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Mitochondrial Subversion in Cancer

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Abstract

Mitochondria control essential cellular activities including generation of ATP via oxidative phosphorylation. Mitochondrial DNA (mtDNA) mutations in the regulatory D-loop region and somatic mtDNA mutations are common in primary human cancers. The biological impact of a given mutation may vary, depending on the nature of the mutation and the proportion of mutant mtDNAs carried by the cell. Identification of mtDNA mutations in precancerous lesions supports their early contribution to cell transformation and cancer progression. Introduction of mtDNA mutations in transformed cells has been associated with increased ROS production and tumor growth. Studies reveal that increased and altered mtDNA plays a role in the development of cancer but further work is required to establish the functional significance of specific mitochondrial mutations in cancer and disease progression. This review offers some insight into the extent of mtDNA mutations, their functional consequences in tumorigenesis, mitochondrial therapeutics, and future clinical application.

Introduction

Defects in mitochondrial function have long been suspected to contribute to the development and possible progression of cancer. More than half a century ago, Warburg (1) initiated research on mitochondrial alterations in cancer and proposed a mechanism to explain the differences in energy metabolism between normal and cancer cells. He suggested that mitochondrial alterations could provide unique therapeutic targets in various cancer types (1–3). Since Warburg's proposal, several cancer-related mitochondrial alterations have been identified.

To carry out its functions, a mitochondrion carries its own genome, which consists of 13 polypeptides of the electron transport chain (ETC) and 22 tRNA and 2 rRNA genes for its own protein synthesis (ref. 4; Fig. 1). The remaining protein subunits involved in the ETC complexes, along with those required for maintenance of mitochondrial DNA (mtDNA), are nuclear encoded, synthesized in the cytosol, and are specifically targeted to the mitochondria. Typically a mammalian cell contains 10^3 to 10^4 copies of mtDNA and this DNA can replicate independently of nuclear DNA (5). Human mtDNA is a 16.6 kb circular double-standard DNA molecule and is devoid of protective histones, although mitochondrial transcription factor A and single-stranded DNA-binding protein are cooperatively involved in the maintenance of mtDNA (6). Unlike nuclear DNA, which is inherited from both

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parents and in which genes are rearranged by recombination, there is usually no change in mtDNA from parent to offspring. Mitochondrial genes have an exclusively maternal mode of inheritance in mammals, therefore mtDNA lineages are clonal (7).

The mitochondrial ETC is a major source of reactive oxygen species (ROS). Elevated levels of ROS are associated with various diseases including cancer, and are generally associated with a cascade of redox signaling that leads to DNA damage. MtDNA is continuously exposed to ROS produced by the mitochondria and is also predisposed to chemical damage (8, 9), for example, by environmental factors such as UV, cigarette smoke, and ionizing radiation. MtDNA has a 10-fold higher accumulation of mutations than nuclear DNA (5). Cancer cells can carry mtDNA mutations and altered copy numbers of mtDNA, which in turn affect expression and activity of the ETC. A number of antioxidant defense mechanisms exist in mitochondria to remove ROS. Cells are normally able to defend themselves against ROS damage through ROS scavengers and antioxidant enzymes such as superoxide dismutases (SOD), catalases, and glutathione peroxidases, which are often deficient in tumor cells. As a result, persistent oxidative stress on cells leads to promotion of cancer growth and metastasis through induction of DNA damage which leads to mutations. Because of the susceptibility of mtDNA to damage, mitochondria also contain their own DNA repair systems. One important mtDNA repair mechanism is base excision repair (BER), and the detection of various BER enzymes in mitochondria highlights the importance ofmaintaining mtDNA integrity for normal cellular functioning (10, 11).

In this review, we have sought to focus on mitochondria-specific mechanisms that may promote cell survival and cancer progression in the presence of mtDNA alterations. Abnormalities in the mitochondrial genome may also arise from nuclear genetic disorders, which are reviewed elsewhere (12). It has also been well established that mitochondria play an important role in the regulation of programmed cell death (apoptosis) via release of proapoptotic agents and/or disruption of cellular energy metabolism (13–17), and this will also not be discussed further.

Heteroplasmy and Homoplasmy

Cells harbor multiple copies of mitochondrial genes as opposed to only 2 copies of each nuclear gene. Homoplasmy is a state in which all the mitochondria of a cell have the same genome, which may either be the wild type or a mutated one. As each cell contains many mitochondria and each mitochondrion 2 to 10 copies of mtDNA, it is possible that wild-type and mutant mtDNA can coexist in a state called heteroplasmy. Therefore, the biological impact of a given mutation could vary, depending on the proportion of mutant mtDNAs carried by each cell. In earlier studies no evidence of heteroplasmy was detected, probably due to the lower sensitivities of earlier techniques. However, using massively parallel sequencing-by-synthesis approach, one recent study reported profound heteroplasmy in the mtDNA of normal human cell (18, 19). MtDNA constantly undergoes mutation, with expansion or loss of mutated mtDNA copies, which may lead to homoplasmy (20, 21). Whether mtDNA point mutations occur by simple clonal expansion is debated. Although a large number of somatic mtDNA deletions are capable of clonal expansion in individual cells (22), somatic point mutations have not been shown to be able to clonally expand or reach homoplasmy *in vivo*, though there are some reportsin cell culture (23) and germline cells (24). Indirect evidence supporting the possibility of clonal expansion of somatic point mutation comes from genetically engineered heteroplasmic mouse studies (25).

If a mutation confers cell growth/survival advantage or facilitates mtDNA replication, such a mutation is likely to survive through selection and may eventually become dominant and evolve to become a homoplasmic mutant, by clonal expansion. It has been indicated that a

single cell with a mutant mitochondrial genome may acquire a selective growth advantage during tumor evolution, allowing it to become the predominant cell type in the tumor cell population (26). Also, it is possible that some (if not all) germline mtDNA mutations are actually somatic mutations that occurred early during prenatal development of the individual and drifted to homoplasmy. As such, heteroplasmic mutations may reflect an intermediate stage in this process (Fig. 2). For an mtDNA mutation to have significant effect on the physiology of the cell it must reach a threshold level of 60% or more (27, 28), depending on the type of mutation. Also, it is insufficient for the cell to accumulate different mutations, as different mutations are likely to compensate each other's deficiencies (29–31); however, there are limitations of transcomplementation (32). These studies strongly support the hypothesis that mutants would need to accumulate via clonal expansion of a single initial mutant. An alternative explanation to homoplasmic mtDNA mutations has been presented by Coller and colleagues (20), where using a mathematical model they show that the presence of a homoplasmic mitochondrial mutation in a tumor can result from random segregation of mutant genomes in many cell generations that occur during tumor development. The model further predicts that homoplasmic mitochondrial variations may arise in normal tissue derived from stem cells that have undergone a high number of cell division. This would mean that there is no need to have a selective advantage for a mutation in the mitochondrial genome to become dominant. Whether this exclusive model is valid or not is still a topic of extensive debate. If there is a high background of random mutation that provides no selective advantage, it is also to be considered that there are other mutations that alter mitochondrial function and cell physiology in a manner that has significant effect on tumor development.

MtDNA Alterations as Markers of Cancer

Mitochondrial cellular content and mutations are emerging as new molecular markers for cancer detection and monitoring. It has been shown that damaged mtDNA in cell lines led to the rapid evolution of homoplasmic mutations (33). Recent refinements in techniques including next-generation sequencing (34) for the detection of mtDNA content or copy number combined with rapid high-throughput methods of mutation detection, have incited interest in clinical studies of various tissues and bodily fluids (35). Initial examination of human bladder, head and neck, breast (19), and primary lung tumors revealed a high frequency of mtDNA mutations (36). One recent study also showed a correlation between increased mtDNA copy and future risk of lung cancer in heavy smokers (37). The mutated mtDNA was readily detectable in cancer-paired bodily fluids (including urine, saliva, and sputum) from each type of cancer and was 19 to 220 times more abundant than mutated nuclear p53 DNA (36). Recent studies from our laboratory detected clonal mtDNA mutations in histologically normal respiratory mucosa and surgical margins of some smoker lung cancers and recurrent head and neck cancer patients, respectively (38, 39), supporting the presence of extensive altered cellular fields with aberrant mtDNA surrounding much smaller clinical neoplasms.

It is critical to know the timing of mtDNA mutation in the course of disease development and progression if such alterations are to be used as markers. MtDNA mutations have been detected in clinical samples from early stage patients, indicating that they could be useful in early cancer detection. In a comprehensive analysis, 93 premalignant lesions from the upper aerodigestive tract were examined for mtDNA D-loop mutation (40). Twenty-two percent of the hyperplasia, 33% of the mild dysplasia, 36% of the moderate dysplasia, 50% of the severe dysplasia, and 62% of the CIS (carcinoma *in situ*) lesions carried mutation in the Dloop region. In 2 other studies, the whole mitochondrial genome and D-loop regions were sequenced in gastric intraepithelial neoplasia and sequence variants were detected in 100% and 62% of cases, respectively (41, 42). Using D310 mutations as a clonal marker,

investigators have detected changes in fine-needle aspirates in breast cancer (43) and in urine sediments from patients with bladder and prostate cancer (44, 45). A study comprising the buccal cells from 42 healthy smokers and 30 nonsmokers reported higher frequency of mtDNA mutation in the mtDNA of the smokers, though no clear connection has been established that smokers with mtDNA mutations are more likely to develop cancer (46).

By virtue of their clonal nature and high copy number, mitochondrial mutations could provide a powerful molecular marker for noninvasive early detection of cancer. In an interesting study, a specific mtDNA mutation (m.15296A>G; cytochrome b) was clonally detected in bone marrow samples collected during different time points from a leukemia patient (47), suggesting a possible role for this marker for monitoring disease progression. In light of this, it remains important to identify disease-associated clonal mtDNA mutations in different cancers at all stages utilizing appropriate detection platforms. With the advent of high-throughput approaches such as the Mitochip (48), larger more prospective studies may be carried out to determine the value of these mutations in early detection approaches. As most cancer cells harbor homoplasmic mitochondrial mutations, their ease of detection in bodily fluids and minute cellular samples such as biopsies offer varied opportunities for clinical use. As noted earlier, definitive diagnosis of clonal expansion through mtDNA testing would be helpful in designating difficult biopsies as malignant. Testing for mtDNA mutation in blood, urine, saliva, stool, or sputum DNA couldbeusedwithimaging studiestodetectearly cancers. Clonal mtDNA mutations could also be followed to indicate recurrence or to monitor response to therapy, and could even be therapeutic targets. Finally, abrogation of mtDNA mutations in patients undergoing chemoprevention could be used to monitor reversal of early benign lesion. Validation of tumor-associated mtDNA mutations by comparing matched normal and tumor samples followed by their detection in corresponding clinically available samples including paired bodily fluids will facilitate their development in prevention, early detection, and monitoring strategies.

MtDNA Mutations in Human Cancers

To date, whole genome or specific regions of human mtDNA (Fig. 1) have been sequenced in different tumor types for mutation detection. The mutations detected specifically in the regulatory noncoding D-loop or other coding regions of the mtDNA are discussed in the following text.

D-loop alteration

The D-loop or displacement loop region is the main noncoding area of the mtDNA molecule, in which replication of mtDNA occurs. The region also contains promoters for transcription from the 2 strands of mtDNA. D-loop alterations might interfere with initiation of mtDNA replication, though there are no reports of precise consequence of D-loop mutations (49). An earlier study from our laboratory identified the C-tract, a poly-C mononucleotide repeat (CCCCCCC...TCCCCC) located between the nt303 and nt315 (regarded as D310) within the D-loop, as a mutational hot spot in primary tumors (50). The first stretch of cytosines is highly polymorphic ranging between 7-C to 9-C, with the most common sequence represented by 7-C. Deletion or insertion mutations in this region have been observed in approximately 22% of the tumors analyzed (50). To date, frequent somatic D-loop alterations (insertion/deletion) have been identified in all major cancer types (refs. 35, 41, 45, 49–58; Table 1). The number of cytosine in the D310 area seems to influence the incidence of mutations in the D310 sequence (49, 56). The majority of D310 mutations were observed when the number of cytosine was more than 7 (i.e., 8 or 9). Therefore, this variation in cytosine number could explain discrepancies among published results (26, 50, 52, 59–61).

Most of the studies in Table 1 compared the mtDNA sequence in neoplastic cells to mtDNA in matched normal tissue or lymphocytes; however, the functional contribution of D-loop alterations in cancer is unclear. The different rates of D310 variations in different tumor types (45, 50) suggest the existence of alternative mechanisms for the generation of some D310 alterations, such as the rate of acquired mutations during tumor development and the number of mitochondria per cell. The fact that most D-loop alterations are confined to the polymorphic length range suggests that most D310 variants in tumors are unlikely to functionally impair mitochondria.

Somatic mtDNA mutations

Somatic mtDNA mutation was reported in colon cancer by Polyak and colleagues, though a whole-genome conventional sequencing approach (26). The whole mitochondrial genome was sequenced in 10 colon cancer cell lines. Seventy percent showed a total of 12 somatic mtDNA mutations which were homoplasmic in nature. All the mutations were also detected in the primary tumors from which the cell lines were derived. Interestingly, another complete sequencing of the mitochondrial genome revealed a high level of mtDNA mutations in normalcolonic crypt stem cells (21). The presence of mtDNA mutations in stem cells indicates that mutations actually develop prior to development of colon cancer, though it is yet to be established whether these mutations actually cause malignancy. Following the mtDNA analysis in colon cancer (26), numerous somatic mtDNA sequence variants using a whole genome approach were reported in various cancers including bladder (36), breast(62, 63), esophagus (62), head and neck (64–66), leukemia (47), lung (67), and thyroid cancers (refs. 68–70; Table 2). Majority of these human cancers (36, 62–65, 71) harbor some frequency of somatic mtDNA mutation which are homoplasmic, with low levels of heteroplasmy.

A common deletion (4,977 base pair; located in ND2) studied by several groups in several cancer types, including breast (72, 73) and liver (57), indicated that the deletion accumulates more in the adjacent nontumor tissue than in the neoplastic cells. This may indicate that during carcinogenesis a strong selection pressure exists in favor of cells containing mitochondria with no deletions (57).

Importantly, most described mtDNA mutations in cancer are similar to naturally occurring polymorphic mtDNAs in the population and many of them have no apparent phenotype. These apparently neutral but homoplasmic somatic alterations point to simple clonal evolution of the affected cancer cell, presumably from another driving nuclear mutation or altered oncogenic pathway. As such, these changes are valuable clonal markers (see the following text) but are very unlikely to produce a real growth advantage for affected cells. How these simple variants arise and then become homoplasmic in individual cells is still an unknown process.

Several investigators have recently identified that a significant number of the mtDNA studies in Table 2 are based on "flawed sequencing results" (74). On the basis of a literature search and subsequent analysis of different phylogenetic trees, most errors seemed to result from inadequate database searches as well as sample mix-ups (74–79). These investigators identified several reported "mtDNA mutations," to actually be neutral single nucleotide polymorphisms (SNP) belonging to different haplogroups (74–78). It has been suggested that a phylogenetic tool should be utilized for proper data analysis as well as for appropriate mtDNA study design (74). This will allow us to understand the distinction between neutral SNPs in the population and disease-associated mutations (74).

It should be noted that mutation detection depends on the sensitivity of the method used and the quality of the starting DNA. For example, a high incidence of mtDNA mutations were

detected by using single cell analysis, whereas most of the mutations could not be detected when using DNA from pooled cells (80). Another consideration is that the majority of the reported mutations are synonymous. Subsequent functional studies of the specific and bona fide tumor-associated mtDNA mutations as carried out recently in uterocervical and bladdercancer (66, 81) would allow us to understand their role in cancer progression and impact on mitochondrial biology. Such studies provide a link between mitochondrial genomic mutations and induction of the malignant phenotype that has been a known feature of most tumors for centuries. These studies indicate that mitochondrial mutations may activate pathways involved in the development and maintenance of a malignant phenotype. Moreover, generation of increased cellular ROS results in a continuous genomic insult leading to mutations in the mitochondrial genome, which may alter the functioning of the oxidative phosphorylation chain.

Germline sequence variants were reported in different tumors and were suggested as an indicator of a high susceptibility genetic background which might facilitate concomitant somatic mutation in mtDNA and nDNA (82). In a recent study, mtDNA A10398G polymorphism and alcohol consumption were shown to be associated with breast cancer risk (83). However, the authors failed to categorize the participants into well-defined haplogroups (83) as done by Bai and colleagues (84). In light of the recent criticism of this study, the association between mtDNA polymorphism and breast cancer risk needs to be further refined. Thus, in mtDNA SNP-association studies, population categorization in respective haplogroups followed by careful evaluation of SNP distribution is warranted.

MtDNA copy number

In addition to mtDNA mutations and deletion, alteration in the mtDNA copy number has been studied in different tumor types. An increase in mtDNA copy number has been reported in Head and neck squamous cell carcinoma (HNSCC; ref. 85), papillary thyroid carcinoma (86, 87), and lung cancer (88). An increase in mtDNA copy number has also been associated with chronic lymphocytic leukemia (CLL), Burkett lymphoma, Epstein-Barr virus–transformed lymphoblastoid cell lines, non-Hodgkin's lymphoma, and small lymphocytic lymphoma (89). An increase in mtDNA content has been suggested as a compensatory mechanism for mtDNA damage (85). On the contrary, a decrease in mtDNA copy number (mtDNA depletion) has been reported in number of tumor types including breast (86), kidney (90), liver (91), ovary (92), and gastric cancers (58), and was suggested to be associated with increased risk of disease development and progression (86, 90–92). The mtDNA copy number in cancers might depend on several factors (93), including the site of mutation in the mitochondrial genome. For example, mutations in the D-loop of the mitochondria, which control replication of mtDNA, may result in decreased copy number. Conversely, an increase in mtDNA copy number might occur as an adaptive response to mitochondrial dysfunction or due to mutations in nuclear genes involved in controlling mtDNA copy number indirectly. Finally, the likelihood of a more active mechanism controlling mtDNA copy number cannot be overlooked, as we still have not identified all factors participating in this process.

Chemotherapy and mtDNA Mutations

Mitochondrial defects have been implicated in the development and progression of cancer for several decades. Certain chemotherapeutic agents with DNA-damaging properties may cause mtDNA mutations, resulting in increased mitochondrial ROS generation. Chinese hamster ovary cells exposed to to cis-diamminedichloro-platinum(II) for 24 hours have shown 4- to 6-fold levels of DNA adducts in mtDNA compared with nuclear DNA (94). Studies in adenocarcinoma (95) showed that chemotherapeutic agents such as cisplatinum can accumulate in mitochondria and damage mtDNA *in vitro*. Also, studies in CLL showed

that patients who received prior chemotherapy generated higher levels of cellular free radical than those who were untreated (96). Further studies from the same group also indicated that CLL patients who had received prior chemotherapy had higher frequency of heteroplasmic mtDNA mutations compared with the patients untreated with chemotherapy. The mtDNA mutations were associated with increased ROS generation (97). Studies by our group have indicated that exposure of cervical cancer cells to 2-methoxyestradiol (2-ME) resulted in an increase in mitochondrial membrane potential and apoptosis (98). These studies suggest that chemotherapy with certain DNA-damaging agents may cause mtDNA mutations, which initially appear heteroplasmic, and are associated with increased ROS generation. However, it is difficult to assess whether the mutations are a direct consequence of chemotherapy-induced DNA damage or whether the chemotherapy first increases the free radical generation, leading to oxidative damage to mtDNA, resulting in further increase in ROS generation due to a compromised respiratory chain. It is quite possible that both scenarios occur *in vivo*. As discussed earlier, both homo- and heteroplasmic mutations have been frequently observed in cancer cells, it is likely that drug-induced mtDNA mutations in cancer cells may initially appear in a heteroplasmic state and convert to homoplasmic state via *in vivo* selection process.

As the mitochondrion plays an essential role in ROS generation, increased ROS may also affect the sensitivity of cancer cells to chemotherapy (99). Clinical drug resistance is a multifactorial event, and mtDNA mutation is only one of many important contributing factors. An increase in ROS generation due to mtDNA mutation may also assist in the design of novel therapeutic strategies that preferentially kill cancer cells with ROS stress (100, 101). It is possible that respiratory inefficiency as a consequence of mtDNA alterations may contribute to the elevated glycolytic activity (Warburg effect) leading to constitutive oxidative stress frequently observed in malignant cells. Recent studies by Dang and colleagues (102) have described approaches to target the Warburg effect in cancer, where the authors have shown that mutant *IDH1* may be used as potential therapeutic target as a metabolic genetic biomarker for drug development. A detailed description of such metabolic therapeutic approaches have been reviewed in ref. 103.

Another promising therapeutic approach to treat patients with mtDNA mutation in their tumor is based on allotopic gene expression (ref. 104; allotopic gene expression is based on expressing a mitochondrially encoded gene from nucleus transfected constructs as a fusion with an N-terminal mitochondrial target sequence). Allotopic gene expression is generally difficult due to the extreme hydrophobicity of these proteins, which prevents their import into mitochondria from the cytosol. An alternative to this approach is the use of inteins (selfsplicing "protein introns") as their insertion into such transgenes could greatly reduce the hydrophobicity of the encoded proteins, enabling import, with post import excision and restoration of the natural amino acid sequence. A detailed description of the use of inteins is reviewed in ref. 105. Recently, antioxidant therapy showed promise as anticancer therapy, and such agents were designated as "mitocans" (an acronym for mitochondria and cancer). Mitocans act via mitochondrial destabilization, with activation of mitochondrial mediators of apoptosis. These inducers of apoptosis elude the frequent mutations at mtDNA that occur in cancers which may be responsible in making tumors resistant to many established chemotherapeutic drugs (106, 107). Mitocans may be favorable in the fight against cancer because of low levels of side effects due to their greater target selectivity. Mitocans are still in the early phase of clinical testing, although preliminary data suggest a promising clinical outcome. Mitocans when used in combination with existing chemotherapeutic drugs offer opportunities for additive or synergistic therapeutic effects (108). Further studies are warranted to see whether they can induce the clonal depletion and exhaustion of aberrant mtDNA progenitor cell populations leading to a new therapeutic strategy.

Mitochondrial Production of ROS

Mitochondria play important roles in energy metabolism, generation of ROS, and apoptosis. The best characterized function of the mitochondria is oxidative phosphorylation in which nicotinamide adenine dinucleotide (NADH) and succinate generated in the citric acid cycle are oxidized, providing energy to power ATP synthase (complex V of ETC) for generation of ATP (Fig. 3). Thisis a multistep redox process that occurs on the mitochondrial inner membrane. In the process of ETC, a small percentage of electrons are prematurely leaked to oxygen, from complex I and/or complex III, resulting in the formation of toxic free radicals, commonly referred to as ROS. Considering that mtDNA lacks sizeable introns, most mutations occur in the coding regions, and are thus likely to be of biological consequence (109). Reduced expression of oxidative phosphorylation complexes (complexes II, III, and IV of the ETC, and ATP synthase) has been associated with various forms of cancer. This intricate energy conversion system is susceptible to malfunction by many causes, including mtDNA and/or nuclear gene mutations or oxidative damage of the enzymes and associated lipids, occurring either alone or in various combinations, leading to increased production of ROS (110–113).

Complex I

NADH dehydrogenase (complex I) is located in the inner mitochondrial membrane and catalyzes the transfer of electrons from NADH to coenzyme Q (CoQ). It is composed of 46 subunits, 7 of which [NADH dehydrogenase (ND) 1–6 and NADH dehydrogenase 4L] are mitochondrially encoded (Fig. 3). The first report of a functionally significant mtDNA mutation in cancer was the deletion of 294 nucleotides in *ND1* and preferential transcription of truncated *ND1* in a patient with renal adenocarcinoma (114). Targeting mitochondria with nuclear-transcribed ND2 also results in increased ROS production (66). Transmitochondrial cybrids (cytoplasmic hybrids; see glossary) harboring the m.14487T>C mutation in *ND6* had increased ROS production owing to complex I deficiency (115). Interestingly, increased ROS did not result in increased antioxidant defense, suggesting that complex I mutations may result in failure of the antioxidant defense system.

The role of mitochondrial mutations in complex I components in the progression of tumor metastasis was studied by using mouse tumor cell lines with high or low metastatic potential (116). Complex I activity decreased and metastatic potential was acquired in cybrids containing mtDNA from highly metastatic cells, whereas those containing mtDNA from cells with low metastatic potential had no change in complex I activity and lost metastatic potential. High metastatic potential was also conferred by mutant ND6. These observations suggest that defects in complex I and high metastatic potential are transferred simultaneously and that some pathogenic mutations in mtDNA may also induce complex I defects (116).

Accumulation of mtDNA mutations in benign oncocytoma is exclusively associated with loss of complex I and also complex III (ref. 117; the most potent sites for ROS generation in the TCA cycle). The fascinating feature of these oncocytomas is that nearly all mutations were somatic and homoplasmic in nature. The deficiency of complex I activity has also been reported by others (118, 119), further supporting the concept of clonal expansion of mtDNA mutations and suggesting that positive selection pressure is exerted on cells by mtDNA mutations that alter complex I activity.

Ubiquinol-cytochrome c reductase (complex III)

Overexpression of a 21–base pair deletion mutation of mitochondria encoded cytochrome *b* (complex III) in murine and human uroepithelial carcinoma/transformed cells resulted in

Cytochrome c oxidase (complex IV)

Defective oxidative phosphorylation, including defects in cytochrome c oxidase (complex IV) in cancers, which can increase ROS levels and mtDNA damage has also been reported (69, 120, 121). Low expression of mitochondrial COX II or relatively high expression of COX I and III (see glossary) have been reported in different cancer types and tumors (120– 123).

ATP synthase (complex V)

Insights into the alteration of ATP6 (see glossary) has been reported in prostate cancer (122). Mouse tumors harboring ATP6 with an m.8993T>G mutation generated increased ROS and it was postulated that this may lead to an increase in DNA damage and tumor growth (122, 124). Petros and colleagues (124) also introduced ATP6m.8993T>G into the PC3 prostate cancer cell line through cybrid transfer and found that mutant cybrid tumors in nude mice were 7 times larger than those from wild-type cybrids, which had little perceptible growth. This mutation may also contribute to prognosis and bone metastasis in prostate cancer (125). ATP6-m.8993T>G, and also an m.9176T>C mutation, also decreased mitochondrial respiration and accelerated tumor growth by prevention of apoptosis in HeLa cell cybrids (126). These different mutations in ATP6 may lead to different functional abnormalities.

Table 3 describes the links to the various databases available for analyzing mtDNA alterations.

Antioxidant Defense Mechanisms

During the reduction of oxygen to water in mitochondria, approximately 1% to 2% of total oxygen consumption gives rise to ROS such as superoxide radicals (O_2^-) and hydrogen peroxide $(H₂O₂)$. Oxidative stress may affect several cellular functions, like proliferation, genomic instability, alterations in cellular sensitivity to anticancer agents, invasion, and metastasis. The first line of defense that cells possess is the conversion of O_2^- to H_2O_2 and O2 by SODs; (Fig. 4). Overexpression of Mn-SOD leads to retardation of tumor growth (127) and resistance to O_2 ⁻ (128). Furthermore, modulation of signal transduction cascades, leading to connective tissue degradation, and finally cancer, has been linked with unbalanced expression of the Mn-SOD (128, 129). Generally, most cancer cells have been associated with low expression of Mn-SOD (130, 131), but some reports also indicate increased expression of this protein/enzyme (132–134). The discrepancy in the levels of SOD could be due to (a) the studies were conducted in different population groups; (b) the technique used to detect the enzyme levels varied between the studies; or (c) the studies were done in different cancer types and of different stages. Further, variable expression of Mn-SOD in different cancer types, polymorphisms affecting enzyme function, and a mutation in exon 3 have also been associated with unbalanced expression and decreased activity of the enzyme (135). Mn-SOD is protective up to a point, beyond which protection is lost and damage is increased (136). This is also supported by the observation thatabnormally high levels of Mn-SOD activity, whereas suppressing cell growth, increase the invasive potential of cancer cells, (137, 138) possibly owing to an imbalance between O_2 ⁻ production and H_2O_2 degradation.

Chatterjee et al. Page 10

 H_2O_2 is not a radical because it lacks an unpaired electron, but it is capable of mediating oxidative damage. H_2O_2 is capable of diffusing across membranes, and in the presence of free transition metals is capable of reacting and forming OH⁻ (hydroxyl radicals; Fenton Reaction). Removal of H_2O_2 is hence another and second critical step, mediated by glutathione peroxidase (GPx). GPx is a selenium-containing tetrameric glycoprotein, that is, a molecule with 4 selenocysteine amino acid residues, that reacts with glutathione to reduce $H₂O₂$ to $H₂O$ (Fig. 4). A high level of GPx has been reported in lung (139), gastrointestinal (140), and colorectal (141) cancers, suggesting that oxidative stress plays an important role in tumorigenesis. Contradictory to these findings, decreased concentrations of GPx were reported in CLL (142), prostate cancer (143), and bladder cancer (134). Upregulation of antioxidants induced by oxidative stress, such as GPx, may confer a selective growth advantage to tumor cells over their adjacent normal counterparts. Low or reduced GPx in tumor tissue could be an indication of poor enzymatic antioxidant defense system, which would make cells extremely vulnerable to ROS-induced damage. Further, the discrepancies noted earlier regarding levels of glutathione (GSH) and/or GPx could be due to heterogeneous tissue structure, storage condition of the samples or the method used in the analysis. Emerging studies also reveal regulation of GPx and its isoforms by methylation and deletions (143).

Another defense enzyme that can convert H_2O_2 to H_2O is catalase. Catalase is present primarily in the peroxisome, and also in mitochondria from rat heart (144) and liver (145). Catalase has one of the highest turnover numbers of all enzymes; 1 molecule of catalase can convert approximately 6 million molecules of H_2O_2 to H_2O and O_2 per second. Both upregulation (133) and downregulation (146) of catalase, as well as decreased catalase activity (147), have been reported in lung cancer. One possibility for the discrepancy in the regulation of the enzyme could be the assay method used in the studies. Aberrant methylation in the catalase promoter may also downregulate catalase transcription (148). Attenuation of catalase in malignantly transformed cell lines is mainly responsible for the elevated ROS levels in these cells (149). Although downregulation of catalase has been observed in carcinomas, the potential consequences of the decrease largely remain unknown. One of the consequences of decreased catalase expression may be elevated transcription factor activity during tumor progression (reviewed in ref. 150). Reduction of catalase activity in liver tumors is one of the earliest biochemical changes observed in this cancer (151). Liver catalase activity is restored to normal levels by tumor removal (151), indicating that soluble factors from tumor cells may repress catalase expression.

These findings strongly suggest that ROS, especially H_2O_2 , are not efficiently removed in most tumor tissues. Taken together, these studies indicate that (a) high level of SOD accompanied by decrease in catalase activity and (b) decreased levels of SOD along with decreased activity of GPx may lead to increased production of intracellular H_2O_2 , or enhanced production of oxygen radicals leading to a favorable environment for DNA damage and promotion of cancer.

MtDNA Repair Machinery

To maintain proper genetic integrity and ultimately prevention of cancer, more elaborate mechanisms are in place to repair DNA damage in the nucleus compared with those present in the mitochondria. DNA repair is a crucial function necessary to maintain genomic stability. Thus, multiple DNA repair pathways exist, each associated with a specific class of lesion (152). The major source of endogenous DNA damage is ROS generated from oxidative phosphorylation. Exogenous sources of DNA damage include environmental agents such as UV light, ionizing radiation, chemicals, toxins, and pollutants. The manner in which oxidative DNA damage is handled varies in the mitochondria and nucleus (153).

Chatterjee et al. Page 11

As mentioned earlier, continuous generation of DNA modifications by ROS occur at a much higher frequency in mitochondrial than nuclear DNA, making mtDNA more susceptible to mutation, which has been well documented in cancer. It is therefore not surprising that mitochondria have endogenous DNA repair mechanisms to remove the oxidatively damaged bases. The most versatile excision repair pathway, responsible for repairing most endogenous DNA lesions is BER (Fig. 5). To date, this is the only fully intact repair system to be reported in mitochondria (154). In the nucleus, 2 penultimate steps of BER are carried out by DNA polymerase-β, which exhibits 5′-deoxyribose-5-phosphate (5′-dRP) lyase and DNA polymerase activities. This is followed by joining of the repaired DNA strand by DNA ligase. The sole DNA polymerase known to be functional in the mitochondria is polymerase-γ (pol-γ) and it is assumed to function in mitochondrial BER (155). Human pol- γ is composed of a 140 kDa catalytic subunit and a 55 kDa accessory subunit. The catalytic subunit of pol-γ exhibits 5′-deoxyribose phosphatase lyase activity and can fill nucleotide gaps generated in the BER pathway (156). Mutations in either the polymerase or the exonuclease domain of pol-γ have been associated with increased frequency of mtDNA mutations (155). The molecular mechanism by which pol-γ ensures stability of the mitochondrial genome following mtDNA damage is still unknown. One aspect of poor repair of mtDNA has been attributed to the oxidation of pol- γ by H₂O₂ within mitochondria (157). Mouse studies reveal the absolute necessity of pol-γ for embryonic development and mtDNA maintenance (158). Homozygous knock-in mice expressing pol-γ lacking proofreading activity (the catalytic subunit of pol-γ) developed an mtDNA mutator phenotype with a 3- to 5-fold increase in mtDNA pointmutations and deletions (159). This increase in somatic mtDNA mutations has been associated with many alterations, including ageing, but a higher incidence of cancer has not yet been reported (159). The pol-γ mutator mouse not only harbors mtDNA point mutations but also harbors linear mtDNAs, which cause replication pausing and chromosomal breakage leading to perturbed mtDNA replication and aging features (160). In contract to these studies Vermulst and colleagues (161) reported that a heterozygous pol-γ mutated mouse exhibited 500-fold higher mutation when compared with a normal mouse without any correlation with aging phenotype. In their subsequent studies (162) the same authors reported that in the homozygous pol- γ mutated mouse, there was a 7- to 11-fold increase in mtDNA mutation and accelerated aging compared with the wild-type or heterozygous mutator mouse. These studies suggest that there may be prevalent heterozygous carriers of pol-γ, who harbor a large number of undetected mitochondrial mutations and still attain normal aging.

DNA glycosylases are also involved in the repair of mtDNA by BER. The first DNA glycosylase discovered was uracil DNA glycosylase (UDG) which excises uracil from DNA. Uracil may also arise due to spontaneous cytosine deamination or misincorporation of dUMP opposite adenine during DNA replication, leading to a G:C to A: T transition mutation. There are 2 isoforms of UDG-– nuclear and mitochondrial, which are generated by transcription from different promoters and alternate splicing. Deficiency of mitochondriaspecific UDG in yeast was shown to be mutagenic to mtDNA (163). Recent studies also indicate the existence of UDG in mammalian mitochondria (164, 165). Upregulation of mitochondrial UDG was reported in response to oxidative stress highlighting the importance of the enzyme in mitochondria (166).

Exposure to oxidative damage often leads to conversion of guanine to 8-oxo-7,8 dihydroguanine (8-oxoG), resulting in the incorporation of dATP opposite 8-oxoG leading to G:C to T:A transversion (167). In humans, 8-oxoG is removed by hOgg1, a BER DNA glycosylase/Ap lyase that specifically incises 8-oxoG opposite cytosine (168). The *hOGG1* gene encodes 2 major isoforms, α-*hOGG1* and β-*hOGG1*, which are products of alternative splicing. α-*hOGG1* is nuclear whereas β-*hOGG1* is mitochondrial (169). We and others have shown that mtDNA repair and cellular survival is enhanced by targeting recombinant

hOGG1 to the mitochondria in HeLa cells (which normally expresses low levels of *hOGG1*;ref. 98, 168, 170, 171). Targeting *hOGG1* to mitochondria also protects cells from menadione-induced oxidative stress, apoptosis evoked by xanthine oxidase, fatty acid– induced apoptosis and nitric oxide–induced mtDNA damage (98). These studies have confirmed that *hOGG1*, when expressed in mitochondria, enhances mtDNA repair and protects cells from damage-induced death. Our previous studies in lung cancer also showed that the expression level of *hOGG1* can determine cellular survival on exposure to oxidative damaging agents like H_2O_2 (172). The protective effect of $hOGGI$ against 1,3 bis(2) chloroethyl) 1 nitrosourea in lung cancer has also been documented (173). Furthermore, we found that targeting *hOGG1* to mitochondria of cervical cancer cells protects the cells from injury inflicted by 2-methoxy estradiol (98). Studies in breast (174, 175) and pancreatic (176) cancers reveal defective repair of 8-oxoG, pointing to the importance of this lesion in different cancer types. Taken together these studies highlight the importance of mitochondrial *hOGG1* in cellular protection against DNA damaging agents.

Contrary to these, Zhang and colleagues (177) found that overexpression of mitochondrial hOgg1 in hepatoma cells increased mtDNA damage, membrane potential, and ROS production, and decreased survival of cells exposed to the chemotherapeutic agent cisplatin. Human mammary adenocarcinoma cells when targeted with increased expression of, *N*methylpurine DNA glycosylase (MPG–another DNA glycosylase, involved in BER) in mitochondria, increased the lethal action of methyl methane sulfonate (MMS), at the same time increased cellular mortality was also observed in the absence of MMS (178). Recent studies also indicate sensitization of cells to a chemotherapeutic alkylating agent by overexpression of MPG (179). In all of these cases where an increase in DNA damage is observedwith overexpression of BER enzymes, it is hypothesized that it causes an imbalance in BER, leading to accumulation of AP sites and or single/double-strand breaks, which remains unrepaired by mitochondria (probably because the ratio of incision by dRPase exceeds that of nick sealing by DNA ligase). These studies indicate the importance of proper functioning of the BER pathway in mitochondria.

Conclusion

Frequent mtDNA alterations have been documented in different stages of cancer progression, strongly suggesting a functional correlation between mtDNA alterations and tumorigenesis. The analysis of mtDNA mutations for cancer detection has some advantages as compared with the detection of alterations in nuclear DNA. Mitochondrial mutations are essentially homoplasmic, and there is evidence that tumor cells have higher mtDNA content than normal cells. A functional contribution for at least some of these key mutations, pertaining to the ETC complexes have been documented in prostate, cervical, head and neck and bladder cancer cells. Under normal physiologic conditions, ROS generated by oxidative phosphorylation is scavenged by enzymatic or nonenzymatic antioxidants. An unfortunate loop appears to form in mitochondria subverted by cancer cells. Increases in ROS lead to mutations, and the mutations caused by ROS and its defective repair capacity clearly contribute to the development and progression of cancer. In this regard, the importance of repair pathways in mitochondria, and their role in mtDNA integrity and cancer progression cannot be ignored. Moreover, proficient communication between the nucleus and mitochondria is necessary for regulation of proteins encoded by the nucleus and then translocated into the mitochondria.

Many unanswered questions remain. The relationship between mtDNA alteration and clinical disease still remains to be fully elucidated. Also, the interplay between nuclear and mitochondrial genes needs much more careful investigation. Genetic and epigenetic changes in the nucleus and their association with mtDNA polymorphisms are still largely unknown.

Identifying nuclear genes controlling mtDNA copy number followed by functional changes associated with mtDNA copy number or mutations need to be investigated. These and other areas of investigation may provide a more comprehensive understanding of the role of mitochondria in tumorigenesis (180). Mitochondria-specific drug targeting in case of defective BER in cancer cells, may allow enhanced efficacy of other therapies. Moreover, increased mtDNA and clonal mtDNA mutations in preneoplastic and neoplastic cells deserve further attention as molecular markers for prevention and early detection of cancer.

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Glossary

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Figure 1.

Organization of the human mitochondrial genome. The 16.5 kb genome encodes 37 genes including 22 for mitochondrial tRNA (20 standard amino acids, pink arrows) and 2 for the rRNA (black arrows). The rest of the 13 genes encode for subunits of the different respiratory complexes I to IV (11 genes) and ATP synthase (2 genes). Complex I (NADH dehydrogenase) is composed of 7 mitochondrial subunits (ND1, ND2, ND3, ND4, ND5, ND6,and ND4L) and 37 nuclear subunits. Complex-II (succinate dehydrogenase) is composed of 4 subunits (all being nuclear encoded) and is both a component of the ETC and an enzyme of the Krebs cycle. Complex III (cytochrome c reductase) is a complex of 11 subunits. Only subunit, cytochrome b (purple arrow), is encoded by mtDNA, the remainder 10 are nuclear encoded. Complex IV (cytochrome c oxidase) is a complex of 13 different subunits, 3 (red arrows) are encoded by mtDNA, and 10 are nuclear encoded. The ATP synthase family is composed of 14 subunits; 2 (blue arrows) are mtDNA encoded. The other 14 are nuclear encoded. The displacement loop (D-loop, orange arrows) is the main noncoding area of the mtDNA where replication occurs. The region contains promoters for the transcription of RNA from the 2 strands of mtDNA. The heavy H strand has higher guanine content, and is transcribed from the P_H promoter. The light L strand is transcribed from the PL promoter. Replication of the heavy strand by DNA polymerase commences from the O_H replication origin; this eventually exposes the O_L origin allowing replication of the light strand.

Figure 2.

Homoplasmic and heteroplasmic mtDNA mutations due to environmental and genotoxic damages, along with nuclear (N) genetic changes; cells may incorporate somatic mtDNA mutations and acquire a state of heteroplasmy with both wild-type and mutated mtDNA copies. Further progression of tumor cells may be aided with a homoplasmic bias with mutant mtDNA copies or an admixture of both wild-type and mutant mtDNA, a state of heteroplasmy.

Figure 3.

Schematic representation of mitochondria complexes. The ETC in the mitochondrion is the site of oxidative phosphorylation. The NADH and succinate generated in the citric acid cycle (TCA cycle) are oxidized, providing energy to power ATP synthase. The diagram shows the complexes involved in OXPHOS (oxidative phosphorylation). Complex I (also known as NADH coQ reductase or NADH dehydrogenase) accepts electrons from the citric acid cycle (TCA cycle) electron carrier NADH, and passes them to coQ (ubiquinone; labeled CoQ), which also receives electrons from complex II, (also known as succinate dehydrogenase or succinate-Q oxidoreductase). CoQ passes electrons to complex III, (also known as Q-cytochrome c oxidoreductase or cytochrome c reductase or cytochrome b complex), which passes them to cytochrome c (Cyt c). Cyt c passes electrons to complex IV (also known as cytochrome c oxidase), which uses the electrons and hydrogen ions to reduce molecular oxygen to water. The electrochemical proton gradient allows ATP synthase (ATPase or complex V) to use the flow of H^+ through the enzyme back into the matrix to generate ATP from adenosine diphosphate (ADP). The last destination for an electron along this chain is an oxygen molecule. Normally the oxygen is reduced to produce water; however, few of the electrons passing through the chain escape and oxygen is instead reduced to the superoxide radical (ROS).

Figure 4.

Antioxidant defense mechanism in mitochondria. Mitochondria is the primary site for generation of ATP, which is the energy needed for cellular machinery. In addition to energy, ROS are produced, which results in cellular damage. The most commonly known ROS are hydrogen peroxide (H_2O_2), superoxide (O_2 ⁻), and hydroxyl ions (OH⁻). Superoxide generated in mitochondria is converted to H_2O_2 by the enzyme Mn-SOD. The H_2O_2 is further degraded to water by 2 defense mechanisms: (i) Glutathione peroxidase (GPx) catalyzes the reaction, whereby GSH reacts with H_2O_2 and converts it to glutathione disulfide (GSSG) and water (H₂O). Glutathione reductase (GSR) then reduces the oxidized glutathione (GSSG) to GSH. (ii) As a second mode of defense the H_2O_2 is converted into H_2O and molecular oxygen (O_2) by the mitochondrial catalase. Any superoxide that escapes mitochondria is again attacked by the SOD (Cu/Zn) present in the cytosol, which is again converted to H_2O_2 and this peroxide is decomposed by GPx and Catalase present in the cytoplasm and peroxisomes, respectively. The last destination for an electron along this chain is an oxygen molecule. Normally the oxygen is reduced to produce water; however, few of the electrons passing through the chain leak resulting in the generation of O_2 ⁻. The most common site for electron leak are complexes I and III. Superoxide is not particularly reactive by itself, but can inactivate specific enzymes or initiate the formation of OH– (depicted in red in dotted lines). Accumulation of OH– in mitochondria could lead to release of cyt C from mitochondria leading to apoptosis. The diagram also depicts the TCA cycle which takes place in the matrix of the mitochondria. To be noted: the diagram depicts NADH being generated by malate dehydrogenase, but in TCA cycle NADH is also generated by isocitrate dehydrogenase and α-ketoglutarate dehydrogenase.

Chatterjee et al. Page 29

Figure 5.

DNA repair pathway in mitochondria. A schematic representation of BER in mitochondria. In normal DNA guanine (G) pairs with cytosine (C); G:C. In case of oxidative DNA damage, guanine is directly oxidized to 8-oxoG, which pairs against cytosine (C). The oxidatively damaged base is repaired by DNA glycosylase, here the represented glycosylase is hOGG1 (8-oxoguanine DNA glycosylase which acts both as an N-glycosylase and an APlyase), which specifically removes the 8-oxoG opposite C and restores the normal DNA, that is, G:C. If unrepaired, the damaged base are replicated by translesion DNA synthesis (TLS) polymerases (181), which results in an 8-oxoG opposite adenine (A). In the second defense mechanism, repair enzymes like hMYH (Mut Y homologue) act in removing adenine opposite 8-oxoG thus eliminating $G:C \rightarrow T:A$ transversions. If the 8-oxoG:A is left unrepaired and undergoes DNA replication it results in mutated DNA, that is, G:C to T:A.

D-loop mutation in different cancers

Abbreviations: CS, conventional sequencing; CL, cell line; PT, primary tumors; HCC, hepatocellular carcinoma.

Table 2

Somatic mtDNA mutation involving other mtDNA regions in different cancers

Abbreviation: CS, conventional sequencing.

a

Affymetrix Mitochip v2.0 platform (www.affymetrix.com) for mitochondrial whole genome sequencing.

Table 3

Important databases for analyzing mtDNA alterations

- \rightarrow http://www.genpat.uu.se/mtDB/
- \rightarrow <http://www.mitowheel.org/>
- \rightarrow http://www.cstl.nist.gov/biotech/strbase/mitoanalyzer.html
- \rightarrow http://www.dsimb.inserm.fr/dsimb_tools/mitgene/
- \rightarrow <http://www.mitoproteome.org/>
- →<http://mitomaster.research.chop.edu>/MITOMASTER
- \rightarrow [http://www.mitomap.org/](http://www.mitomap.org)MITOMAP