

# Neurobehavioral Effects of Interferon- $\alpha$ in Patients with Hepatitis-C: Symptom Dimensions and Responsiveness to Paroxetine

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In patients at high risk for recurrence of malignant melanoma, interferon- $\alpha$  (IFN- $\alpha$ ), a stimulator of innate immunity, appears to induce distinct neurobehavioral symptom dimensions: a mood and anxiety syndrome, and a neurovegetative syndrome, of which the former is responsive to prophylactic administration of paroxetine. We sought to determine whether symptom dimensions (and treatment responsiveness) arise in patients with hepatitis C administered IFN- $\alpha$  and ribavirin. In a randomized, double-blind, 6-month study, 61 patients with hepatitis C eligible for therapy with IFN- $\alpha$  and ribavirin received the antidepressant paroxetine ( $n=28$ ) or a placebo ( $n=33$ ). Study medication began 2 weeks before IFN- $\alpha$ /ribavirin therapy. Neuropsychiatric assessments included the 10-item Montgomery-Asberg Depression Rating Scale (MADRS). The items of the MADRS were grouped into depression, anxiety, cognitive dysfunction, and neurovegetative symptom dimensions, and analyzed using a mixed model. By 2 weeks of IFN- $\alpha$ /ribavirin therapy, all four dimensions increased, with the symptom dimensions of anxiety and cognitive dysfunction fluctuating and worsening, respectively, in both groups over time. The depression symptom dimension was significantly lower in the paroxetine treatment group ( $p=0.04$ ); severity of the neurovegetative symptom dimension was similar in both groups. Similar to patients with malignant melanoma receiving high-dose IFN- $\alpha$ , the depression symptom dimension is more responsive to paroxetine treatment in individuals undergoing concomitant IFN- $\alpha$ /ribavirin therapy. However, the anxiety, cognitive dysfunction, and neurovegetative symptom dimensions appear less responsive to prophylactic paroxetine administration. Different neurobiologic pathways may contribute to the responsiveness of IFN- $\alpha$ -induced symptom dimensions to antidepressant treatment, requiring relevant psychopharmacologic strategies.

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## INTRODUCTION

The cytokine interferon- $\alpha$  (IFN- $\alpha$ ), a stimulator of innate immunity, has been used to treat a variety of malignant disorders and chronic viral infections, such as myelogenous leukemia, malignant melanoma, tuberculosis, and hepatitis C (Chang *et al*, 1997; Giosue *et al*, 2000; Manesis and Hadziyannis, 2001; Poynard *et al*, 1998; Talpaz *et al*, 1991;

Wheatley *et al*, 2003). As one of the first FDA-approved treatments for chronic hepatitis C (Lindsay, 1997), a viral infection that affects approximately three to four million people in the United States of America (Centers for Disease Control and Prevention, 2011), IFN- $\alpha$  has been shown to induce neuropsychiatric symptoms, including depression and anhedonia (Yates and Gleason, 1998; Zdilar *et al*, 2000), as well as neurovegetative and somatic symptoms (eg, anorexia, fatigue, altered sleep) (Capuron *et al*, 2000; Kelley *et al*, 2003; Valentine *et al*, 1998). Approximately 10–45% of all patients receiving IFN- $\alpha$  therapy develop depressive symptoms during the course of their treatment depending on the dose (Zdilar *et al*, 2000). Moreover, residual psychiatric symptoms (depressed mood, anxiety, insomnia) may last for up to at least 6 months after cessation of IFN- $\alpha$

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treatment (Hosoda *et al*, 2000). These IFN- $\alpha$ -associated neurobehavioral symptoms diminish quality of life for patients, which may impact compliance with IFN- $\alpha$  treatment, thereby reducing its potential therapeutic benefit (DiMatteo *et al*, 2000; Ho *et al*, 2001; Kraus *et al*, 2001).

In recent years, the concept that symptoms cluster in groups has been examined in various disease populations (Dodd *et al*, 2001; Miaskowski *et al*, 2004; Walsh *et al*, 2000; Walsh and Rybicki, 2006). As demonstrated by hierarchical regression analyses, the relationships of symptoms within each cluster are stronger than those between clusters (Dodd *et al*, 2001; Kim *et al*, 2005), and appear stable over months of time (Kim *et al*, 2005; Kirkova and Walsh, 2007). For example, symptoms related to mood, cognitive impairment, and fatigue group together to form symptom clusters in women with breast cancer. All three of these symptom clusters have been shown to occur at three different phases of the disease (pre-adjuvant therapy, post-adjuvant therapy, and palliative care) (Bender *et al*, 2005). In another example, a symptom cluster consisting of fatigue, weakness, weight loss, appetite loss, nausea, vomiting, and altered taste, found in patients with lung cancer, was present at least 3–6 months after diagnosis (Gift *et al*, 2003). In that such clusters may reflect disease course, treatment adverse effects, or efficacy (Kim *et al*, 2005), the concept of symptom clusters has clinical utility in that targeting certain symptom clusters at specific time points of a disease and/or its treatment may reduce patient burden and improve quality of life (Bender *et al*, 2005; Chen and Tseng, 2006; Kirkova and Walsh, 2007; Wang *et al*, 2006). Interestingly, the notion of symptom clusters is not new. Clusters of somatic symptoms were described over 40 years ago in cross-sectional studies of patients with major mental disorders (Haward, 1979; Mahrer *et al*, 1966; Williams *et al*, 1976). More recently, symptom clusters of neuropsychiatric, gastrointestinal, and pain symptoms have been identified in untreated patients with chronic hepatitis C (Lang *et al*, 2006), and 'non-somatic' vs neurovegetative depressive symptoms (ie, fatigue, loss of appetite, and sleep disturbance) of individuals with hepatitis C co-infected with HIV (Yoon *et al*, 2011). In the current study, we sought to determine the expression of neurobehavioral symptom clusters in patients with hepatitis C receiving pegylated or non-pegylated IFN- $\alpha$  and ribavirin treatment therapy, and the responsiveness of such symptoms to paroxetine treatment.

## MATERIALS AND METHODS

Eighty-two patients were screened between February 2000 and January 2005 at four academic medical centers (Atlanta, GA ( $n=32$ ), Philadelphia, PA ( $n=9$ ), New York, NY ( $n=15$ ), and Chicago, IL ( $n=5$ )) (Figure 1). Sixty-one patients (ages 18–65), who were serum positive for anti-hepatitis C virus antibodies or hepatitis C virus RNA by reverse transcription-PCR, were enrolled. This patient sample was identical to that reported by Raison *et al* (2007).

Exclusion criteria included the following: (1) diagnosis of major depression or substance abuse/dependence within 6 months of study entry; (2) history of schizophrenia or bipolar disorder (determined by Structured Clinical Interview for Diagnostic and Statistical Manual of Mental

Disorders—Fourth Edition (SCID-IV) (American Psychiatric Association, 1994)); (3) a score  $<24$  on the Mini Mental State Exam (Folstein *et al*, 1975), indicating more than mild cognitive impairment; (4) individuals with decompensated liver disease; (5) liver disease of any cause other than hepatitis C virus; and (6) unstable cardiovascular, endocrinologic, hematologic, renal, or neurologic disease. Patients were without psychotropic medications (except zolpidem for sleep) at least 2 weeks prior to baseline.

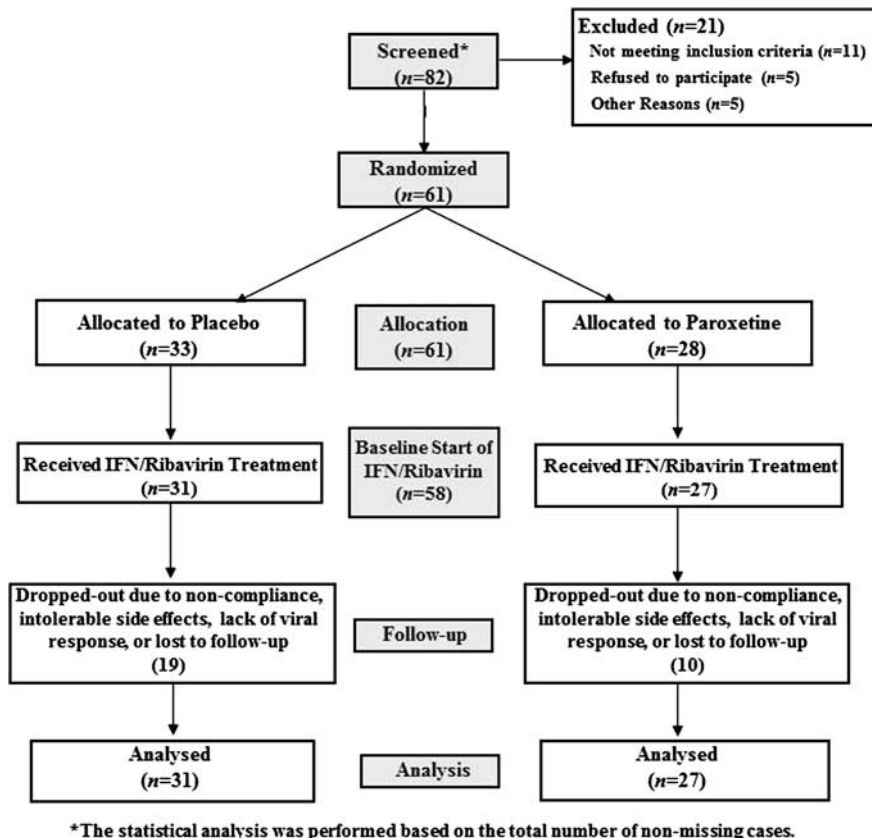
## Enrollment and Follow-up

Patients completed a screening visit, and approximately 2 weeks later completed a baseline evaluation. At the end of this baseline evaluation (which occurred 2 weeks prior to IFN- $\alpha$ /ribavirin administration), the study subjects were randomly assigned in a double-blind manner to begin 26 weeks of either paroxetine (Paxil; GlaxoSmithKline, Philadelphia, PA, USA) or placebo. They commenced treatment with the study medication by ingesting one tablet of either paroxetine (10 mg) or placebo once per day. After 3 days, the dose was raised to two tablets daily as tolerated. The study participants were then re-evaluated 2 weeks after the baseline evaluation and started on IFN- $\alpha$ /ribavirin therapy. At study week 4 (2 weeks after commencing IFN- $\alpha$ /ribavirin therapy), based on the depressive symptoms, study medication could be increased up to four tablets per day at the discretion of the study psychiatrists. The study participants were then re-evaluated every 4 weeks thereafter and received study medication for 26 weeks in duration unless they dropped out or were terminated. Concomitant psychotropic medications were not allowed during treatment, with the exception of zolpidem for insomnia.

Because this study occurred during transition to pegylated IFN- $\alpha$ , 22 subjects received non-pegylated IFN- $\alpha$ -2b (Intron A; Schering Plough, Kenilworth, NJ, USA), 20 received pegylated IFN- $\alpha$ -2b (Pegintron; Schering Plough), and 10 received pegylated IFN- $\alpha$ -2a (PEGASYS; Roche, Basel, Switzerland). The IFN- $\alpha$ /ribavirin dosage decisions were made by treating physicians and were not controlled by the study protocol.

## Assessments

At each visit, trained clinicians administered the mood disorders module from the SCID-IV to determine whether patients fulfilled the criteria for major depressive disorder. Participants who fulfilled full criteria for depression continued their double-blind, placebo-controlled treatment. Depressive symptom severity was evaluated using the clinician-administered Montgomery–Asberg Depression Rating Scale (MADRS) (Montgomery and Asberg, 1979), a 10-item scale sensitive to symptom change during antidepressant treatment. Scores for each item range from 0 to 6 (total possible score = 60), with higher scores indicating greater symptom severity. As discussed previously (Raison *et al*, 2007), the MADRS has been frequently used to measure depressive symptoms during IFN- $\alpha$  therapy, and shows improved internal consistency in patients with comorbid medical conditions compared with other clinician-administered questionnaires (Bonaccorso *et al*, 2002; Capuron *et al*, 2000; Constant *et al*, 2005; Hammond, 1998;



**Figure 1** Study flow diagram.

Khan *et al*, 2004; Wichers *et al*, 2005). In addition to providing a continuous score of depressive symptoms, the following scores for the MADRS have been correlated with global severity measures of depression and have been used as 'cut-off' scores for levels of severity of depressive symptoms: mild, 15; moderate, 25; and severe, 31 (Kearns *et al*, 1982; Yonkers and Samson, 2000). Training videotapes were used to enhance inter-rater reliability on the MADRS within and between study sites. The incidence of major depressive disorder in this patient sample has been reported elsewhere (Raison *et al*, 2007).

### Dimensional Analyses

Four time points were chosen for the dimensional analyses in order to allow examination of the immediate *vs* delayed neurobehavioral effects of IFN- $\alpha$ : (1) baseline (before initiating IFN- $\alpha$ /ribavirin therapy or study medication); (2) 2 weeks after initiation of IFN- $\alpha$ /ribavirin treatment (week 2 of therapy); (3) at the end of the third month of IFN- $\alpha$ /ribavirin treatment (week 12); and (4) at the end of the study (week 24).

To perform the dimensional analyses, neurobehavioral symptoms were grouped in four dimensions corresponding to depression, anxiety, cognitive dysfunction, and neurovegetative symptoms, respectively. The dimension of depression included the following MADRS items: apparent sadness, reported sadness, inability to feel, pessimistic thoughts, and suicidal thoughts. The dimension of anxiety

was assessed by the single MADRS item of inner tension; the dimension of cognitive dysfunction was similarly assessed by a single MADRS item, observed symptoms of concentration difficulties. Neurovegetative symptoms were assessed by the following MADRS items: reduced sleep, reduced appetite, and lassitude. The mean score obtained by a patient in each dimension was calculated as the sum of the severity scores obtained by the patient in each of the symptoms in that dimension divided by the number of symptoms in that dimension. For example, the mean score obtained by a patient in the dimension of depression corresponded to the sum of the score on the five following items: apparent sadness, reported sadness, inability to feel, pessimistic thoughts, and suicidal thoughts, divided by five.

### Statistical Analyses

After the screening visit (Figure 1) there were 33 patients randomized to placebo and 28 randomized to paroxetine. However, only 31 patients in the placebo-treated group and 27 participants in the paroxetine-treated group subsequently attended the baseline visit. Thus, the drop-out of study subjects resulted in 58% (19/33) and 36% (10/28) of the placebo-treated group and paroxetine-treated group, respectively, by the end of the study. The linear mixed model analysis used all observed data using the 'missing at random' assumption (Rubin, 1976). Imputation methods such as last observation carry forward were not used

owing to their strong assumptions, and because it may not be reasonable to impute a large proportion of the data. Differences between placebo- and paroxetine-treated patients for categorical variables were assessed by  $\chi^2$ -test. Differences for continuous measurements were analyzed by independent-samples *t*-tests. Our data analysis is based on the models that adjusted for baseline (before administration of paroxetine or placebo study drug) symptom scores.

Mean scores of each symptom item in the MADRS questionnaire were also calculated. Based on the score of the items, prevalence of MADRS symptoms of at least moderate intensity (2 or greater on an MADRS item) was derived.

## RESULTS

### Socio-demographic Characteristics of the Study Sample

As detailed previously by Raison *et al* (2007), the socio-demographic characteristics of the study groups are depicted in Table 1. Patients assigned to paroxetine were slightly but significantly older (51.1 (SD = 6.5) vs 46.6 (SD = 8.2) years;  $p = 0.02$ ). There were no differences between groups regarding male:female ratio, race, education level, marital status, history of major depressive disorder or substance abuse, or screening MADRS score.

**Table 1** Characteristics of Study Sample at Screening Visit

Characteristic	Placebo ( <i>n</i> = 33)	Paroxetine ( <i>n</i> = 28)
Sex (M/F)	20/13	15/13
Age (years)		
Mean (SD)	46.6 (8.2)	51.1 (6.5)
Range	28–65	38–66
Race, <i>n</i> (%)		
African American	10 (30.3)	10 (35.7)
Caucasian	17 (51.5)	11 (39.3)
Hispanic	5 (15.2)	7 (25.0)
Other	1 (3.0)	0 (0.0)
Education (%)		
Less than high school	8 (24.2)	5 (17.9)
High school	17 (51.5)	18 (64.3)
College, graduate school	6 (18.2)	5 (17.9)
Unknown	2 (6.1)	0 (0.0)
History of major depression, <i>n</i> (%)	8 (24%)	7 (25%)
History of substance abuse, <i>n</i> (%)	21 (64%)	18 (64%)
Baseline MADRS (score)		
Mean (SD)	5.2 (5.2)	3.5 (3.6)
Median	3.0	3.5
Range	0–24	0–15

### Drop-outs

As reported previously, nine subjects exited the study after ingesting at least one dose of the study medication but prior to receiving IFN- $\alpha$ /ribavirin. Twenty subjects (or 38%) dropped out of the study during IFN- $\alpha$ /ribavirin treatment but prior to study completion. Reasons for early discontinuation included intolerable side effects (placebo-treated patients ( $n = 15$ ) vs paroxetine-treated patients ( $n = 5$ )), protocol deviation, such as being lost to follow-up or noncompliance (placebo ( $n = 6$ ) vs paroxetine ( $n = 4$ )), and lack of viral response (placebo ( $n = 0$ ) vs paroxetine ( $n = 1$ )). Patients randomized to paroxetine had a significantly lower rate of study discontinuation after IFN- $\alpha$ /ribavirin therapy was initiated (paroxetine,  $n = 5/23$  (22%); placebo,  $n = 15/29$  (52%);  $p = 0.03$ ). No patients were removed from the study as a result of active substance abuse (Raison *et al*, 2007).

### IFN- $\alpha$ /Ribavirin-Induced Neurotoxicity in Placebo- and Paroxetine-Treated Patients at Baseline and Over Time

Prior to randomization placebo or paroxetine, the mean baseline scores for the depression, cognitive dysfunction, anxiety, and neurovegetative symptom dimensions were similar in the two study groups (Table 2). By the second week of IFN- $\alpha$ /ribavirin therapy, all of the symptom dimensions had increased. However, over time, the depression symptom dimension score was significantly lower in the paroxetine treatment group than the placebo treatment group ( $p = 0.04$ ). By contrast, for the anxiety and cognitive dysfunction symptom dimensions, time had significant effects; that is, anxiety and cognitive dysfunction symptoms fluctuated and worsened, respectively, over time (anxiety,  $p = 0.01$ ; cognitive dysfunction,  $p < 0.0001$ ). For the neurovegetative symptom dimension, neither treatment nor time had a significant influence on the change of scores. Lastly, no interaction between treatment and time was statistically significant for any of the four symptoms. The temporal evolution of the scores in each symptom dimension in placebo- vs paroxetine-treated patients over the course of the study is depicted in Figure 2.

### Individual Symptom Items in Placebo- and Paroxetine-Treated Patients

The mean scores of patients for each symptom item in MADRS during the study are demonstrated in Table 3. The mean scores in this table also indicate that the items in the neurovegetative symptom dimension (ie, reduced sleep, reduced appetite, and lassitude) are similar between the two groups at baseline. There is a difference between the groups at 24 weeks for the following two items: apparent sadness and inability to feel. Specifically, the mean scores for those items in the paroxetine group are less than those in placebo group, with less fluctuation in the paroxetine group for those items. However, in both treatment groups, with regard to 'inner tension', 'observed concentration difficulties', and 'lassitude', these symptoms worsened over time ( $p < 0.05$ ).

The frequency and prevalence of symptoms of at least moderate intensity (2 or greater on an MADRS item)

**Table 2** Symptom Dimensions as assessed by the Montgomery–Asberg Depression Rating Scale at Baseline and Over Time in Patients with Hepatitis C Receiving IFN-  $\alpha$ /Ribavirin and Undergoing Double-Blind, Placebo-Controlled Treatment with Paroxetine

Symptom dimensions	IFN- $\alpha$ treatment								Comparison at week 24 <sup>b</sup>
	Placebo <sup>a</sup> (n = 33)				Paroxetine <sup>a</sup> (n = 28)				
	Baseline	Week 2	Week 12	Week 24	Baseline	Week 2	Week 12	Week 24	
	(n = 33)	(n = 29)	(n = 22)	(n = 14)	(n = 28)	(n = 22)	(n = 21)	(n = 18)	
Depressive	0.32 (0.09)	0.75 (0.16)	0.66 (0.15)	0.63 (1.04)	0.17 (0.05)	0.40 (0.12)	0.27 (0.09)	0.46 (0.15)	0.04 <sup>c</sup>
Anxious <sup>d</sup>	0.91 (0.19)	1.21 (0.25)	0.82 (0.26)	1.29 (1.54)	0.68 (0.22)	0.82 (0.25)	0.71 (0.24)	1.39 (0.30)	NS
Neurovegetative	0.72 (0.11)	1.55 (0.20)	1.55 (0.25)	1.64 (1.41)	0.54 (0.13)	1.77 (0.29)	1.27 (0.18)	1.30 (0.23)	NS
Cognitive <sup>d</sup>	0.55 (0.20)	0.83 (0.19)	0.95 (0.30)	1.36 (1.39)	0.32 (0.16)	0.55 (0.23)	0.81 (0.29)	1.44 (0.35)	NS

Abbreviation: NS, non-significant.

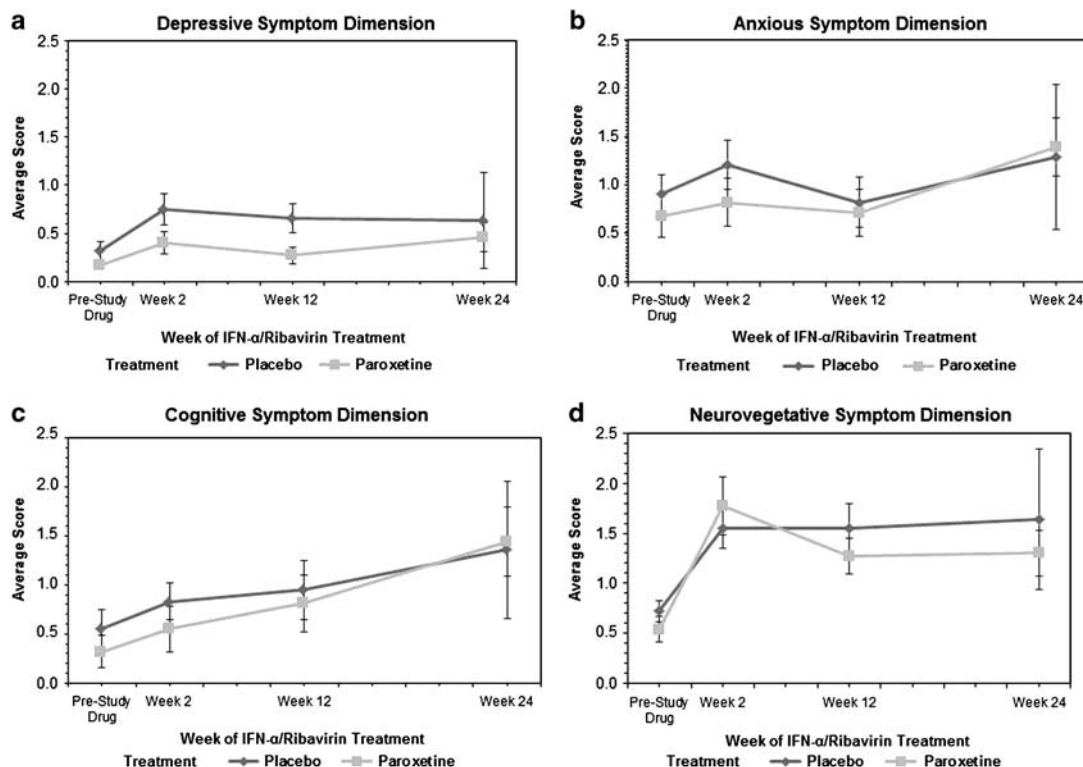
All symptom dimensions were assessed by the Montgomery–Asberg Depression Rating Scale.

<sup>a</sup>Values are mean (SE) scores ranging from 0 to 5, with higher scores indicating more severe symptoms.

<sup>b</sup>For all outcomes, no interactions between treatment and time are found to be significant.

<sup>c</sup>P-value corresponds to the null hypothesis that the mean scores for paroxetine-treated group are the same as the mean scores for placebo-treated group at 24 weeks.

<sup>d</sup>Mean scores of anxious symptoms ( $p = 0.01$ ) and cognitive symptoms ( $p < 0.0001$ ) change significantly over time for both groups.

**Figure 2** Symptom dimensions as assessed by the Montgomery–Asberg Depression Rating Scale in patients with hepatitis C receiving IFN- $\alpha$ /ribavirin therapy and undergoing double-blind, placebo-controlled treatment (mean with bars indicating standard error). (a) Depressive symptom dimension. (b) Anxious symptom dimension. (c) Cognitive symptom dimension. (d) Neurovegetative symptom dimension.

experienced by study participants during the course of IFN- $\alpha$ /ribavirin therapy are shown in Table 4. Among all the symptoms listed, inner tension, reduced sleep, reduced

appetite, and lassitude were symptoms experienced by at least 50% of patients receiving placebo or paroxetine while undergoing IFN- $\alpha$ /ribavirin therapy.

**Table 3** Mean of Specific Items of the Montgomery–Asberg Depression Anxiety Rating Scale at Baseline and Over Time in Patients with Hepatitis C Receiving IFN- $\alpha$ /Ribavirin and Undergoing Double-Blind, Placebo-Controlled Treatment with Paroxetine

Symptom items	IFN- $\alpha$ treatment							
	Placebo <sup>a</sup> (n = 33)				Paroxetine <sup>a</sup> (n = 28)			
	Baseline	Week 2	Week 12	Week 24	Baseline	Week 2	Week 12	Week 24
	(n = 313)	(n = 29)	(n = 22)	(n = 14)	(n = 28)	(n = 22)	(n = 21)	(n = 18)
Apparent sadness <sup>b</sup>	0.39 (0.11)	1.03 (0.21)	0.95 (0.28)	0.71 (0.34)	0.14 (0.07)	0.41 (0.13)	0.43 (0.18)	0.61 (0.23)
Reported sadness	0.42 (0.13)	0.97 (0.22)	0.86 (0.27)	0.71 (0.32)	0.36 (0.13)	0.77 (0.22)	0.33 (0.16)	0.72 (0.23)
Inner tension <sup>c</sup>	0.91 (0.19)	1.21 (0.25)	0.82 (0.26)	1.29 (0.41)	0.68 (0.22)	0.82 (0.25)	0.71 (0.24)	1.39 (0.30)
Reduced sleep	1.30 (0.29)	1.59 (0.35)	1.45 (0.34)	1.93 (0.50)	1.07 (0.32)	1.82 (0.39)	0.90 (0.34)	1.33 (0.40)
Reduced appetite	0.09 (0.07)	1.59 (0.26)	1.59 (0.30)	1.14 (0.39)	0.07 (0.05)	2.09 (0.36)	1.48 (0.29)	1.39 (0.26)
Concentration difficulties <sup>c</sup>	0.55 (0.20)	0.83 (0.19)	0.95 (0.30)	1.36 (0.37)	0.32 (0.16)	0.55 (0.23)	0.81 (0.29)	1.44 (0.35)
Lassitude <sup>c</sup>	0.76 (0.17)	1.48 (0.24)	1.59 (0.33)	1.86 (0.47)	0.46 (0.18)	1.41 (0.34)	1.43 (0.31)	1.17 (0.35)
Inability to feel <sup>b</sup>	0.30 (0.12)	1.14 (0.26)	0.82 (0.26)	1.07 (0.43)	0.11 (0.08)	0.55 (0.22)	0.43 (0.20)	0.44 (0.18)
Pessimistic thoughts	0.36 (0.12)	0.48 (0.16)	0.55 (0.18)	0.43 (0.25)	0.18 (0.07)	0.23 (0.11)	0.14 (0.08)	0.44 (0.25)
Suicidal thoughts	0.12 (0.08)	0.14 (0.07)	0.14 (0.07)	0.21 (0.15)	0.07 (0.05)	0.05 (0.05)	0.00 (—)	0.06 (0.06)
Total score	5.21 (0.90)	10.45 (1.38)	9.73 (1.63)	10.71 (2.61)	3.46 (0.67)	8.68 (1.60)	6.67 (1.17)	9.00 (1.75)

All symptom items were from the Montgomery–Asberg Depression Rating Scale.

<sup>a</sup>Values are mean (SE) scores ranging from 0 to 5, with higher scores indicating more severe symptoms.

<sup>b</sup>Mean scores of apparent sadness and inability to feel are significantly different between placebo- and paroxetine-treated groups at 24 weeks.

<sup>c</sup>There is a significant change of mean scores over time for lassitude, inner tension, and concentration difficulties in both groups.

Interactions between treatment and time are not significant for all variables.

## DISCUSSION

There were four main findings of the present study. First, as ascertained using the MADRS, in this study population, all four symptom dimensions appeared by week 2 of IFN- $\alpha$  therapy, and continued in similar patterns between the two groups (with the exception of the neurovegetative symptoms by week 24). Such an ‘early onset’ of all four neurobehavioral symptom complexes in these patients, irrespective of their assignment to either placebo or paroxetine, might be related to a combination of virus and ‘host’ response. Relevant in this regard are prior studies documenting that most IFN- $\alpha$ -induced depressive symptoms arise within 8 weeks (Wichers *et al*, 2005), and others demonstrating presence of hepatitis C within not only the cerebrospinal fluid (Bagaglio *et al*, 2005; Laskus *et al*, 2002; Maggi *et al*, 1999; Morsica *et al*, 1997) but the brain as well (Murray *et al*, 2008). Furthermore, not only do depressive symptoms in patients with chronic hepatitis C correlate

with elevated peripheral plasma concentrations of interleukin-1 $\beta$  (IL-1 $\beta$ ) and tumor necrosis factor- $\alpha$  (Loftis *et al*, 2008), but exposure to hepatitis C core protein induces microglial expression of pro-inflammatory cytokines such as IL-1 $\beta$ , IL-6, and tumor necrosis factor- $\alpha$ . With administration of IFN- $\alpha$ , the enzyme indoleamine-2,3-dioxygenase (IDO) is activated within microglia and other cells, resulting in catabolism of tryptophan to L-kynurenine (KYN) and its neuroactive metabolites, including quinolinic acid (QUIN) and kynurenic acid (Raison *et al*, 2010; Vargas *et al*, 2002; Weissenborn *et al*, 2006). Indeed, in patients with hepatitis C receiving IFN- $\alpha$ /ribavirin, the CSF concentrations of KYN and QUIN correlate significantly with MADRS scores (Raison *et al*, 2010), and preclinical studies demonstrate that peripheral injection of KYN alone can induce depressive symptoms in rodents (O’Connor *et al*, 2009; Vecsei and Beal, 1990). Moreover, QUIN, produced in the CNS by microglia or infiltrating monocytes/macrophages (in amounts 20-fold greater than microglia) (Pemberton

**Table 4** Percentage of Patients with Hepatitis C Experiencing Moderate-to-Severe Intensity (Endorsement of '2' or Greater per Item of the Montgomery–Asberg Depression Rating Scale) During IFN- $\alpha$ /Ribavirin Therapy

Symptom items	IFN- $\alpha$ treatment							
	Placebo <sup>a</sup> (n = 31)				Paroxetine <sup>a</sup> (n = 28)			
	Baseline	Week 2	Week 12	Week 24	Baseline	Week 2	Week 12	Week 24
Apparent sadness	9	31	27	29	0	5	10	22
Reported sadness	9	28	27	21	11	23	5	22
Inner tension	24	34	27	43	21	27	24	56
Reduced sleep	33	41	50	57	32	50	29	33
Reduced appetite	3	55	59	43	0	68	57	61
Concentration difficulties	18	24	32	50	7	23	29	50
Lassitude	15	41	50	57	14	41	52	28
Inability to feel	12	34	23	36	4	14	10	17
Pessimistic thoughts	6	17	14	14	0	5	0	11
Suicidal thoughts	6	0	0	7	0	0	0	0

*et al*, 1997), exerts a potent neurotoxic effect and is involved in neurodegenerative brain disorders, including AIDS dementia complex, Alzheimer's disease, amyotrophic lateral sclerosis, Huntington's disease, multiple sclerosis, and Parkinson's disease (Guillemin *et al*, 2005).

Second, the depression symptom dimension score was significantly lower in the paroxetine treatment group than the placebo treatment group. Recent studies by Morasco *et al* (2007) (2010), which did not use symptom clusters to assess SSRI efficacy, are relevant in this regard. In Morasco's 2007 study (Morasco *et al*, 2007), although prophylactic use of paroxetine did not decrease the likelihood of IFN- $\alpha$ -induced depression (35.7% in the paroxetine group vs 31.6% in the placebo group), in 10 of 11 patients who developed IFN- $\alpha$ -induced depression and entered the rescue arm of the study, open-label treatment with paroxetine reduced depression symptoms, consistent with a previous open-label study using SSRIs for treatment of IFN-induced depression (Hauser *et al*, 2002). In their second trial, Morasco *et al* (2010) examined the impact of baseline depression severity scores on response to prophylactic treatment using citalopram vs placebo in preventing IFN- $\alpha$ -induced depression in hepatitis C patients. Only 1 of 23 participants with an MADRS score below the median (<3) developed IFN- $\alpha$ -induced depression. In participants with baseline scores above the median on the MADRS (4), randomization to citalopram treatment provided preliminary support for preventing IFN- $\alpha$ -induced depression; only 1 of 7 patients randomly assigned to citalopram (14%) developed IFN- $\alpha$ -induced depression, compared with 4 of 9 assigned to placebo (44%). However, the differences between groups did not achieve statistical significance ( $\chi^2 = 1.7$ ;  $p = 0.31$ ). Collectively, these findings are generally consistent with this study's 'parent' 2007 investigation by Raison *et al*, who demonstrated that prophylactic, randomized treatment with paroxetine significantly reduced depressive symptom severity in comparison with placebo. This effect was largely accounted for by participants with

hepatitis C with MADRS depression scores above the median (MADRS >3) at baseline in whom paroxetine was associated with a maximal reduction in MADRS scores of 10.3 (95% CI: 2.1–18.5) compared with placebo at 20 weeks ( $p < 0.01$ ). The differences in findings between the Raison *et al* (2007) and Morasco *et al* (2007) studies may be due at least in part to differences in patient populations (ie, those who clear hepatitis C virus are less likely to show depressive symptoms (Raison *et al*, 2005b)); polymorphisms in serotonin receptor genes (HTR1B, HTR2A, HTR5A, and HTR6), the serotonin transporter gene (SLC6A4) (Wilkie *et al*, 2009), the promoter region of the IFN- $\alpha/\beta$  receptor-1 (Yoshida *et al*, 2005), the IL-6 gene (Bull *et al*, 2009), or an IFN- $\gamma$  genotype (Oxenkrug *et al*, 2011); or higher dosages of concomitant ribavirin (Raison *et al*, 2005a).

Third, the anxiety and cognitive dysfunction symptom dimensions fluctuated and worsened, respectively, over 24 weeks. Of note is that the magnitude of these two symptom dimensions were not significantly different in subjects receiving paroxetine, despite the potential of this SSRI antidepressant to induce akathisia and anticholinergic-associated impairment of cognitive performance (Teva Pharmaceuticals USA, 2011). Our estimation of the anxiety and cognitive dysfunction dimensions, however, may be erroneous in that a single MADRS item of 'inner tension' and another, 'observed symptoms of concentration difficulties,' were used to index these two symptom dimensions. Another weakness of the study, which may have affected measurement of this symptom dimension, was that no inter-rater reliability for the MADRS was calculated among the sites, although all sites were required to review the same series of taped interviews and match their ratings to a standard metric. The likelihood that ribavirin was a contributing factor to the increasing magnitude of the anxiety and cognitive dysfunction symptom complexes in this study appeared small, given another large study, which showed, when compared with IFN- $\alpha$  therapy alone, that addition of ribavirin to IFN- $\alpha$  therapy for treatment of

hepatitis C did not elevate the incidence of anxiety or impaired concentration (McHutchison *et al*, 1998). Given recent discoveries of hepatitis C virus within the brain, poor concentration may represent a neurocognitive 'biomarker' of central innate immune activation, given that significant differences have been documented in measures of concentration and information processing speed between patients with chronic hepatitis C infection, in comparison with patients who clear the virus and healthy volunteers (Forton *et al*, 2002).

Fourth, the neurovegetative symptom complex (ie, 'reduced sleep', 'reduced appetite', and 'lassitude') also was not responsive to paroxetine treatment. Over half of our study participants reported reduced sleep, decreased appetite, and lassitude. The incidence of reduced appetite in the paroxetine-treated patients with hepatitis C is in contrast to studies documenting paroxetine-associated weight gain (Serretti and Mandelli, 2010), and may reflect symptoms of hepatitis C viral infection and/or the anorexia, nausea, and diarrhea associated with IFN- $\alpha$ /ribavirin therapy (Seyam *et al*, 2005). Interestingly, a polymorphism of phospholipase A2 (PLA2), a key enzyme in the inflammatory response, has been studied by Pariante and co-workers in Han Chinese individuals with hepatitis C. Not only was the PLA2 Banl GG polymorphism associated with a higher-risk of IFN- $\alpha$ -induced depression, but also with a 'somatic symptom cluster' as indexed by three items of the Hamilton Depression Rating Scale, 'GI and autonomic symptoms', 'reduced appetite', and 'loss of energy' (Su *et al*, 2010). Furthermore, another polymorphism, the 'C' allele of the IL-28B gene, has been associated with both sustained viral response to IFN therapy and IFN-induced neurovegetative symptoms (ie, decreased subjective appetite, reduced energy, and sleep complaints) indexed by the Beck Depression Rating Scale (Ge *et al*, 2009; Lotrich *et al*, 2011).

## CONCLUSION

As discussed by Kirkova *et al* (2010), symptom clusters appear to vary with the assessment tool, disease stage, cluster methodology, and number of symptoms assessed; certainly validity and reliability of symptom clusters need universally accepted statistical methods, assessment tools, and symptom domains. Nevertheless, future neuropsychiatric studies of patients with hepatitis C receiving IFN- $\alpha$  and ribavirin may confirm this study's findings that depressive, anxiety, cognitive dysfunction, and neurovegetative symptom dimensions arise quickly, and persist, with only the IFN- $\alpha$ -induced depression symptom dimension responding to prophylactic SSRI antidepressant treatment. Indeed, the limited effects of SSRI treatment upon IFN- $\alpha$ -induced fatigue and other neurovegetative symptoms support the notion that IFN- $\alpha$  alters the pathways of monoamines other than serotonin (Miller, 2009). Relevant in this regard is that IFN- $\alpha$  activates IDO and mitogen-activated protein kinase pathways, which influence the synthesis, release, and reuptake of serotonin and dopamine (DA). Indeed, data from our laboratory and others support the concept that IFN- $\alpha$ , as well as other innate immune cytokines, may profoundly alter DA metabolism and the function of basal ganglia circuits, thereby contributing to cytokine-induced

neurovegetative symptoms, including fatigue, psychomotor slowing, and sleep disturbances (Capuron and Miller, 2004; Horikawa *et al*, 1999; Kamata *et al*, 2000; Kumai *et al*, 2000; Schaefer *et al*, 2003; Shuto *et al*, 1997; Sunami *et al*, 2000). State-of-the-art clinical trials using dopaminergic agonists in patients suffering IFN- $\alpha$ -induced CNS dysfunction are desperately needed to assess their response to such comparative and adaptive pharmacologic treatment, and concomitantly improve the understanding of the mechanistic pathways involved. Given the high rates of substance use disorders in this patient population, however, and the possibility that DA agonists may contribute to impulse dyscontrol, such trials must closely monitor whether dopaminergic agonists complicate the clinical management of patients with hepatitis C (Ambermoon *et al*, 2011).

The resulting information may then ultimately improve the treatment of IFN- $\alpha$ -treated patients, and other medically ill persons with prolonged neurovegetative symptoms induced by innate immune system activation (Auyeung *et al*, 2009).

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## DISCLOSURE

Charles L Raison serves as a consultant for Biolex Therapeutics and PamLab. Andrew H Miller has served as consultant for Abbott Laboratories, AstraZeneca, GlaxoSmithKline, Lundbeck Research USA, F Hoffmann-La Roche, Schering-Plough Research Institute, and Wyeth/Pfizer, and has received research support from Centocor, GlaxoSmithKline, and Schering-Plough Research Institute. The remaining authors declare no conflict of interest.

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