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Transfecting the hard-to-transfect lymphoma/leukemia cells using a simple cationic polymer nanocomplex

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Abstract

Although the development of gene delivery systems via non-viral-mediated methods is advancing rapidly, it remains a challenge to deliver plasmids into hard-to-transfect cells, such as lymphoma/ leukemia cells. To develop an efficient transfection method, we formulated a simple nanocomplex by incorporating poly β-amino ester (PBAE) polymers with plasmid DNAs containing a GFP reporter gene. The formed PBAE-plasmid nanocomplexes are approximately 200 nm in diameter and stable under physiological conditions, but become rapidly biodegradable when pH decreases < 7.0. Cultured lymphoma/leukemia cells were used for transfection assays and resultant gene delivery rates were determined by quantifying GFP expression. Exposure of cells to the nanocomplexes composed of fractioned PBAE (> 7 kDa) resulted in GFP expression in 3% of cells, similar to that mediated by the standard Lipofectamine method. However, with polybrene pre-treatment, the nanocomplex could achieve GFP expression in up to 32% of lymphoma/ leukemia cells, an 8-fold increase over that mediated by Lipofectamine. These findings demonstrated a simple, efficient method for *in vitro* gene delivery into hard-to-transfect cells. The nanocomplexes are biodegradable and have minimal cytotoxicity, suggesting the potential use for *in vivo* gene delivery.

Keywords

β amino ester polymers; cell transfection; gene delivery; hard-to-transfect; leukemia/lymphoma; polybrene

Introduction

In vitro gene delivery (cell transfection) research has made significant advances in recent decades. The research can be categorized by gene delivery method, either viral- or non-viralmediated. Although viral-mediated transfection is highly efficient, the integration of a viral gene into a host cell genome has raised serious safety concerns [1, 2]. Non-viral-mediated

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gene delivery is relatively safe and more easily used, and includes various chemical and physical systems to enhance gene delivery. Physical gene delivery can be assisted by needle injection[3], electroporation [4, 5], particle bombardment (gene gun) [6, 7], ultrasound[8], and hydrodynamic methods[9, 10]; however, these procedures are relatively complicated and resultant cell viability is dismal. Lipofectamine, a product of lipids, is one of the most common methods for cellular gene transfection [11]. It is very effective in adhesion cells and can also efficiently deliver small interference RNA into suspension cells. However, transfection rates of Lipofectamine with plasmid DNAs in the hard-to-transfect lymphoma/ leukemia cells are disappointing [12–16]. As a biomaterial, peptides including Tat [17], antennapedia homedomain peptide [18], and transportan peptide [19] were tested for gene delivery into cells with some promising *in vitro* results.

Chemical cationic polymers have demonstrated high potential for gene transfection in both adhesion and suspension cells [20–22]. The chemical systems involve the use of synthetic or natural compounds as carriers to incorporate gene(s) of interest and form nano-sized complexes for gene delivery into cells [23–25].Among them, poly(L-lysine), polyethylenimine (PEI), polymethacrylate, carbohydrate-based polymers, linear poly(amidoamine), and biodegradable polymers are widely studied. For years, PEI was considered as the gold standard approach for cell transfection since the initial successful PEI-mediated DNA transfection was reported in 1995 [26]. Subsequently, PEI derivatives such as the synthesized Polyethylene glycol (PEG)-PEI cholesterol complex are developed and currently being investigated in clinical trials [27]. However, the tested polymers such as PEI are cytotoxic [28, 29], leading researchers to search for a non-toxic and biodegradable polymer carrier. Using high-throughput synthesis and screening techniques, Anderson *et al.* have recently created libraries of over 2000 structurally unique poly β-amino esters (PBAE) and used their polymers as carriers for cell gene transfection [30]. This class of polymers displays properties such as self-assembly (wherein the plasmid DNA condenses to form small nanoparticles), and have amine-terminated chains that promote cellular uptake [31, 32]. These polymeric nano-carriers transfect genes into cells via endocytosis and are shown to escape endocytes leading to high gene delivery efficiency in adhesion cells [32–34]. Studies have shown that the PBAE polymers composed of 5-amino-1-pentanol and 1,4 butanediol diacrylate could achieve high-yield transfection in adhesion cells and, therefore, allow for the subsequent study of cellular functions as a result of the introduced genes [34]. However, there are no simple and efficient methods available for transfection of large-sized plasmids into suspension lymphoma/leukemia cells, which are known as hard-to-transfect. In this study, we investigated the potential application of simply synthesized PBAE polymers to deliver plasmid genes into suspension lymphoma/leukemia cells.

Materials and Methods

Reagents and cells

Monomers of 5-amino-1- pentanol and 1, 4-butanediol diacrylate were purchased from Sigma-Aldrich (St Louis, MO), 25 mM sodium acetate buffer (pH 5.2) was prepared by diluting a 3M stock (Sigma-Aldrich). Plasmid pMax-GFP was obtained from Lonza (Basel, Switzerland). Karpas 299 cells (human anaplastic large cell lymphoma) were obtained from the German Collection of Microorganisms and Cell Cultures (Braunschweig, Germany). Jurkat cells (human T-cell lymphoma/leukemia) and K562 (human myeloid leukemia) were obtained from ATCC (Manassas, VA). Cells were cultured in RPMI1640 medium (Altanta Biological, Lawrenceville, GA) supplemented with 10% fetal bovine serum (Atlanta Biologicals), 100 U/mL penicillin and 100 μg/mL streptomycin (Fisher Scientific). The PEI polymer was purchased from Sigma-Aldrich (St Louis, MO).

Preparation and fraction of poly β-amino ester C32 polymers

The PBAE polymers were synthesized according to the report by Anderson *et al*.[30]. Briefly, 0.342 g (3.20 mmol) of 5-amino-1-pentanol monomers and 0.526 g (2.65 mmol) of 1, 4-butanediol diacrylate monomers were added to a 25 mL flask at an amino/diacrylate ratio of 1.2:1.0, flashed with N2 and stirred at 95° C for 24 hr to generate amino-terminated polymer chains (Figure 1A). The average MW of PBAE polymers was reported ~14–20 KDa [35]. Subsequently, the formed PBAE polymers were fractioned into > 7 kDa and < 7 kDa (Figure 1B) by dissolving 200 mg of PBAE polymers in 4 mL of 80% ethanol and loading into pre-wet dialysis tubing (7 kDa MW cut-off, Thermo Scientific, Waltham, MA), followed by dialyzing in a 500 mL beaker with 400 mL 80% ethanol and stirring at room temperature for 2 hr. The dialysis solution was then replaced for overnight dialysis. The PBAE polymers > 7 kDa within the dialysis tubing were transferred into a round-bottom flask and the solvent was removed under reduced pressure. The PBAE polymers < 7 kDa in dialysis solution were collected and the solvent was removed. The fractioned PBAE polymers were dissolved in DMSO (Sigma-Aldrich) to 100 mg/mL and stored at 4° C until use.

Formulation and characterization of the nanocomplex for cell transfection

Plasmid vector pMax-GFP (Lonza, Basel, Switzerland), which has a MW of approximately 3500 bp and encodes green fluorescent protein (GFP), was used as a reporter of gene expression. To assemble the nanocomplex, 1μg of pMax-GFP was dissolved into 25 μl of 25 mM sodium acetate buffer at pH 5.2. The synthesized PBAE polymers (25 μl) were added into the sodium acetate buffer containing plasmid DNA (not reverse order) at different weight ratios as described, immediately followed by vortex mixing for 10 seconds. Nanocomplexes self-assembled in 10 minutes at room temperature (Figure 1C). For physical characterization, the PBAE-plasmid nanocomplexes composed of > 7 kDa PBAE polymers were diluted in RPMI1640 medium with pH 7.4 at room temperature and the size was kinetically measured using a Zetasizer nano detector (Malvern Instruments Ltd, Worcestershire, UK) at 0.5, 2, 4, 8, and 12 hr. In addition, changes in the nanocomplex size were also measured at pH 4, 5, 6, and 7. Shape and size of the nanocomplex were directly observed by scanning electron microscopy. In addition, polybrene nanocomplexes were also generated under the same conditions by adding polybrene polymers into the sodium acetate buffer (pH 5.2) containing plasmid DNA at different ratios as described in Figure 3D. At least three repeats were performed for each experiment condition with similar results.

Cell transfection, gene expression, and cytotoxicity assays

To evaluate the gene delivery capacity of the PBAE polymer-plasmid nanocomplexes in hard-to-transfect cells, fresh cultured human lymphoma and leukemia cells were suspended in RPMI1640 medium without serum $(1\times10^5/\text{mL})$. To enhance transfection, the cells were pre-treated with polybrene at different concentrations (0–8 μg/mL) as described in Figure 4. Following pre-treatment for 5 min, the nanocomplexes were added into cell cultures. After incubation for 4 hr at 37° C, the culture supernatants were removed and replaced with 0.5 mL RPMI1640 medium containing 10% serum for continuous culture. Cells were then harvested 48 hr post transfection and GFP-expressing cells were quantified by flow cytometry and data were analyzed by using FlowJo 7.6 software. GFP-expressing cells were also confirmed by fluorescent microscopy. At least three repeats were performed for each experiment condition with similar results

To rule out the adverse effects on surface biomarker expression, the cells treated for 24 hr were stained with antibodies and surface expression of CD2, CD3, CD5, and CD45 was quantified by flow cytometry. In addition, for cytotoxicity assays, cells were treated with the PBAE polymers > 7 kDa and the PEI polymers at different concentrations (0–200 μg/mL)

for 4 hr, then cultured in RPMI1640 medium with 10% serum for 48 hr. Viability of the treated cells was then evaluated with trypan blue staining[36]. Cell proliferation rate was monitored by MTT assay 48 hr post treatment as described previously [37]. At least three repeats were performed for each experiment condition with similar results

Results and Discussion

Formulation and characterization of the PBAE polymer-plasmid DNA nanocomplexes

As a carrier system, the PBAE polymers were synthesized (Figure 1A) and fractioned into polymers with $MW > 7$ or $\lt 7$ kDa by membrane tube dialysis (Figure 1B). The plasmid DNA, pMax-GFP (MW ~ 3.5 kbp), was used as a reporter system of gene expression. The nanocomplexes were formulated by incorporating the PBAE polymers with reporter plasmid DNAs (polymer:DNA ratio 40:1, mass/mass) through a non-covalent charge force at pH 5.2 as described under Materials and Methods (Figure 1C).

For physical characterization, the PBAE-plasmid nanocomplexes containing > 7 kDa PBAE were incubated in cell culture medium at 37° C and changes in size were kinetically monitored by dynamic light scattering (DLS) measurement. As shown in Figure 2A, the nanocomplexes were stable in size $(\sim 200 \text{ nm}$ in diameter) at pH 7.4 over 12 hr, indicating that they could steadily carry and transport plasmid DNA under physiological pH (neutral pH). In addition to transportation, intracellular releasing of the carried plasmid DNA is also a critical factor for gene expression. It is known that following intracellular delivery, the nanocomplexes will be incorporated into cell endosomes/lysosomes, where a low pH condition exists. To understand the potential pH effect on biostability, the nanocomplexes were added into culture medium with pH 4.0, 5.0, 6.0, or 7.0 and incubated at room temperature for up to 12 hr. Resultant changes in the size of nanocomplexes were monitored by DLS measurement. Decreases in pH induced a rapid enlargement of the nanocomplexes (Figure 2B), indicating dissociation of the condensed PBAE-plasmids (nanocomplexes) as a result of the "proton sponge" phenomenon, which lead to the release of the transported plasmid DNA into the cytoplasm for gene expression. Transmission electron microscopy confirmed the formation of condensed PBAE-plasmid nanocomplexes at pH 7.4 with an average size of \sim 200 nm in diameter (Figure 2C), and dissociation of the nanocomplexes at pH 5.0 with an average size of 1200 nm.

The PBAE polymer nanocomplex had a high capacity to deliver plasmid DNA into the hardto-transfect human lymphoma/leukemia cells

For cell transfection, the cultured Jurkat cells (human lymphoma/leukemia cell line) were treated for 4 hr with the nanocomplexes containing fractioned or unfractioned PBAE polymers and plasmid DNA reporters, or Lipofectamine containing plasmid DNA reporters as a standard cell transfection approach. The plasmid DNA alone was used as a negative control (−). After 48 hr culture, GFP-expressing cells were quantified by flow cytometry analysis (%). As shown in Figure 3A, the treatment by the nanocomplex composed of PBAE > 7 kDa and plasmid DNA reporter resulted in GFP expression in 3% of cells, similar to that observed by the Lipofectamine transfection method (2%). To enhance the transfection rate, Jurkat cells were pre-treated for 5 min with polybrene (2 μg/mL final concentration), which is routinely used to increase the efficiency of viral-mediated *in vitro* cell transduction. Interestingly, the polybrene pre-treatment significantly enhanced the cell transfection mediated by the nanocomplexes containing non-fractioned PBAE polymers (8%) and fractioned PBAE > 7 kDa (17%) (Figure 3B). However, the polybrene pre-treatment had no effect on cell transfection mediated by Lipofectamine. Resultant GFP expression in the transfected cells was also confirmed by fluorescent microscopy (Figure 3C). In addition, polybrene-plasmid DNA complexes were also generated under the same conditions with

different ratios. However, exposure of Jurkat cells to the polybrene-plasmid complexes resulted in little or no increase in GFP-expressing cells (Figure 3D).

To determine the optimal cell transfection condition, Jurkat cells were pre-treated with different concentrations of polybrene for 5 min and transfected by the nanocomplexes containing a PBAE > 7 kDa and plasmid DNA reporter. Flow cytometry analysis revealed that a 27% cell transfection rate was reached with $\geq 6 \mu g/mL$ of polybrene pre-treatment (Figure 4A). In addition, a time-course study showed that 5 min pre-treatment of polybrene was enough to achieve the highest cell transfection rate (Figure 4B). Therefore, this optimal pre-treatment condition with 6 μg/mL polybrene for 5 min was used in the rest of the experiments. Moreover, the nanocomplexes were formulated by incorporating PBAE polymers > 7 kDa with plasmid DNA reporters at different ratios (Figure 4C). Flow cytometry showed that the nanocomplexes with a ratio of PBAE > 7 kDa to plasmid DNA at 40:1 resulted in the best cell transfection rate. Subsequently, the cells were exposed to the nanocomplexes containing different concentrations of reporter plasmid DNA from 0.25 to 2.0 μg/mL. As concentrations of plasmid DNA increased, the cell transfection rate increased and reached a maximum of 28% at 1 μg of plasmid DNA/mL (Figure 4D).

The PBAE polymers and the formed nanocomplexes were not cytotoxic

Changes in expression levels of cell surface biomarkers were initially examined to determine cytotoxicity of the cell treatments. Jurkat cells were treated with polybrene (6 μg/ mL) alone, PBAE > 7 kDa (80 μg/mL) alone, the PBAE-plasmid nanocomplexes (80 μg/ mL), or vehicle only in a control group (−). After each treatment for 24 hr, the cells were probed by antibodies specific for individual biomarkers and evaluated by flow cytometry. As shown in Figure 5A, the treatments of polybrene alone, PBAE polymer > 7 kDa alone or the nanocomplexes had no effect on the expression of cell surface biomarkers CD2, CD3, CD5, and CD45. In addition, the proliferation rate of cells with the same set of treatments was simultaneously monitored 48 hr post treatment. MTT assays revealed that exposure of cells to the nanocomplex or polybrene at their using concentrations had no effect on cell proliferation (Figure 5B). Studies have shown that PEI polymers are effective carriers for cell transfection and gene delivery, although they appear to be cytotoxic [28, 29]. For comparison, cultured Jurkat cells were exposed to PBAE or PEI polymers at different concentrations as indicated in Figure 5C. Cells were then harvested 48 hr post treatment and cell viability was examined with trypan blue staining. The synthesized PBAE polymers > 7 kDa had much less cytotoxicity in contrast to PEI polymers, which showed significant toxicity to Jurkat cells (Figure 5C).

The PBAE polymer-plasmid nanocomplex-mediated cell transfection led to a high level of gene expression in human leukemia and lymphoma cells

For further validation, the cell transfection rate of the nanocomplexes was compared to that mediated by Lipofectamine, a widely used and commercially available reagent for cell transfection. Cultured suspension cells including Jurkat, K562 (a human myeloid leukemia cell line), and Karpas 299 (a human anaplastic large cell lymphoma cell line) were tested. The pMax-GFP was used as a reporter and cells were pre-treated with polybrene to enhance cellular gene delivery. After transfection for 48 hr, GFP-expressing cells were quantified by flow cytometry analysis. Based on our experiences, the Lipofectamine-medicated transfection routinely resulted in GFP gene expression in 2–4 % (with or without polybrene pre-treatment) of the tested human lymphoma/leukemia cells. In contrast, exposure of cells to the nanocomplexes with polybrene pre-treatment achieved significantly high cell transfection and gene expression rates: 32% in Jurkat cells, 19% in K562 cells, and 19% in Karpas 299 cells; 6 to 9.5-fold of that mediated by Lipofectamine (Figure 6). Interestingly, although the total number of GFP-expressing cells were lower in K562 and Karpas 299

cells, the majority of the transfected cells expressed a high level of GFP, $>10^2$ higher than background cells (Figure 6, darker green zones). Cellular GFP expression in the transfected cells was also confirmed by fluorescent microscopy.

Conclusions

In summary, our study demonstrated a new approach for gene delivery by using a simple PBAE polymer-plasmid nanocomplex. This system showed several advantages over currently available non-viral-mediated cell transfection systems: i) as a carrier, the PBAE polymers can incorporate gene vectors such as plasmid DNA with a size of \sim 3.5 kbp and form a stable nanocomplex under physiological conditions (Figures 2A and 2C); ii) the formed nanocomplex has high potential to deliver plasmid DNA into the suspension lymphoma/leukemia cells, 6 to 8-fold more efficiently than that mediated by the standard Lipofectamine method under the same conditions (Figure 6); iii) in contrast to PEI, a wellstudied cationic polymer for cell transfection, the PBAE polymers showed mild toxicity to the tested human cells (Figure 4); iv) more interestingly, the PBAE-plasmid nanocomplexes became unstable when environmental pH was lower than 7 (Figures 5B and 5C), which facilitated the unloading and delivery of the carried plasmid DNA into the cytoplasm of the transfected cells (Figure 2C); and v) the dissociated PBAE polymers are biodegradable, thus, more suitable for *in vivo* use. In addition, this study also showed the first evidence that pre-treatment of cells with polybrene significantly increases transfection efficiency of the PBAE polymer nanocomplexes (Figures 3 and 6). Although the detailed mechanism is unknown, the polybrene pre-treatment may neutralize the negative charge on the cell surface and enhance subsequent interactions of the PBAE nanocomplexes and cells.

Notably, due to its high transfection capacity of suspension cells, the PBAE nanocomplex might also be useful in enhancing gene delivery in other hard-to-transfect cells, including stem cells and suspension hematopoietic cells. In addition, since the PBAE polymer nanocomplexes showed less cytotoxicity, they may be used for *ex vivo* manipulation of cellular functions by introducing genes of interest into cells. The biodegradable property makes PBAE polymers suitable for *in vivo* use. Although the transfection rate of the PBAE polymer nanocomplex alone in lymphoma/leukemia cells is relatively low without polybrene pre-treatment, it is reasonable to believe that addition of specific ligand(s), through covalent or non-covalent bonds, into the PBAE polymer nanocomplexes will significantly improve their cell transfection potential and enable targeted gene delivery *in vivo*.

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Figure 1. Formulation of PBAE polymers and the PBAE polymer-plasmid nanocomplex

A, The PBAE polymers were synthesized by a simple chemical reaction of 5-amino-1 pentanol and 1,4-butanediol diacrylate monomers at 95° C for 24 hr[30]. **B**, The synthesized PBAE polymers were fractioned into < 7 kDa and > 7 kDa polymers by dialysis using a 7 kDa MW cut-off membrane. **C**, The fractioned PBAE polymers were incorporated with the plasmid pMax-GFP (~3.5 kbp) containing a GFP reporter gene to formulate a PBAE polymer-plasmid nanocomplex.

Figure 2. Characterization of the PBAE polymer-plasmid nanocomplex

A, The formed nanocomplexes composed of PBAE polymers > 7 kDa and plasmid DNA reporter were incubated in cell culture medium (pH 7.4) at 37° C and changes in size were kinetically monitored by DLS measurement. Under physiological pH conditions, the nanocomplex had a condensed size of ~200 nm in diameter and was stable for 12 hr. **B**, The PBAE polymer-plasmid nanocomplexes became unstable, rapidly dissociated, and enlarged under lower pH conditions (pH < 7). **C**, Transmission electron microscopy confirmed the condensed nanocomplexes at pH 7.4 with an average size of ~200 nm in diameter and dissociation of the nanocomplexes at pH 5.0 with an enlarged size ranging from 800 to 1200 nm.

Figure 3. The PBAE polymer-plasmid nanocomplex-mediated cell transfection

 \overline{A} , cultured lymphoma/leukemia Jurkat cells (5×10^6) were transfected by the nanocomplexes composed of pMax-GFP reporter genes and PBAE (unfractioned or fractioned polymers < 7 or > 7 kDa). In control groups, cells were transfected with Lipofectamine-pMax-GFP complex or pMax-GFP alone (−). After culture for 48 hr, GFP-expressing cells were quantified by flow cytometry (%). **B**, Cells were pre-treated with polybrene (2 ug/mL) for 5 min, followed by the same sets of transfection treatments as described in (A). Resultant cell transfection rates were determined by quantifying GFP-expressing cells (%). **C**, Fluorescent microscopy confirmation of the resultant GFP expression in the transfected cells. **D**, Exposure of Jurkat cells to the polybrene-plasmid complexes had little cell transfection effect.

Figure 4. Optimization of the nanocomplex-mediated cell transfection

 \overline{A} , Dose effect of polybrene. Jurkat cells (5×10⁶) were pre-treated with polybrene for 5 min at different concentrations (0–8 μ g/mL) and then exposed to the PBAE polymer (> 7 kDa)plasmid nanocomplex. In the control experiment, reporter plasmid DNA alone (0.25 μg/mL) was used (−). After treatment for 48 hr, GFP-expressing cells were quantified by flow cytometry (%). **B**, Time-course of polybrene pre-treatment. Cells were pre-treated with 6 μg/ mL polybrene for different times (5–120 min) and followed by exposure to the PBAE polymer-plasmid nanocomplex. Resultant GFP-expressing cells were quantified by flow cytometry (%). **C**, Optimal ratio of PBAE polymers and plasmid DNA reporters in the nanocomplex. Cells were pre-treated with polybrene (6 μg/mL) for 5 min and transfected by the nanocomplexes composed of PBAE > 7 kDa and pMax-GFP at different ratios (mass/ mass) as indicated. Resultant GFP-expressing cells were quantified by flow cytometry (%). **D**, Optimal amount of reporter gene in cell transfection. Cells were pre-treated with polybrene (6 μg/mL) for 5 min and transfected by different doses of the nanocomplexes with the fixed 40:1 ratio of PBAE polymers (> 7 kDa) and pMax-GFP. The final amounts of reporter pMax-GFP were used from 0.25 to 2.0 μg/mL. Reporter plasmid DNA alone was used in control cells (−). Resultant GFP-expressing cells were quantified by flow cytometry (%).

Figure 5. The nanocomplex had little or no adverse-effect on lymphoma cells

A, No effect on expression levels of cell surface biomarkers. Jurkat cells were treated with polybrene (6 μg/mL) alone, the fractioned PBAE polymer > 7 kDa alone, or combination treatments of polybrene and the nanocomplex as described in Figure 4. Control cells received no treatment (−). After treatment for 24 hr, cells were stained with antibodies for CD2, CD3, CD5, and CD45, and expression of these surface biomarkers was then quantified by flow cytometry. Non-stained cells were used as a background control (non-staining). **B**, No effect on cell proliferation. Proliferation rates of the treated cells in (A) were also evaluated by MTT assay 48 hr post treatment. **C**, PBAE polymers had minimal effect on cell viability. Jurkat cells were treated with different concentrations of PBAE polymers > 7 kDa or PEI polymers as indicated. After culture for 48 hr, cell viability was examined by trypan blue staining.

