IMMUNOLOGY REVIEW ARTICLE

Understanding the roles of basophils: breaking dawn

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doi:10.1111/j.1365-2567.2011.03530.x Received 2 September 2011; revised 21 October 2011; accepted 26 October 2011. Correspondence: B. Min, Department of Immunology/NB30, Lerner Research Institute, Cleveland Clinic Foundation, Cleveland, OH 44195, USA. Email: minb@ cff.org

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Introduction

Basophils are the least abundant leucocytes primarily found in the circulation. They comprise only a small percentage ($\sim 0.5\%$) of circulating blood cells under steadystate conditions, but rapidly expand in the bone marrow in response to inflammatory signals and are mobilized to the blood, spleen, lung and liver. They are generated from the granulocyte-monocyte progenitors in the bone marrow and populate the periphery as fully mature cells.¹ The lifespan of basophils is short; recently estimated to be in the range of 1-2 days.² For many years basophils have been a somewhat enigmatic immune cell type and questions regarding their role in protective immunity as well as the specific pathogens or insults that elicit basophil responses are not fully answered.^{3,4} In addition to their scarcity and short lifespan, studies of basophils have been hindered by the lack of information about how basophils can be distinguished both phenotypically and functionally from the developmentally related mast cells. Both mast cells and basophils express the high-affinity receptor for IgE and can release a similar spectrum of mediators upon

Summary

Early studies that used parasite-infected interleukin-4 (IL-4) reporter animals led us to identify basophils as the primary source of IL-4 and hence propose the hypothesis that basophils trigger the development of antigenspecific T helper type 2 (Th2) immune responses *in vivo*. These findings appeared to resolve a long-standing puzzle underlying Th2 immunity, that is, 'what is the source of the initial IL-4 necessary for CD4 T-cell differentiation into Th2 effector cells?'. However, results from extensive investigations of the contribution of basophils to Th2 immunity unveiled some controversial data that cast doubt on the initial hypothesis. In this review, the consensus and the controversy regarding the roles of basophils in infection and immunity, as well as outstanding questions for the future, are discussed.

Keywords: antibody responses; basophils; mast cells, CD4; helper T cells (Th cells)

IgE cross-linking. A panel of markers that define basophils (e.g. ckit⁻Fc ϵ RI⁺, CD11b⁺, IL-3R^{hi}, etc.) have now been identified that can distinguish these cell lineages and the list continues to expand.^{5,6} The recent development of basophil-depleting monoclonal antibodies^{7–9} and transgenic mouse strains that are deficient in basophils^{10–12} have enabled us to further explore the relationship between basophils and host immunity.

A major breakthrough in the understanding of basophil effector functions came from studies using interleukin-4 (IL-4) -reporter animals, and led to the discovery that basophils are a primary source of IL-4 *in vivo.*^{3,13} It was therefore hypothesized that basophils direct the development of robust IL-4-producing CD4 T cells. It is well accepted that upon initial antigen encounter, naive CD4 T-cell differentiation into IL-4-producing T helper type 2 (Th2) effector cells occurs with the help of IL-4 present in the microenvironment.¹⁴ The initial source of IL-4 remained elusive for many years. However, unlike CD4 T cells, which must undergo rounds of differentiation to express significant IL-4,¹⁵ basophils immediately secrete IL-4 following activation, and this is readily achieved even in immature basophil precursor cells. $^{\rm 16}$

Immunomodulatory functions of basophils

Because T helper cell differentiation takes place in the lymphoid tissues, a key question was how could circulating basophils affect Th2 differentiation? Recent data showing that basophils are recruited into the immuneactive draining lymph nodes and locate close to antigenactivated naive CD4 T cells offers a likely answer.9 The recruitment of basophils occurring between 3 and 4 days after immunization/infection is transient, and is observed with similar kinetics in several Th2 immunity models. These include immunization with protease antigen such as papain⁹ or house dust mite antigen,¹⁷ non-viable parasite injection,^{18,19} and infection with live parasites such as Nippostrongylus brasiliensis,^{2,3,19} Schistosoma mansonii^{18,20} and Trichuris muris.20 Accumulation and activation of basophils at sites of infection by parasites such as N. brasiliensis is well documented,^{3,10,13} Importantly, IL-3 produced by activated parasite-specific CD4 T cells plays a key role in the timing of basophil arrival and the numbers of basophils recruited to the sites.²¹ A cellular mechanism underlying IL-3-mediated basophil recruitment is not well understood, although the targets of IL-3 are of bone marrow-derived cell origin.²²

Subsequent reports from three independent studies that basophils can function as professional antigen-presenting cells further support the hypothesis that basophils are critical for the induction of Th2 immunity.²³⁻²⁶ Immunization of mice with ovalbumin protein antigen together with papain results in the induction of ovalbumin-specific IL-4-producing CD4 T cells. However, injection of the basophil-depleting anti-FceRI monoclonal antibody, MAR-1, abolishes this response.²⁷ Basophils can capture antigen-IgE complexes leading to the differentiation of antigen-specific naive CD4 T cells into Th2 type cells.²⁸ In the context of helminth infection, dendritic cell depletion does not affect parasite-specific Th2 immunity; instead, MAR-1-mediated basophil depletion significantly impairs Th2 cytokine responses as well as parasite expulsion.²⁰ Therefore, these findings strongly suggest that Th2 immunity arises with the help of specialized antigen-presenting basophils and the basophil-derived cytokine IL-4.

However, this conclusion was recently challenged by several contradictory reports demonstrating that basophils are dispensable for Th2 immunity. Using CD11c-DTR transgenic mice in which dendritic cells can be selectively ablated by injecting diphtheria toxin (DT), Phythian-Adams *et al.*¹⁸ show that dendritic cell depletion in mice is sufficient to disrupt Th2 immunity induced by *Schistosoma* egg antigen challenge or live *Schistosoma* infection, despite efficient recruitment of basophils to the lymph nodes. In contrast to earlier studies, they demonstrate that depleting basophils has no effect on the Th2 induction. In a separate study, Hammad *et al.* identified a novel 'inflammatory' DC subset that also expresses $Fc\epsilon RI.^{17}$ Upon intranasal challenge of mice with protease allergen, house dust mite antigen, TLR4/MyD88-dependent recruitment of basophils as well as $Fc\epsilon RI$ -expressing dendritic cells into the draining lymph node tissues was observed. However, it was found that basophils did not take up inhaled antigen, and most importantly did not express molecules involved in antigen presentation nor were they able to activate T cells.¹⁷ Instead, a population of $Fc\epsilon RI$ -expressing inflammatory dendritic cells are necessary and sufficient to induce Th2 immunity and all the features of asthmatic inflammation.¹⁷

Novel mouse models to examine basophil functions *in vivo*

Although the contradictory results may be attributed to the different animal models used, some of these studies generated opposing results using an identical experimental system (e.g. immunization with ovalbumin plus papain).^{17,27,29} The recent development of novel mouse models that allows selective basophil ablation has begun to resolve some of these controversies and unveil novel functions of basophils. Three independent conditional basophil-deficient mice have been established so far, and studies with these mice have yielded similar conclusions regarding the contribution of basophils to Th2-dependent immune responses. Ohnmacht et al.¹² generated Mcpt8Cre mice that express the Cre recombinase under the control of the mast cell protease 8 (mcpt8) gene, which is expressed by basophil lineage cells. Unexpectedly, more than 90% of basophils, but not other cells, were spontaneously depleted in these mice. Using these Mcpt8Cre mice, it was shown that active systemic anaphylaxis, passive systemic IgE-mediated anaphylaxis, and passive IgG1-mediated anaphylaxis were normally induced in these mice, although IgE-mediated chronic allergic skin inflammation was attenuated indicating that basophils are essential in this disease model.¹² Notably, papain-induced Th2 differentiation, ovalbumin/alum-induced allergic lung inflammation, and the primary immune response after N. brasiliensis infection were also normal in Mcpt8Cre mice.¹² Interestingly, N. brasiliensis expulsion following secondary infection was significantly compromised in these mice, suggesting a protective role for basophils.¹²

Locksley and colleagues took a similar approach to generate basophil-deficient animals by replacing the *mcpt8* gene with a YFP-IRES-Cre cassette (referred as basoph8 mice).¹⁰ Highly efficient depletion of basophils was achieved upon crossing the basoph8 mice with the Rosa-DT α mice. Similar to the Mcpt8Cre mice, immunization of basoph8 × Rosa-DT α mice with *Schistosoma* egg antigen or papain-induced wild-type level IL-4-producing CD4 T-cell responses, indicating a dispensable role of basophils during these responses.¹⁰ In contrast to earlier studies, however, intravital image analysis of YFP-positive basophils in the draining lymph node of the basoph8 mice did not find evidence of interaction between antigen-specific CD4 T cells and basophils. Instead, prolonged serial interaction between T cells and basophils was noted in the lung tissues, although the biological significance underlying this interaction remains to be elucidated. Interestingly, this study reported somewhat different results from studies using the Mcpt8Cre mice. Mice infected both primarily and secondarily with N. brasiliensis did not display any defects in clearing parasites.¹⁰ Consistent with this observation, mice deficient in IL-3 or IL-3R β developed normal Th2 immune responses following N. brasiliensis infection, despite the fact that basophil lymph node recruitment was completely abolished.²² Interestingly, targeted deletion of IL-4 and IL-13 in either CD4 T cells or basophils had a minimal effect on parasite clearance. However, a non-redundant role for basophils in moderating parasite burden could be discerned in situations where IL-4/IL-13 deletion occurred in both basophils and CD4 T cells, where higher N. brasiliensis intestinal worm burdens were observed.10 This would argue for a potentially supportive role for basophils in anti-parasite immune responses through their activation by immune serum and production of cytokines.¹⁰ This view is supported by a recent study demonstrating that the increased susceptibility of juvenile animals to helminth infection could be explained in part by the finding that basophils in juvenile animals are inherently less functional than basophils in adult mice.³⁰

The results of early observational studies, based largely in guinea pigs, suggested that basophils are involved in mediating immunity against ectoparasites such as ticks.³¹⁻³⁴ These studies were initially prompted by the finding of increased basophil numbers at the site of tick feeding and the demonstration that histamine antagonists can ablate immunity to ticks. It was subsequently shown that c-kit^{W/Wv} mast-cell-deficient mice are able to develop protective immunity to ticks similar to wild-type mice, thereby excluding a role for mast cells and, by default, supporting a role for basophils in immunity against tick infection.³¹ Basophils may play a more predominant role in conferring immunity against repeated tick infestation as shown in a recent study using the basophil-deficient Mcpt8DTR mice, where DT receptor is transgenically expressed on basophils and DT injection results in a transient depletion of basophils.¹¹ While wild-type mice are able to restrict the growth and development of ticks upon repeated tick infestation, the absence of basophils in DT-treated Mcpt8DTR mice impairs this response. Notably, the infiltration of eosinophils and neutrophils at tick feeding sites remains unaffected in these mice, arguing for a key nonredundant role for basophils for immunity against this type of ectoparasite.¹¹ Future studies using other skinlocalized pathogens should determine whether protective basophil-mediated contribution can be more generalized to skin immunity.

Pathways involved in basophil development

Although regulatory roles of basophils have been extensively studied, there is still much to be learned about the developmental programmes that give rise to basophils. Most studies have relied on the use of in vitro differentiation assays using either whole bone marrow or isolated bone marrow precursor cells cultured with IL-3, which exerts effects at several stages of stem cell and basophil development.^{35,36} Because of phenotypic and functional similarities between basophils and mast cells, it is not surprising that the molecular pathways regulating lineage commitment and differentiation of these cells during normal haematopoiesis are interconnected.37 Basophils and mast cells can be derived from the multipotent, lineagerestricted granulocyte-monocyte progenitor (IL-7Ra⁻ Lin⁻ Sca-1⁻ c-Kit⁺ CD34⁺ FcyRII/III^{hi} β 7^{lo}) in the bone marrow where they differentiate into either c-kit⁻ FceR-I⁺ CD11b⁺ basophil precursors (BaP) or c-kit^{hi} FceR-I⁺ CD11b⁻ mast cell precursors (MCp).³⁷ A common bipotent basophil-mast cell precursor (BMCP: Linc-Kit⁺ FceRII/III^{hi} β 7^{hi}), which is thought to arise from granulocyte-monocyte progenitors in the bone marrow, was recently identified in the spleen.³⁸ This precursor displays high expression of the intestinal-homing integrin, $\alpha_4\beta_7$, gives rise exclusively to BaP and MCp in culture and is able to reconstitute intestinal MCp when transferred to mast-cell-deficient mice. Whether these splenic BMCP are also the source of cells that are precursors to the rapidly expanded and mobilized population of basophils in infection settings remains to be determined.

Haematopoietic cell lineage decisions are facilitated by cell-cell interactions through molecules such as notchnotch ligands as well as by the growth factors that elicit a complex interplay of transcription factors in the common precursors.^{39,40} The precise relationship of the cell-cell interactions, growth factor signals and transcription factors is still unknown, but the relative level as well as the timing of transcription factor expression appear to be critical in this regard.⁴⁰ There is substantial evidence that CCAAT-enhancer-binding protein α (C/EBP α) is a key switch factor in basophil development and that it acts in an antagonistic as well as cooperative fashion with GATA-2 to drive lineage choice.37,38 Granulocyte-monocyte progenitors constitutively express C/EBPa and basophil differentiation is accompanied by increased expression of C/EBPa coupled with a concomitant reduction in GATA-2.37,40 Co-expression of GATA-2 and C/ EBPα in granulocyte-monocyte progenitors promotes eosinophil development, while GATA-2 expression in the absence of C/EBP α generates BMCP.⁴⁰ It was shown that enforced expression of C/EBP α in BMCP also results in exclusive differentiation into basophils and that conditional deletion of C/EBP α supports differentiation of BMCP exclusively into mast cells. Furthermore, ectopic expression of C/EBP α in MCp reprogrammes them into basophils.³⁸

Recent studies have implicated Ikaros in basophil–mast cell lineage decisions. Ikaros is a family of zinc finger-containing transcription factors best studied in lymphoid cell development.⁴¹ Ikaros^{-/-} mice have significantly reduced numbers of intestinal mast cells. The *in vitro* differentiation of mast cells from Ikaros^{-/-} bone marrow cells is also profoundly altered and results in skewing towards a basophil-like population. Consistent with this predominance of basophils observed *in vitro*, Ikaros^{-/-} mice exhibit profound basophilia in the absence of infection. These data indicate that Ikaros may act to promote mast cell lineage choice by suppressing C/EBP α expression and its absence results in a default to a basophil differentiation-dominated pathway (K.N. Rao *et al.*, unpublished data).

Perspectives and outstanding questions

Although IL-3 is known to promote the differentiation of BaPs (and BMCPs) into basophils both in vitro and in vivo,^{2,10,42} it is also involved in multiple steps of basophil expansion and function Fig. 1. Interleukin-3 primes basophils to increase IL-4 secretion following stimulation,^{4,16,21,43} enhances basophil generation after parasite infection,^{3,13} and recruits circulating basophils into the lymphoid tissues.^{12,17,18,22} Nonetheless, the homeostatic maintenance of basal level basophils in vivo is not altered by the lack of IL-3.^{21,44,45} This paradox might be explained by a recent study from Artis and colleagues who identified the thymic stromal lymphopoietin (TSLP) as a key regulator of basophil haematopoiesis that acts independently of IL-3.46 Most strikingly, they also demonstrated that basophils elicited by either IL-3 or TSLP in vivo appear to be phenotypically and functionally distinct, suggesting that functionally heterogeneous basophils may exist in vivo and play unique roles in Th2 immunity and in other basophilmediated responses.⁴⁶ There are many questions related to these observations that need to be examined. For example, what circumstances favour the generation of functionally distinct basophils? What are the target cells of these distinct basophil responses? Finally, what are the underlying mechanisms by which IL-3 and TSLP confer multiple and distinct functions to basophils?

In addition to IL-3 and TSLP, there are many other factors that regulate basophil biology. These include positive regulators that enhance basophil generation and functions (immune complexes,^{28,47,48} IL-18,^{43,49} IL-25,^{50,51} IL-33,^{49,52,53} lipopolysaccharide,^{51,54} complement C5a,^{55,56} HIV gp120⁵⁷) and negative regulators that inhibit baso-

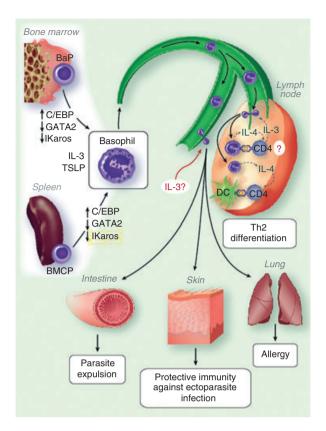


Figure 1. Pathways of basophil responses. Basophils are generated from the progenitors by coordinated expression of transcription factors as well as haematopoietic cytokines, interleukin-3 (IL-3) and thymic stromal lymphopoietin (TSLP). Mature basophils enter the circulation and mobilized into either lymphoid and non-lymphoid tissues. Recruited basophils are expected to modulate the induction of adaptive immunity or to carry out effector functions. BaP, basophil progenitor; BMCP, basophil–mast cell precursor; c/EBP, CCAAT-enhancer-binding protein; DC, dendritic cell; Th2, T helper type 2.

phil functions and expansion (interferon regulatory factor 2 [IRF2],⁵⁸ lyn kinase,⁵⁹ SH2 domain containing inositol phosphatase [SHIP]^{60,61}). Interestingly, all positive regulators identified so far are soluble/secretory proteins, whereas all negative regulators are intracellular enzymes that influence basophil expansion and receptor-mediated activation, which result in marked basophilia. Mechanisms underlying the regulation by these factors also remain to be identified.

Perhaps the most exciting findings come from a recent study showing that adoptive transfer of basophils is sufficient to induce Th2 immunity in an environment where Th2 immunity is impaired.^{11,46} This suggests the possibility that basophil transfer could be used therapeutically to modulate immune responses mediated by pathological pro-inflammatory Th1/Th17 type cells. Indeed, parasite infection is currently being used to treat patients with inflammatory bowel disease.^{62,63} Whether basophils mediate the protection in this case is still unclear, but if they

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do then understanding how basophils modulate these responses will be an excellent question for future study.

Acknowledgements

Supported by National Institutes of Health grants AI080908 (B.M.) and AI088299 (M.A.B), Marjorie Barclay Trust (G.L.G.), and Health Research Council of New Zealand (G.L.G.)

Disclosures

The authors have no financial conflicts of interest.

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