological function and the lower level of terpenoids found in Md could influence the composition of meibum, enhanced oxidation, and tear stability.

The terpenoid squalene is a precursor of cholesterol synthesis. It is not surprising that the lower levels of terpenoids found in Md are associated with lower levels of cholesterol esters.<sup>24</sup> Terpenoids influence the fluidity of pure phospholipid vesicles much as cholesterol does, ordering them, lowering their phase transition temperature, and decreasing the cooperativity between the lipids.<sup>83-87</sup> On the other hand, lycopene, a carotenoid, increases the phase transition temperature of microsomes.<sup>88</sup> Therefore, the effect of a decrease in terpenoids on the Md phase transitions would be too speculative to comment on signaling the need for further investigation.

On the surface of the eye, terpenoids could act as surfactants and stabilize the lipid layer, bridging the multiple layers of wax sheets much as they span and reinforce the phospholipid bilayer.<sup>89</sup> Loss of terpenoids with MGD could cause detrimental changes in the structure of the tear film lipid layer. It would be useful to purify and characterize the terpenoids in human meibum to begin to understand their significance.

## Future Studies

Future studies will be designed to isolate, identify, and/or confirm the assignment of the resonance at 5.2 ppm. It would also be informative to examine the meibum from donors with other subclasses of MGD. The present study included donors with obvious signs and symptoms of MGD. There are early and mild forms termed nonobvious MGD (NOMGD) that can only be diagnosed by physical expression.<sup>90</sup> Inflammation is not present in NOMGD and is likely to be missed frequently during clinical examination.<sup>90</sup> Spectroscopy could be beneficial as a diagnostic tool if changes in meibum due to NOMGD could be detected, but given the negligible amount of meibum expressed in this variant of MGD, it may be difficult. At present, a standard technique for measuring expressibility is subjective and lacking. A custom expression device, recently developed, could be the solution.<sup>91</sup> In the present study, the meibomian glands were gently but firmly expressed by a single clinician in an effort to obtain uniform results. A custom device that controls the amount of pressure applied to the eye lid could eliminate variability in the quantity and perhaps quality of the meibum obtained from different clinicians or different variants of expression.<sup>91</sup>

In addition to the power of NMR spectroscopy to detect differences in the composition of meibum, NMR is promising as a diagnostic tool. The accuracy of PCA improves with the number of samples. It is reasonable that with more samples PCA of NMR data from human meibum samples could reach an accuracy of 99% or better. The Mahalanobis groupings could be used as a diagnostic tool.

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