

# Ethambutol Pharmacokinetic Variability Is Linked to Body Mass in Overweight, Obese, and Extremely Obese People

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**We conducted a prospective study of 18 adult volunteers (male-to-female ratio of 1) whose body mass index fell into categories of <25, 25 to 40, or >40 kg/m<sup>2</sup>, who received a single oral dose of 1,600 mg ethambutol. Only individuals with normal renal function were recruited. The minimum body mass (M) was 45.6 kg, the median was 90.8 kg, and the maximum weight was 160.4 kg. Ethambutol pharmacokinetics were best described by a two-compartment model. Inclusion of weight as a covariate dramatically improved the model, with a relative likelihood approaching infinity. The typical clearance was 42.6 liters/h. Ethambutol systemic clearance was proportional to (M/45.6)<sup>3/4</sup> and thus obeyed fractal geometry-based laws. This means that the area under the concentration-time curve (AUC) actually decreased for obese patients compared to that for leaner patients, reducing chances of concentration-dependent toxicity. On the other hand, such reduced AUCs could lead to therapy failure. Thus, new and individualized ethambutol dosing regimens need to be designed for obese and extremely obese patients.**

**M**ycobacterial diseases remain a significant cause of morbidity and mortality worldwide. Tuberculosis (TB) is responsible for approximately two million deaths per year. TB has historically been regarded as a thin man's disease. Yet in our studies in Texas, a considerable proportion of the patients have been overweight and obese (33, 34). In addition, other studies have demonstrated that patients with diabetes mellitus, who are often overweight as part of metabolic syndrome, are at an increased risk of developing active pulmonary TB (20, 30). This is a problem given that the "average" American's weight has increased by 10 kg in the last 4 decades, so that 2 in every 3 Americans are now overweight (body mass index [BMI]  $\geq 25$  kg/m<sup>2</sup>), 1 in 3 are obese (BMI  $\geq 30$  kg/m<sup>2</sup>), and 6% are morbidly obese (BMI  $\geq 40$  kg/m<sup>2</sup>) (10, 32). In high-burden TB countries in southern Africa and South America, obesity has also become a major problem, rivaling even that in the United States, with considerable proportions of overweight/obese people and underweight/malnourished people coexisting within the same populations (9, 23). In Egypt, for example, obesity rates among women are >45%, while in the Pacific Islands of Samoa and Tonga they are 60 to 70% (39). Approximately 1.3 billion out of the 6 billion humans are at least overweight, so clearly the entire species is becoming obese (31). This is a major challenge, given that anti-TB dosing regimens were designed decades ago for an underweight population of patients with "consumption."

Body mass (M) or weight is a physical phenomenon. Physical phenomena occur on a large range of scales. As an example, the mass of living things can vary from about  $\sim 6 \times 10^{-16}$  kg for a bacterium to  $\sim 6 \times 10^4$  kg for a sperm whale, a span of  $10^{20}$ . Scaling biochemical relationships using linear relationships across such a large span is often inaccurate. Second, the shapes of most natural objects, such as human organs, trees, mountains, and galaxies, do not follow smooth Euclidean geometrical shapes, such as parallelograms and cubes. The human heart, for example, is not a sphere, and the brain is not a cube. The roughness and true exact shape of anatomical shapes of living things, such as leaves, tree trunks, blood vessels, and kidneys, are a result of maximization of energy and metabolite transfer by evolution. Third, many of these rough shapes, from snowflakes to human anatomical shapes,

demonstrate a recursive pattern, since dimensions change over the large dimension spans discussed above. Examples are branching of the cardiovascular system from the aorta through the capillaries and back or bronchi and bronchioles, essential for delivery of oxygen and metabolites. Fourth, such shapes can have dimensions that are fractions. Fractal geometry explains relationships across such large scales of dimensions, across recursive scaling patterns, and across nonregular (rough) shapes (27, 28). In the late 1930s, it was observed that metabolic rates of different animal species across a large span of M were proportional to  $M^{3/4}$  (21, 22). Recent work has demonstrated that this "3/4 power law" applies to metabolism of all organisms and is due to fractal geometry constraints: in other words, 3/4 is dimension that scales metabolic rate to mass (43, 44). Recent work by us and others has demonstrated that the between-patient systemic clearance (SCL) of echinocandins also obeys this rule within the human species alone, even over a span of weight with only 4-fold variation (16, 17, 19). Here we investigated if this was also true in the case of ethambutol in obese persons.

Ethambutol continues to be a mainstay of first-line treatment in patients with active TB (4). TB is a global problem, affecting 12 million people per year, and will thus be diagnosed in patients with weight that varies over a wide span. Moreover, ethambutol is also a vital part of first-line treatment for patients with *Mycobacterium avium* complex (MAC). MAC affects approximately 3,000 persons per year in the United States and has a treatment failure rate of 40 to 60% (11–14, 40, 41). In addition, several pathogenic *Mycobacterium* species are also susceptible to ethambutol, including *M. kansasii*, *M. goodii*, *M. marinum*, *M. scrofulaceum*, and

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*M. szulgai* (15). Thus, ethambutol is a broad antimycobacterial agent with uses beyond treatment of TB.

We have demonstrated that microbial killing by ethambutol is concentration dependent while resistance suppression best correlates with the percentage of the dosing interval in which the drug concentration is above the MIC ( $T_{MIC}$ ) (8, 36). Since the 24-h area under the concentration-time curve ( $AUC_{0-24}$ ) is inversely proportional to SCL, and  $T_{MIC}$  decreases with faster SCL, between-patient variability of SCL will alter both microbial kill and resistance suppression. This is a crucial factor, given that we have recently demonstrated that low drug exposures due to pharmacokinetic variability are a more important cause of multidrug-resistant (MDR) TB emergence than directly observed therapy (37). Thus, it is crucial to determine if between-patient ethambutol variability is due to differences in weight and thus provide a pathway for designing optimal ethambutol dosing in obese patients.

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## MATERIALS AND METHODS

**Regulatory compliance.** The study was approved by the Institutional Review Boards (IRB) of the Texas Tech University Health Sciences Center (A09-3545) and University of Texas Southwestern Medical Center at Dallas (082009-009). The study protocol was registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT01048697) prior to enrollment of the first volunteer.

**Study population.** Volunteers were recruited to the Clinical Trials Research Center (CTRC) at UT Southwestern Medical Center between January 2010 and December 2010. Volunteers were eligible for study participation if they were at least 18 years of age and able to provide written informed consent. Exclusion criteria were as follows: creatinine clearance of  $<70$  ml/min as estimated by the Cockcroft-Gault equation (6), pregnant or nursing mothers, women unwilling to use a reliable contraception method during the study, a history of gout, a history of an allergy to ethambutol, any other medical contraindication for ethambutol, and abnormal liver function tests. Abnormal liver function test results were defined as either transaminases at  $>10$  times the upper limit of normal, alkaline phosphatase at  $>5$  times the upper limit of normal, or total bilirubin at  $>5$  times the upper limit of normal.

**Experimental design.** The study was designed to recruit a total of 18 volunteers into the following body mass index (BMI) categories:  $<25$  kg/m<sup>2</sup> (normal weight;  $n = 6$ ), 25 to 39.9 kg/m<sup>2</sup> (overweight and class I/II obese;  $n = 6$ ), and  $\geq 40$  kg/m<sup>2</sup> (class III obese;  $n = 6$ ). Half of the volunteers recruited into each BMI group were to be male.

**Study and sampling procedures.** Volunteers received ethambutol (1,600 mg orally) as a single fasting dose. Blood draws were performed through an intravenous catheter, which was flushed between blood draws. Each volunteer had 10 ml of blood drawn at each of the seven time points:  $t = 0$  h (predose) and 2, 6, 11, 18, and 24 h following ethambutol administration. This sampling scheme was based on the  $\alpha$  and  $\beta$  half-lives of ethambutol in previous studies (24, 25, 35, 46). The blood was spun down for serum separation, and the serum was stored at  $-80^{\circ}\text{C}$  until transport for measurement of the ethambutol concentration. Volunteers had vitals performed prior to study drug administration, as well as 0.5, 1, 2, and 24 h after ethambutol administration. Blood was also collected at discharge for a complete blood count and comprehensive metabolic panel.

**Subject safety and data monitoring.** Any serious adverse events were to be reported to the IRB within 24 h. All three investigators (R.G.H., M.A.S., and T.G.) met with the study coordinators for routine data safety monitoring before submitting the study for continuing review to the IRB.

**Statistical analysis.** Continuous variables were not assumed to be normally distributed. Therefore, the Mann-Whitney U test was utilized to assess if body weight or dose (mg/kg of body weight) was significantly different based on gender using the GraphPad Prism software program (version 5.04; GraphPad Software).

**Ethambutol analysis.** Drug concentrations were determined at the Texas Tech University Health Sciences Center Pediatric Pharmacology Research and Development Center. Ethambutol concentrations were measured using a Shimadzu high-performance liquid chromatography (HPLC) system interfaced with a tandem, triple-quadrupole mass spectrometer (API 3000; AB Sciex). Equal volumes (100  $\mu\text{l}$ ) of serum and internal standard (clarithromycin) were extracted using 3.5 ml ethyl acetate and 4 M NaOH (100  $\mu\text{l}$ ). The organic phase was evaporated and reconstituted with 200  $\mu\text{l}$  of 0.3% formic acid in water for injection. Following injection (20  $\mu\text{l}$ ), chromatographic separation was performed using an Inertsil 5- $\mu\text{m}$  (50 by 2.1 mm) column with isocratic elution of 0.1% formic acid in water: 0.1% formic acid in methanol (50:50) at 0.2 ml/min ( $40^{\circ}\text{C}$ ). Ethambutol and internal standard were analyzed using positive electrospray ionization combined with multiple reaction monitoring and the respective precursor  $\rightarrow$  product ion combinations of 205.10  $\rightarrow$  116.0 and 748.50  $\rightarrow$  590.30  $m/z$ . The standard curve was linear ( $r = 0.998$ ) and ranged from 0.040  $\mu\text{g/ml}$  to 8  $\mu\text{g/ml}$ . Intra- and interday precision were equivalent and were less than 5% relative square deviation (RSD) throughout the validated range of concentrations.

**Pharmacokinetic analysis.** Ethambutol concentrations were modeled using the ADAPT 5 software program of D'Argenio and colleagues (7). The strategy that was used included generating initial pharmacokinetic parameters using the standard two-stage estimation method for a one-, two-, and three-compartment model. The parameters were then used as initial estimates in the POPINIT subroutine of ADAPT. Thereafter, population parameter estimates for a one-, two-, and three-compartment model were identified using the maximum-likelihood solution via the expectation-maximization algorithm (MLEM). The best model was then chosen using both Akaike's information criterion (AIC), the Bayesian (Schwarz) information criterion (BIC), and log likelihoods (1, 26). The relative likelihood (or evidence ratio) that one model, compared to another, best explained the data was calculated as follows: relative likelihood =  $1/(e^{-0.5 \times \Delta\text{AIC}})$ , where  $\Delta\text{AIC}$  is the difference between AIC scores of the two models being compared.

The chosen model constituted the base model. The relationship between each pharmacokinetic parameter and either creatinine clearance, body mass, gender, or age was examined in scatter plots, and an initial estimate of the slope was determined, which together with these covariates was then entered into the COVMOD subroutine of ADAPT. The relationship between pharmacokinetic and covariate parameters was then further examined with MLEM. The model that included body mass, or each of the other covariates, was then compared to the base model using AIC, BIC, and log likelihoods. Our report follows recommendations by the FDA (5).

## RESULTS

The average age of the 18 people who completed the study was  $36.6 \pm 11.3$  years. Half of the volunteers were male. The study population consisted of 11 Caucasian-Americans, 6 African-Americans, and one Asian-American. Half of the volunteers had chronic comorbid conditions, with 39% taking medications and 17% having one or more components of the metabolic syndrome. The mean and median serum creatinine levels were 0.92 and 0.88 mg/dl, respectively (range, 0.63 to 1.37 mg/dl). The weight distribution in the volunteers is shown in Fig. 1A. The minimum weight was 45.6 kg, the median was 90.8 kg, and the maximum weight was 160.4 kg. The median weight for men was 105 kg, versus 86.2 kg for women ( $P = 0.93$ , Mann-Whitney U test). The distribution of ethambutol dose in mg/kg is shown in Fig. 1B. Women received an

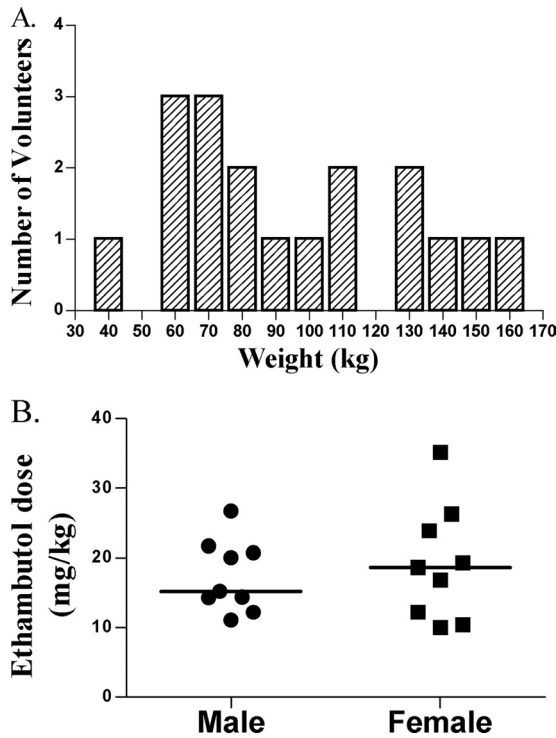


FIG 1 Weight distribution in people recruited into the ethambutol study. (A) The recruitment was meant to capture all extremes of weight, and thus the weight is not normally distributed. (B) Distribution of ethambutol dosing indexed to weight (mg/kg) by gender.

18.56-mg/kg dose, versus a 15.22-mg/kg dose in men ( $P = 0.96$ , Mann-Whitney U test).

Overall, the ethambutol dose was well tolerated by the volunteers. One volunteer developed a nosebleed after the ethambutol was administered; this was judged to be unrelated to the ethambutol dose. The nosebleed was not considered serious and resolved without medical intervention. No volunteer complained of symptoms suggestive of a loss of visual acuity, color vision, or visual field problems.

There were 108 serum samples that were analyzed, which revealed concentrations shown in Fig. 2. The ratio of the highest

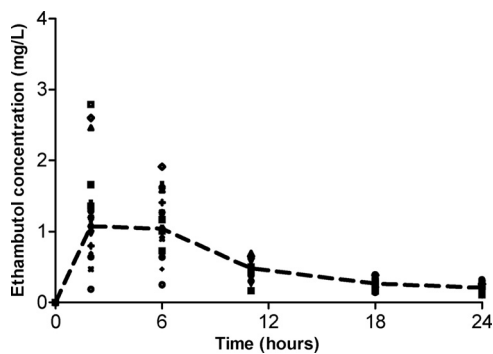


FIG 2 Concentrations of ethambutol (mg/liter) achieved after administration of a single dose of ethambutol. This line is the median concentration using naive pooling and demonstrates a biphasic decline consistent with a two-compartment model. The circles represent volunteers with a body mass index classified as normal weight.

TABLE 1 Model comparison for several ethambutol compartmental models<sup>a</sup>

Method of assessment	Value for model		
	One-compartment	Two-compartment	Three-compartment
AIC	-1,543.41	-3,831.76	-3,751.95
BIC	-1,522.03	-3,799.69	-3,714.53
Log likelihood	-1,559.41	-3,885.76	-3,779.95
		Two-compartment with wt as covariate	
			-5,684.56
			-5,652.49
			-5,708.56

<sup>a</sup> AIC, Akaike's information criterion; BIC, Bayesian (Schwarz) information criterion; wt, wild type.

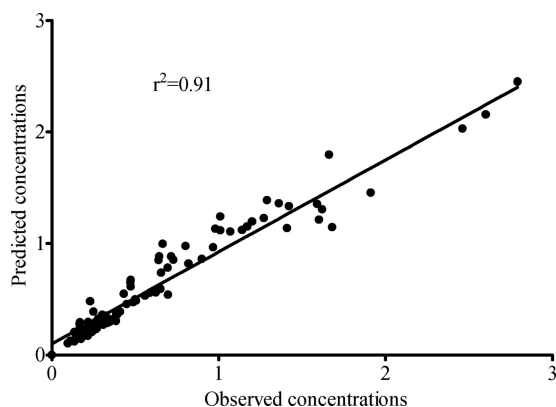


FIG 3 Observed versus predicted concentrations of ethambutol in the base model. Concentrations are in mg/liter.

peak concentration to the lowest was 7.26. The ratio of the highest trough concentration to the lowest was 3.38. The population pharmacokinetics of ethambutol were best described by a two-compartment model, best on scores for all three criteria used for model selection, as shown in Table 1. To put this in the context of relative likelihood (RL), the RL that a two-compartment model explained the data better than a three-compartment model was  $2 \times 10^{17}$ , while the RL compared to a one-compartment model approached infinity. Figure 3 demonstrates the observed-versus-predicted concentration plots for the two-compartment base model. Table 2 shows the ethambutol pharmacokinetic parameter estimates in the base model. The  $\alpha$ -half-life was  $2.13 \pm 0.92$  h, and the  $\beta$ -half-life was  $24.4 \pm 7.44$  h. The results shown in Table 2 are consistent with those of prior studies at steady-state ethambutol concentrations in TB patients (29, 46).

There was no obvious relationship between age, gender, or creatinine clearance and any pharmacokinetic parameter. In addition, when BMI was used, the highest  $r^2$  value was only 0.15, which was in relation to the volume of the peripheral compartment ( $V_p$ ). However, the relationship between body mass (weight) and either SCL or volume is shown in Fig. 4. Inclusion of patient body mass as a covariate in MLEM led to AIC, BIC, and  $-2$  negative log likelihoods shown in Table 1. These data demonstrate a remarkable improvement in all three scores when weight is included as a covariate. The RL for choosing the two-compartment model that includes weight as a covariate compared to the base model approached infinity. The relationship between body mass (M) and SCL was given by the fractal relationship:  $SCL = 42.6 \times (M/45.6)^{3/4}$ , with a percent relative standard error

TABLE 2 Ethambutol pharmacokinetic parameter estimates in base model

Parameter <sup>a</sup>	Estimate	SD	% RSE
$CL_t$ (liters $\cdot$ h <sup>-1</sup> )	78.2	21.2	39.9
$CL_d$ (liters $\cdot$ h <sup>-1</sup> )	82.7	15.8	35.6
$V_c$ (liters)	446	258	83.6
$V_p$ (liters)	925	226	87.5
$K_a$ (h <sup>-1</sup> )	0.52	0.10	81.6

<sup>a</sup>  $CL_t$ , total clearance;  $CL_d$ , clearance from peripheral compartment;  $K_a$ , absorption rate constant;  $V_c$ , volume of central compartment;  $V_p$ , volume of peripheral compartment.

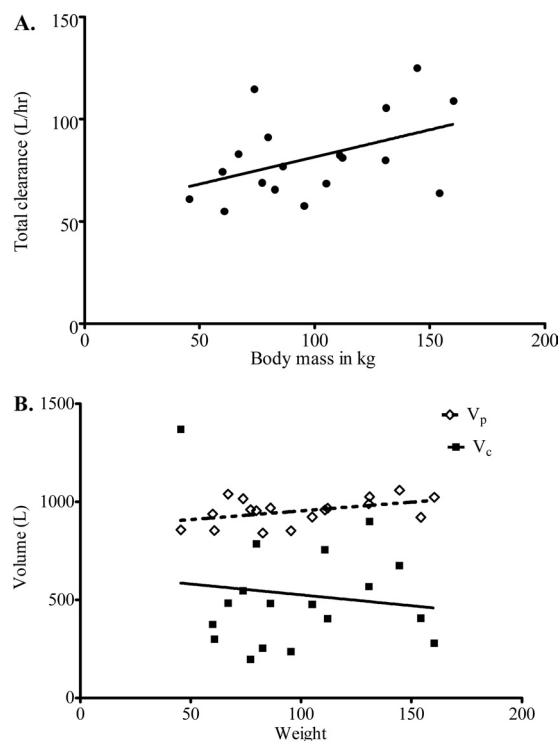


FIG 4 Effect of mass (kg) on ethambutol pharmacokinetic parameters. (A) Weight versus systemic clearance. (B) Weight versus volume of distribution.  $V_p$  is represented by open diamonds;  $V_c$  is represented by filled squares.

(RSE) of 36.9%. The final pharmacokinetic parameter estimates in this model are shown in Table 3.

## DISCUSSION

The fact that all regulatory and drug-licensing bodies in the world have yet to prioritize the inclusion of overweight and obese persons in phase I and II studies is surprising given that the human species has now become obese. As a result, few studies are available to provide the insight required for dose individualization for this patient population where many drugs will most commonly be used. Here, we prove that ethambutol SCL in obese and extremely obese people obeys the  $3/4$  power law, a consequence of fractal geometry (22, 28, 42–44). In this study, this law applied only to weight and not to BMI. This presumably means that height and area do not significantly impact ethambutol pharmacokinetics. The physiological reasons as to why ethambutol SCL increases with weight are as yet unclear. Approximately 80% of ethambutol is renally excreted as unchanged drug, while 20% is converted to metabolites by alcohol dehydrogenase (ADH) (15). We did not observe creatinine clearance to be a significant covariate with any of the pharmacokinetic parameters of ethambutol. An alternative

TABLE 3 Pharmacokinetic parameter estimates in final model

Parameter <sup>a</sup>	Mean	SD	% RSE
$K_a$ (h <sup>-1</sup> )	0.573	0.100	112
$V_c$ (liters)	491	283	99.7
$CL_d$ (liters $\cdot$ h <sup>-1</sup> )	80.8	18.6	67.1
$V_p$ (liters)	1070	181	93.2

<sup>a</sup> See footnote a of Table 2.

explanation may be that the metabolism of ethambutol by ADH is increased as body weight increases. A recent study documented an increased expression of ADH in patients with obesity-related non-alcoholic steatohepatitis compared to results for controls (3). Therefore, an increase in ADH could conceivably explain increased ethambutol SCL with weight. However, the data on metabolism of ethambutol by ADH and renal excretion are old, so that the disposition of this drug warrants newer studies.

Recently, we demonstrated that increased patient weight has a profound influence on failure of anti-TB therapy (33, 34). We have proposed that between-individual pharmacokinetic variability of anti-TB drugs is the major culprit behind therapy failure and the emergence of MDR TB (37). Since weight is a significant covariate of ethambutol SCL, there will be a decreased likelihood of achieving the  $AUC_{0-24}/MIC$  ratio and  $T_{MIC}$  ratios associated with optimal microbial killing, and suppression of resistance is a potential reason for an increased risk of anti-TB treatment failure in overweight and obese persons (38). This concern is particularly highlighted given that the CDC and WHO guidelines for all orally administered first-line anti-TB drugs are based on ideal body weight and mandate capping ethambutol doses at 1,600 mg a day regardless of weight (4, 45). Similarly, for disseminated MAC, the peak concentration ( $C_{max}$ )-to-MIC ratio has been demonstrated to optimize killing, with a serum 90% effective concentration ( $EC_{90}$ ) of 1.23 (8). Eighty-three percent of volunteers achieved a  $C_{max}$  of  $<2$  mg/liter. Since 90% of clinical isolates have MICs of  $\geq 1$  mg/liter, it means most patients ( $>90\%$ ) will achieve a  $C_{max}/MIC$  well below the  $EC_{90}$  (8). On the other hand, our findings of increased ethambutol clearance in heavier persons appear to contradict the speculation of a recent case series suggesting that obesity increases the risk of optic neuropathy (2, 18). However, further study is required to validate the clinical significance of this finding.

Our study has several limitations. The study population received a single dose of ethambutol, and concentrations measured were not steady-state concentrations. Second, in many situations the disease being treated may alter the pharmacokinetic parameter estimates. Nevertheless, the pharmacokinetic parameter estimates in our base model did not differ from those based on steady-state concentration identified by others in patients with active TB. Finally, we did not examine disposition routes, and thus the physiological mechanisms behind increased ethambutol clearance in heavier patients remain unknown.

In conclusion, the relationship derived in this study should help optimize ethambutol dosing for obese patients in the future. Microbial killing by ethambutol for *M. tuberculosis* is linked to  $AUC/MIC$ , while suppression of resistance is linked to  $T_{MIC}$ . This means that an increased SCL in obese patients has the potential to affect the efficacy of the drug for obese TB patients around the world. Development of a clinically useful dosing regimen that accounts for the increased variability introduced by body weight is the next step needed to provide dose individualization for overweight and obese patients.

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