

Phylogenetic differences in calcium permeability of the auditory hair cell cholinergic nicotinic receptor

Marcela Lipovsek^a, Gi Jung Im^b, Lucía F. Franchini^a, Francisco Pisciotto^a, Eleonora Katz^{a,c}, Paul Albert Fuchs^b, and Ana Belén Elgoyhen^{a,d,1}

^aInstituto de Investigaciones en Ingeniería Genética y Biología Molecular, Consejo Nacional de Investigaciones Científicas y Técnicas, 1428 Buenos Aires, Argentina; ^bDepartment of Otolaryngology and Head and Neck Surgery and Center for Hearing and Balance, The Johns Hopkins University School of Medicine, Baltimore, MD 21205; ^cDepartamento de Fisiología, Biología Molecular y Celular, Facultad de Ciencias Exactas y Naturales, Universidad de Buenos Aires, 1428 Buenos Aires, Argentina; and ^dDepartamento de Farmacología, Facultad de Medicina, Universidad de Buenos Aires, 1121 Buenos Aires, Argentina

Edited* by A. J. Hudspeth, Howard Hughes Medical Institute, New York, NY, and approved February 2, 2012 (received for review September 23, 2011)

The $\alpha 9$ and $\alpha 10$ cholinergic nicotinic receptor subunits assemble to form the receptor that mediates efferent inhibition of hair cell function within the auditory sensory organ, a mechanism thought to modulate the dynamic range of hearing. In contrast to all nicotinic receptors, which serve excitatory neurotransmission, the activation of $\alpha 9\alpha 10$ produces hyperpolarization of hair cells. An evolutionary analysis has shown that the $\alpha 10$ subunit exhibits signatures of positive selection only along the mammalian lineage, strongly suggesting the acquisition of a unique function. To establish whether mammalian $\alpha 9\alpha 10$ receptors have acquired distinct functional properties as a consequence of this evolutionary pressure, we compared the properties of rat and chicken recombinant and native $\alpha 9\alpha 10$ receptors. Our main finding in the present work is that, in contrast to the high ($pCa^{2+}/pMonovalents \sim 10$) Ca^{2+} permeability reported for rat $\alpha 9\alpha 10$ receptors, recombinant and native chicken $\alpha 9\alpha 10$ receptors have a much lower permeability (~ 2) to this cation, comparable to that of neuronal $\alpha 4\beta 2$ receptors. Moreover, we show that, in contrast to $\alpha 10$, $\alpha 7$ as well as $\alpha 4$ and $\beta 2$ nicotinic subunits are under purifying selection in vertebrates, consistent with the conserved Ca^{2+} permeability reported across species. These results have important consequences for the activation of signaling cascades that lead to hyperpolarization of hair cells after $\alpha 9\alpha 10$ gating at the cholinergic–hair cell synapse. In addition, they suggest that high Ca^{2+} permeability of the $\alpha 9\alpha 10$ cholinergic nicotinic receptor might have evolved together with other features that have given the mammalian ear an expanded high-frequency sensitivity.

evolution | ionotropic receptor | ligand-gated channel | outer hair cell

Nicotinic cholinergic receptors (nAChRs) are transmembrane allosteric proteins involved in the physiological responses to the neurotransmitter acetylcholine (ACh) (1). They are assembled from five identical (homopentamer) or different (heteropentamer) polypeptide chains arranged symmetrically around a central axis that lines a channel pore (1). The selective pressures for maintaining a wide diversity of nAChR subunits remain unknown (2, 3). The pentameric structure and function of muscle nAChR is well established; however, although much progress has been achieved, the possible combinatorial assemblies of neuronal subunits and their stoichiometry, distribution and function in the central nervous system remain subjects of ongoing investigation (4). Uniquely among these nonmuscle nAChRs, a clear function has been identified for the $\alpha 9$ and $\alpha 10$ nAChR subunits. These subunits assemble to form a pentameric receptor with a likely $\alpha 9_2\alpha 10_3$ stoichiometry, which serves cholinergic neurotransmission at the synapse between efferent fibers and hair cells of the auditory and vestibular end organs (5–8). Efferent function has been studied in cochlear hair cells, where it is thought to inhibit outer hair cell (OHC) electromotility and so reduce cochlear amplification (9). In contrast to all of the nicotinic receptors that serve excitatory neurotransmission, the activation of $\alpha 9\alpha 10$ nAChRs produces hyperpolarization of hair cells (see ref. 9 for a review). This is thought to be produced by

entry of Ca^{2+} through the receptor and the subsequent activation of a small-conductance SK2 Ca^{2+} -dependent potassium channel (10, 11). Thus, Ca^{2+} plays a major role in downstream signaling after activation of the $\alpha 9\alpha 10$ nAChR.

Analyzing the evolutionary history of $\alpha 9$ and $\alpha 10$ confers an additional degree of complexity to the diversity of nAChRs. Whereas $\alpha 9$ has been under purifying selection (i.e., selection against nonsynonymous substitutions at the DNA level) across all vertebrate species, $\alpha 10$ shows signatures of positive Darwinian selection only along the mammalian lineage (12). This observation suggests the possible acquisition of distinct functional properties for this receptor in mammals. To determine whether mammalian $\alpha 9\alpha 10$ nAChRs differ functionally from their nonmammalian vertebrate counterparts, we compared responses mediated by receptors of *Rattus norvegicus* (rn, rat) with those of *Gallus gallus* (gg, chicken). We report that, in contrast to the high Ca^{2+} permeability reported for the recombinant and native rat and recombinant human $\alpha 9\alpha 10$ receptors (10, 13, 14), recombinant and native chicken $\alpha 9\alpha 10$ nAChRs have a much lower permeability to this cation, comparable to that of neuronal $\alpha 4\beta 2$ receptors (15). These results have important physiological implications for the signaling cascades after $\alpha 9\alpha 10$ activation at the cholinergic–cochlear hair cell synapse and suggest that the high Ca^{2+} permeability of this nAChR might have accompanied the acquisition of high-frequency sensitivity in mammals.

Results

Responses to ACh. To determine whether chicken $\alpha 9$ and $\alpha 10$ nAChR subunits assemble into functional channels, we injected in vitro transcribed cRNAs into *Xenopus laevis* oocytes and recorded responses to ACh under two-electrode voltage clamp. Injected oocytes responded to ACh in a concentration-dependent manner, with an apparent affinity similar to that of rat receptors (EC_{50} : rn, $12.6 \pm 2.0 \mu M$, $n = 6$; gg, $11.0 \pm 3.3 \mu M$, $n = 6$; $P = 0.6831$) (Fig. S14). The desensitization kinetics of chicken and rat receptors were similar (Fig. S1B).

Calcium Permeability. Rat and human recombinant $\alpha 9\alpha 10$ nAChRs (13, 14), as well as native $\alpha 9\alpha 10$ nAChRs of rat cochlear hair cells (10), have a high Ca^{2+} permeability [relative Ca^{2+} to monovalent permeability ($pCa/pMono$) close to or exceeding 10]. Moreover, the fractional Ca^{2+} current (P_f , the fractional Ca^{2+} current, estimated as Ca^{2+} fluorescence increase/total charge entering the cell) in rat $\alpha 9\alpha 10$ -transfected GH4C1 cells (22%) is the largest

Author contributions: M.L., L.F.F., E.K., P.A.F., and A.B.E. designed research; M.L. and G.J.I. performed research; M.L., F.P., E.K., P.A.F., and A.B.E. analyzed data; and P.A.F. and A.B.E. wrote the paper.

The authors declare no conflict of interest.

*This Direct Submission article had a prearranged editor.

¹To whom correspondence should be addressed. E-mail: abelgoyhen@gmail.com.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1115488109/-DCSupplemental.

Table 1. Likelihood value estimates for the branch-site and null hypothesis models

	<i>CHRNA4</i>	<i>CHRN2</i>	<i>CHRNA7</i>	<i>CHRNA10</i>
Foreground lineage: mammals				
LnL H_A	-19,249.50	-12,662.16	-16,620.05	-16,185.80
LnL H_0	-19,250.54	-12,662.16	-16,621.27	-16,190.49
LRT	2.07	0	2.43	9.39
$P(\chi^2_1)$	0.150	1	0.119	0.002
Foreground lineage: placental mammals				
LnL H_A	-19,251.48	-12,662.20	-16,615.01	-16,213.78
LnL H_0	-19,251.48	-12,662.20	-16,616.83	-16,214.76
LRT	0	0	3.64	1.96
$P(\chi^2_1)$	1	1	0.057	0.162
Foreground lineage: birds/reptiles				
LnL H_A	-19,251.48	-12,660.13	-16,621.28	-16,218.23
LnL H_0	-19,251.48	-12,661.07	-16,621.28	-16,218.22
LRT	0	1.90	0	0
$P(\chi^2_1)$	1	0.169	1	1

CHRNA4, *CHRN2*, *CHRNA7*, and *CHRNA10* genes from 23 vertebrate species described in Table S2 were analyzed using the branch-site test for positive evolution. The analysis was conducted separately three times for each gene, using mammals, placental mammals and birds/reptiles as the foreground lineage. LRT = $2 \times (\text{LnLH}_A - \text{LnLH}_0)$. H_A , branch-site model; H_0 , null hypothesis; LnL, log-likelihood.

concentration. Nonetheless, a fit was possible in three of eight oocytes expressing chicken $\alpha 9\alpha 10$ and in one of five oocytes expressing $\alpha 4\beta 2$, for a pCa/pMono value close to 2. In summary, Ca^{2+} permeability of the chicken $\alpha 9\alpha 10$ nAChR is similar to that of the heteromeric neuronal $\alpha 4\beta 2$ receptor and significantly lower than that of the rat $\alpha 9\alpha 10$ receptor.

Calcium Permeability of nAChRs in Chicken Hair Cells. Earlier studies suggested that Ca^{2+} can permeate the chicken hair cell nAChR (20), but did not define permeability ratios. Tight-seal intracellular recordings were made from “short” hair cells in a region corresponding to ~500–1,000 Hz along the tonotopically organized basilar papilla from late-stage chicken embryos (days 17–20 in ovo, hatching at day 21). These cells responded to ACh with a combination of ligand-gated cation current followed by Ca^{2+} -dependent potassium current through apamin-sensitive (“SK-like”) potassium channels, as demonstrated previously (21).

The isolated ACh current through the $\alpha 9\alpha 10$ nAChR was measured as described in *SI Materials and Methods*. Under these conditions, application of ACh (1 mM) from a “puffer” pipette evoked an inward current at negative membrane potentials (Fig. S2A), which reversed in sign near 0 mV (Fig. S2B and C for step and ramp protocols, respectively). The overall shape of the I-V curves obtained in chicken short hair cells was similar to that reported for rat inner hair cells and OHCs (10, 22).

To estimate the relative pCa/pMono of native chicken $\alpha 9\alpha 10$ receptors, we measured the reversal potential of ACh-evoked membrane current in five external Ca^{2+} concentrations ranging from 0.1 to 10 mM. Fig. 3A shows representative I-V curves at three Ca^{2+} concentrations, and Fig. 3B shows the E_{rev} as a function of external Ca^{2+} . Because each individual I-V curve represents a different chicken short hair cell, the absolute magnitude of responses at different Ca^{2+} concentrations cannot be compared. As the external calcium concentration was increased, the reversal potential shifted to more positive membrane potentials. The variation of E_{rev} with log external Ca^{2+} concentration was fit (minimum least squared difference) with a straight line of slope 5 mV/ $\log_{10} [\text{Ca}^{2+}]_o$ ($n = 5-8$ cells at each concentration) (Fig. 3B). From the extended GHK equation, the Ca^{2+} permeability relative to that of the dominant monovalent cation was calculated as 2.6. For the purpose of comparison, E_{rev} obtained from rat inner hair cells

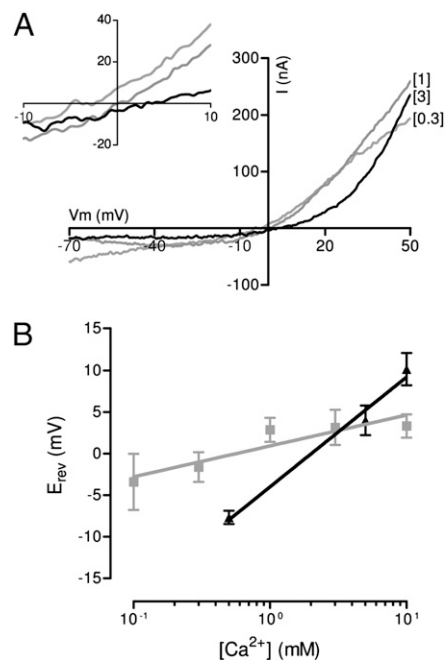


Fig. 3. Chicken short hair cell nAChR has lower relative calcium permeability. (A) Representative I-V curves obtained by voltage ramp protocols used to define the reversal potentials in external calcium concentrations of 0.1, 1, and 3 mM. (Inset) Magnification near the E_{rev} . Each I-V curve represents a different hair cell, and thus absolute amplitudes cannot be compared. (B) Average (\pm SEM) E_{rev} as a function of external calcium concentration in chicken short hair cells (gray squares, 5–8 cells per point) compared with previously published data for rat inner hair cells (black triangles). (From ref. 9.)

under similar recording conditions (10) are plotted on the same graph. The reversal potential of ACh-evoked currents of rat inner hair cells had a steeper slope (17 mV/ $\log_{10} [\text{Ca}^{2+}]_o$) (Fig. 3B), and a calculated pCa/pMono of 8 (10).

These differences in calcium permeability might influence the subsequent activation of calcium-dependent potassium channels that hyperpolarize and thus inhibit auditory hair cells (10, 21). This question is further complicated by the specialized ultrastructure of the efferent synapse. A near-membrane postsynaptic cistern (23, 24) delimits a restricted diffusion space for ACh-evoked calcium signals and may operate as a source of additional calcium, as a fixed calcium buffer, or both. We examined this possibility using a combination of membrane voltage commands and a “calcium store inhibitor” to alter the ACh-evoked, calcium-dependent potassium current of inner hair cells from the immature rat cochlea and short hair cells of the chicken basilar papilla. ACh (100 μM , 300 ms) was “puff”-applied to rat and chicken hair cells voltage-clamped to -40 mV using ionic conditions to activate calcium-dependent potassium currents in the hair cells (5 mM internal EGTA, 1.3 mM external calcium) (Fig. 4). Near the peak of the evoked outward calcium-dependent potassium current, the membrane potential was then clamped to $+40$ mV to sharply reduce driving force for inward calcium flux (Fig. 4). As a result, ACh-activated potassium current decayed, reflecting its dependence on calcium influx, but did so at different rates in rat and chicken hair cells (Fig. 4A and B). The average \pm SEM decay time constant was 37 ± 5 ms in 12 rat inner hair cells and threefold longer, 124 ± 14 ms, in 12 chicken hair cells. Although various factors might influence the decay of ACh-activated potassium current, we uncovered a contribution from synaptic calcium stores by exposing hair cells to the sarcoplasmic/endoplasmic calcium ATPase (SERCA) inhibitor tert-benzo-hydroquinone (t-BHQ);

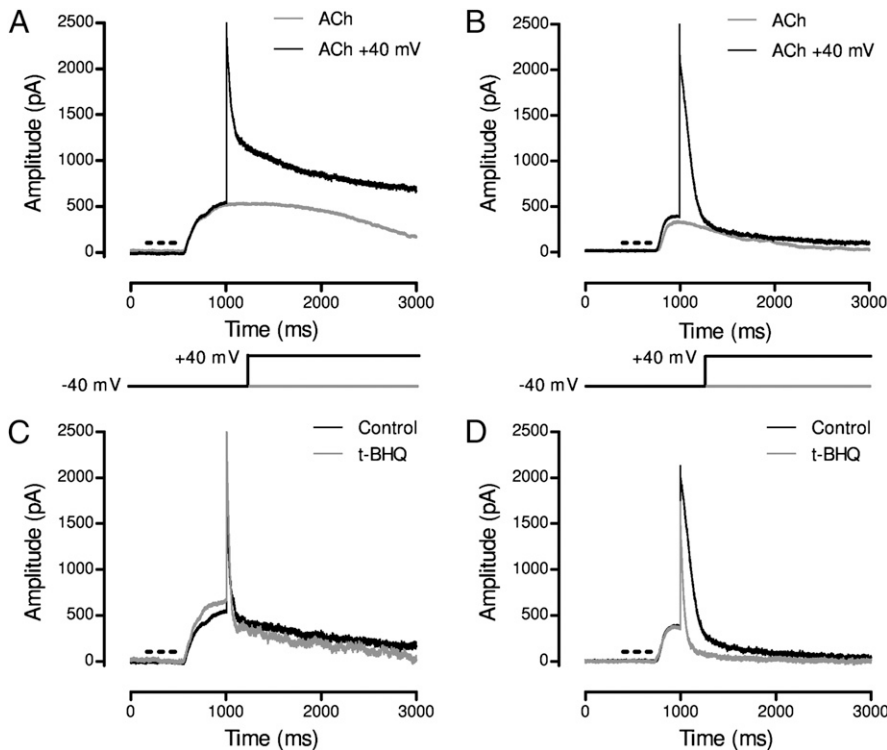


Fig. 4. ACh-evoked potassium current in chicken and rat hair cells. (A) A puff of ACh (100 μ M for 300 ms) caused an outward current at -40 mV lasting approximately 2 s in rat inner hair cells (gray). When the membrane potential was stepped to $+40$ mV, the initially large outward current rapidly decayed (black) to a level dominated by a voltage-dependent outward current. (B) ACh-evoked membrane current in a chicken hair cell lasting approximately 2 s at -40 mV (gray). With a step to $+40$ mV, the initially large outward current decayed, albeit relatively slowly, to a level reflecting the small voltage-dependent outward current (black). (C) ACh-evoked "difference current" after subtracting membrane current in the absence of ACh in a rat inner hair cell under control conditions (black) and after exposure to the SERCA inhibitor t-BHQ (gray). (D) Same as in C, but for a chicken short hair cell.

25 μ M). t-BHQ had no significant effect on outward current decay in rat inner hair cells (decay time constant, 32 ± 3 ms; $P = 0.382$, paired t test), but reduced that of chicken hair cells to 74 ± 7 ms ($P = 0.005$, paired t test) (Fig. 4 C and D).

Molecular Evolution of $\alpha 7$ and $\alpha 4\beta 2$ nAChRs. The phylogenetic difference in Ca^{2+} permeability appears as a peculiar feature of the $\alpha 9\alpha 10$ nAChR and has not been reported for other nAChRs. For example, Ca^{2+} permeability is at the high end (pCa/pNa, ~ 10 – 20) for both mammalian (18, 25, 26) and chicken (27) $\alpha 7$ nAChRs and 10 times lower both for mouse and chicken $\alpha 4\beta 2$ receptors (pCa/pNa, 1.7) (28, 29). Thus, conservation of the molecular bases underlying Ca^{2+} permeability in $\alpha 7$ and $\alpha 4\beta 2$ nAChRs during the evolution of birds and mammals would be expected. To study the evolutionary histories of vertebrate $\alpha 7$, $\alpha 4$, and $\beta 2$ subunits, we implemented the branch-site test of positive selection, which detects the presence of sites under positive selection within a given gene in specific lineages of a phylogeny (30, 31). This test is based in the comparison of two evolutionary models. The branch-site model (H_A) allows sites in the foreground lineage to evolve under positive selection, whereas in the null model (H_0), sites are allowed to evolve only under either purifying selection ($\omega < 1$) or neutral evolution ($\omega = 1$). We then used a likelihood ratio test (LRT) to assess whether the branch-site model fit the data better than the null hypothesis. Our results (Table 1) indicate that for the genes encoding the $\alpha 7$ (*CHRNA7*), $\alpha 4$ (*CHRNA4*), and $\beta 2$ (*CHRN2*) subunits, a model that allows sites to evolve positively in the lineage leading to mammals does not fit the data better than the null hypothesis. For the sake of comparison, we extended our previous analysis of the evolution of *CHRNA10* to include additional species. As shown in Table 1, for *CHRNA10* with mammals as the foreground branch, an LRT value of 9.39 was obtained ($P < 0.0021$), indicating positive selection in this lineage. Conducting the same tests but considering other vertebrate lineages (placental mammals or birds/reptiles) as evolving positively revealed no signatures of positive selection for any of the four genes. In addition, a phylogenetic tree including known vertebrate nAChR subunits

from representative species shows that whereas $\alpha 9$, $\alpha 7$, $\alpha 4$, $\beta 2$, and other nAChR subunits follow the main vertebrate taxa grouping, mammalian $\alpha 10$ subunits form a unique clade separate from other nonmammalian vertebrate $\alpha 10$ subunits that group with $\alpha 9$ subunits (Fig. S3). Thus, as shown in a collapsed phylogenetic tree (Fig. 5), all nAChRs have their individual unique branch, with the exception of $\alpha 10$, which has two separate branches, one for mammals and one for nonmammalian vertebrates. Taken together, these results indicate that, in contrast to the mammalian $\alpha 10$ nAChR subunit, $\alpha 7$, $\alpha 4$, and $\beta 2$ were not shaped by positive selection and were under purifying selection in all vertebrate species.

Discussion

The ability of Ca^{2+} to perform its various local tasks within the cell depends on steep gradients in concentration encountered in the vicinity of open Ca^{2+} -permeable channels (32). In contrast to voltage-gated Ca^{2+} channels, ligand-gated channels provide Ca^{2+} influx at voltages close to the resting membrane potential, as is the case for nAChRs. Based on their relative Ca^{2+} permeability, nAChRs have been subdivided into three categories that are conserved among vertebrate species. The muscle type is the least permeable of all nAChR family members (pCa/pNa, ~ 0.2 – 0.4) (33–35). Heteromeric neuronal receptors have higher Ca^{2+} permeability (pCa/pNa, ~ 1.5) (19, 35–37), and the homomeric $\alpha 7$ and $\alpha 8$ receptors have the highest relative Ca^{2+} permeability (pCa/pNa, ~ 10 – 20) (18, 27). The main finding of the present work is that, in contrast to other nAChRs, the Ca^{2+} permeability of $\alpha 9\alpha 10$ nAChRs is not uniform across species. It is unexpectedly low and similar to that of heteromeric neuronal nAChRs in chicken $\alpha 9\alpha 10$ nAChRs (present results) and high and similar to that of homomeric $\alpha 7$ - and $\alpha 8$ -containing receptors in rat $\alpha 9\alpha 10$ nAChRs (10, 13, 14). Given that the $\alpha 10$ nAChR subunit has been under strong positive selection in mammals (ref. 12 and present results), we suggest that increased Ca^{2+} permeability might be the functional novelty resulting from that selective pressure. Although some degree of variability in Ca^{2+} permeability exists across species for other nAChRs, the large differences described here for $\alpha 9\alpha 10$

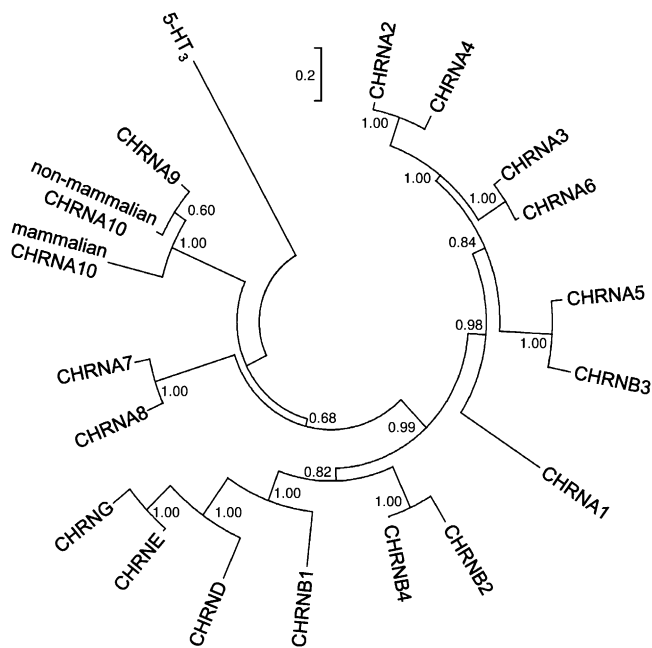


Fig. 5. Phylogenetic tree of nAChR subunits. The tree represents the collapsed phylogenetic relationships among vertebrate nAChR subunits. The branches corresponding to the same subunits of different species were condensed up to the node at which one subunit separates from its closest neighbor. The complete tree is shown in Fig. S3. Numbers in branches indicate the bootstrap value obtained after 1,000 replicates. Note that the $\alpha 10$ subunit is the only one to show two separate branches; nonmammalian $\alpha 10$ subunits are closely related to $\alpha 9$ subunits, whereas mammalian $\alpha 10$ subunits form a separate clade.

nAChRs have not been reported previously (38). Thus, in contrast to the findings for $\alpha 10$, the lack of evidence of positive selection of $\alpha 7$, $\alpha 4$, and $\beta 2$ nAChR subunits across vertebrate species is in agreement with the observation that Ca^{2+} permeability ratios are conserved as well.

Several lines of evidence pinpoint the pore lining transmembrane region 2 (TM2) of nAChRs as responsible for providing the molecular determinants underlying Ca^{2+} permeability. Thus, mutations at positions $-1'$, $16'$, and $17'$ of TM2 (Fig. S4) abolish Ca^{2+} permeability of several nAChR receptors (15, 27). Alignment of the $\alpha 10$ TM2 region of several vertebrate species indicates that all of these residues are highly conserved in mammalian and nonmammalian vertebrates (Fig. S4), precluding their involvement in the observed permeability differences.

Efferent control is a common feature of all vertebrate hair cells (39), and ACh is thought to produce hyperpolarization of hair cells in both mammals and birds (as well as other vertebrates) through the activation of SK Ca^{2+} -dependent K^+ channels (see ref. 9 for a review). Nearby cytoplasmic stores may contribute additional calcium in hair cells (40). Although postsynaptic cisterns are omnipresent features of efferent synapses in auditory hair cells of birds and mammals (23, 24), the relative contributions of calcium influx and store release might vary between chicken and rat hair cells. Compared with rat hair cells, in chicken hair cells, cholinergic activation of calcium-dependent SK channels had a prolonged time course at a voltage at which calcium influx was minimized. This activation was sensitive to SERCA inhibition in chicken hair cells but not in rat hair cells, suggesting that in the former, the postsynaptic calcium signal owes its duration to release from stores. It also suggests that release of calcium from synaptic stores, at least under these experimental conditions, plays a lesser role in rat hair cells, consistent with greater calcium influx through these cells' nAChRs. Of interest, the decay time constant of SK "tail current" in rat hair cells is similar to that reported previously for synaptic currents of inner (41, 42) and outer

(11) cochlear hair cells. However, it should be noted that calcium stores might become more important during prolonged cholinergic inhibition of rat cochlear hair cells (40, 43).

Given that the lineages leading to modern birds and mammals separated at least 300 Mya (39, 40), the foregoing results might indicate that independent solutions to functional demands evolved in parallel for the avian basilar papilla and the mammalian cochlea. However, the increasingly calcium-permeable nAChR of rat cochlear hair cells might also indicate that stronger efferent inhibition is required for mammalian cochlear hair cells. It has been observed (44–46) and recently elaborated (47) that OHCs exhibit increasing membrane ionic conductance moving from apical, low-frequency positions to higher-frequency basal locations in the mammalian cochlea. This increased membrane conductance along with decreased capacitance (membrane area) confers shorter membrane time constants to high-frequency basal OHCs. Consequently, the prestin-dependent electromotility that provides cochlear amplification in mammals can extend to acoustic frequencies above 10 kHz (47). Because efferent synaptic inhibition acts as a parallel shunt, the inhibitory conductance must increase with "resting" membrane conductance to remain effective. Thus, larger synaptic contacts in the high-frequency basal region might be expected, as has been reported previously (46). Moreover, the fact that RT-PCR and in situ hybridization measurement of $\alpha 9$ expression shows both longitudinal and radial gradients, with the greatest expression over OHCs in basal regions (48), might indicate a higher density of nAChRs in the high-frequency region of the cochlea. Further inhibitory strength could be gained by increasing the flux of calcium that activates the hyperpolarizing K^+ conductance. The recent report indicating that cholinergic inhibition of basal (high-frequency) rat OHCs specifically uses large-conductance BK channels in addition to the small-conductance SK channels that serve solely in apical OHCs lends additional credence to this hypothesis (46). Thus, it can be conjectured that increased Ca^{2+} permeability helps activate low- Ca^{2+} -affinity, high-conductance BK channels (49) in mammalian basal OHCs, whereas Ca^{2+} influx provided by the nonmammalian nAChR suffices to activate the high- Ca^{2+} -affinity, low-conductance SK channels (49).

In summary, the present work reports a substantial interspecies difference in Ca^{2+} permeability for a nAChR. In addition, it suggests that efferent inhibition of mammalian cochlear hair cells might especially benefit from a cholinergic receptor with enhanced calcium permeability.

Materials and Methods

All experimental protocols were carried out in accordance with National Institutes of Health guidelines for the care and use of laboratory animals, as well as guidelines of the Institutional Animal Care and Use Committees of The Johns Hopkins University and Instituto de Investigaciones en Ingeniería Genética y Biología Molecular.

Expression of Recombinant Receptors in *X. laevis* Oocytes. The plasmids used for heterologous expression in *X. laevis* oocytes are described in *SI Materials and Methods*. Electrophysiological recordings from *Xenopus* oocytes were obtained as described previously (6, 50) and are described in more detail in *SI Materials and Methods*.

Chicken Basilar Papilla. Patch-clamp recordings from short hair cells of the auditory organ (basilar papilla) were obtained as described in *SI Materials and Methods*.

Calcium Permeability. The relative pCa/pMono values were evaluated as done previously for recombinant and native mammalian $\alpha 9\alpha 10$ receptors (10, 13, 14, 50), by analyzing the shift in the reversal potential (E_{rev}) as a function of the increase in the extracellular Ca^{2+} concentration and fitting the data to the GHK constant field voltage equation, assuming no anion permeability and extended to include divalent cations (51, 52). A detailed description of the protocol is provided in *SI Materials and Methods*.

Molecular Evolution Analysis. All sequences were downloaded from GenBank and Ensembl databases. Alignments of sequences used for the evolutionary analysis are shown in Fig. S5, and accession numbers are listed in Table S2. To test for the presence of positive selection, the branch-site test of positive selection developed by Yang and coworkers (30, 31) was applied using the codeml program implemented in PAML, as discussed in *SI Materials and Methods*. Phylogenetic trees were built using MEGA4, as described in *SI Materials and Methods*.

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ACKNOWLEDGMENTS. This work was supported by the National Institute on Deafness and other Communication Disorders Grant R01DC001508 (to P.A.F. and A.B.E.), an International Research Scholar Grant from the Howard Hughes Medical Institute (to A.B.E.), research grants from the Agencia Nacional de Promoción Científica y Tecnológica and grants from the University of Buenos Aires and the Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET) (to L.F.F., A.B.E. and E.K.), and the National Research Foundation of Korea Grant NRF-2010-013-E00015 (to G.J.I.). M.L. and F.P. are research fellows at CONICET.