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# The sequential activation of Gata3 and Thpok is required for the differentiation of CD1d-restricted CD4+ NKT cells

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# Summary

While most CD4<sup>+</sup> T cells are MHC class II-restricted, a small subset, including CD1d-restricted 'invariant' iNKT cells, are selected on non-classical MHC-I or MHC-I-like molecules. We previously showed that the sequential activation of two zinc finger transcription factors, Gata3 and Thpok, promotes the differentiation of conventional, MHC II-restricted thymocytes into CD4+ T cells. In the current study, we show that a Gata3-Thpok cascade is required for the differentiation of CD4+ iNKT cells. Gata3 is required for iNKT cells to express *Thpok*, whereas Thpok is needed for their proper differentiation, and notably for them to maintain CD4 and terminate CD8 expression. These findings identify the sequential activation of Gata3 and Thpok as a hallmark of CD4+ T-cell differentiation, regardless of MHC restriction.

## Introduction

While the vast majority of CD4+ T cells are MHC II-restricted, small but functionally important contingents of CD4+ cells are restricted by other MHC or MHC-like molecules. The most abundant of these cells recognize lipid-bound CD1d molecules, express markers typical of NK cells, including the surface antigen NK 1.1, and can be identified in vitro through their binding to tetramers of  $\alpha$ -galactosylceramide (Gal-ceramide)-bound CD1d [1]. NKT cells express an invariant TCR $\alpha$  chain and a limited repertoire of TCR $\beta$  chains (hence their name, invariant NKT cells, iNKT), and NKT cell development is largely dependent on the transcription factor PLZF [2, 3]. Although they are selected by MHC I-like CD1d molecules, iNKT cells typically do not express CD8, and appear as CD4+CD8- (CD4 single positive (SP)) or CD4-CD8- (double negative (DN)). This is unlike other subsets of MHC I-restricted cells that acquire effector function in the thymus and adopt a CD8-SP phenotype [4, 5]. Currently, it is unclear why, unlike conventional MHC I-restricted thymocytes, iNKT precursors differentiate into CD4 rather than CD8 cells.

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#### Conflict of interest

The transcription factors Gata3 and Thpok are both required for the generation of CD4+ T cells from CD4+CD8+ (double positive, DP) thymocytes [6]. Gata3 is expressed throughout T cell differentiation and is required at multiple branch points during T cell development or effector differentiation [7]. Gata3 gene expression is transiently up-regulated during the differentiation of CD4-lineage thymocytes [8]; it is required at an early step of CD4+ T cell development, and has been proposed to promote the expression of genes specifically expressed in CD4+ T cells, or required for their differentiation [9]. Thpok is not expressed in DP thymocytes and its up-regulation during CD4-lineage differentiation requires Gata3 [9–11]. Thpok is required for the commitment of MHC II-restricted thymocytes to the CD4-lineage, notably by repressing the expression of the transcription factor Runx3 and of cytotoxic genes, and by promoting CD8 silencing [12–15].

In the current study, we found that Thpok is expressed at high level in CD1d-restricted NKT cells in the thymus and peripheral lymphoid organs. Thpok is required for the differentiation of CD4+ iNKT cells and for their repression of CD8, a function reminiscent of the one it serves in MHC II-restricted T cells. We further document that *Thpok* expression in iNKT cells is highly dependent on Gata3. We conclude from these findings that a similar cascade, sequentially involving Gata3 and Thpok, promotes the differentiation of MHC II- and CD1d-restricted thymocytes into CD4+ T cells.

# **Results and discussion**

We previously described a bacterial artificial chromosome (BAC) reporter transgene in which the first *Thpok* coding exon (exon 2) has been replaced by a GFP cDNA [9, 15] (Supporting Information Fig. 1, thereafter referred to as *Thpok*<sup>GFP</sup> transgene). In two independently derived mouse lines, this transgene expressed GFP in essentially all CD4+ T cells and mature CD4-SP thymocytes but not in CD8-SP thymocytes, or in naïve or memory spleen or LN CD8+ T cells [9]. However, we found low-level GFP expression in activated *Thpok*<sup>GFP</sup> CD8+ cells (Fig. 1A), in agreement with a recent report [16]. Immunoblot analyses demonstrated expression of endogenous Thpok protein (Fig. 1A). although at levels well below those in CD4+ cells.

This suggested that *Thpok* expression in T cells was not strictly limited to MHC II-restricted cells. To further explore this possibility, we introduced the *Thpok*<sup>GFP</sup> reporter into MHC II-deficient mice. In such mice, a small subset of thymocytes expressed GFP, of which most were TCR<sup>hi</sup> CD24<sup>lo</sup> (Fig. 1B). These cells shared two additional characteristics: they did not express CD8 and were CD44<sup>hi</sup> (Fig. 1B, C). Invariant NKT (iNKT) cells, which recognize CD1d-bound lipids [17], form the main subset of CD4+ T cells in MHC-II-deficient mice and are TCR<sup>hi</sup> CD24<sup>lo</sup> CD44<sup>hi</sup>, prompting us to assess whether they express *Thpok*. Supporting this idea, most GFP+ thymocytes in MHC-II-deficient *Thpok*<sup>GFP</sup> mice expressed the NKT cell marker NK1.1 (Fig. 1C). Indeed, most iNKT thymocytes, identified using α-Gal-ceramide CD1d tetramers, expressed the *Thpok* reporter (Fig. 1D and Supporting Information Fig. 2, and so did peripheral iNKT cells (Fig. 1E).

iNKT cells derive from DP thymocytes [18], cease CD8 expression early during their differentiation and therefore become CD4-SP cells. Subsequently, a subset of them terminates CD4 expression and becomes CD4<sup>-</sup>CD8<sup>-</sup> DN thymocytes. These DN iNKT cells also expressed GFP, although slightly less than their CD4-expressing counterparts (Fig. 1D). This differs from MHC II-restricted cells which all express both Thpok and CD4. Future studies will determine the mechanistic basis for this difference. Unlike CD4-differentiating thymocytes and naïve CD4+ T cells [13, 15], iNKT cells co-express *Runx3* and *Thpok*, with similar *Runx3* levels in CD4+ and DN subsets (Y. X. and R. B., unpublished results); whether or not Runx3 affects CD4 expression in iNKT cells remains to determine, although

we and others previously found that Thpok antagonizes the *Cd4*-silencing function of Runx3 [14, 15, 19].

To examine whether Thpok is required for iNKT cell development, we evaluated iNKT populations in *Thyok*-deficient mice [9]. While there was no reproducible difference in the frequency of CD1d-specific cells between *Thpok*-deficient and *Thpok*-sufficient thymi, Thpok-deficient iNKT cells failed to express CD4, and a subset of them expressed low levels of CD8 (Fig. 2A). iNKT cells were also found in normal frequency in the spleen and liver of Thpok-deficient mice, where they also displayed a DN or CD8-expressing phenotype (Fig. 2B and data not shown). Thus, unlike the related zinc finger transcription factor PLZF [2, 3], Thpok is not needed for the development of iNKT cells, but it is required for their continued CD4 expression. Of note, *Thpok*-deficient iNKT cells expressed less CD8 than conventional CD8+ cells, and a subset of them reexpressed CD8α but not CD8β (Fig. 2B, right and Supporting Information Fig. 3A). This suggested that so far unidentified transcription factors, expressed in conventional CD8+ cells but not in iNKT cells, promote CD8 expression. In addition, Thpok-deficient iNKT cells had impaired expression of markers of effector function, including NK1.1 and Granzyme B (Fig. 2C, 2D), although not of CD44 (Supporting Information Fig. 3B), indicating that Thpok controls multiple aspects of iNKT cell differentiation. The reduced Granzyme B expression by *Thpok*-deficient iNKT cells (Fig. 2D) was especially noticeable since Thpok represses Granzyme B expression in conventional T cells [12, 15]. Thus, *Thpok* affects multiple aspects of iNKT cells development in addition to their coreceptor expression. A recent report similarly found that Thpok promotes IFNy expression in iNKT cells [20], whereas it has the opposite effect in conventional CD4+ cells [15].

Similar to their Thpok-deficient counterparts, Gata3-deficient iNKT cells have reduced CD4 expression [21]. We had previously proposed a sequential model for Gata3 and Thpok function during the development of MHC II-restricted CD4+ T cells, whereby Gata3 is required for *Thpok* expression and Thpok promotes CD4-commitment and CD8 silencing [9]. Consequently, we wondered whether Gata3 was required for *Thpok* expression in iNKT cells. To assess this, we evaluated the expression of *Thpok* mRNA by RT-PCR in iNKT cells purified from Gata3-sufficient mice and from mice in which floxed Gata3 alleles were deleted in DP cells by a Cd4-Cre transgene (referred to as  $Gata3^{\Delta DP}$  mice)[22]. These analyses showed a 90% reduction in Thpok expression in Gata3-deficient relative to wildtype iNKT cells (Fig. 3A). To determine whether this resulted from an homogenous reduction of *Thpok* expression, we introduced the *Thpok* GFP transgene into  $Gata3^{\Delta DP}$  mice. Consistent with our previous finding that Gata3 is required for *Thpok* expression, there was little or no GFP fluorescence in conventional thymocytes (CD44<sup>lo</sup> NK1.1<sup>-</sup>) in *Thpok*<sup>GFP</sup> Gata3<sup>ΔDP</sup> mice (Supporting Information Fig. 4A). In iNKT thymocytes, Gata3 disruption made GFP expression barely detectable, compared to their Gata3-sufficient counterparts (Figs. 3B, columns 2 and 4, and Supporting Information Fig. 4B). We conclude from these experiments that Gata3 promotes *Thpok* expression in iNKT cells.

As previously reported [21], Gata3 disruption resulted in the disappearance of the CD4+ iNKT subset. We observed a minor re-expression of CD8 on  $Gata3^{\Delta DP}$  iNKT thymocytes (data not shown and Fig. 3B), with substantial biological variability among mice. This re-expression was not nearly as pronounced as on Thpok-deficient iNKT cells, and it is possible that this is due to the small residual Thpok expression in Gata3-deficient iNKT thymocytes (Supporting Information Fig. 4B). Of note, Gata3 disruption results in drastically reduced peripheral iNKT cell numbers [21], whereas Thpok disruption had no such effect, indicating that Gata3 serves other functions in addition to promoting Thpok expression. This is supported by the altered development of Gata3-deficient iNKT thymocytes, illustrated by

the premature expression of NK1.1 by *Gata3*- but not *Thpok*-deficient iNKT thymocytes (Ref. [21] and Supporting Information Fig. 3B).

The expression of *Thpok* by CD1d-selected iNKT cells indicates that *Thpok* can be expressed in thymocytes in the absence of MHC-II co-engagement of TCR and CD4. The selection of CD1d-restricted iNKT cells differs from that of conventional T cells in two critical aspects [1, 23]. First, selecting CD1d molecules are expressed by DP thymocytes but not by the thymic epithelium [24]. Second, the selection of iNKT cells requires homotypic interactions between SLAM family receptors and signaling through the adaptor SAP, none of which is involved in selection of conventional T cells [25]. Future work will determine whether either factor promotes *Thpok* expression by iNKT cells. Because CD1d does not bind CD8, the expression of *Thpok* by iNKT cells is consistent with the 'kinetic signaling' model of lineage choice, which proposes that CD8-independent TCR signaling in thymocytes promotes CD4 differentiation, and therefore results in *Thpok* expression [26].

The expression of *Thpok* in iNKT cells depends on Gata3, similar to what we previously reported in MHC II-restricted thymocytes [9]. However, while *Gata3*-deficient MHC II-restricted thymocytes fail to differentiate into mature T cells, iNKT cells develop despite *Gata3* disruption, allowing us to dissect effects of Gata3 on cell development from those on *Thpok* expression. As a result, we could identify a *Gata3* requirement for *Thpok* expression in iNKT cells, distinct from that for selection. Such a demonstration had not been possible in MHC II-restricted thymocytes, which in absence of *Gata3* are arrested before the stage at which they would normally express *Thpok* [9, 27]. We had previously shown that Gata3 was recruited to the *Thpok* locus in MHC II-restricted thymocytes [9]. Recent 'Chipseq' large scale analyses have found that Gata3 binds the same site, close to the 3' extremity of *Thpok* intron 1 in iNKT cells [within DNase I hypersensitivity site A in Ref. 9] (J.Z., William E. Paul and Keji Zhao, unpublished data). In MHC II-restricted thymocytes, this activity of Gata3 is part of a broader network of positive and negative transcriptional regulators that determine *Thpok* expression [6, 28], and it is likely that this is the case in iNKT cells as well.

Previous studies have led to the idea that *Thpok* is up-regulated as a result of MHC II signaling in thymocytes [10, 11, 13, 14]. We now add an important amendment to this paradigm, namely that *Thpok* is also expressed in iNKT cells. As in conventional MHC II-restricted thymocytes T cells, Thpok is required for proper repression of CD8 expression by CD1d-restricted iNKT thymocytes and for their development into CD4+ cells, and its expression is Gata3-dependent. We propose that the sequential activation of Gata3 and Thpok is a characteristic of CD4+ T cells, regardless of their antigenic specificity.

## **Materials and Methods**

### Mice

Thpok<sup>-/-</sup>, Gata3<sup>ΔDP</sup> and the Thpok<sup>GFP</sup> line were previously described [9, 22]. MHC II-deficient mice were from Jax [29]. Mice were housed in Specific Pathogen Free facilities and analyzed between 4 and 12 weeks of age. Animal procedures were approved by relevant NIH Animal Care and Use Committees.

#### **Antibodies**

The following mAb were obtained from BD Pharmingen and used for staining:  $TCR\beta$  (H57-597), CD4 (RM4.4 or GK1.5), CD8 (53-6.7), CD24 (M1/69), CD44 (IM7), CD69, and NK1.1 (PK136). PBS-57-loaded and control (unloaded) mouse CD1d tetramer were from the NIH tetramer core facility.

## Cell preparation and staining

Cells were prepared and analyzed by flow cytometry according to previously described procedures, using either an LSR II flow cytometer (BD Biosciences) or a modified (Cytek) FacsCalibur cytometer (BD Biosciences) [9]. Cell sorting was performed as described using Facs Vantage or Facs Aria instruments (BD Biosciences) [9]. CD4 or CD8 peripheral cells were purified using Dynal beads (Invitrogen), activated with antibodies against CD3 and CD28 and cultured under 'Th2' condition as described [15].

## Gene and protein expression analyses

Gene expression was analyzed by RT-PCR using Taqman reagents, probes (*Zbtb7b* Mm00784709\_s1) and an ABI PRISM 7500HT sequence detection system, all from Applied Biosystems, following published procedures [12, 15]. Gene expression values were normalized to *Actb* in the same sample. For protein analyses, cells were lyzed in 1% Triton-containing buffer; anti-Thpok immunoprecipitation and immunoblotting was carried out as previously described [9].

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

# Acknowledgments

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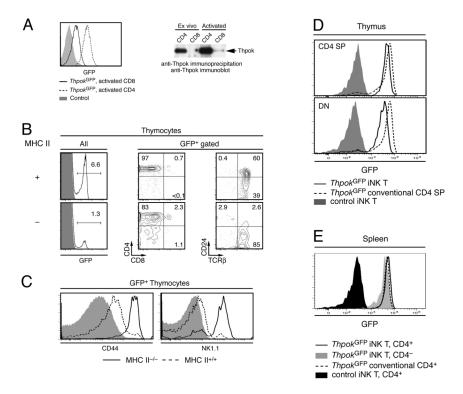


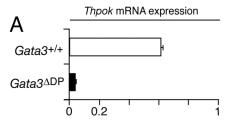
Figure 1. Expression of the *Thpok*<sup>GFP</sup> reporter in iNKT cells

(A) GFP expression on *Thpok* GFP CD8+ (plain line) or CD4+ (dashed line) T cells 7 days after activation, overlaid on background fluorescence from similarly activated control cells (grey-shaded) (left). Expression of Thpok protein was analyzed by immunoprecipitation and immunoblot on freshly isolated (left) and in vitro activated (day 7) CD4+ and CD8+ T cells (right). (B, C) Thymocytes from MHC II-deficient or -sufficient mice carrying the Thpok GFP reporter were analyzed by multicolor flow cytometry. (B) GFP fluorescence (plain lines) is overlaid over background fluorescence from control non-transgenic mice (grey shaded). Two parameter contour plots gated on GFP-expressing cells show CD4 vs. CD8 (middle) and TCRβ vs. CD24 expression. (C) Overlaid histograms show expression of CD44 (left) and NK1.1 (right) on GFP+ cells from MHC II-deficient (plain lines) and -sufficient (dashed lines). Grey-shaded histograms show staining with an isotype-control antibody. (D) Overlaid histograms show GFP fluorescence (plain lines) on CD4-SP (top) and DN (bottom) iNKT thymocytes from *Thpok*<sup>GFP</sup> mice, and background fluorescence (grey-shaded) on the same populations from control mice. Subsets were defined by expression of CD4, CD8 and CD24, and reactivity with α-Gal-ceramide-loaded CD1d tetramer, as shown in Supporting Information Fig. 2A. The dashed line shows GFP fluorescence on conventional CD4-SP cells from *Thpok*<sup>GFP</sup> mice. (E) GFP fluorescence is shown on CD4<sup>+</sup> (plain line) and CD4<sup>-</sup> (grey-shaded) iNKT cells, and on conventional CD4+ T cells (dashed lines), all from the spleen of *Thpok*<sup>GFP</sup> mice, as gated in Supporting Information Fig. 2B. The black-filled histograms depicts background fluorescence on CD4<sup>+</sup> iNKT cells from control mice. Data (A-E) are representative of at least two experiments.



Figure 2. *Thpok* is required for the differentiation of CD4<sup>+</sup> iNKT cells

(A) Conventional and iNKT thymocytes, defined on the basis of  $\alpha$ -Gal-ceramide-loaded CD1d binding and expression of CD24 (left) are analyzed for CD4 and CD8 $\alpha$  expression (right). (B) Contour plots (right) display expression of CD8 $\alpha$  vs. CD4 or CD8 $\beta$  vs. CD4 on conventional T cells and iNKT cells in the spleen, gated as shown in the top left plots. Data (A, B) are representative of 7 mice of each genotype analyzed in four separate experiments. (C, D) Expression of surface CD69 and NK1.1 (C), or of intra-cellular Granzyme B (D) is analyzed on iNKT thymocytes from wild-type and *Thpok*-deficient defined on the basis of CD24 expression and CD1d-tetramer binding as in (A) and gated for CD4 and CD8 $\alpha$  expression as shown in the leftmost plots. Data are representative of three mice of each genotype anlyzed in two separate experiments. Grey-shaded histograms in (D) show staining with an isotype control.



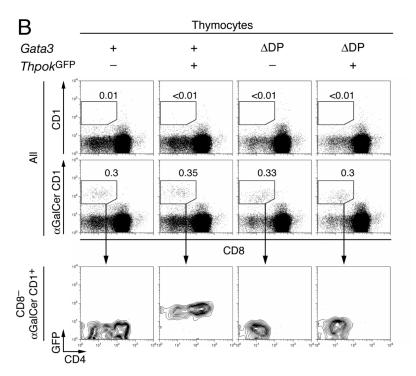


Figure 3. Gata3 promotes *Thpok* expression in both iNKT and conventional CD4+ T cells (A) Expression of *Thpok* mRNA was measured by real-time RT-PCR on sorted iNKT thymocytes (CD24<sup>lo</sup> CD1d-tetramer<sup>+</sup>) from  $Gata3^{+/+}$  and  $Gata3^{\Delta DP}$  mice. Values were normalized to β-actin expression in the same samples and are displayed relative to *Thpok* mRNA expression in conventional CD4-SP thymocytes, set to 1. Data are representative of two distinct determinations from two separate sorts. (B) iNKT cell populations are defined on two parameters plots of CD8 expression and α-Gal-ceramide-CD1d binding; the top row show absence of binding of unloaded CD1d tetramers as a specificity control (top panels). Two parameter plots show CD4 vs. GFP expression on gated iNKT cells. Note the reduced CD4 levels on  $Gata3^{\Delta DP}$  iNKT thymocytes and their detectable residual GFP expression (rightmost plot). Representative of three experiments (the other two identifying iNKT thymocytes by their expression of NK.1.1).