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# **Breast Cancer Stem Cells**

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# Abstract

Breast cancer stem cells (BCSCs) constitute a subpopulation of tumor cells that express stem cellassociated markers and have a high capacity for tumor generation *in vivo*. Identification of BCSCs from tumor samples or breast cancer cell lines has been based mainly on CD44<sup>+</sup>/CD24<sup>-/low</sup> or ALDH<sup>+</sup> phenotypes. BCSCs isolation has allowed the analysis of the molecular mechanisms involved in their origin, self-renewal, differentiation into tumor cells, resistance to radiation therapy and chemotherapy, and invasiveness and metastatic ability. Molecular genetic analysis using knockout animals and inducible transgenics have identified NF- $\kappa$ B, c-Jun, p21<sup>CIP1</sup>, and Forkhead-like-protein Dach1 in BCSC expansion and fate. Clinical analyses of BCSCs in breast tumors have found a correlation between the proportion of BCSCs and poor prognosis. Therefore, new therapies that specifically target BCSCs are an urgent need. We summarize recent evidence that partially explain the biological characteristics of BCSCs.

#### Keywords

Breast cancer; cancer stem cell; metastasis; resistance; breast cancer therapy

# Introduction

Cancer stem cells (CSCs) are tumor cells with enhanced capacity for tumor generation. CSCs possess several fundamental attributes similar to normal adult stem cells. They are capable of dividing asymmetrically to produce one stem cell, which enables the capacity for self-renewal, and one progenitor cell, which allows them to produce phenotypically diverse cancer cells that constitute tumors.

In 2003, Al-Hajj et al. made the initial discovery of breast CSCs (BCSCs) when he revealed a cellular population from human breast cancer tumors characterized by the cell-surface

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markers ESA<sup>+</sup>/CD44<sup>+</sup>/CD24<sup>-/low</sup> and devoid of the expression of the lineage markers CD2, CD3, CD10, CD 16, CD18, CD31, CD64, and CD140b (Lin<sup>-</sup>). They found that the CD44<sup>+</sup>/CD24<sup>-/low</sup> subpopulation of Lin<sup>-</sup> cells in tumors was highly tumorigenic. As few as 200 ESA<sup>+</sup>/CD44<sup>+</sup>/CD24<sup>-/low</sup> cells or 1000 CD44<sup>+</sup>/CD24<sup>-/low</sup> cells, whether obtained from a primary site or metastatic pleural effusions, gave rise to tumors when xenotransplanted into NOD/SCID mice. In contrast, 50,000 unsorted cells were required to produce mouse tumors. The phenotypic heterogeneity of the initial tumor was conserved in tumors arising from injected CD44<sup>+</sup>/CD24<sup>-/low</sup> cells. The tumors generated contained tumorigenic and non-tumorigenic cells and portrayed diverse expression patterns of ESA, CD44, or CD24 (Al-Hajj et al., 2003).

ALDH activity can also be used to enrich for BCSCs and normal mammary stem cells. ALDH<sup>+</sup> cells from human breast cancer tumors can be xenotransplanted into NOD/SCID mice and serially passaged *in vivo*, whereas ALDH<sup>-</sup> were unable to (Ginestier et al., 2007). Tumors generated by ALDH<sup>+</sup> cells show a phenotypic heterogeneity similar to that of the parental tumor, and tumor size and latency correlated with the number of ALDH<sup>+</sup> cells injected (Ginestier et al., 2007). The combination of ALDH<sup>+</sup> and CD44<sup>+</sup>/CD24<sup>-/low</sup> phenotypes enriches further for cells with tumorigenic activity with as few as 20 of those cells capable of generating a tumor (Ginestier et al., 2007).

Even when other possible human BCSC markers have been identified (e.g. CD49f<sup>+</sup>) the CD44<sup>+</sup>/CD24<sup>-/low</sup> and ALDH<sup>+</sup> phenotypes have been broadly used to identify breast cancer cells with increased tumorigenicity. Several groups have validated the stem cell phenotype of CD44<sup>+</sup>/CD24<sup>-/low</sup> or ALDH<sup>+</sup> cells. CD44<sup>+</sup>/CD24<sup>-/low</sup> cells express Oct-4, a common key marker for pluripotency and a putative stem cell marker, and overexpress genes associated with Hedgehog signaling, a pathway involved in self-renewal (Ponti et al., 2005, Shipitsin et al., 2007). Similarly, ALDH<sup>+</sup> population contains a number of upregulated stem cellassociated genes (Charafe-Jauffret et al., 2009). Finally, both CD44<sup>+</sup>/CD24<sup>-/low</sup> (Ponti et al., 2005) and ALDH<sup>+</sup> (Ginestier et al., 2007, Charafe-Jauffret et al., 2009) cells share with normal mammary stem/progenitor cells the ability to form mammospheres. Mammospheres are floating spherical colonies formed from a single cell in non-adherent culture. Since the ability of cancer cells to form mammospheres correlate with the ability of the mammosphere cells to induce tumors in SCID mice (Grimshaw et al., 2008, Ponti et al., 2005), mammosphere culture has been used to enrich for tumor-initiating cells. However, cells from mammospheres may encompass self-renewing cells together with cells lacking this property (Dey et al., 2009). Furthermore, it is not clear if cells from mammosphere cultures encompass an actual BCSC population or downstream progenitors, which have regained stem cell-like properties because of genetic alterations (Ponti et al., 2005). On the other hand, the number of colonies formed after mammosphere serial passage is an indirect measurement of self-renewal capacity.

#### **BCSCs** Origin

The origin of breast CSCs is controversial. Current experimental evidence supports two different, but not exclusive, theories (Fig. 1). One theory proposes that CSCs resulted from the deregulation of normal stem cell self-renewal and differentiation pathways, resulting in cancer cells with both self-renewal and differentiation capabilities. Evidence for this theory arises from the similarities between normal stem cells and CSCs and that normal stem cells are highly susceptible to mutations and oncogenic transformation due to their long lifespans (Ponti et al., 2005, Ginestier et al., 2007). Al-Hajj et al. believed that BCSCs are likely to originate from basal mammary stem/progenitor cells due to the similarities between the cell surface profile of basal cells and the putative BCSCs they discovered (Al-Hajj et al., 2003).

A second theory suggests that BCSCs develop from epithelial-mesenchymal transition (EMT). Cells that have undergone EMT are susceptible to transformation and have many characteristics and behaviors similar to those of normal and neoplastic stem cells (Morel et al., 2008, Mani et al., 2008). CD44<sup>+</sup>/CD24<sup>-/low</sup> cells from normal and carcinoma human breast tissues express many genes associated with cells that have undergone EMT (Mani et al., 2008). Furthermore, cells that have undergone an EMT formed 10-fold more mammospheres than did corresponding control cells, suggesting an increase in tumorigenicity in EMT-induced cells (Mani et al., 2008).

Ras-MAPK, Ras, c-Myc,  $p21^{CIP1}$ , TGF $\beta$ , Wnt, Notch, NF- $\kappa$ B, EGF, HGF, FGF, and HIF signaling pathways are involved in EMT induction in cultured cells and *in vivo* (see (Thiery et al., 2009) for a recent review). In mammary epithelial cells, TGF $\beta$  signaling plays an important role. Ongoing autocrine signaling via the canonical and noncanonical Wnt pathways and the TGF $\beta$  pathway is responsible for inducing an EMT in normal and neoplastic human mammary epithelial cells (HMECs) and for maintaining their mesenchymal/CSC states (Scheel et al., 2011). TGF $\beta$  induces Pez, a tyrosine phosphatase, which in turn promotes EMT and TGF $\beta$  production, creating a positive autocrine feedback loop. Introduction of the Ras oncogene sensitize HMECs to EMT, and the addition of TGF $\beta$ 1 accelerates this conversion, resulting in the appearance of tumorigenic CD44<sup>+</sup>/ CD24<sup>-/low</sup> cells (Morel et al., 2008). The induction of EMT in the mammary gland *in vivo* or in isogenic human breast cancer cell lines by Ha-Ras or c-Myc, requires p21<sup>CIP1</sup> (Liu et al., 2009).

## **Functions of BCSCs**

#### A. Self-renewal and Differentiation

BCSCs have the ability to self-renew and a potential to differentiate, generating cells with a variety of phenotypes within tumors. Several pathways have been implicated in the regulation of BCSC self-renewal, including Notch, Hedgehog, and Wnt. In addition, key transcription factors regulate BCSCs including NF- $\kappa$ B, c-Jun, the Forkhead-like-protein Dach1, and the CDK inhibitor p21<sup>CIP1</sup> (Liu et al., 2010b, Jiao et al., 2010, Wu et al., 2011, Liu et al., 2009). In particular, *in vitro* and *in vivo* evidence revealed the importance of PTEN/PI3-K/Akt/Wnt/ $\beta$ -catenin pathway in BCSC biology. PTEN knockdown in MCF-7 and SUM-159 cell lines induced activation of Akt, increased mammosphere formation, and increased the ALDH<sup>+</sup> population (Korkaya et al., 2009). When these cells were injected into NOD/SCID mice, increased Akt phosphorylation and tumorigenicity were observed, indicating that Akt regulates BCSC expansion (Korkaya et al., 2009). Active Akt phosphorylates GSK3 $\beta$  and thereby the Wnt pathway. Ongoing autocrine signaling via the Wnt pathway has been shown to regulate and maintain BCSC self-renewal (Scheel et al., 2011).

Another important feature of BSCSs is their ability to differentiate into non-stem breast cancer cells. This occurs *in vivo* (Al-Hajj et al., 2003, Ginestier et al., 2007) as well as under standard growth conditions (Iliopoulos et al., 2011). However it was unclear whether differentiated breast cancer cells could revert to stem cells. It has been shown that BCSCs can be derived from Ras-transformed CD44<sup>-</sup>/CD24<sup>+</sup> HMECs (Morel et al., 2008) and mammalian differentiated epithelial cells (Chaffer et al., 2011). In agreement, IL-6 promotes the rapid generation of BCSCs from non-stem breast cancer cells (Iliopoulos et al., 2011), indicating that there is a dynamic plasticity between the two cell types, and suggesting that autocrine cytokine microenvironmental signals participate in its control.

Retinoic acid, which is oxidized from retinol by ALDH, plays a role in the control of selfrenewal vs. differentiation of BCSCs. ATRA, an inducer of retinoid signaling, decreases

mammosphere formation and either induces genes expressed in differentiated breast cancer cells or dowregulates several programs involved in BCSC self-renewal (the polycomb EZH2 network, Wnt signaling, Akt/ $\beta$ -catenin signaling) (Ginestier et al., 2009). These results suggest that ALDH activity and retinoid signaling regulates the BCSC population by promoting differentiation and highlights the possible therapeutic application of compounds (ATRA or others) that force the differentiation of BCSC.

#### B. Therapy resistance

BCSCs demonstrate resistance to chemo- and radiotherapy. Administration of neoadjuvant chemotherapy to breast cancer patients increases the fraction of CD44<sup>+</sup>/CD24<sup>-/low</sup> tumor cells and augments mammosphere formation *in vitro* (Yu et al., 2007). Similarly, paclitaxel and epirubicin-based chemotherapy enriches for ALDH<sup>+</sup> cells in breast tumors (Tanei et al., 2009). Enrichment of BCSCs by chemotherapy and radiotherapy has also been reported in mouse and *in vitro* models. Tumors generated by consecutive passage of human breast cancer cells into mice receiving epirubicin were highly enriched in CD44<sup>+</sup>/CD24<sup>-/low</sup> cells (Yu et al., 2007). Radiation therapy also increases the CD44<sup>+</sup>/CD24<sup>-/low</sup> proportion in mouse xenografts (Zielske et al., 2011, Phillips et al., 2006).

Drug resistance in BCSCs is associated with alterations in self-renewal and cell fate signaling pathways, including Notch, Wnt, Hedgehog, and HER-2. For example, Notch-1 overexpression is associated with chemoresistance (Sajithlal et al., 2010) and radioresistance (Phillips et al., 2006) of BCSCs. These effects may be mediated in part by the ability of Notch to promote the antiapoptotic gene survivin or the induction of cyclin D1 by Notch (Stahl et al., 2006). Increased survivin levels deregulate multiple mitotic checkpoints, contributing to genetic instability and inhibiting radiation- and drug-induced apoptosis (Phillips et al., 2006, Ponti et al., 2005, Sajithlal et al., 2010). Cyclin D1 is required for self-renewal of normal and cancer mammary stem cells (Jeselsohn et al., 2010). Cyclin D1 enhances Notch1-induced mammary tumorigenesis (Ling et al., 2010). Cyclin D1 enhances Notch1 activity by inhibiting the expression of its negative regulator Numb (Lindsay et al., 2008). Since cyclin D1 is also a downstream target of Wnt, Stat3,  $\beta$ -catenin, and NF- $\kappa$ B signaling, it may be an important target governing stem cell expansion (Velasco-Velazquez et al., 2011a).

Given their tumorigenic properties and increased resistance to therapy, BCSCs have been implicated in therapeutic relapse. The BCSCs that survived the selective pressure exerted by therapy may pass on reduced sensitivity to their progeny, promoting the appearance of clinical resistance and allowing evolution of a more aggressive tumor over time (Fig. 2). However, that resistance is not a universal characteristic of BCSCs. BCSC population within a triple (estrogen receptor (ER), progesterone receptor (PR) and HER-2) negative cell line can be depleted by radiotherapy, supporting the hypothesis that resistance in not an intrinsic feature of BCSCs and suggesting a role for the molecular phenotype of the tumor (Zielske et al., 2011). Elucidation of the molecular mechanisms by which CSCs survive (or do not) survive therapy may identify new targets for breast cancer therapeutic intervention.

#### C. Metastasis

The cancer stem cell hypothesis considers that only CSCs will initiate and sustain tumor growth. In such model, CSCs are responsible for the metastatic dissemination of tumors. Different lines of evidence indicate that BCSCs play an important role in metastasis (reviewed by (Velasco-Velazquez et al., 2011b)). BCSCs display increased cell motility, invasion, and overexpress genes that promote metastasis (Liu et al., 2010a, Shipitsin et al., 2007, Charafe-Jauffret et al., 2009). Intracardiac injection of ALDH<sup>+</sup> breast cancer cells, but not unseparated or ALDH<sup>-</sup> cells, into immunodeficient mice, generates multiple metastases

that recapitulate the heterogeneity of the initial tumor (Charafe-Jauffret et al., 2009, Charafe-Jauffret et al., 2010). Mammary fat pad implantation of CD44<sup>+</sup>/CD24<sup>-/low</sup> cells from human breast tumor samples produces spontaneous lung metastasis that can be serially passaged (Liu et al., 2010a).

These results support the idea that the metastatic population of breast cancer cells is contained within the BCSCs. However, it remains to be determined whether there is a subset of metastatic BCSCs with unique metastatic properties, what the specific phenotype is, and if those characteristics are constant among the different molecular subtypes of breast cancer.

# **Clinical importance**

The role of BCSCs in the clinical outcome of breast cancer patients has been analyzed using different strategies. The gene-expression profile of CD44<sup>+</sup>/CD24<sup>-/low</sup> breast cancer cells compared with normal breast epithelium identified a gene signature that is strongly associated with metastasis-free survival (Liu et al., 2007). Combined immunohistochemical analysis of CD44 and CD24 in breast tumor samples identified a correlation between a high percentage of CD44<sup>+</sup>/CD24<sup>-/low</sup> tumor cells in primary tumors and the presence of distant metastasis, particularly osseous metastases (Abraham et al., 2005). Pleural metastases from breast cancer patients that received chemotherapy are highly enriched for a CD44<sup>+</sup>/CD24<sup>-/low</sup> subpopulation (Yu et al., 2007), suggesting that chemoresistance of BCSCs may be associated with metastasis formation. ALDH expression in human breast cancer samples correlates significantly with tumor grade, metastasis and cancer stage, and decreased survival (Charafe-Jauffret et al., 2010, Marcato et al., 2011). Since the isoform ALDH1A3 is the main responsible for ALDH activity in BCSCs, quantification of its expression may be a better prognostic marker in breast tumor samples (Marcato et al., 2011).

Although the presence of BCSC markers correlates with poor prognosis in clinical samples, the contribution of non-stem cancer cells to the clinical outcome cannot be underestimated. For example, cancer cells other than BCSCs can form metastasis *in vivo* or in experimental models, indicating that cells with an invasive phenotype can be found outside the BCSC pool. Additionally, the precise role of BCSCs in tumor progression still remains to be determined. At present it is unknown if BCSC population can be further subdivided and whether such subsets have unique tumorigenic, metastatic, or resistance properties. Finally, stem-like properties of cancer cells can change in different microenvironments, suggesting that the models used for study of BCSC may not reflect the clinical setting.

Since the association between BCSCs prevalence and clinical outcome and the evidence presented above support key roles of BCSCs in breast cancer biology, it has been proposed that new therapies must target these cells. For this goal, different strategies have been evaluated, including targeting of membrane markers and transporters, interruption of intracellular signaling pathways, and alteration of the BCSCs microenvironment. Despite the success of some of those therapies in preclinical models, toxicology and pharmacokinetic studies are still required before human tests. For example, high-throughput screening identified the ionophore salinomycin as toxic to BCSCs (Gupta et al., 2009). However, salinomycin has produced adverse effects in non-rodent preclinical models. Nevertheless, the methodology used by Gupta and collaborators (Gupta et al., 2009) could lead to the finding of new BCSCs-targeting drugs.

Serendipitously, several drugs tested clinically for other applications have shown activity against BCSCs. Recent studies showed that the anti-diabetic drug metformin selectively reduces the CD44<sup>+</sup>/CD24<sup>-/low</sup> population and the ability to form mammospheres (Hirsch et al., 2009). In a xenograft mice models, concurrent treatment with metformin and chemotherapeutic agents reduced tumor mass much more effectively than either drug alone

and prevents relapse (Hirsch et al., 2009). Metformin effects on BCSCs may be mediated by AMP-activated kinase (AMPK) activation and the consequent inhibition of Acetyl CoA carboxylase (ACACA) and associated alterations in fatty acid synthesis and functioning of lipid raft plataforms.

The anti-immflamatory drug repertaxin, an antagonist of the IL-8 receptor CXCR1, reduced the BCSC population, producing apotosis in the tumor population, and reduced metastasis in mouse models (Ginestier et al., 2010), indicating that interruption of signals generated in the microenvironment may be useful for BCSC eradication.

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#### Key Facts

- Breast cancer stem cells (BCSCs) comprise a small subpopulation within tumors with increased tumorigenicity in xenograft models.
- BCSCs are capable of self-renewal and differentiation.
- BCSCs express genes and display activated cell signaling pathways associated with normal stem cells.
- Human BCSCs can be identified by the expression of specific phenotypes, being most frequently CD44<sup>+</sup>/CD24<sup>-/low</sup> and ALDH<sup>+</sup>.
- BCSC display increased resistance against radiation and chemotherapy, pointing to the need for new therapeutic regimens that target these cells.
- BCSCs are more invasive *in vitro* and more metastatic *in vivo* and may contribute to clinical metastases.



#### Figure 1. Origin of the breast cancer stem cells (BCSCs)

BCSCs may arise from normal mammary stem cells (A) or from non-stem tumor cells that have gained the ability for self-renewal (B) by epithelial to mesenchymal transition (EMT) and oncogenic transformation. Both of these hypotheses consider that the phenotypic characteristics of BCSCs are caused by genetic alterations and/or EMT. As result, BCSCs display alterations in signaling pathways controlling the cell cycle, differentiation, and survival (C). Velasco-Velázquez et al.



**Figure 2. Key roles of breast cancer stem cells (BCSCs) in disease's progression** The small fraction of BCSCs within tumors is maintained by self-renewal of BCSCs and by "dedifferentiation" of cancer cells (A). BCSCs are more resistant to radiation and chemotherapy than the rest of tumor cells and can survive therapy (B). The surviving CSCs will be capable to repopulate treated-tumors and produce relapse (C). BCSCs can also be the source of metastastic lesions (D).