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## A genome-wide scan for common variants affecting the rate of age-related cognitive decline

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### Abstract

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Neuroimaging Initiative (ADNI) database (adni.loni.ucla.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A full listing of ADNI investigators can be found at: [http://adni.loni.ucla.edu/wpcontent/uploads/how\\_to\\_apply/ADNI\\_Authorship\\_List.pdf](http://adni.loni.ucla.edu/wpcontent/uploads/how_to_apply/ADNI_Authorship_List.pdf)

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Age-related cognitive decline is likely promoted by accumulated brain injury due to chronic conditions of aging, including neurodegenerative and vascular disease. Since common neuronal mechanisms may mediate the adaptation to diverse cerebral insults, we hypothesized that susceptibility for age-related cognitive decline may be due in part to a shared genetic network. We have therefore performed a genome-wide association study using a quantitative measure of global cognitive decline slope, based on repeated measures of 17 cognitive tests in 749 subjects from the Religious Orders Study. Top results were evaluated in three independent replication cohorts, consisting of 2,279 additional subjects with repeated cognitive testing. As expected, we find that the Alzheimer's disease (AD) susceptibility locus, *APOE*, is strongly associated with rate of cognitive decline ( $P_{DISC}=5.6\times 10^{-9}$ ;  $P_{JOINT}=3.7\times 10^{-27}$ ). We additionally discover a variant, *rs10808746*, which shows consistent effects in the replication cohorts and modestly improved evidence of association in the joint analysis ( $P_{DISC}=6.7\times 10^{-5}$ ;  $P_{REP}=9.4\times 10^{-3}$ ;  $P_{JOINT}=2.3\times 10^{-5}$ ). This variant influences the expression of two adjacent genes, *PDE7A* and *MTFR1*, which are potential regulators of inflammation and oxidative injury, respectively. Using aggregate measures of genetic risk, we find that known susceptibility loci for cardiovascular disease, type II diabetes, and inflammatory diseases are not significantly associated with cognitive decline in our cohort. Our results suggest that intermediate phenotypes, when coupled with larger sample sizes, may be a useful tool to dissect susceptibility loci for age-related cognitive decline and uncover shared molecular pathways with a role in neuronal injury.

## INTRODUCTION

Decline in cognitive performance occurs with advancing age and is associated with a variety of common, age-related chronic medical conditions. Alzheimer's disease (AD) is the most prevalent cause of dementia (Reitz et al., 2011a); however, many other common adult illnesses, including type II diabetes (Croxson and Jagger, 1995; Grodstein et al., 2001; Reijmer et al., 2010), cerebrovascular disease (Desmond et al., 2000; Pendlebury and Rothwell, 2009) as well as other cardiovascular risk factors (Desmond et al., 1993; Warsch and Wright, 2010), and inflammatory disorders (Lucin and Wyss-Coray, 2009) have been implicated in age-related cognitive decline. Based on autopsy series from community-based cohorts, most individuals with dementia have multiple contributory pathologies at the time of death (Neuropathology Group, 2001; Sonnen et al., 2007; Troncoso et al., 2008). It is likely that diverse forms of brain injury interact to accelerate cognitive decline. For example, it has been suggested that vascular-related brain injury may promote the development of AD pathology or, less directly, the clinical manifestation of AD-related cognitive decline (Launer et al., 2008; Schneider and Bennett, 2010; Warsch and Wright, 2010). Results from a variety of experimental paradigms for the study of neuronal injury and repair indicate that overlapping cellular and molecular mechanisms likely mediate the response to a diversity of central nervous system insults (Bishop et al., 2010; Cho et al., 2010; Lucin and Wyss-Coray, 2009; Martinez-Vicente and Cuervo, 2007; Ross and Poirier, 2004). Besides the local reactions to brain lesions, the ability of neuronal networks to adapt to and compensate for an accumulated burden of injury, sometimes referred to as cognitive reserve (Stern, 2009), likely has a substantial impact on the trajectory of cognitive decline. Studies of elder twins suggest substantial heritability in cognitive performance in late life (McClern et al., 1997; Swan et al., 1990), and we hypothesize that a core genetic network might therefore impact susceptibility for rate of age-related cognitive decline.

Recently, genome-wide association studies have proven a successful strategy for discovering susceptibility genes for complex human traits, including neurologic disorders, such as AD (Bertram and Tanzi, 2009). Besides the *apolipoprotein E* locus (*APOE*), these studies have identified common variants in *ABCA7*, *BIN1*, *CD2AP*, *CD33*, *CLU*, *CR1*, *EPHA1*, *MS4A4/MS4A6E*, and *PICALM* as associated with AD susceptibility (Harold et al., 2009;

Hollingsworth et al., 2011; Lambert et al., 2009; Naj et al., 2011; Seshadri et al., 2010). While elucidating the functional impact of disease-associated genetic variants remains an active area of investigation, there is evidence that these genes may have important roles beyond AD pathogenesis in affecting other disorders potentially relevant to cognitive decline. For example, in addition to the well-known effect of the *APOE* locus in promoting AD risk, this locus has also been associated with dyslipidemia, cardiovascular disease, and increased cerebral infarcts (Eichner et al., 2002; Kim et al., 2003; McCarron et al., 1999). Similarly, polymorphisms in the *CR1* gene, encoding a complement receptor, have previously been associated with susceptibility for infectious disease, particularly malaria (Cockburn et al., 2004; Rowe et al., 1997). We have shown that polymorphisms in both *APOE* (Wilson et al., 2002a, 2002b) and *CR1* (Chibnik et al., 2011) have a measurable impact on age-related cognitive decline, including in subjects without dementia, and further, that these associations are mediated in part by an effect on promoting amyloid plaque pathology (Bennett et al., 2005a; Chibnik et al., 2011).

The Religious Orders Study (ROS) is following more than 1,100 older Catholic nuns, priests and brothers who have completed up to 16 years of annual cognitive testing. Here, we have leveraged available genotyping data for 749 subjects of European ancestry with longitudinal cognitive data to conduct a genome scan for loci associated with the rate of age-related cognitive decline. We report efforts to replicate the best results using data from two complementary, community-based studies, the Rush Memory and Aging Project (MAP) and Chicago Health and Aging Project (CHAP), as well as a predominantly clinic-derived subject sample from the Alzheimer's Disease Neuroimaging Initiative (ADNI), and offer evidence in support of replication for one variant. Finally, we explore whether known genetic susceptibility factors associated with other illnesses that are known to influence the risk of dementia, such as AD, cardiovascular disease and type II diabetes, also affect age-related cognitive decline.

## METHODS

### Subjects

Subjects are participants from four longitudinal studies, which are each described below. The number of study subjects with genotyping data, included in the genetic analyses, are described in the Genotyping Methods sub-section, and also summarized in Table 1.

The *Religious Order Study* (ROS), started in 1994, enrolled Catholic priests, nuns and brothers, aged 53 or older from about 40 groups in 12 states. Since January 1994, 1,132 participants completed their baseline evaluation, of whom 1,001 are non-Hispanic white, and the follow-up rate of survivors of exceeds 90%. Participants were free of known dementia at enrollment and agreed to annual clinical evaluations (Bennett et al., 2006a). More detailed description of the ROS can be found in prior publications (Bennett et al., 2006a).

The *Rush Memory and Aging Project* (MAP), started in 1997, enrolls older men and women from assisted living facilities in the Chicago area with no evidence on dementia at baseline. Since October 1997, 1285 participants completed their baseline evaluation, of whom 1118 were non-Hispanic white. The follow-up rate of survivors of exceeds 90%. Similar to ROS, participants agreed to annual clinical evaluations. More detailed descriptions can be found in previous studies (Bennett et al., 2006a, 2005b).

The *Chicago Health and Aging Project* (CHAP) is a biracial (63% African American, 37% non-Hispanic European American) longitudinal population study of all participating residents 65-years-of-age-and-older of four adjacent neighborhoods of the south side of

Chicago that examines risk factors for cognitive decline and Alzheimer's Disease (AD). Participation was 78.6% of all community residents at baseline and 80% to 85% retention of survivors at follow-up. The study began in 1993 and enrolls successive age cohorts of community residents as they attain the age of 65 years. To date, 10,712 subjects have contributed data. The subjects included in these analyses are a stratified random sample of European American subjects. More details may be found in prior publications (Bienias et al., 2003; Evans et al., 2003).

The *Alzheimer's Disease Neuroimaging Initiative* (ADNI) was launched in 2003 by the National Institute on Aging (NIA), the National Institute of Biomedical Imaging and Bioengineering (NIBIB), the Food and Drug Administration (FDA), private pharmaceutical companies and non-profit organizations, as a 5-year public-private partnership for the study of mild cognitive impairment (MCI) and early Alzheimer's disease (AD). The Principal Investigator of this initiative is Michael W. Weiner, MD, VA Medical Center and University of California – San Francisco. ADNI is the result of efforts of many co-investigators from a broad range of academic institutions and private corporations, and subjects have been recruited from over 50 sites across the U.S. and Canada. The cohort includes 800 adults, ages 55 to 90, to participate in the research – approximately 200 cognitively normal older individuals to be followed for 3 years, 400 people with MCI to be followed for 3 years and 200 people with early AD to be followed for 2 years. Data used in the preparation of this article were obtained from the ADNI database ([adni.loni.ucla.edu](http://adni.loni.ucla.edu)). For up-to-date information, see [www.adni-info.org](http://www.adni-info.org).

### Clinical and cognitive evaluation

Supplementary Table 1 summarizes the specific longitudinal cognitive testing data that was available within our discovery and replication cohorts. The ROS and MAP studies annually administer 21 cognitive tests, of which 17 tests in common were incorporated into summary measures of 5 domains of cognitive function--episodic memory (7 tests), visuospatial ability (2 tests), perceptual speed (2 tests), semantic memory (3 tests) and working memory (3 tests)--as previously described (Bennett et al., 2002, 2005b; Wilson et al., 2002c, 2005). The tests from each area of cognition were converted to z-scores, using the mean and SD from the baseline evaluation of all participants, and averaged to yield summary measures of each area of cognitive function as previously described (Bennett et al., 2002, 2005b; Wilson et al., 2002c, 2005). The global cognition summary measure used in our primary analyses was computed by averaging the 5 summary scores for each cognitive subdomain. Summary measures have the advantage of minimizing floor and ceiling effects, and other sources of random variability. A valid summary score required that at least half of the component scores be present. The global cognition summary measures in the CHAP and ADNI cohorts were constructed using identical procedures as ROS and MAP on the available longitudinal testing data (Supplementary Table 1). In CHAP, cognitive testing included a subset of 3 instruments from ROS and MAP. In ADNI, 8 tests overlapped with ROS and MAP, and we additionally incorporated available longitudinal data from 11 other tests.

The clinical diagnoses of dementia and AD were made following the recommendations of the joint working group of the National Institute of Neurologic and Communicative Disorders and Stroke and the AD and Related Disorders Association (McKhann et al., 1984), as previously described in detail (Bennett et al., 2006b). Mild cognitive impairment (MCI) referred to those individuals rated as cognitively impaired by the neuropsychologist but not demented by the examining physician, as previously described (Bennett et al., 2002).

## Statistical modeling of cognitive decline

Mixed effects models were used to characterize individual paths of change in the cognitive summary measures, including terms for age, sex, and years of education as fixed effects (Laird and Ware, 1982; Wilson et al., 2000, 2002c). In this approach, each individual's path is assumed to follow the mean path of the group except for random effects that cause the initial level of function (i.e. intercept) to be higher or lower and the rate of change (i.e. slope) to be faster or slower. These random effects are assumed to follow a bivariate normal distribution. The random and fixed effects were then used to estimate individual trajectories of cognitive decline. Residual, individual cognitive decline slope terms were extracted from the mixed models, after adjustment for the effects of age, sex, and education. Person-specific, adjusted residual slopes were then used as a quantitative outcome phenotype for the genetic association analyses. These estimates equate to the difference between an individual's slope and the predicted slope of an individual of the same age, sex and education level. This method, including adjustment for age, sex and education was used for all four cohorts.

## Genotyping

DNA from ROS and MAP subjects was extracted from whole blood, lymphocytes or frozen post-mortem brain tissue and genotyped on the Affymetrix Genechip 6.0 platform at either the Broad Institute's Center for Genotyping (n=1,204) or the Translational Genomics Research Institute (n=674). These two sets of data underwent the same quality control (QC) analysis in parallel, and genotypes were pooled. Only self-declared non-Hispanic Caucasians were genotyped to minimize population heterogeneity. The PLINK toolkit (<http://pngu.mgh.harvard.edu/~purcell/plink/>) (Purcell et al., 2007) was used to implement our QC pipeline. We applied standard quality control measures for subjects (genotype success rate >95%, genotype-derived gender concordant with reported gender, excess inter/intra-heterozygosity) and for single nucleotide polymorphisms (SNPs) (HWE  $p > 0.001$ ; MAF > 0.01, genotype call rate > 0.95; misshap test >  $1 \times 10^{-9}$ ). Subsequently, EIGENSTRAT (Price et al., 2006) was used to identify and remove population outliers using default parameters. At the conclusion of the QC pipeline, data on 672,266 SNPs was available for 1,709 total ROS and MAP subjects. 749 ROS subjects and 825 MAP subjects with longitudinal cognitive data and high-quality genotyping data were available for the discovery and replication analyses, respectively (Table 1).

For the replication analysis, the top 50 independent SNPs ( $P < 10^{-4}$ , minor allele frequency > 10%) based on the ROS discovery stage analysis were extracted from the quality-controlled MAP genome-wide dataset (above). The generation and quality control procedures for the ADNI genotyping dataset was previously described (Biffi et al., 2010). 717 ADNI subjects with longitudinal cognitive testing data and genotypes were available for our analyses. CHAP subject DNA was extracted from whole blood and genotyping of top SNPs from the discovery stage genome-wide scan using matrix-assisted laser desorption-ionization time-of-flight mass spectrometry on a MassARRAY platform (Sequenom). After excluding subjects for failed genotyping exceeding the 10% threshold, 414 individuals remained for subsequent analysis (genotyping rate in these subjects was >99%). All SNP allele frequencies satisfied Hardy-Weinberg equilibrium ( $p > 0.001$ ). For evaluation of AD susceptibility alleles and for the development of the aggregate genetic risk scores, SNP genotypes in ROS and MAP were imputed using MACH software (version 1.0.16a) (Scott et al., 2007) and HapMap release 22 CEU (build 36) (Frazer et al., 2007) as a reference.

## Genome-wide association analysis

The genome-wide association analysis in the ROS discovery cohort, as well as the targeted association analysis of the top 50 SNPs in the MAP, CHAP, and ADNI replication cohorts,



were performed using linear regression implemented in PLINK software (Purcell et al., 2007). As described above, the outcome phenotype was the residual cognitive decline slope extracted from the mixed effects models, after adjustment for age, gender and education. The association analysis in the ROS discovery cohort was additionally adjusted for the first three ancestry principal components calculated using EIGENSTRAT (Price et al., 2006). In order to perform a joint replication and study-wide meta-analysis, the cohort-specific PLINK association output was subsequently analyzed using METAL software (Willer et al., 2010). The default METAL parameters were used, in which meta-analysis is based on *p*-values and direction of effect, and weighted by sample size in each cohort. The Manhattan plot (Figure 2B) was generated using Haploview software (Barrett et al., 2005), and the association plot (Figure 3B) was generated using the SNAP web-tool (Johnson et al., 2008).

### Aggregate Genetic Risk Scores

We developed four disease specific cumulative genetic risk scores (GRSs) based on published genome wide significant ( $p < 10^{-8}$ ) SNPs for the following disease categories: Alzheimer's Disease; Cardiovascular Disease (including Myocardial Infarction, LDL Cholesterol, HDL Cholesterol, Triglycerides, Hypertension, and Stroke); Inflammatory Disease (including Celiac Disease, Crohn's Disease, Irritable Bowel Disease, Multiple Sclerosis, Psoriasis, Rheumatoid Arthritis, Systemic Lupus Erythematosus, Type 1 Diabetes, and Ulcerative Colitis); and Type 2 Diabetes. Genome wide significant SNPs for each disease category were identified through a literature review, primarily using the Catalogue of Published Genome-Wide Association Studies available online at <http://www.genome.gov/26525384>. For the cardiovascular disease and inflammatory disease categories, which have multiple component outcomes, SNPs that had reference alleles which were both protective for one disease and a risk factor for a second disease were removed. To limit the amount of correlation between SNPs, we further refined this list by identifying all pairs of SNPs with a linkage disequilibrium  $r^2 \geq 0.5$  and keeping only the SNP in those pairs with the lowest *p*-value. Once we identified a final SNP set, the four GRSs were created by summing up the number of category specific risk alleles for each individual. To assure that our scores were comprehensive, we used imputed allelic dosages for SNPs that were not genotyped within our population. We then examined the associations between the resulting genetic risk scores and global cognitive decline using linear regression models adjusted for age, sex, and education. The final list of SNPs and risk alleles included in each score, as well as relevant references, can be found in Supplementary Table 7.

### Gene expression QTL analysis

Gene expression levels were quantified using mRNA derived from peripheral blood mononuclear cells (PBMCs) of 228 subjects of European ancestry with Relapsing Remitting (RR) Multiple Sclerosis (MS) using an Affymetrix Human Genome U133 Plus 2.0. These data were collected between July 2002 and October 2007, as part of the Comprehensive Longitudinal Investigation of MS at the Brigham and Women's Hospital. The data is available on the Gene Expression Omnibus website (GSE16214) (De Jager et al., 2009). DNA from each individual was genotyped on the Affymetrix GeneChip 6.0 platform as a part of a case-control multiple sclerosis meta-analysis (De Jager et al., 2009). Using a Spearman Rank Correlation, we tested for association using an additive model for allelic dosage as an independent variable and residuals of expression as the dependent variable. Significance was established by comparing the association *p*-values to the empiric distribution of *p*-values generated by permuting expression phenotypes 10,000 times independently for each gene. Similar methods were used to evaluate expression within the publically available lymphoblastic cell line expression dataset from 60 CEU individuals in the HapMap project (Stranger et al., 2007).

## RESULTS

### Characteristics of the discovery cohort

Following quality control, genome-wide genotype data (672,266 SNPs) were available on 749 non-Hispanic, white subjects from the ROS with longitudinal cognitive testing. Detailed cohort characteristics are presented in Table 1. The mean age at enrollment was 75 years, and subjects were followed for 9 years, on average (range 1-15 years of follow-up). Cognitive decline trajectories were quantified based on annual performance of 17 distinct neuropsychological tests sampling 5 cognitive domains (episodic memory, perceptual speed, semantic memory, visuospatial ability, and working memory) (Supplementary Table 1). As previously described (Wilson et al., 2002c), a subject's performance on each test was standardized and an average, aggregate measure of global cognitive performance was computed. At recruitment, all subjects were without known dementia. At their last evaluation, 59% of subjects retained normal cognition, 20% had mild cognitive impairment and 20% had a diagnosis of dementia. We used linear mixed effects modeling, including all available longitudinal cognitive testing, to obtain a residual cognitive decline slope for each individual, adjusting for age at enrollment, gender, and years of education, (Figure 1). This slope parameter describes the person-specific rate of global cognitive change as a quantitative, continuous outcome and is the primary outcome measure that we used in our association study.

### Genome-wide association scan for age-related cognitive decline

We implemented our genome-wide association scan using the residual cognitive decline slope for each individual as an outcome trait (Figure 2). The genomic inflation factor was 1.009, indicating no significant inflation of our test statistics. A selection of top results from the scan, based on our replication analysis, are shown in Table 2, and complete results ( $P < 10^{-4}$ ) are provided in the Supplementary Material (Table S8 and S9). As expected from prior studies (Feskens et al., 1994; Haan et al., 1999; Henderson et al., 1995; Hyman et al., 1996; Jonker et al., 1998; McQueen et al., 2007; Wilson et al., 2002a, 2002b), the strongest associations with the rate of cognitive decline were found for markers at the *APOE* locus. No other locus association surpassed the genome-wide significance threshold ( $P < 5 \times 10^{-8}$ ). However, numerous polymorphisms demonstrate suggestive evidence of association ( $P < 10^{-4}$ ) with rate of cognitive decline, including several that fall within or adjacent to candidate genes previously implicated in cognition (*CTNND2*, *rs2973488*,  $P = 1.8 \times 10^{-7}$ ) (Israely et al., 2004; Medina et al., 2000) or AD susceptibility (*SORCS1*, *rs12219216*,  $P = 8.0 \times 10^{-5}$ ) (Reitz et al., 2011b). As we have previously reported (Chibnik et al., 2011), we find nominal evidence of association at the *CRI* locus (*rs6656401*,  $p = 0.048$ ), but do not detect evidence of association for other known AD susceptibility variants with the rate of cognitive decline in our discovery cohort (Table S2).

### Replication of variants associated with rate of cognitive decline

To replicate the results of our genome scan, the top 50 independent SNPs ( $P < 10^{-4}$ , minor allele frequency  $> 10\%$ ) from our discovery stage were evaluated in three additional cohorts of older individuals with longitudinal measures of cognitive performance (Table 2 and Table S8). MAP, CHAP, and ADNI are described in the Methods and the cohort characteristics are summarized in Table 1. A summary of all cognitive testing data used from each study is provided in Supplementary Table 1. As in ROS, available cognitive data for MAP, CHAP, and ADNI subjects were incorporated into aggregate global cognition scores, and mixed effect modeling of longitudinal data was used to compute residual, adjusted global cognitive decline slopes for all subjects. The SNPs selected for replication were evaluated in each of the three replication cohorts, and meta-analysis was implemented to compute summary association statistics ( $P_{REP}$ ) across the replication cohorts, consisting of 2,279 subjects. We

also determined a study-wide association meta-analysis statistic ( $P_{JOINT}$ ), consisting of 3,028 total subjects, including the results from the discovery cohort and those of the three replication cohorts. The results of the replication and joint analyses are shown in Table 2. We hypothesized that discovered variants that truly impact rate of cognitive decline would show robust and consistent effects across these sample collections, despite modest differences in cohort make-up, such as mean age, cognitive testing procedures, and years of follow-up.

As expected, a SNP at the *APOE* locus, *rs4420638*, showed significant replication for association with rate of cognitive decline across each independent sample evaluated and in the pooled replication cohort ( $P_{MAP}=9.4\times 10^{-9}$ ,  $P_{CHAP}=5.1\times 10^{-6}$ ,  $P_{ADNI}=5.3\times 10^{-8}$ ,  $P_{REP}=9.1\times 10^{-20}$ ), and the overall association was strongly enhanced in the joint analysis ( $P_{ROS}=5.6\times 10^{-9}$ ,  $P_{JOINT}=3.7\times 10^{-27}$ ). Although no other variant met a threshold of genome-wide significance at the conclusion of the replication study, a chromosome 8 SNP, *rs10808746*, showed consistent direction of effect on the rate of cognitive decline in each cohort (the major allele *rs10808746*<sup>G</sup> is associated with increased risk of cognitive decline) and suggestive evidence of replication in the combined replication cohort ( $P_{REP}=0.009$ ). Further supporting replication, in the study-wide joint analysis, *rs10808746* shows modest evidence of enhanced association once all available data are considered ( $P_{ROS}=6.7\times 10^{-5}$ ,  $P_{JOINT}=2.3\times 10^{-5}$ ).

Figure 3A shows the mean trajectory of cognitive decline within the discovery cohort for the three genotype classes of *rs10808746*. These data are supportive of the major allele *rs10808746*<sup>G</sup> being associated with more rapid cognitive decline. To better understand the impact of this variant on cognition, we evaluated associations with cognitive decline based on measures for each of the 5 domains of the ROS global cognitive score (Table S3). This polymorphism was associated with the rate of cognitive decline in episodic memory ( $P=3\times 10^{-4}$ ), perceptual speed ( $P=0.048$ ), semantic memory ( $P=0.015$ ), and working memory ( $P=0.0046$ ), but not visuospatial processing ( $P=0.13$ ), suggesting that, while it appears to have a predominant effect on decline in episodic memory, its role may not be limited to a single, functionally distinct anatomic region or circuit.

### Analysis of *rs10808746* effect on local gene expression

The *rs10808746* SNP falls within an intron of *Phosphodiesterase 7A (PDE7A)* and is near two flanking genes, *mitochondrial fission regulator 1 (MTFR1)* and *armadillo repeat-containing protein 1 (ARMCI)*, that fall within a linkage disequilibrium block identified by the association peak (Figure 3B). In order to begin to characterize the effect of the discovered variant, we attempted to evaluate its impact on local gene expression in available datasets. In an analysis of gene expression data from peripheral blood mononuclear cells (PBMCs) of 228 individuals with demyelinating disease (De Jager et al., 2009), representing a set of subjects with an activated immune system, we found evidence for association between the discovered variant and expression of both *PDE7A* ( $P=8.4\times 10^{-4}$ ) and *MTFR1* ( $P=4.5\times 10^{-5}$ ), but not *ARMCI* ( $P=0.21$ ) (Figure 4 and Supplementary Table 4). The significance of the *rs10808746* association with *PDE7A* and *MTFR1* expression in PBMCs was robust to gene-based permutation testing. Published data from a different cell type, HapMap lymphoblastic cell lines (Stranger et al., 2007), also revealed associations with the expression of *PDE7A* ( $P=5\times 10^{-3}$ ) and *MTFR1* ( $P=0.041$ ), but not *ARMCI* ( $P=0.17$ ). However, the direction of effect for the association between this SNP and gene expression was not consistent between these two sets of data generated from different cell populations: the risk allele was associated with decreased expression of both genes in the PBMCs from MS patients, but a more modest increase in both *PDE7A* and *MTFR1* expression in the HapMap cell lines, which are B cells transformed by Epstein-Barr virus. Based on available databases of gene expression data, such as BioGPS (<http://biogps.gnf.org>), both *PDE7A* and



*MTFR1* are widely expressed in diverse tissue types, including the central nervous system (Rhead et al., 2010; Su et al., 2002; Wu et al., 2009); however, a publically available brain expression dataset (Myers et al., 2007) with genome-wide genotyping did not include probes for either *MTFR1* or *PDE7A*. We were therefore unable to readily confirm our findings in this tissue context.

### Aggregate genetic risk profiles for prediction of cognitive decline

In addition to a per SNP genome-wide analysis, we pursued a complementary approach taking into account the aggregate effect of multiple validated susceptibility alleles for other diseases. Specifically, we developed 4 separate genetic risk score models incorporating known susceptibility variants for medical conditions known or hypothesized to promote cognitive decline, including AD, cardiovascular disease, type II diabetes, and inflammatory disease. In our ROS discovery cohort, a diagnosis of AD or of type II diabetes mellitus was significantly associated with cognitive decline (Table S5), consistent with prior studies (Arvanitakis et al., 2004). Table S7 summarizes the SNPs included in each aggregate genetic risk score, along with the relevant references. For both the AD and cardiovascular risk models, we excluded *APOE* from consideration, since this locus' strong effect on cognitive decline would overwhelm the contribution of loci with more modest effect sizes. As shown in Table 3, none of the aggregate risk models returned evidence of association in our ROS discovery cohort, and only the AD model demonstrated nominal association to cognitive decline in a more powerful joint analysis that included both the ROS and MAP cohorts. Our modest sample size limits our power in these analyses, but validating our strategy, we do see the expected correlation of the aggregate estimate of genetic risk for type II diabetes with a diagnosis of diabetes in our subjects (Table S6).

### Power for discovery and replication of genetic variants associated with cognitive decline

The genetic architecture of cognitive decline is currently not known. Given our results and the size of our discovery and replication sample sets, it is likely that cognitive decline, like most other complex traits, is influenced by many variants of modest effect, in addition to the *APOE* locus which has a strong effect on this trait. Using the *PDE7A/MTFR1* and *CRI* loci as putative susceptibility loci with which to calibrate the design of future studies exploring cognitive decline, we performed power calculations to assess the sample size that would be necessary for a robust discovery study. For *CRI*, we observe an effect size (Beta) of  $-0.0130$  and a minor allele frequency (MAF) of 0.20 in the ROS cohort; thus, we would need 7,241 subjects to observe a genome-wide significant effect on the rate of cognitive decline ( $P < 5 \times 10^{-8}$ ) with 80% power, and 8,288 subjects for 90% power. For *PDE7A/MTFR1*, the effect size in the ROS cohort (Beta=0.0210) is probably inflated given that this is the discovery cohort for this variant. However, given this magnitude of an effect and a MAF of 0.43, we would need 1,794 subjects to have 80% power to observe a genome-wide significant effect ( $P < 5 \times 10^{-8}$ ), and 2,053 subjects for 90% power. However, in the MAP cohort, the *PDE7A/MTFR1* has an effect size of 0.008, yielding an alternative estimate of 12,175 subjects needed for 80% power and 13,937 subjects for 90% power. The latter estimate is likely to more accurately reflect the true effect size of our variant since it comes from a replication cohort. The requirement for a larger sample size is consistent with what has been observed for other human traits (Park et al., 2010) and likely explains, at least in part, why we have not yet discovered susceptibility variants for cognitive decline reaching the genome-wide significance level. These estimates will guide the design of our future efforts: it appears that sample sizes between 7,241 and 12,175 subjects will be needed to have reasonable power to assemble convincing evidence of association between genetic variation and the rate of cognitive decline.

## DISCUSSION

We report the results of a genome-wide scan in 749 elder subjects to identify loci associated with the rate of cognitive decline, using a mixed effects model that incorporates repeated cognitive measures. Consistent with numerous prior studies (Feskens et al., 1994; Haan et al., 1999; Henderson et al., 1995; Hyman et al., 1996; Jonker et al., 1998; Wilson et al., 2002a, 2002b), we found robust evidence for association between the *APOE* locus and the rate of age-related cognitive decline. Besides *APOE*, in a replication analysis including 2,279 additional subjects, we identified one other locus (*PDE7A/MTFR1*) with consistent direction of effect on cognitive decline in all cohorts and improved evidence of association in a joint analysis. While additional replication efforts will be needed to validate the role of this locus in cognitive decline, our replication and extension analyses strongly suggest that this locus has an effect on the rate of cognitive decline in aging individuals.

To begin to understand the function of this variant, we explored its possible role in influencing the expression of nearby genes using available gene expression datasets. Although further work is needed to better understand the effect of this variant in different cell types (particularly in the brain for which data were not available), two of the three genes found in the block of LD surrounding the associated variant – *PDE7A* and *MTFR1* – appear to be influenced by *rs10808746*, which is found in an intron of *PDE7A*. *MTFR1* has been shown to induce mitochondrial fission in a variety of cell types (Tonachini et al., 2004), and in *Mtfr1<sup>null</sup>* mice, testicular cells show increased oxidative injury and reduced expression of free radical scavengers, such as Glutathione Peroxidase 3 (Monticone et al., 2007). Thus, *MTFR1* is a reasonable candidate gene for further validation in the context of cognitive decline since it could be involved in modulating the response of CNS cells to oxidative stress, implicated in many different models of neuronal injury including AD, Amyotrophic Lateral Sclerosis, and Parkinson's disease (Cho et al., 2010). *PDE7A* is another strong candidate: it is expressed in both neuronal and non-neuronal CNS cells and regulates intracellular signaling by affecting the concentration of cyclic dinucleotides (Miró et al., 2001; Pérez-Torres et al., 2003). Data on its possible function in CNS cells is limited, but one report suggests that inhibiting this molecule may contribute to enhancing cell death in response to an apoptotic signal in lymphocytes (Dong et al., 2010). There is also mixed evidence that it may have a role in mediating the expression of pro-inflammatory cytokines during T cell activation (Kadoshima-Yamaoka et al., 2009; Yang et al., 2003). Thus, *PDE7A* is also a reasonable candidate for mediating the effect of the *rs10808746* variant, and it is further possible that the variant influences the expression and function of both genes, possibly by affecting shared pathways involved in neuronal degeneration.

Based on our power calculations, it is clear that substantially larger sample sizes including 10,000-15,000 subjects will be needed for a definitive investigation of the genetic architecture of the rate of cognitive decline. This estimate is in line with power calculations for other complex traits (Park et al., 2010). The distribution of results from the ROS cohort suggest that it is unlikely that loci with a strong effect on cognitive decline exist outside of *APOE*. The existence of loci with more modest effects on cognitive decline is consistent with the suggestive evidence presented in support of *CRI*, a known AD susceptibility locus, and possibly *PDE7A/MTFR1*. We attempted to enhance our ability to test detect the role of genetic variation in cognitive decline by assessing aggregate measures of genetic risk for conditions that are well-known (AD, cerebrovascular disease, and type II diabetes) or hypothesized (inflammatory diseases) to influence this trait. Our aggregate measure of AD risk is nominally associated with cognitive decline, suggesting that this may be a fruitful strategy to deploy in future studies that leverage larger sample sizes and additional susceptibility alleles that will emerge in the near future.

Our study was based on the hypothesis that common genetic pathways mediate the response and adaptation to diverse forms of brain pathology, and that variation in such loci might therefore impact age-related cognitive decline. This hypothesis is supported by observations from a variety of experimental paradigms of neuronal injury that several core cellular pathways, including protein misfolding/aggregation (Ross and Poirier, 2004), autophagy (Martinez-Vicente and Cuervo, 2007), mitochondrial dynamics (Cho et al., 2010), and inflammation (Lucin and Wyss-Coray, 2009), play important roles in multiple neurodegenerative diseases. In prospective, community-based autopsy series of dementia, most brains are found to have multiple pathologies (Neuropathology Group, 2001; Schneider et al., 2007; Sonnen et al., 2007), which likely interact to produce the clinical manifestations of age-related cognitive decline (Wilson et al., 2010). Our strategy of using cognitive trajectories as an outcome trait for a genome-wide scan holds great promise for the identification of core genetic mechanisms mediating cognitive reserve and adaptation to injury. However, our current study provides evidence that the genetic architecture of this trait may be similar to that of other complex human traits, involving common variants of modest effects. We provide estimates of the substantially larger sample size required to achieve effective statistical power for robust gene discovery efforts, highlighting the need to combine additional cohorts with those reported here.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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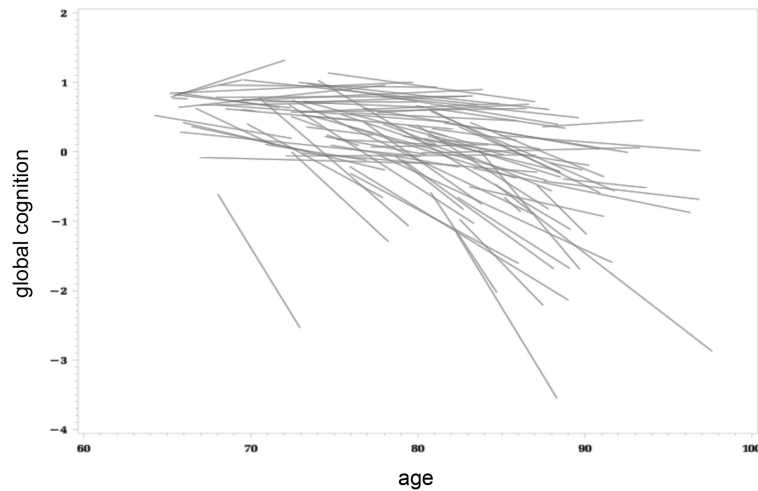
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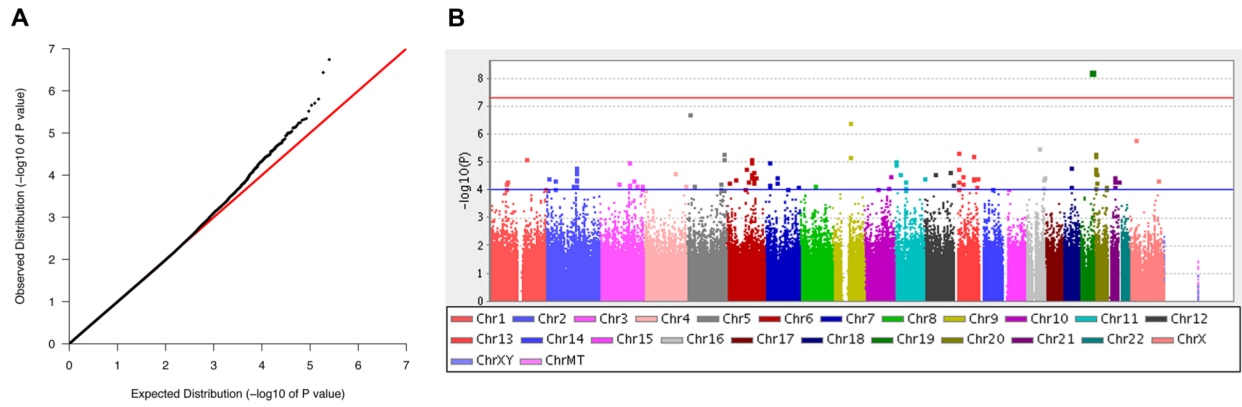
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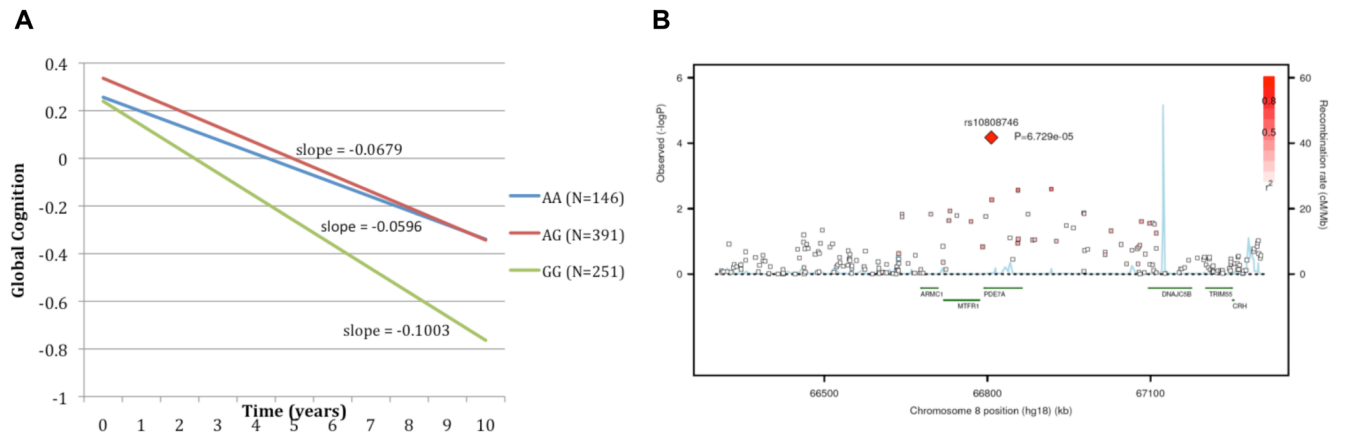


**Figure 1.** Variability in the rate of age-related cognitive decline. Linear cognitive trajectories are shown for 100 random subjects from the ROS cohort, based on mixed effect modeling of repeated measures of the global cognition summary score, incorporating 17 distinct cognitive tests. Trajectories are adjusted for the effects baseline age, gender, and education. The residual cognitive decline slope was used as an outcome for the genome-wide association analysis. The distribution of the cognitive decline trait for the entire ROS discovery cohort is shown in Supplementary Figure 1.

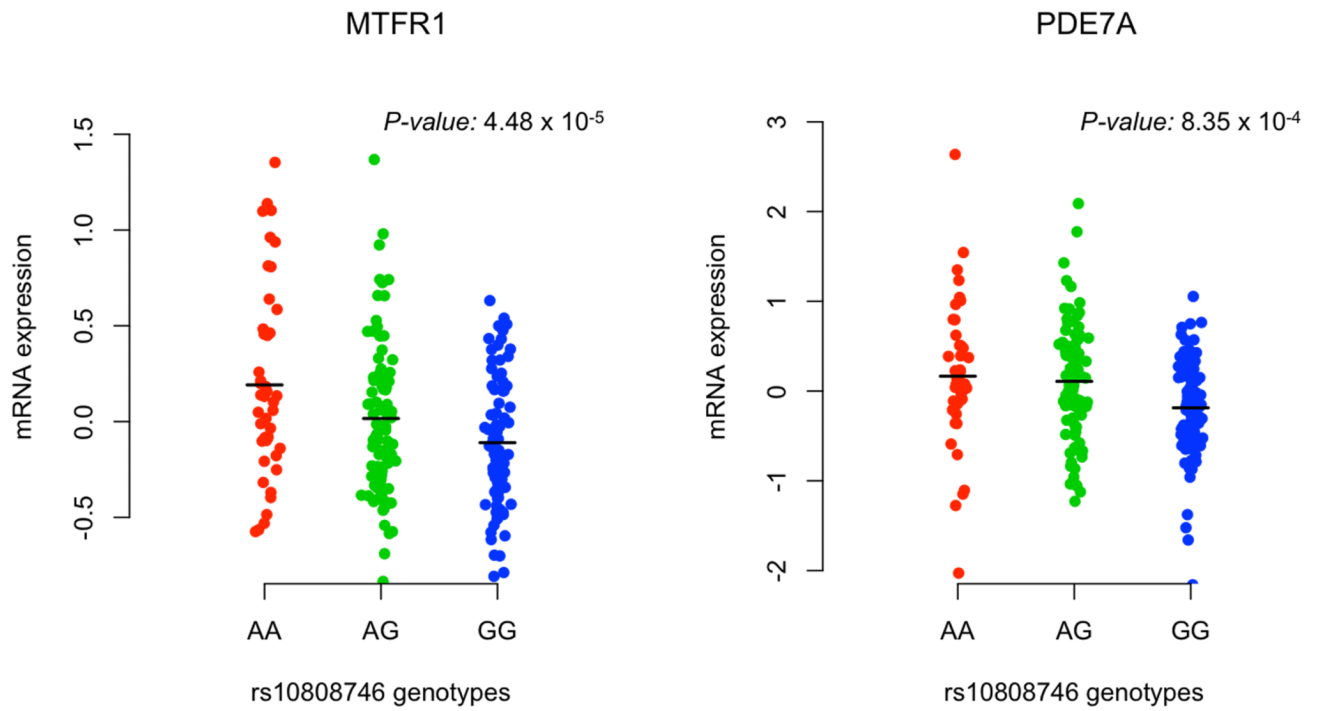


**Figure 2.**

A genome-wide association scan for age-related cognitive decline. Using the residual cognitive decline slope as an outcome trait, associations were evaluated for 672,266 SNPs in the discovery cohort consisting of 749 ROS subjects. (A) Quantile-quantile plot. (B) Manhattan plot. Thresholds for suggestive ( $P < 10^{-4}$ , blue) and genome-wide ( $P < 5 \times 10^{-8}$ , red) significance are indicated.



**Figure 3.** Association of *rs10808746* at the *PDE7A/MTFR1* locus with rate of cognitive decline. (A) Mean linear trajectories of cognitive decline within the ROS discovery cohort for each of the *rs10808746* genotype classes, demonstrating evidence that the *rs10808746*<sup>G</sup> allele is associated with increased rate of cognitive decline. (B) Plot showing *rs10808746* association peak over the *PDE7A*, *MTFR1*, and *ARMCI1* genes.



**Figure 4.**

Association of *rs10808746* with *MTFR1* and *PDE7A* gene expression. The relation of *rs10808746* genotype was evaluated with locus transcript levels in an available gene expression dataset from 228 subjects with demyelinating disease. The *rs10808746*<sup>G</sup> allele is associated with decreased expression of *MTFR1* ( $P=4.5 \times 10^{-5}$ ) and *PDE7A* ( $P=8.4 \times 10^{-4}$ ), but not *ARMC1* ( $P=0.21$ ).

**Table 1**

Demographic and clinical characteristics of study cohorts.

	ROS	MAP	CHAP <sup>3</sup>	ADNI
N	749	825	737	717
Age at Enrollment	75.3 ( $\pm 7.2$ )	80.8 ( $\pm 6.6$ )	72.0 ( $\pm 5.5$ )	75.3 ( $\pm 6.9$ )
Education (years)	18.2 ( $\pm 3.4$ )	14.8 ( $\pm 2.9$ )	14.6 ( $\pm 3.2$ )	15.6 ( $\pm 3.0$ )
Male	254 (34.0%)	222 (26.9%)	291 (39.5%)	422 (58.9%)
Cognitive Decline Slope	-0.007 ( $\pm 0.1$ )	0.012 ( $\pm 0.1$ )	0.001 ( $\pm 0.04$ )	-0.001 ( $\pm 0.04$ )
Cognitively Normal <sup>1</sup>	444 (59.4%)	460 (55.8%)	265 (68.7%)	211 (29.4%)
Mild Cognitive Impairment <sup>1</sup>	151 (20.2%)	200 (24.2%)	71 (18.4%)	205 (28.6%)
Dementia <sup>1,2</sup>	152 (20.4%)	165 (20.0%)	46 (11.9%)	301 (42.0%)

<sup>1</sup>Cognitive status at time of last evaluation.

<sup>2</sup>19.7% of ROS, 19% MAP, and 11% CHAP met NINCDS criteria for possible or probable AD.

<sup>3</sup>Diagnoses of dementia and mild cognitive impairment only available for a subset (n=386) of CHAP.



Table 2

Top results of genome-wide scan for rate of cognitive decline.

SNP	C	AI/2	MAF	Discovery (ROS)			Replication				Joint		Gene(s)
				Beta (95% CI)	P	P <sub>MAP</sub>	P <sub>CHAP</sub>	P <sub>ADNI</sub>	P <sub>REP</sub>	P	Direction		
rs4420638	19	C/T	0.19	-0.039 (-0.051,-0.026)	5.62E-09	9.38E-09	5.06E-06	5.31E-08	9.12E-20	3.74E-27	+++	TOMM40,APOE	
rs10808746	8	T/C	0.43	0.021 (0.011,0.031)	6.73E-05	0.091	0.274	0.089	9.44E-03	2.30E-05	+++	PDE7A,MTFR1	
rs10769565	11	G/A	0.34	-0.023 (-0.034,-0.013)	9.09E-06	0.455	0.159	N/A	0.131	1.63E-04	+++?	OR56A4,OR56A1	
rs9387454	6	A/G	0.36	-0.023 (-0.033,-0.012)	2.12E-05	0.870	0.014	0.710	0.199	1.26E-03	---+		
rs11939527	4	T/C	0.25	-0.023 (-0.034,-0.011)	9.55E-05	0.876	0.196	0.154	0.149	1.41E-03	---	EVC	
rs376535	2	C/T	0.10	-0.034 (-0.050,-0.018)	4.38E-05	0.113	0.031	0.097	0.230	2.10E-03	+++	SLC8A1	
rs2571577	6	C/T	0.20	-0.027 (-0.039,-0.014)	3.42E-05	0.688	0.039	0.715	0.255	2.32E-03	+++	LAMA2	
rs13015892	2	A/G	0.17	-0.031 (-0.044,-0.017)	1.50E-05	0.790	0.589	0.162	0.347	3.04E-03	---		
rs9602785	13	C/T	0.15	-0.033 (-0.047,-0.019)	5.71E-06	0.478	0.770	0.843	0.482	4.17E-03	+++	SLITRK6	
rs11701130	21	A/C	0.26	0.024 (0.013,0.036)	5.06E-05	0.141	0.101	0.116	0.349	4.67E-03	+++	PCBP3	
rs4760608	12	C/A	0.21	-0.027 (-0.040,-0.015)	2.68E-05	0.674	0.100	0.779	0.398	4.77E-03	+++	COL2A1	
rs2973488	5	T/A	0.11	-0.044 (-0.061,-0.028)	1.81E-07	0.823	0.688	0.524	0.792	5.06E-03	+++	CTNND2	
rs7606972	2	G/T	0.36	0.022 (0.012,0.033)	2.08E-05	0.541	0.803	0.811	0.520	7.57E-03	---		
rs9875783	3	A/C	0.25	-0.024 (-0.036,-0.012)	5.78E-05	0.894	0.803	0.075	0.437	7.62E-03	---		
rs524398	2	A/G	0.42	-0.022 (-0.032,-0.012)	2.45E-05	0.155	0.971	0.736	0.519	7.84E-03	---	THSD7B	
rs610315	10	A/T	0.26	-0.024 (-0.036,-0.013)	3.00E-05	0.634	0.090	0.918	0.535	8.94E-03	---	AFAPIL2	
rs6919160	6	A/T	0.31	-0.024 (-0.035,-0.013)	1.72E-05	0.562	0.354	0.521	0.589	9.14E-03	---		
rs347311	1	C/G	0.31	-0.025 (-0.036,-0.014)	7.62E-06	0.446	0.094	0.791	0.729	0.012	---	NOS1AP	
rs4129955	4	T/C	0.47	-0.020 (-0.030,-0.010)	6.69E-05	0.195	0.589	0.123	0.693	0.020	---		
rs3212701	19	A/G	0.25	0.052 (0.028,0.075)	2.69E-05	0.761	0.661	0.397	0.456	0.021	+++	JAK3	
rs1595781	3	G/A	0.31	-0.023 (-0.034,-0.012)	6.70E-05	0.665	0.617	0.551	0.717	0.022	+++		
rs276420	13	A/C	0.45	-0.021 (-0.031,-0.011)	3.25E-05	0.226	0.947	0.266	0.887	0.028	---		
rs12660119	6	G/A	0.11	-0.038 (-0.054,-0.021)	7.28E-06	0.912	0.792	0.827	0.970	0.029	+++	WASF1	
rs1041676	1	T/C	0.32	-0.023 (-0.034,-0.012)	4.73E-05	0.567	0.998	0.384	0.882	0.032	---	NEGR1	
rs2086366	15	T/C	0.37	0.020 (0.010,0.030)	4.77E-05	0.992	0.392	0.827	0.706	0.039	+++		
rs12219216	10	A/G	0.41	0.020 (0.010,0.031)	8.01E-05	0.777	0.359	0.415	0.915	0.040	+++	SORCS1	
rs1534378	7	T/C	0.11	-0.030 (-0.044,-0.015)	5.51E-05	0.848	0.729	0.654	0.714	0.042	---		

SNP	C	AI/2	MAF	Discovery (ROS)		Replication			Joint		Gene(s)	
				Beta (95% CI)	P	P <sub>MAP</sub>	P <sub>CHAP</sub>	P <sub>ADNI</sub>	P	Direction		
rs12362733	11	C/T	0.19	0.027 (0.015,0.040)	2.72E-05	0.267	0.168	0.643	0.891	0.049	-+--+	LUZP2

Summary results are shown for SNPs with the best evidence of association in the study-wide meta-analysis ( $P_{JOINT} < 0.05$ ). The top 50 independent SNPs from the ROS discovery cohort (MAF > 10% and LD-pruned based on  $r^2 \geq 0.5$ ) were evaluated for replication (complete results presented in Supplementary Table 8). C=Chromosome, AI/2=minor allele/major allele, MAF=minor allele frequency in ROS cohort. Beta (95% confidence interval) and P-values are shown for association with rate of cognitive decline in the discovery stage. P-values are also shown for associations in the MAP, CHAP, and ADNI replication cohorts. Meta-analytic P-values are shown for the replication cohorts ( $P_{REP}$ ) and for the study-wide analysis including all cohorts ( $P_{JOINT}$ ). Direction of effect (+ = protective, - = risk) is indicated for all 4 cohorts.

**Table 3**

Associations between aggregate genetic risk scores and rate of cognitive decline.

GRS	ROS		MAP		ROS + MAP	
	$\beta$ (95% CI)	p	$\beta$ (95% CI)	p	$\beta$ (95% CI)	p
AD	-0.001 (-0.005,0.003)	0.50	-0.004 (-0.008,0.000)	0.06	-0.003 (-0.005,0.000)	0.07
CVD	-0.0004 (-0.002,0.001)	0.61	0.001 (-0.001,0.002)	0.49	0.0001 (-0.001,0.001)	0.87
I	0.0003 (-0.0006,0.001)	0.49	0.0001 (-0.0008,0.001)	0.82	0.0002 (-0.0004,0.001)	0.52
T2D	-0.001 (-0.003,0.001)	0.28	-0.0001 (-0.002,0.002)	0.96	-0.001 (-0.002,0.001)	0.48

$\beta$  for association of aggregate genetic risk score (GRS) and rate of cognitive decline. Separate GRS were developed based on published SNP associations with Alzheimer's disease (AD), cardiovascular disease (CVD), inflammatory disease (I), and type 2 diabetes (T2D)