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# Impact of the Definition of Peak Standardized Uptake Value on Quantification of Treatment Response

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# **Abstract**

PET-based treatment response assessment typically measures the change in maximum standardized uptake value (SUV $_{max}$ ), which is adversely affected by noise. Peak SUV (SUV $_{peak}$ ) has been recommended as a more robust alternative, but its associated region of interest (ROI $_{peak}$ ) is not uniquely defined. We investigated the impact of different ROI $_{peak}$  definitions on quantification of SUV $_{peak}$  and tumor response.

**Methods**—Seventeen patients with solid malignancies were treated with a multitargeted receptor tyrosine kinase inhibitor resulting in a variety of responses. Using the cellular proliferation marker 3'-deoxy-3'- $^{18}$ F-fluorothymidine ( $^{18}$ F-FLT), whole-body PET/CT scans were acquired at baseline and during treatment.  $^{18}$ F-FLT—avid lesions ( $^{2}$ patient) were segmented on PET images, and tumor response was assessed via the relative change in SUV  $_{\rm peak}$ . For each tumor, 24 different SUV  $_{\rm peak}$  were determined by changing ROI  $_{\rm peak}$  shape (circles vs. spheres), size (7.5–20 mm), and location (centered on SUV  $_{\rm max}$  vs. placed in highest-uptake region), encompassing different definitions from the literature. Within each tumor, variations in the 24 SUV  $_{\rm peak}$  and tumor responses were measured using coefficient of variation (CV), standardized deviation (SD), and range. For each ROI  $_{\rm peak}$  definition, a population average SUV  $_{\rm peak}$  and tumor response were determined over all tumors.

**Results**—A substantial variation in both  $SUV_{peak}$  and tumor response resulted from changing the  $ROI_{peak}$  definition. The variable  $ROI_{peak}$  definition led to an intratumor  $SUV_{peak}$  variation ranging from 49% above to 46% below the mean (CV, 17%) and an intratumor  $SUV_{peak}$  response variation ranging from 49% above to 35% below the mean (SD, 9%). The variable  $ROI_{peak}$  definition led to a population average  $SUV_{peak}$  variation ranging from 24% above to 28% below the mean (CV, 14%) and a population average  $SUV_{peak}$  response variation ranging from only 3% above to 3% below the mean (SD, 2%). The size of  $ROI_{peak}$  caused more variation in intratumor response than did the location or shape of  $ROI_{peak}$ . Population average tumor response was independent of size, shape, and location of  $ROI_{peak}$ .

**Conclusion**—Quantification of individual tumor response using  $SUV_{peak}$  is highly sensitive to the  $ROI_{peak}$  definition, which can significantly affect the use of  $SUV_{peak}$  for assessment of treatment response. Clinical trials are necessary to compare the efficacy of  $SUV_{peak}$  and  $SUV_{max}$  for quantification of response to therapy.

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#### **Keywords**

PET; SUV<sub>peak</sub>; treatment response

PET continues to gain importance as a tool to assess response to therapy. Typically, the change in standardized uptake value (SUV) is measured to quantify treatment response (1). Patients are then classified into different response categories based on the relative change in SUV. These categories include complete response, partial response, stable disease, and progressive disease. Such response classifications are often used to guide subsequent treatment decisions and can be predictive of clinical out-come (2-4).

Most response assessment studies measure the change in maximum SUV (SUV $_{max}$ ), a single-pixel value that is adversely affected by noise (5-8), which leads to uncertainty in the quantification of treatment response. Consequently, peak SUV (SUV $_{peak}$ ) has been suggested as a more robust alternative (9), defined as the average SUV within a small, fixed-size region of interest (ROI $_{peak}$ ) centered on a high-uptake part of the tumor (9). SUV $_{peak}$  is illustrated in Figure 1. Because of its larger volume, SUV $_{peak}$  is less affected by image noise than SUV $_{max}$  (6,7,10) and therefore is expected to reduce uncertainties in the quantification of response to therapy.

There is a wide variety of  $SUV_{peak}$  definitions in the literature, and they differ in the shape, size, and location of the  $ROI_{peak}$  (Fig. 1). Shapes and sizes include square and cuboidal regions with side lengths ranging from 7 to 15 mm (5,8,11-13), as well as circular, cylindric, and spheric regions with diameters ranging from 9 to 17 mm (6,7,9,14-16). Locations include the tumor region with the highest radiotracer uptake, the tumor region yielding the greatest  $SUV_{peak}$ , and the tumor region containing the voxel of maximum uptake.

The definition of  $SUV_{peak}$  could significantly affect the quantification of treatment response. Altering the size, shape, or location of  $ROI_{peak}$  may affect the relative change in  $SUV_{peak}$  and ultimately the classification of response. Uncertainties in the quantification of response could have significant implications regarding treatment decisions and clinical prognoses. Furthermore, these uncertainties could influence the recommendation to use  $SUV_{peak}$  rather than  $SUV_{max}$  for response assessment. Consequently, we investigated the impact of different  $ROI_{peak}$  definitions on the quantification of  $SUV_{peak}$  and tumor response to therapy.

### **MATERIALS AND METHODS**

#### Treatment and Imaging

Seventeen patients with advanced solid malignancies were treated with a multitargeted receptor tyrosine kinase inhibitor with antiproliferative and antiangiogenic effects. Malignancies included a diverse range of tumor types: renal cell carcinoma (n=7), esophagus (n=2), hepatocellular (n=2), prostate (n=1), sarcoma (n=1), small cell lung (n=2), thymus (n=1), and uterine carcinosarcoma (n=1). Response to therapy was measured using the PET radiotracer 3'-deoxy-3'- $^{18}$ F-fluorothymidine  $(^{18}$ F-FLT). As a surrogate of cellular proliferation,  $^{18}$ F-FLT is emerging as a promising candidate for chemotherapy response assessment as demonstrated in patients with lymphoma, breast cancer, and glioma  $(^{17}$ -23). Patients were injected intravenously with 240 MBq  $(^{6}$ .5 mCi) of  $^{18}$ F-FLT and underwent whole-body PET/CT at baseline (pretreatment) and during treatment using a Discovery LS PET/CT scanner (GE Healthcare).  $^{18}$ F-FLT was synthesized following the method described by Martin et al. with slight modifications  $(^{24}$ ). PET/CT began  $^{47}$   $^{\pm}$  4 min after injection and extended inferiorly from the base of the skull to the distal femora. Acquisition time was  $^{10}$  min per bed position. PET images were reconstructed

on a  $128 \times 128$  grid over a 50-cm field of view using the ordered-subset expectation maximization algorithm with 2 iterations, 28 subsets, a 5-mm gaussian loop (interiteration) filter, a 3-mm gaussian postprocessing filter, and CT attenuation correction. On average, patient weight changed only 1.5% between the 2 PET scans.

The study protocol was approved by the University of Wisconsin Health Sciences Institutional Review Board, the Scientific Review Board of the University of Wisconsin Carbone Comprehensive Cancer Center, and the University of Wisconsin Radiation Drug Research Committee. Written informed consent was obtained from each patient before enrollment in the study.

# Quantification of SUV<sub>peak</sub> and Tumor Response

PET activity concentrations (MBq/cm³) were converted to standardized uptake values by dividing by the injected activity per patient mass. <sup>18</sup>F-FLT-avid lesions (~2/patient) were segmented on PET images by an experienced nuclear medicine physician. The location and number of lesions were as follows: lung, 14; mediastinum, 5; liver, 4; abdomen, 3; adrenal, 2; gastrointestinal tract, 2; pelvis, 1; gluteus, 1; uterus, 1; arm, 1; bone, 1. Tumor volumes ranged from 1 cm³ to 530 cm³, with an average of 66 cm³.

For each tumor, 24 different  $SUV_{peaks}$  were determined by changing the region of interest ( $ROI_{peak}$ ) used to measure  $SUV_{peak}$  (Fig. 2). The shape, size, and location of  $ROI_{peak}$  were varied as follows: for shape, circular (2-dimensional) or spheric (3-dimensional) ROIs were used; for size, ROI diameters of 7.5, 10, 12.5, 15, 17.5, or 20 mm, encompassing the range of fixed ROI lengths in the literature, were used; for location, the ROI was centered on  $SUV_{max}$  or was placed in the highest-uptake region. An example ROI is shown in Supplemental Figure 1, where a 12.5-mm-diameter circular ROI was placed in the highest-uptake region of a lung lesion (supplemental materials are available online only at http://jnm.snmjournals.org).

SUV<sub>peak</sub> was determined automatically. First, an ROI (circular or spheric) was centered on each tumor voxel and the average SUV within the ROI was determined by weighting each voxel uptake value by the percentage of its volume contained within the ROI. The ROI location yielding the greatest average SUV was defined as the highest-uptake region of the tumor (Supplemental Fig. 1). In addition, an ROI (circular or spheric) was centered on SUV<sub>max</sub> and the average SUV within the ROI was determined.

For each tumor, the 24  $SUV_{peaks}$  were normalized to the mean intratumor  $SUV_{peak}$  (Eq. 1) and their variation was measured using the coefficient of variation (CV) and range.

$$\frac{1}{\text{SUV}_{\text{peak}}^n} = \frac{\sum_{i=1}^{i=24} \left( \text{SUV}_{\text{peak}}^n \right)_i}{24}$$
 Eq. 1

Here,  $\overline{SUV_{peak}^n}$  is the mean intratumor  $SUV_{peak}$  for an individual tumor n, and  $(SUV_{peak}^n)_i$  corresponds to an  $SUV_{peak}$  determined using 1 of the 24  $ROI_{peak}$  definitions (the ith definition, e.g., 12.5-mm-diameter spheric ROI placed in the highest-uptake region).

For each  $ROI_{peak}$  definition, a population average  $SUV_{peak}$  (Eq. 2) was determined over all tumors.

$$\frac{1}{\left(\text{SUV}_{\text{peak}}\right)_{i}} = \frac{\sum_{n=1}^{N} \left(\text{SUV}_{\text{peak}}^{n}\right)_{i}}{N}.$$
 Eq. 2

Here,  $\overline{\left(\mathrm{SUV}_{\mathrm{peak}}\right)_{i}}$   $\mathrm{SUV}_{\mathrm{peak}}$  is the population average  $\mathrm{SUV}_{\mathrm{peak}}$  using the ith  $\mathrm{ROI}_{\mathrm{peak}}$ 

definition, n is an individual tumor, N is the total number of tumors, and  $(SUV_{peak}^n)_i$  corresponds to an  $SUV_{peak}$  of an individual tumor determined using 1 of the 24 ROI<sub>peak</sub> definitions (the *ith* definition). The variation of the 24 population average  $SUV_{peak}$  was measured using coefficient of variation and range.

Tumor response (R) during treatment was defined as the relative change in SUV<sub>peak</sub> normalized to the baseline SUV<sub>peak</sub> (Eq. 3).

$$R_{i}^{n} = \frac{\left(\text{SUV}_{\text{peak}}^{n, \text{ during tx}}\right)_{i} - \left(\text{SUV}_{\text{peak}}^{n, \text{ baseline}}\right)_{i}}{\left(\text{SUV}_{\text{peak}}^{n, \text{ baseline}}\right)_{i}} \times 100\%.$$

Here,  $R_i^n$  is the response of an individual tumor (n) using 1 of the 24 ROI<sub>peak</sub> definition (the *ith* definition).

For each tumor, the 24 different  $SUV_{peaks}$  gave rise to 24 different responses whose variation was measured using the standardized deviation (SD) and range. For each  $ROI_{peak}$  definition, a population average response (Eq. 4) was determined over all tumors.

$$\overline{R_i} = \frac{\sum_{n=1}^{N} R_i^n}{N}.$$
 Eq. 4

Here,  $\overline{R_i}$  is the population average response using the *ith* ROI<sub>peak</sub> definition, n is an individual tumor, and N is the total number of tumors. The variation of the 24 population average responses was measured using SD and range.

Tumor response was also determined using  $SUV_{max}$  for comparison with response measured using  $SUV_{peak}$ .  $SUV_{max}$  can be considered as a special case of  $SUV_{peak}$  in the limit of a very small  $ROI_{peak}$  (single-voxel  $ROI_{peak}$ ). For  $SUV_{max}$ , an equivalent diameter of 5 mm was derived by calculating the diameter of a sphere whose volume equaled the volume of the single voxel (65 mm<sup>3</sup>) represented by  $SUV_{max}$ .

One-way ANOVA was used to test whether the  $ROI_{peak}$  definition resulted in statistically significant differences in  $SUV_{peak}$  and tumor response. The Levene test for equal variance was used, and means were compared with the Bonferroni test. Differences were considered statistically significant at an a-level of less than 0.05/24. Correlations between the variation in  $SUV_{peak}$  and tumor response and other tumor characteristics were tested using the Pearson correlation coefficient (r) and considered statistically significant at an a-level of less than 0.05.

### **RESULTS**

# **Individual Tumors**

**SUV**<sub>peak</sub>—Within individual tumors, considerable variation in SUV<sub>peak</sub> resulted from changing the ROI<sub>peak</sub> definition. The variable ROI<sub>peak</sub> definition led to an intratumor

 $SUV_{peak}$  variation ranging from 49% above to 46% below the mean, resulting in a 17% CV. These intratumor variations in  $SUV_{peak}$  are highlighted for a retroperitoneal lesion in Supplemental Figure 2 and for all lesions in Supplemental Figure 3.

The size of  $ROI_{peak}$  caused more variation in intratumor  $SUV_{peak}$  than did the location or shape of  $ROI_{peak}$  (Supplemental Fig. 3). Within individual tumors, varying  $ROI_{peak}$  diameter resulted on average in a 14% CV associated with  $SUV_{peak}$ , compared with a CV of 9% and 5% when the location or shape, respectively, of  $ROI_{peak}$  was varied. In general, intratumor  $SUV_{peak}$  tended to decrease, but its variation tended to increase as the size of  $ROI_{peak}$  increased (Supplemental Fig. 2).

There was no significant correlation between tumor size and the variation in intratumor  $SUV_{peak}$  (Supplemental Fig. 3, tumors ordered by size). Furthermore, there was no significant correlation between intratumor uptake heterogeneity (measured by CV of tumor uptake) and the variation in intratumor  $SUV_{peak}$ .

**Tumor Response**—Within individual tumors, a substantial variation in tumor response resulted from changing the  $ROI_{peak}$  definition. Intratumor response ranged from 49% above to 35% below the mean, resulting in a 9% SD. These intratumor variations in response are highlighted for a retroperitoneal lesion in Figure 3 and for all lesions in Figure 4. Responses determined using  $SUV_{max}$  were within the range of responses quantified with  $SUV_{peak}$  in almost 70% of all tumors (Fig. 4).

Variation in intratumor response resulted in the ambiguous classification of individual tumors into multiple response categories (Table 1; Figs. 3 and 4). Different response thresholds were applied to classify tumors into response categories (e.g.,  $\pm$ 30% and  $\pm$ 30% for progressive disease/stable disease and stable disease/partial response thresholds, respectively, as recommended by PET Response Criteria in Solid Tumors [PERCIST]). When response thresholds of  $\pm$ 20%,  $\pm$ 30%, and  $\pm$ 40% were applied, 55%, 42%, and 32%, respectively, of all tumors suffered from an ambiguous response classification. In addition, response classifications using SUV<sub>peak</sub> and SUV<sub>max</sub> were compared (Table 1; Figs. 3 and 4).

The size, location, and shape of  $ROI_{peak}$  all caused similar variations in intratumor response (Fig. 4). Within individual tumors, varying  $ROI_{peak}$  size, location, and shape resulted on average in respective SDs of 5%, 7%, and 5% associated with tumor response.

In general, the magnitude of intratumor response was independent of the size of  $ROI_{peak}$ . However, the variation in intratumor response tended to increase as the size of  $ROI_{peak}$  increased (Fig. 3). The magnitude and variation of intratumor response were independent of  $ROI_{peak}$  shape and location.

A strong correlation was exhibited between tumor response (average of all 24 SUV $_{peak}$  responses for each tumor) and variation in intratumor response (r = 0.81, P < 0.001, Figs. 4-6). Variation in intratumor response tended to increase as response increased (i.e., as response worsened from partial response to stable disease to progressive disease). There was no significant correlation between tumor size and variation in intratumor response (Fig. 4, tumors ordered by size). Furthermore, there was no significant correlation between intratumor uptake heterogeneity (measured by CV of tumor uptake) and variation in intratumor response.

### **Population Average**

 $SUV_{peak}$ —Quantification of the population average  $SUV_{peak}$  was substantially affected by changing the  $ROI_{peak}$  definition. For different  $ROI_{peak}$  definitions, the population average

 $SUV_{peak}$  ranged from 24% above to 28% below the mean, resulting in a 14% CV (Supplemental Fig. 4). Differences in  $SUV_{peak}$  (associated with the  $ROI_{peak}$  definitions) between the populations were statistically significant (P < 0.001).

The size of  $ROI_{peak}$  caused more variation in the population average  $SUV_{peak}$  than did the location or shape of  $ROI_{peak}$  (Supplemental Fig. 4). Varying  $ROI_{peak}$  diameter resulted in a 13% CV associated with the population average  $SUV_{peak}$ , compared with a CV of 7% and 4%, respectively, when  $ROI_{peak}$  location or shape was varied. Trends observed in the population average  $SUV_{peak}$  reflected trends associated with intratumor  $SUV_{peak}$ . As the size of  $ROI_{peak}$  increased, the population average  $SUV_{peak}$  tended to decrease and its variation increased (Supplemental Fig. 4).

**Tumor Response**—Tumor response during treatment averaged -21% but ranged as high as +116% and as low as 280% (Fig. 4). However, population average tumor response was not significantly affected by changing the  $ROI_{peak}$  definition. For different  $ROI_{peak}$  definitions, the population average tumor response ranged from only 3% above to 3% below the mean, resulting in a 2% SD (Fig. 7). Differences in response (associated with the  $ROI_{peak}$  definitions) between the populations were not statistically significant (P=1.00).

Size, location, and shape of  $ROI_{peak}$  all caused minimal variations in population average tumor response (Fig. 7), as all SDs were less than 2%. The magnitude and variation of population average tumor response were independent of the size, shape, and location of  $ROI_{peak}$  (Fig. 7).

#### **Tumor Subgroup Analysis**

Variation in SUV<sub>peak</sub> and tumor response was determined using all 35 tumors assessed in this study. In addition, the results were recalculated on 2 different tumor subgroups. The first subgroup, in which lesions were in regions without significant background activity (n = 23), was studied in order to reduce the chance that elevated background activity was incorrectly included in ROI<sub>peak</sub>. Consequently, abdominal, hepatic, renal, and bone lesions were excluded from this group. The second subgroup was one in which lesions were larger than 20 mL (n = 12), which is approximately 5 times larger than the largest ROI<sub>peak</sub> (4.2 mL, 20-mm diameter). Studying this subgroup ensured that ROI<sub>peak</sub> was completely inside the tumor boundaries and that no background activity was incorrectly included in ROI<sub>peak</sub>. Results for the 2 tumor subgroups were almost identical to results determined using all tumors (Table 2).

# **DISCUSSION**

# Individual Tumor Response Versus Population Average Response

The region of interest used to determine  $SUV_{peak}$  can have a profound effect on its quantification and on the response of individual tumors. On average, different  $ROI_{peak}$  definitions resulted in intratumor variations of approximately 17% and 9% for  $SUV_{peak}$  and tumor response, respectively, and these variations ranged as high as 50%. This degree of variation can lead to different categorizations of response (Figs. 3 and 4) using criteria such as PERCIST (9). With PERCIST, such ambiguous response categorizations arose in over 40% of the tumor responses assessed in this study (Fig. 4; Table 1). Ambiguous response categorization of tumors increased with narrower response criteria (e.g.,  $\pm 20\%$ ) but was reduced using broader response criteria (e.g.,  $\pm 40\%$ ), similar to that of the MUNICON phase II trial (Table 1) (23). The sensitivity of response quantification to the  $ROI_{peak}$  definition reveals the need to optimize PET metrics (such as  $SUV_{peak}$ ) for quantitative response assessment in individual patients. An ambiguous response classification underscores the

necessity for a unique, consistent, standard region of interest with associated criteria that can accurately assess response.

Unlike individual tumor responses, population average response was relatively insensitive to the definition of  $ROI_{peak}$  used to measure response (Fig. 7), as is consistent with the findings of Krak et al. (6). The small variation (only 2%) in population average response occurred because the magnitude of individual tumor responses was independent of the  $ROI_{peak}$  definition. Therefore, because of an averaging effect, variation was reduced when determining population average response and might be reduced even further as more tumors are included in the population average. This robustness of population average response points to the strength of PET for accurate quantification of the average response to therapy.

#### Effects of Different Factors on Variation in Intratumor Response

The variation in intratumor response correlated strongly with tumor response (Fig. 5). Tumors that responded well (i.e., partial response, tumor response < -30%) exhibited significantly less variation in intratumor SUV<sub>peak</sub> response than did tumors that responded poorly (i.e., stable disease or progressive disease, tumor response > -30%). Well-responding tumors seemed to exhibit a response more uniform than the heterogeneous response of poorly responding tumors (Fig. 6). Thus, SUV<sub>peak</sub>-based response was considerably more sensitive to the ROI<sub>peak</sub> definition for poorly responding tumors than for well-responding tumors.

Surprisingly, neither tumor size nor tumor uptake heterogeneity had a significant effect on the variation in either intratumor response or  $SUV_{peak}$ . This finding suggests that the characteristics (size, heterogeneity, etc.) of only the high-uptake regions encompassed by  $ROI_{peak}$ , not those of the entire tumor, directly affect the variation in tumor response and  $SUV_{peak}$ . Though not investigated, partial-volume effects tend to reduce uptake heterogeneity and therefore are expected to reduce the variation in both  $SUV_{peak}$  and response. Thus, a greater variation in both  $SUV_{peak}$  and response should result from partial-volume correction of the PET data.

The variable  $ROI_{peak}$  definition led to a variation in intratumor response that was about half that of  $SUV_{peak}$ . Tumor response was determined via normalization by baseline  $SUV_{peak}$ , in effect canceling out some of the variation in  $SUV_{peak}$ , which may explain the reduced variation in intratumor response. Most of the variation in  $SUV_{peak}$  was due to the size of  $ROI_{peak}$ , as is consistent with the findings of Boellaard et al. (5). As expected, variation in both intratumor response and  $SUV_{peak}$  increased as the size of  $ROI_{peak}$  increased.

For each  $ROI_{peak}$  definition, population average  $SUV_{peak}$  preserved the trends caused by the size, shape, and location of  $ROI_{peak}$ . Consequently, the variation in population average  $SUV_{peak}$  was approximately equal to the variation in intratumor  $SUV_{peak}$ . This result is in contrast to tumor response, in which the variation in intratumor response for different  $ROI_{peak}$  definitions (9%) was much larger than the variation in population average response (2%). For tumor response, there were no significant trends caused by size, shape, or location of  $ROI_{peak}$ , resulting in very little variation in population average response due to an averaging effect.

The wide variation in both intratumor response and  $SUV_{peak}$  stemmed from changes to the size, shape, and location of  $ROI_{peak}$ , reflecting the range of different  $ROI_{peak}$  definitions found in the literature. Therefore, a wide variation in intratumor response is expected under normal, realistic conditions. It is likely that an even greater variation would occur because of errors during image analysis for response assessment. For example, improper localization of  $ROI_{peak}$  in an average- or low-uptake region of a tumor at baseline would result in a

measured tumor response that is artificially large, leading to a more extreme variation in intratumor response.

<sup>18</sup>F-FLT, rather than <sup>18</sup>F-FDG, was selected as a radio-tracer in this study because of the antiproliferative nature of the molecular targeted therapy. Furthermore, <sup>18</sup>F-FLT may be more effective for assessment of treatment response than is <sup>18</sup>F-FDG (21,25,26). However, imaging of tumors using both <sup>18</sup>F-FLT and <sup>18</sup>F-FDG has revealed a somewhat higher SUV and broader SUV range with <sup>18</sup>F-FDG than with <sup>18</sup>F-FLT (17,27,28). Thus, compared with <sup>18</sup>F-FLT, <sup>18</sup>F-FDG is expected to result in a greater variation in both SUV<sub>peak</sub> and tumor response due to different ROI<sub>peaks</sub>.

 $SUV_{peak}$  was determined using body weight (SUV\_{peak}^{BW}) and not lean body mass (SUV\_{peak}^{LBM}, as recommended by PERCIST). However, on average, patient weight changed only 1.5% between the 2 PET scans, and this weight change would result in an approximate difference of only 0.6% between response determined using SUV\_{peak}^{BW} and SUV\_{peak}^{LBM}. Consequently, in this study, approximately the same variation in SUV\_{peak} and tumor response is expected using either SUV\_{peak}^{BW} or SUV\_{peak}^{LBM}.

#### **Implications for Treatment Response Assessment**

Currently, most response assessment studies use SUV<sub>max</sub>, although recently SUV<sub>peak</sub> has been recommended as a more robust alternative (9). Patient-specific response quantification is subject to significant uncertainty because of the different ROI<sub>peak</sub> definitions, and therefore SUV<sub>peak</sub> requires further study to optimize its use for quantification of response in individual patients. Though stemming from different causes, the uncertainties associated with SUV<sub>peak</sub> and SUV<sub>max</sub> are comparable (6). Moreover, the noise uncertainty associated with SUV<sub>max</sub> continues to be reduced because of the increased counts with 3-dimensional PET acquisition, the current standard on most scanners. A correlation between SUV<sub>max</sub> and SUV<sub>peak</sub> responses has been demonstrated (6,29), and in this study, SUV<sub>max</sub> response was within the range of responses quantified with SUV<sub>peak</sub> in almost 70% of all tumors (Fig. 4). Nevertheless, despite this correlation, there can be substantial differences between  $SUV_{max}$ and SUV<sub>peak</sub> responses in individual tumors. For example, response quantification using the PERCIST-recommended SUV<sub>peak</sub> (1.25-cm-diameter sphere in highest-uptake region) was 45% smaller than that of SUV<sub>max</sub> in tumor 9 (Fig. 4), resulting in different response categorizations. Such differences underscore the need to establish the relative predictive power of SUV<sub>peak</sub> versus SUV<sub>max</sub> for response assessment. Consequently, the recent recommendation in favor of SUV<sub>peak</sub> over SUV<sub>max</sub> should be approached with caution (9). It must first be determined whether SUV<sub>peak</sub> or SUV<sub>max</sub> is best suited for treatment response assessment.

Clinical trials are necessary to establish the superiority of  $SUV_{peak}$  or  $SUV_{max}$  for quantification of response to therapy. These trials should investigate the sensitivities of  $SUV_{peak}$  and  $SUV_{max}$  to a variety of factors, including image noise, scan acquisition and image reconstruction parameters, partial-volume effects, tumor motion, and others. Furthermore, the clinical utility of either  $SUV_{peak}$  or  $SUV_{max}$  for response quantification will strongly depend on its correlation with patients' clinical outcomes. Ultimately, the most robust and predictive SUV measure should be selected for quantification of treatment response.

It is probably not feasible to compare all definitions of  $SUV_{peak}$  with  $SUV_{max}$ , within the context of a larger clinical trial. Rather, a standard  $ROI_{peak}$  should be carefully selected to determine  $SUV_{peak}$ .  $ROI_{peak}$  should be large enough to prevent  $SUV_{peak}$  from suffering

from noise, partial-volume effects, and other sensitivities that plague  $SUV_{max}$ . However,  $ROI_{peak}$  should not be so large that it includes substantial uptake heterogeneity and voxels that lie outside the tumor. These considerations lend support to the 1.2-cm-diameter sphere recommended by PERCIST as a standard definition of  $ROI_{peak}$  (for 2-cm or larger diameter tumors). This size is in the middle of the range of  $ROI_{peak}$  definitions found in the literature.

Identification of a suitable SUV measure for response quantification requires clinical trials. After these trials, thresholds for the different response categories (complete response, partial response, stable disease, and progressive disease) can be established using population average response data in which the uncertainties are small. Unique thresholds may be established for specific diseases and their associated therapies. The size of the thresholds will need to exceed the overall uncertainty associated with the selected SUV measure (SUV $_{\rm peak}$  or SUV $_{\rm max}$ ). Subsequently, the SUV measure could be quantified in individual patients to gauge their response to therapy using the established response thresholds as a guide.

#### CONCLUSION

Quantification of individual tumor response with  $SUV_{peak}$  is sensitive to the region of interest used to determine  $SUV_{peak}$ . Changes to the size, shape, and location of  $ROI_{peak}$  result in substantial variation ( $\leq$ 50%) in both  $SUV_{peak}$  and tumor response for individual tumors. These considerable uncertainties in  $SUV_{peak}$  and tumor response call into question recommendations favoring  $SUV_{peak}$  over  $SUV_{max}$  for quantification of treatment response. Clinical trials are necessary to compare the efficacy of  $SUV_{peak}$  and  $SUV_{max}$  for quantification of response to therapy.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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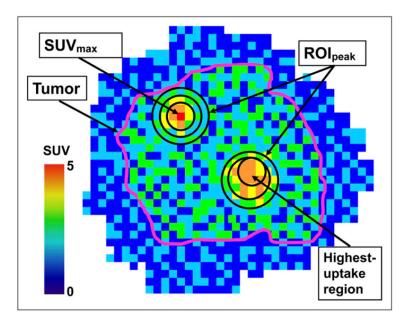
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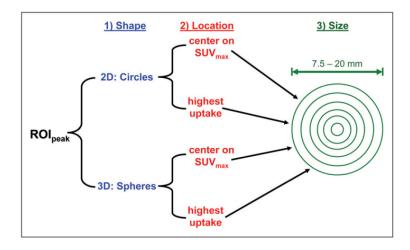
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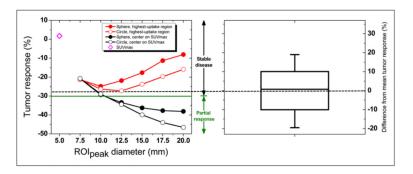
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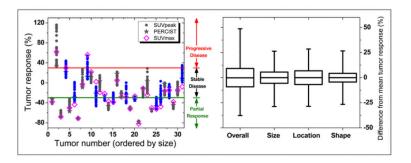
**FIGURE 1.** Multiple definitions of  $ROI_{peak}$ . In this schematic PET image of radiotracer uptake in tumor (purple outline),  $ROI_{peaks}$  of different sizes and in different locations are shown. Shape of  $ROI_{peak}$  can vary too (only circles are shown).



**FIGURE 2.** Varying ROI<sub>peak</sub>. Shape, location, and size of ROI<sub>peak</sub> were varied as shown to yield 24 different  $SUV_{peaks}$  for each tumor.

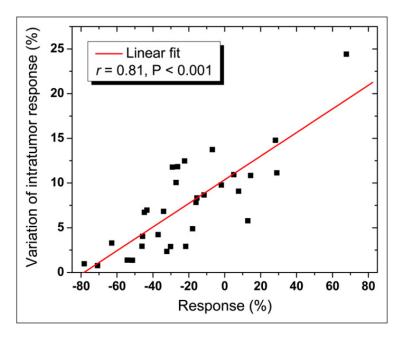


**FIGURE 3.** Variation in tumor response for retroperitoneal lesion (lesion 11). (Left) 24 different SUV<sub>peak</sub> tumor responses (mean response, dashed line) arising from 24 different ROI<sub>peak</sub> definitions. Response was ambiguously classified as either stable disease (above -30%, green line) or partial response (below -30%). SUV<sub>max</sub> response is also shown. (Right) Box represents SD, whiskers show range, and solid line depicts median of SUV<sub>peak</sub> response.

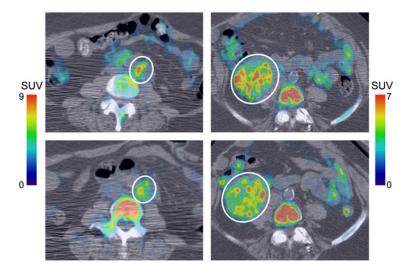


#### FIGURE 4.

Intratumor variation in  $SUV_{peak}$  responses. (Left) Responses of 31 tumors (ordered from smallest to largest) arising from different  $ROI_{peak}$  definitions. Responses of 12 tumors (in blue) were ambiguously classified as either progressive disease/stable disease (130%, solid red line) or stable disease/partial response (-30%, solid green line).  $SUV_{max}$  response and  $SUV_{peak}$  PERCIST response (1.25-cm-diameter sphere in highest-uptake region) are also shown. (Right) Overall variation in intratumor response and variation associated with changing size, location, and shape of  $ROI_{peak}$ . Boxes represent SD, whiskers show range, and solid line depicts median of response values.

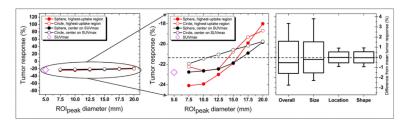


**FIGURE 5.** Variation in intratumor response vs. response. Variation in intratumor response tended to increase as response increased (i.e., as response worsened from partial response to stable disease to progressive disease).



#### FIGURE 6.

Small vs. large variation in intratumor SUV $_{peak}$  response.  $^{18}$ F-FLT PET/CT images of periaortic lesion (left, lesion 24) and pelvic tumor (right, lesion 30) at baseline (top) and during treatment (bottom). Lesions are indicated by white circles. Periaortic lesion responded well, exhibiting fairly uniform reduction of  $^{18}$ F-FLT uptake in higher-uptake regions. Consequently, there was little variation in SUV $_{peak}$  response. In contrast, pelvic tumor responded poorly, with heterogeneous response in higher-uptake regions, resulting in large variation in SUV $_{peak}$  response.



### FIGURE 7.

Variation in average tumor response in population. (Left and middle) For each  $ROI_{peak}$  definition, tumor response was averaged over all tumors, resulting in population average response (mean response, dashed line).  $SUV_{max}$  response is also shown. (Right) Overall variation in population average response and variation associated with changing size, location, and shape of  $ROI_{peak}$ . Boxes represent SD, whiskers show range, and solid line depicts median. Variation in population average response is extremely small (~2%).

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TABLE 1

Tumor Response Classification Using Different Response Thresholds

Response thresholds	Response Response thresholds metric	Total tumors	Partial-response tumors	Stable-disease tumors	Progressive- disease tumors	Partial- response/ stable-disease tumors	Stable-disease/ progressive- disease tumors	Partial-response/ stable-disease/ progressive-disease tumors	Ambiguous response (%)
+20%	$\mathrm{SUV}_{\mathrm{peak}}$	31	12	1	1	10	9	1	55
	$\mathbf{SUV}_{\mathrm{max}}$	31	18	6	4	NA	NA	NA	NA
±30%	$\mathrm{SUV}_{\mathrm{peak}}$	31	6	∞	-	6	4	0	42
	$\mathrm{SUV}_{\mathrm{max}}$	31	13	15	3	NA	NA	NA	NA
±40%	$\mathrm{SUV}_{\mathrm{peak}}$	31	7	14	0	7	3	0	32
	$\mathbf{SUV}_{\mathrm{max}}$	31	6	20	2	NA	NA	NA	NA

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**TABLE 2** 

Comparison of All Tumors with Different Tumor Subgroups

Tumor group n Range Average CV Range Average SD Range CV Range   All tumors 3.5 -46% to +49% 17% -35% to +49% 9% -28% to +24% 14% -3% to +3   Tumors in regions without significant background activity* 2.3 -46% to +49% 17% -35% to +49% 9% -29% to +26% 15% -4% to 13   Tumors > 20 cm³ 12 -43% to +49% 16% -18% to +36% 8% -27% to +22% 14% -4% to +7				Individual tumors	d tumors		Pop	ulation	Population average	
			$SUV_{\mathfrak{p}}$	eak	Respo	nse	$\mathbf{SUV}_{\mathrm{peak}}$		Response	2)
-35% to +49% -35% to +49% -18% to +36%	Tumor group	u	Range	Average CV	Range	Average SD	Range	CV	Range	$\mathbf{SD}$
-35% to +49% -18% to +36%	All tumors	35	-46% to +49%	17%	-35% to +49%	%6	-28% to +24% 14% -3% to +3% 2%	14%	-3% to +3%	2%
-18% to +36%	Tumors in regions without significant background activity*	23	-46% to +49%	17%	-35% to +49%	%6	-29% to +26% 15% -4% to 13% 2%	15%	-4% to 13%	2%
	Tumors $> 20 \text{ cm}^3$	12	-43% to +49%	16%	-18% to +36%	%8	-27% to +22% 14% -4% to +7% 3%	14%	-4% to +7%	3%

\* Tumors in abdominal, hepatic, renal, and bone regions were excluded because normal, elevated <sup>18</sup>F-FLT uptake was present in these areas.

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