



Published in final edited form as:

*Hepatology*. 2012 June ; 55(6): 1799–1808. doi:10.1002/hep.25569.

## Genome-wide DNA Methylation Profiles in Hepatocellular Carcinoma

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### Abstract

Alterations in DNA methylation frequently occur in hepatocellular cancer (HCC). We have previously demonstrated that hypermethylation in candidate genes can be detected in plasma DNA prior to HCC diagnosis. To identify with a genome-wide approach additional genes hypermethylated in HCC that could be used for more accurate analysis of plasma DNA for early diagnosis, we analyzed tumor and adjacent non-tumor tissues from 62 Taiwanese HCC cases using Illumina methylation arrays that screen 26,486 autosomal CpG sites. After Bonferroni adjustment, a total of 2,324 CpG sites significantly differed in methylation level, with 684 CpG sites significantly hypermethylated and 1,640 hypomethylated in tumor compared to non-tumor tissues. Array data were validated with pyrosequencing in a subset of 5 of these genes; correlation coefficients ranged from 0.92 to 0.97. Analysis of plasma DNA from 38 cases demonstrated that 37% to 63% of cases had detectable hypermethylated DNA (> 5% methylation) for these 5 genes individually. At least one of these genes was hypermethylated in 87% of cases, suggesting that measurement of DNA methylation in plasma samples is feasible. The panel of methylated genes identified in the current study will be further tested in large cohort of prospectively collected samples to determine their utility as early biomarkers of hepatocellular carcinoma.

### Keywords

Genome-wide; DNA methylation; Hepatocellular Carcinoma

### Introduction

HCC is a complex disease and likely the result of the accumulation of both genetic and epigenetic aberrations. A number of mutations have been observed in HCC, most frequently

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in *p53*.<sup>1</sup> Gene expression studies have found profiles associated with survival, recurrence and metastasis.<sup>2</sup> These changes in gene expression may be related to gene-specific DNA hyper- or hypomethylation (reviewed in <sup>3</sup>).

Most previous methylation studies looked at one or a few genes at a time (e.g.,<sup>4–11</sup>) although 105 genes were analyzed in one study.<sup>12</sup> While reasonably consistent results have been observed across studies, the exact frequencies of hypermethylation in tumor tissues differ. *CDKN2A/INK4 (p16)* is methylated in 30–70% of HCCs.<sup>13–16</sup> *RASSF1A* is methylated in up to 85% of HCCs,<sup>15,17</sup> *GSTP1* in 50–90%<sup>18–20</sup> and *MGMT* in 40%<sup>21</sup> Our studies also observed that frequent methylation of particular genes correlated with AFB<sub>1</sub>-DNA adduct levels in the liver tissues.<sup>15,16,18,21</sup> We found correlations between gene-specific hypermethylation in tumor tissue and plasma DNA using blood collected at the time of diagnosis.<sup>16</sup> Using samples from a prospective ~25,000 subject cohort, we found that methylation of three genes (*RASSF1A*, *CDKN2A* and *INK4B (p15)*) in plasma DNA was predictive of later HCC development.<sup>22</sup> These prior studies used a candidate gene approach.

To identify additional differentially methylated genes with a genome-wide approach, we used Illumina Infinium Human Methylation 27K arrays to analyze 27,578 CpG sites covering 14,495 genes in paired HCC tumor and adjacent non-tumor tissues. The aims of the current study were first to identify DNA methylation markers that significantly differentiate tumor tissue from adjacent non-tumor tissue, and then to test the feasibility of detecting the hypermethylated markers in plasma samples and their correlations with relevant liver tissues. Because plasma DNAs are mostly derived from necrotic or apoptotic cells with little released from white blood cells, it is appropriate to use plasma to study circulating tumor DNA.<sup>23</sup> Recently, three other studies have reported DNA methylation profiles in HCC tumor/adjacent tissues using Illumina arrays. Two used Illumina 1,500 Golden Gate arrays on 5 paired samples from Korea and 30 from France and the third used Illumina Human Methylation27K arrays on 12 samples from Germany.<sup>24–26</sup> A fourth earlier study used methylated CpG island amplification microarrays to study 6,458 CpG islands in 10 paired samples from Japan.<sup>27</sup> These prior studies differed in sample size, technology used and the major etiologic cause (HBV, HCV, alcohol). The current study is the largest to date and is comprised of Taiwanese cases who are predominantly HBV positive.

## Materials and Methods

### Patients and Biopsy Specimens

This study was approved by Institutional Review Boards of Columbia University and National Taiwan University (NTU). Written informed consent was obtained. Sixty-six frozen liver tissues collected in the Department of Surgery, NTU Hospital were assayed. Demographic data and clinicopathologic characteristics were obtained from hospital charts; HBV (HBsAg) and HCV (anti-HCV) status were determined by immunoassay. For 39 subjects missing HCV status, liver tissues were stained with monoclonal antibody NS3 (Novocastra™, Newcastle, UK). Specimens were kept at –70°C until shipment to Columbia University where pathologic analysis confirmed HCC status and indicated that adjacent tissues were primarily cirrhotic. Bloods were collected at the time of diagnosis for 30 patients and plasma frozen. Plasma from 8 additional cases from the same hospital were included in the analysis.

### DNA Preparation and Illumina Infinium Human Methylation Platform

DNA was extracted by standard proteinase K/RNase treatment and phenol/chloroform extraction. Plasma DNAs were extracted using DNeasy Blood & Tissue Kits (Qiagen,

Valencia, CA). Bisulfite modification of 1  $\mu$ g DNA was conducted using an EZ DNA Methylation Kit (Zymo Research, Irvine, CA).

The Human Methylation 27 DNA Analysis BeadChips (Illumina, San Diego, CA) were used to interrogate 27,578 highly informative CpG sites covering 14,495 genes following their standard protocol. Paired samples (HCC tumor and adjacent non-tumor tissues) were processed on the same chip to avoid chip-to-chip variation; 4 pairs of tissues were repeat assayed as a quality control (QC). Information on location of CpG sites in promoter regions was provided by Dr. Kim.<sup>28</sup>

### Pyrosequencing for Candidate Gene Methylation

Pyrosequencing was carried out with primers designed with the Pyromark Assay Design Software 2.0 (Qiagen). The region selected for interrogation included the CpG sites identified to be differentially methylated based on the array data, as well as surrounding sites. PCR was performed in a 25 $\mu$ L reaction mix containing 50ng bisulfite-converted DNA, 1X Pyromark PCR Master Mix (Qiagen), 1X Coral Load Concentrate (Qiagen), and 0.3 $\mu$ M forward and 5' biotinylated reverse primers, using the cycling conditions outlined in Supporting Table 1. Each set of amplifications included bisulfite-converted CpGenome universal methylated (Millipore, Billerica, MA), unmethylated (whole genome amplified DNA) and non-template controls.

The sequencing reaction and quantitation of methylation was conducted using a PyroMark Q24 instrument and software (Qiagen). Percent methylation was calculated by averaging across all CpG sites interrogated. A plasma DNA sample was considered positive if % methylation was  $\geq$  5% since lower values are not reliable.<sup>29</sup>

### Statistical Methods

$\beta$  values were generated using the Illumina BeadStudio software.<sup>30</sup> Sites on the sex chromosomes were removed from the analysis leaving 26,486 autosomal sites. For QC, methylation measures with a detection  $p$ -value  $>0.05$  and samples with CpG coverage  $<95\%$  were removed. This eliminated 4 pairs with a final sample size of 62 paired tissues. For these samples, the control panel in the BeadStudio analytical software showed excellent intensity for staining ( $>15,000$ ), clear clustering for the hybridization probes, good target removal intensity ( $<400$ ) and satisfactory bisulfite conversion.<sup>31</sup> Demographic data for the 62 patients are presented in Table 1.

Paired t-tests with Bonferroni correction for multiple testing were used to identify CpG sites that were differentially methylated between tumor and adjacent non-tumor tissues. A significant difference was defined as sites with a Bonferroni-corrected  $p$ -value  $\leq 0.05$ . A volcano plot displayed mean DNA methylation differences for all 26,486 CpG sites. A Manhattan plot displayed the significance ( $-\log_{10}(\text{adjusted-}p\text{-value})$ ) of the associations by chromosomes.

To select genes for validation of the methylation array data, we focused on hypermethylation since our long term goal is to detect hypermethylated plasma DNA for early diagnosis of HCC. Candidate CpG sites were selected for confirmatory analysis with two methods. In method A, we required: (1) the mean difference in methylation levels between tumor and adjacent tissues is  $\geq 20\%$ ; (2)  $\geq 70\%$  of the tumor tissues had methylation levels greater than two SDs above the mean methylation level of all 62 adjacent tissues; and (3) the mean methylation level for adjacent tissues is  $\geq 25\%$ . In method B, we conducted three-fold cross-validation where we randomly chose 40 pairs out of 62 pairs to form a training set and the remaining 22 pairs as a testing set. We then repeated the paired t-test using the training set and selected the top 100 most significant CpG sites with the following

loosened three criteria to ensure selection of enough candidate CpG sites at each cross-validation: (1) the mean difference in methylation levels between tumor and adjacent tissues is  $\geq 20\%$ ; (2)  $\geq 60\%$  of the tumor tissues had methylation levels greater than two SDs above the mean methylation level of the 40 adjacent tissues; and (3) the mean methylation level for adjacent tissues is  $\geq 40\%$ . We repeated the three-fold cross-validation 1,000 times and selected the top most frequently selected CpG sites with the same number as in the list using method A. We then applied three different prediction methods, diagonal linear discriminant analysis, support vector machines, and  $k$ -nearest neighbor to determine the prediction accuracy of the selected panel (method B) using data on the remaining 22 pairs.<sup>32</sup>

The hierarchical clustering of the methylation data was performed with the top 1,000 most significantly differentially methylated sites and with the two selected panels of CpG sites using methods A and B. Gene ontology analysis was performed by the PANTHER classification system (<http://www.pantherdb.org>) to compare the significant methylated gene lists with the reference (NCBI, human genome build 36).<sup>33</sup> The binomial test was used to identify significantly enriched pathways, biological processes, molecular functions, cellular components and protein class terms after Bonferroni correction for multiple comparisons with a cutoff of  $p < 0.05$ .

To investigate if methylation levels are affected by HCC risk factors such as HBsAg status, HCV status, cigarette smoking (ever/never), alcohol consumption (ever/never), AFB<sub>1</sub>-DNA adduct level, and gender within tumor and adjacent non-tumor tissues separately, we used a two-sample t-test with Bonferroni correction for multiple testing.

In the second stage confirmatory analysis, Pearson's correlations between methylation levels using Illumina arrays and pyrosequencing on selected sites were calculated.

All analyses were conducted using the R language (<http://www.r-project.org/>).

## Results

### Clinical and Pathological Characteristics of the HCC Cases

Clinical and pathological characteristics are described in Table 1. Almost 90% of cases are male and 79% are HBsAg positive. About 31% of subjects are positive for HCV. Seven subjects are negative for both HBV and HCV, 36 subjects are positive for HBsAg and negative for HCV; 13 subjects are both HBV and HCV positive; and the remaining 6 subjects are negative for HBsAg and positive for HCV. Thus, viral infection, primarily HBV, is the major risk factor in this population. The average age at HCC diagnosis is  $52.2 \pm 14.2$  years. About 40% of cases smoke and 13% consume alcohol but data are missing for about 20% of subjects. Aflatoxin B<sub>1</sub>-DNA adducts, measured previously in all tumor tissues and in about half of adjacent tissues,<sup>34,35</sup> are also summarized in Table 1.

### Reproducibility of Methylation Array Data

The reproducibility of the Illumina platform was evaluated using replicates of four paired samples on a different day. High concordance was observed for all eight replicates with coefficients of determination ( $R^2$ ) ranging from 0.96 to 0.98. A representative example of the concordance between two replicates for an adjacent tissue sample is given in Supporting Fig. 1 and is consistent with previous studies.<sup>24,26</sup> The site-by-site comparisons across the 26,486 sites between the 4 pairs of replicates gave an absolute mean differences in methylation level ranging from 0.003 to 0.04.

## Methylation Profiles Differentiate HCC Tumor from Non-tumor Tissues

The methylation levels of the individual 26,486 autosomal CpG sites as well as the overall means were compared between the 62 pairs of tissues. There were 2,324 CpG sites that significantly differed in methylation level between tumor and non-tumor tissues after Bonferroni adjustment (for a complete list see Supporting Tables 2 and 3). Among all significant CpG sites, 684 were significantly hypermethylated (covering 548 genes) and 1,640 were significantly hypomethylated (covering 1,290 genes) in tumor compared to non-tumor tissues. Fig. 1 displays mean DNA methylation differences between the 62 paired tumor/adjacent tissues at all 26,486 CpG sites using a volcano plot. Both hyper- and hypomethylation alterations are common events in HCC tumor tissues. The top 20 hyper- or hypomethylated sites ranked by statistical significance are given in Table 2. Regardless of whether they were hypo- or hypermethylated, all significant CpG sites have similar mean methylation levels in tumor tissues (42.2% vs. 42.9%), while the mean methylation levels in non-tumor tissues were dramatically different (26.0% for hypermethylated vs. 58.4% for hypomethylated sites). Fig. 2 shows the heatmap of the top 1,000 CpG sites (based on statistical significance) distinguishing tumor from adjacent tissues. In general good separation of tumor and adjacent tissues was observed with a small amount of misclassification.

## Characteristics of Significant CpG Sites in HCC Tissues

A Manhattan plot was used to display the  $\log_{10}(\text{adjusted-pvalue})$  for the differences in methylation by chromosome (Supporting Fig. 2) and indicates that aberrant methylation is spread across all chromosomes. Among the 2,324 significantly differentially methylated CpG sites, >80% (82.3% and 85.8% for hyper- and hypomethylated sites, respectively) had a >10% absolute tumor/non-tumor difference in % methylation, and >50% had a >15% difference (Supporting Table 4). These data indicate that the methylation changes occurring during HCC development are robust and may provide useful biomarkers.

The majority of the significantly differentially methylated CpG sites are located within the proximal promoter regions. Among the 2,324 significant CpG sites, the distances to the transcription start site (TSS) ranged from 0 to 1,498bp with an average of 407bp and a standard deviation (SD) of 362bp. Hypermethylated CpG sites are more common within a short distance of TSS (50.7% within 250bp and 26.9% between 250 and 500bp) compared to hypomethylated sites (41.6% and 23.3%, respectively) (Supporting Fig. 3). The average distance to the TSS was significantly shorter for hypermethylated (mean=332bp, SD=312bp) compared with hypomethylated sites (mean=437bp, SD=377bp,  $p=3.95 \times 10^{-10}$ ). Supporting Table 5 and Supporting Fig. 4 show that within CpG islands, more sites are significantly hypermethylated in tumors, while within non CpG island regions, more sites are significantly hypomethylated in tumors. The pattern does not vary whether the sites are in promoter regions or not.

Through PANTHER ontology analysis, we found 12 significant pathways for hypermethylated and 11 pathways for hypomethylated genes (Supporting Table 6). A number of potentially important cellular pathways involved in tumorigenesis were observed, such as the pathways of heterotrimeric G-protein signaling, endothelin signaling, PI3 kinase, interleukin signaling, inflammation mediated by chemokine/cytokine signaling and insulin/IGF, etc. For the first time, Wnt and 5HT4 type receptor mediated signaling pathways were identified.

## Methylation Profiles Altered by HCC Risk Factors

Two-sample t-test was used to compare methylation levels among tumor and adjacent tissues separately for several HCC risk factors. No site was identified that was significantly



differentially methylated by gender, HBV status, HCV status or AFB<sub>1</sub>-DNA adduct levels (high/medium vs low) (data not shown). However, the results may be partially due to small numbers of females, viral status and missing adduct data in some adjacent tissues. For alcohol consumption status, within adjacent tissues, methylation level at one CpG site in *VPREB1* significantly differed between drinkers and non-drinkers while within tumor tissues 7 CpG sites in *CRISPLD1*, *PCDHB2*, *PCSK1*, *LXH1*, *KCTD8*, *TSHD3* and *CXCL12* were identified after Bonferroni adjustment. Further unsupervised hierarchic cluster analysis clearly suggested an even better separation of drinkers from non-drinkers using the top differentially methylated sites among tumor tissues (Supporting Fig. 5A) compared to non-tumor tissues (Supporting Fig. 5B).

### Selection of Candidate Genes and Validation of Methylation by Pyrosequencing

To select the list of candidate CpG sites for confirmatory analysis, method A with the complete data set of 62 pairs resulted in a list of 24 sites in 18 genes (Supporting Table 7). Supporting Fig. 6, the heatmap of the selected 24 CpG sites, shows good separation of tumor and adjacent tissues in general. Method B based on 1,000 three-fold cross-validations of training set with 40 pairs results in a list of 24 top CpG sites that were most frequently selected (all 98% of times out of 1,000 three-fold cross-validations) (Table 3). The two panels of 24 CpG sites have 20 overlapping sites (Table 3 and supporting Table 7). Fig. 4 shows the heatmap of the selected 24 CpG sites using method B. The two heatmaps show similar separations. Using the testing set, the selected panel of 24 CpG sites (method B) has high prediction accuracy in the testing set: 0.886 (SD=0.044) based on diagonal linear discriminant analysis, 0.918 (SD=0.044) based on support vector machines, and 0.877 (SD=0.038) based on *k*-nearest neighbor. This suggests that the selected list of 24 CpG sites using the three-fold cross-validation for second stage confirmatory analysis is robust. Furthermore, compared to Fig. 2 which displays the top 1,000 differentially methylated sites with both hyper- and hypomethylated, almost the same set of tumor tissues are misclassified.

Because prior studies have found a good correlation between Illumina array %methylation and that by pyrosequencing,<sup>28,36,37</sup> we randomly selected just five genes (*CDKL2*, *STEAP4*, *HIST1H3G*, *CDKN2A* and *ZNF154*) from the top 18 candidates for validation in 42 paired tissues. Data were analyzed looking at the correlation between array and pyrosequencing data for both the specific CpG site on the array as well as the mean of all the CpG sites analyzed by pyrosequencing. Excellent correlations were found between array data and pyrosequencing results for both specific sites and the mean of all CpG sites ranging from 0.921 to 0.971 (Table 4, Supporting Fig. 7).

### Analysis of Methylation of Candidate Genes in Plasma Samples

We next determined the feasibility of measuring methylation in the 5 randomly selected genes in plasma DNA available for a subset of 30 of the cases with tissue data plus 8 plasma samples from additional cases. The characteristics of these additional 8 cases are similar to those 62 with tissue data (Table 1). The success rate of pyrosequencing ranged from 63–100% (Table 5). Detailed data on % methylation for each sample is given in Supporting Table 7. The frequency of hypermethylated DNA in plasma (defined as methylation level by pyrosequencing > 5%) ranged between 37% and 63% (Table 5). With available data, 33 (87%) subjects had at least one gene positive while 2 subjects had all 5 genes. However, data were complete for only 20 (53%) subjects. Five subjects were negative for all genes but none had complete data.

## Discussion

We screened 62 paired tumor and adjacent tissues at 26,486 autosomal CpG sites. After Bonferroni adjustment, we found 2,324 CpG sites to significantly differ in methylation level; 684 were significantly hypermethylated and 1,640 were significantly hypomethylated. Since our goal is to identify methylation biomarkers in plasma DNA, mostly derived from necrotic or apoptotic cells,<sup>23</sup> for early identification of HCC in high risk populations, we limited further study to hypermethylated sites. To select candidate CpG sites for confirmatory analysis, we used both the full data set and a training set of 40 pairs from the three-fold cross-validation. Two panels of 24 hypermethylated CpG sites in 18 genes with 20 CpG sites overlapping were selected. This suggests that the selected panel of CpG sites based on the training set from the three-fold cross-validation is robust. Further analysis of prediction accuracy using the testing data with 22 pairs suggested good prediction power in the testing set to separate tumor and adjacent non-tumor tissues. With the largest sample size thus far, we identified more significant CpG sites that differentiate tumor from adjacent tissue than previous methylation array studies in HCC.<sup>24–26</sup>

Only one prior study by Ammerpohl et al reported the use of Illumina Human Methylation 27K arrays to investigate DNA methylation in 12 HCC paired tissues; alcohol was likely the major etiologic agent for half of the cases.<sup>26</sup> All 24 sites we selected (Table 3) were also identified by Ammerpohl et al as being significantly hypermethylated in HCC compared to cirrhosis. Among all sites they identified as having a >20% difference in methylation, there is an overlap of 823 sites (63%) with our significant sites. These overlapping sites are 100% consistent in the direction of the methylation change. The magnitude of methylation levels are also significantly correlated ( $R^2$  from 0.76 to 0.99,  $p < 0.0001$ ). In addition to identifying two novel pathways (Wnt and 5HT4 type receptor mediated signaling), ten cellular pathways overlap with those identified by Ammerpohl.

Two other studies have used the Illumina 1,500 Golden Gate Methylation Assay containing to evaluate 5 paired samples from Korea<sup>25</sup> and 30 from France.<sup>24</sup> In the Korean study, 24 new genes were identified as significantly hypermethylated in tumor.<sup>25</sup> Nine (*ADCYAP1*, *FLT3*, *HOXA9*, *IRAK3*, *MLF1*, *NPY*, *SH3BP2*, *TAL1*, and *TNFRSF10C*) were also significantly hypermethylated in our tumor tissues. The remaining genes were nonsignificantly weakly hypermethylated in our tumors except for *HIC2*, *NOTCH3* and *PTCH2* which showed no hypermethylation. These three genes were also not hypermethylated in Ammerpohl et al<sup>26</sup> and thus are unlikely to be significantly hypermethylated in HCC. The second study<sup>24</sup> identified 27 genes as hypermethylated. Fourteen overlap with those we identified, including *APC*, *BMP4*, *CDKN2A*, *F2R*, *FLT4*, *GSTP1*, *HOXA9*, *IGF1R*, *IRAK3*, *MYOD1*, *RASSF1*, *SH3BP2*, *TERT* and *ZMYND10* (Supporting Table 2). Ninety six of their 124 significant CpG sites overlap with ours with 92% consistency in the direction of methylation change.

Using pyrosequencing we confirmed methylation data for the 5 genes analyzed. Array data was highly correlated with both the specific CpG site and the mean of the 3 to 5 CpG sites assayed within a gene (Table 4 and Supporting Fig. 7).

We attempted to determine if methylation changes in specific CpG sites were associated with certain risk factors such as gender, viral infection, alcohol consumption and AFB<sub>1</sub>-DNA adduct levels. We identified sites that differed significantly after Bonferroni adjustment only for alcohol consumption. However, these results did not match prior data.<sup>24</sup> Most of our cases were virus infected while the prior study was able to look at noninfected cases in which alcohol was the major risk factor. This may explain the discrepant results.

Data on survival was not available for most of our cases so we were unable to investigate methylation profile and survival.

We also determined whether methylation of a randomly subset of 5 genes could be detected in plasma DNA by pyrosequencing. Not all samples were successfully amplified for all 5 genes with *HIST1H3G* having the lowest frequency of usable data (63%). This may be due to the larger PCR product for this gene (248bp) compared to the other 4 genes (<200bp). Future studies should consider PCR product size when designing pyrosequencing assays for plasma DNA. Using 5% methylation as the cutoff for positivity, the frequency of positive plasma DNA samples ranged from 37 to 63%. When any one gene positive was used to define a positive case, 87% were positive. These results, in conjunction with our prior study of plasma from controls<sup>22</sup> suggest that analysis of plasma DNA is feasible and may be useful for diagnosis of HCC. However, the quality of the bisulfite treated plasma DNA will be a key component of a successful screening assay.

Among the strengths of our study is that it is the largest sample size methylation array study of HCC to date. Among the limitations is the lack of information on AFB<sub>1</sub>-DNA in adjacent non-tumor tissue for some cases. In addition, data on alcohol consumption and cigarette smoking was missing for about 20% of the cases. These missing data limited our ability to investigate relationships between methylation profiles and these factors. In addition, almost all our cases were infected with either HBV or HCV or both. Thus, we could not investigate the role of viral infection on methylation. Another limitation was the lack of healthy tissue from unaffected controls as a comparison group for our array studies. Our tumor adjacent tissues are primarily cirrhotic. Thus, we identified genes whose methylation was increased in progression from cirrhosis to HCC. Since our goal was to identify genes whose methylation is associated with HCC but not cirrhosis, this comparison is appropriate but tells us nothing about progression from normal tissue. A limitation of our plasma DNA analysis is that only samples from cases were available. Thus, while the frequency of methylation was high, we have no data on controls. In our prior prospective study of plasma DNA analyzing 3 genes using methylation specific PCR, we found 2/50 (4%) controls with *CDKN2A* methylation and comparable cases positive (44 vs 48%).<sup>22</sup>

In summary, we have used genome-wide methylation arrays to identify genes methylated in HCC from primarily HBV-infected Taiwanese cases. Pyrosequencing of candidate genes validated the array data and analysis of plasma DNA suggests that these genes may be appropriate to apply as biomarkers of early HCC diagnosis. We are in the process of testing custom arrays for analyzing larger numbers of CpG sites then pyrosequencing that can be applied to small amounts of plasma DNA. We will then use this methodology in our prospective study that includes HCC cases and controls, as required to further determine the utility of this approach.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

This work was supported by NIH grants R01 ES005116, P30 ES009089, P30 CA013696 and R03 CA150140. We thank Dr. Abby Siegel for careful reading of the manuscript.

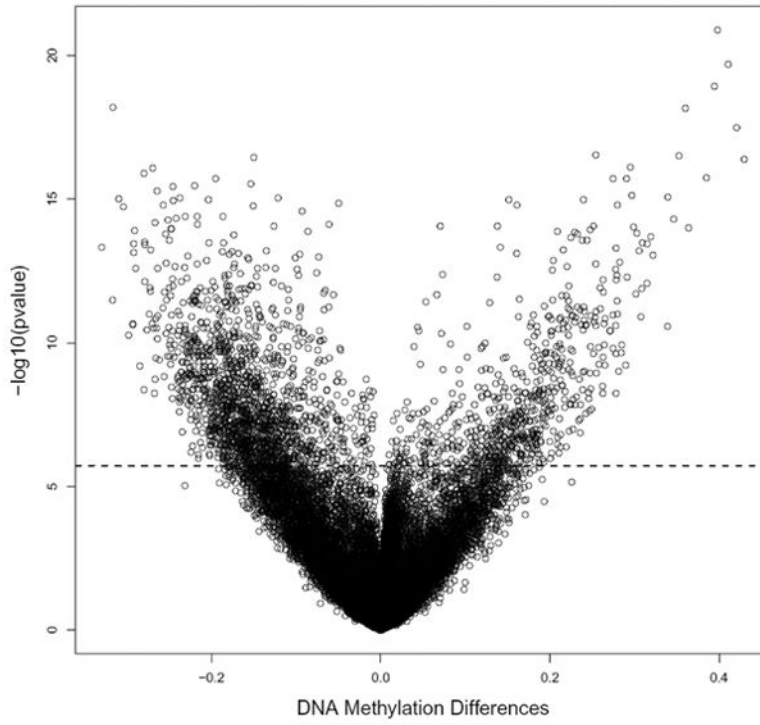
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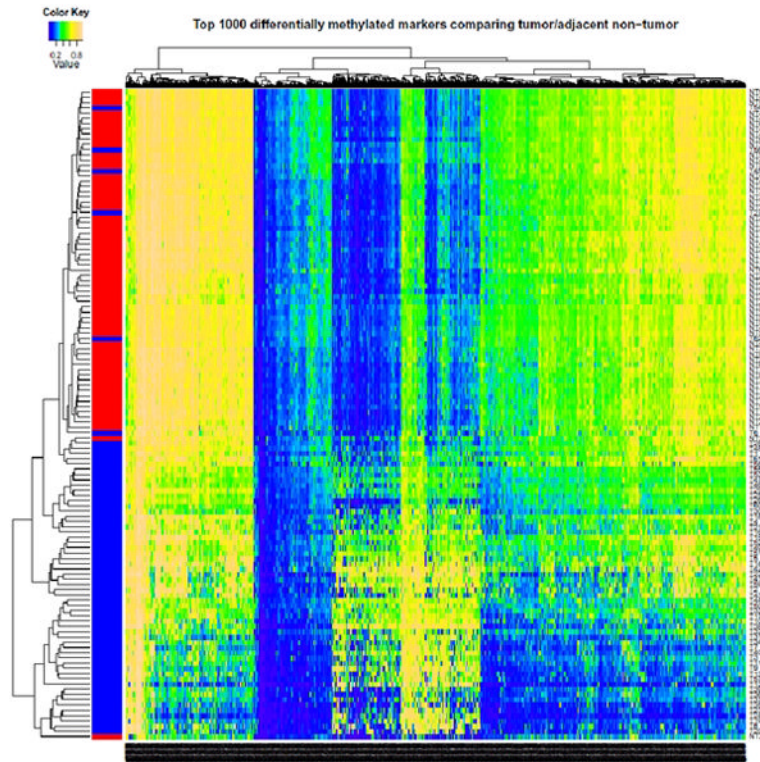


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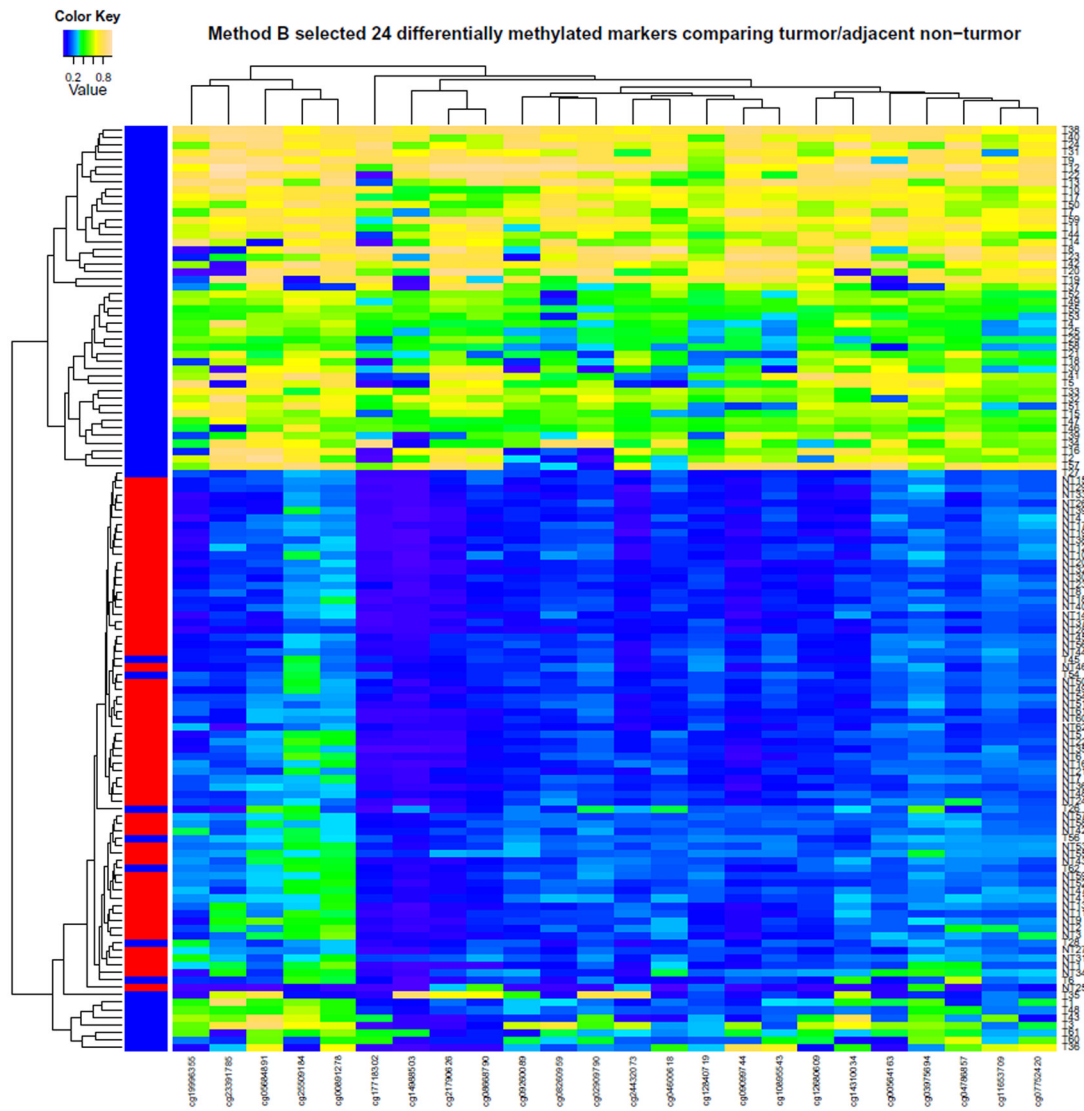
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**Fig. 1.** Volcano plot for differential DNA methylation analysis of 62 paired HCC tumor and adjacent non-tumor tissues. The x-axis shows the mean DNA methylation difference, while the y-axis shows the  $-\log_{10}$  of the adjusted  $p$  value for each CpG site, representing the strength of association. Above the dashed line indicates statistically significant ( $p < 0.05$ ) after Bonferroni correction. Blue represents adjacent non-tumor tissue, and red represents tumor tissue.



**Fig. 2.** Hierarchical cluster analysis of top 1,000 significantly differentially methylated CpG sites between 62 tumor and adjacent tissues



**Fig. 3.** Hierarchical cluster analysis of the selected 24 hypermethylated CpG sites selected by 1,000 three-fold cross-validations. Blue represents adjacent non-tumor tissue, and row represents tumor tissue.



**Table 1**

Clinical and Pathological Characteristic of 62 HCC Patients with Tissue DNA Methylation Array Data and 8 Additional Cases with only Plasma DNA Data

	Cases with Array Data	Cases with Only Plasma Data
Variables	Mean ± SD	Mean ± SD
Age at diagnosis	52.2 yr ± 14.2 <sup>*</sup>	53.0 ± 11.5 <sup>†</sup>
	N (%)	N (%)
Gender		
Male	54 (87)	7 (100) <sup>†</sup>
Female	8 (13)	0
Viral status		
HBV (-) and HCV (-)	7 (11)	0
HBV (+) and HCV (-)	36 (58)	7 (88)
HBV (-) and HCV (+)	6 (10)	0
HBV (+) and HCV (+)	13 (21)	1 (12)
Cigarette smoking		
No	25 (40)	2 (25)
Yes	24 (39)	4 (50)
Missing	13 (21)	2 (25)
Alcohol drinking		
No	41 (66)	8 (100)
Yes	8 (13)	0
Missing	13 (21)	0
AFB <sub>1</sub> -DNA adducts in tumor tissues		
High to Medium	37 (60)	
Low	25 (40)	
AFB <sub>1</sub> -DNA adducts in non-tumor tissues		
High to Medium	15 (24)	
Low	15 (24)	
Missing	32 (52)	

\* Age missing for five subjects

<sup>†</sup> Data missing for one subject

Table 2

Top 20 Ranked Hypermethylated or Hypomethylated genes \*

Hypermethylated in Tumor						Hypomethylated in Tumor					
Genes	Target ID	Mean in tumor	Mean in non-tumor	Mean Difference	Adjusted P Value <sup>†</sup>	Genes	Target ID	Means in tumor	Mean in non-tumor	Mean Difference	Adjusted P Value <sup>†</sup>
<i>DAB2IP</i>	cg05684891	0.61	0.21	0.40	3.58E-17	<i>CCL20</i>	cg21643045	0.46	0.77	-0.32	1.70E-14
<i>BMP4</i>	cg14310034	0.55	0.14	0.41	5.36E-16	<i>AKT3</i>	cg11314684	0.14	0.29	-0.15	9.34E-13
<i>HNF4A</i>	cg12680609	0.51	0.12	0.39	3.25E-15	<i>SCGB1D1</i>	cg01772980	0.40	0.67	-0.27	2.28E-12
<i>SPDY1</i>	cg04786857	0.55	0.19	0.36	1.79E-14	<i>WFDK6</i>	cg24765446	0.32	0.60	-0.28	3.42E-12
<i>DKFZ2A</i>	cg09099744	0.50	0.08	0.42	8.69E-14	<i>PAX4</i>	cg08886154	0.30	0.50	-0.19	5.19E-12
<i>SPYL5</i>	cg15747595	0.71	0.45	0.25	7.56E-13	<i>GCE22</i>	cg25462303	0.15	0.30	-0.15	7.92E-12
<i>CDKL2</i>	cg24432073	0.46	0.11	0.35	8.03E-13	<i>CD300E</i>	cg04995095	0.26	0.48	-0.22	8.87E-12
<i>ZNF154</i>	cg21790626	0.51	0.08	0.43	1.14E-12	<i>CD1B</i>	cg04574507	0.36	0.60	-0.25	9.89E-12
<i>ZNF540</i>	cg03975694	0.53	0.24	0.30	2.03E-12	<i>FLJ00060</i>	cg03602500	0.43	0.70	-0.26	1.37E-11
<i>CCDC37</i>	cg00891278	0.60	0.31	0.29	5.07E-12	<i>MNDA</i>	cg25119415	0.29	0.53	-0.24	2.42E-11
<i>PKDREJ</i>	cg11377136	0.74	0.47	0.27	5.18E-12	<i>CD1E</i>	cg12200412	0.19	0.31	-0.12	2.42E-11
<i>FKX6-2</i>	cg08441806	0.56	0.32	0.24	2.77E-11	<i>CYP11B1</i>	cg09120035	0.43	0.74	-0.31	2.59E-11
<i>FOXO2</i>	cg15868302	0.70	0.55	0.15	2.88E-11	<i>KRTAP13-1</i>	cg02764897	0.26	0.46	-0.20	2.84E-11
<i>NRBAK</i>	cg06914598	0.48	0.32	0.16	4.22E-11	<i>KLK9</i>	cg01144251	0.37	0.62	-0.25	2.95E-11
<i>CFTR</i>	cg25509184	0.59	0.31	0.28	4.23E-11	<i>KPNA1</i>	cg25564800	0.05	0.09	-0.05	3.80E-11
<i>GSTH3E</i>	cg07922606	0.91	0.84	0.07	2.38E-10	<i>SPRR1B</i>	cg18780284	0.32	0.58	-0.26	4.44E-11
<i>LYPD3</i>	cg25340403	0.67	0.54	0.14	2.40E-10	<i>SPRR1A</i>	cg04505023	0.35	0.66	-0.30	4.82E-11
<i>DNM3</i>	cg23391785	0.55	0.18	0.36	2.75E-10	<i>CCR6</i>	cg13615963	0.14	0.24	-0.09	7.20E-11
<i>RASSF1</i>	cg21554552	0.57	0.37	0.21	3.45E-10	<i>FLJ44674</i>	cg13897627	0.42	0.64	-0.22	1.05E-10
<i>KCNK1</i>	cg27409364	0.65	0.42	0.23	3.70E-10	<i>OR51B4</i>	cg06353345	0.26	0.49	-0.23	1.06E-10

\* Top 20 hyper- or hypomethylated genes in HCC tumor tissues compared to adjacent non-tumor tissues ranked by statistical significance

<sup>†</sup> After Bonferroni adjustment

Table 3

Selected 24 CpG Sites Based on 1,000 three-fold Cross-validations Distinguishing HCC Tumors from Adjacent Tissues with their Mean Methylation Levels in Tumor and Adjacent Tissues and the Differences\*

Symbol	Target ID	Consensus frequency	Mean $\beta$ in Tumor	Mean $\beta$ in Adjacent	Mean $\beta$ difference	Adjusted P Value †	Function
<i>BMP4</i>	cg14310034	1,000	0.55	0.14	0.41	5.36E-16	Bone morphogenetic protein 4
<i>Coat206</i>	cg04600618	985	0.44	0.16	0.28	4.08E-09	Radial spoke head 9 homolog
<i>CCDC37</i>	cg00891278	980	0.60	0.31	0.29	5.07E-12	Coiled-coil domain containing 37
<i>CDKL2</i>	cg24432073	1,000	0.46	0.11	0.35	8.03E-13	Cyclin dependent
<i>CDKL2</i>	cg14988503	999	0.39	0.04	0.35	1.31E-10	kinase
<i>CDKN2A</i>	cg07752420	1,000	0.49	0.20	0.30	1.92E-11	Cyclin dependent
<i>CDKN2A</i>	cg09099744	1,000	0.50	0.08	0.42	8.69E-14	kinase inhibitor
<i>CDKN2A</i>	cg10895543	1,000	0.48	0.14	0.34	2.36E-11	
<i>CDKN2A</i>	cg12840719	1,000	0.39	0.14	0.25	2.26E-10	
<i>CDKN2A</i>	cg11653709	994	0.46	0.21	0.25	3.17E-10	
<i>CFTR</i>	cg25509184	1,000	0.59	0.31	0.28	4.23E-11	Cystic fibrosis transmembrane conductance regulator
<i>DAB2IP</i>	cg05684891	1,000	0.61	0.21	0.40	3.58E-17	GTPase-activating protein
<i>DNM3</i>	cg23391785	993	0.55	0.18	0.36	2.75E-10	Dynamin 3
<i>HIST1H3G</i>	cg02909790	1,000	0.49	0.17	0.32	5.28E-10	H3 histone family
<i>HIST1H3J</i>	cg17718302	1,000	0.37	0.05	0.31	2.36E-08	H3 histone family
<i>NKX6-2</i>	cg09260089	1,000	0.43	0.13	0.30	2.59E-10	NK6 related transcription factor
<i>PBX4</i>	cg19996355	999	0.47	0.15	0.32	2.46E-09	Pre-B-cell leukemia homeobox 4
<i>RAB31</i>	cg17982102	988	0.38	0.14	0.24	7.14E-10	Member Ras oncogene family
<i>SPDY1</i>	cg04786857	1,000	0.55	0.19	0.36	1.79E-14	Speedy homologue 1
<i>STEAP4</i>	cg00564163	999	0.49	0.19	0.31	1.68E-09	Tumor necrosis factor
<i>ZFP41</i>	cg12680609	1,000	0.51	0.12	0.39	3.25E-15	Zinc finger protein 41homologue
<i>ZNF154</i>	cg08668790	1,000	0.51	0.12	0.39	4.67E-12	Zinc finger
<i>ZNF154</i>	cg21790626	1,000	0.51	0.08	0.43	1.14E-12	protein 154
<i>ZNF540</i>	cg03975694	1,000	0.53	0.24	0.30	2.03E-12	Zinc finger protein 540

\* Sites were selected based on a mean difference in methylation levels between tumor and adjacent tissues of at least 20%; more than 60% of tumors have methylation levels greater than 2 standard deviation above the mean in all non tumor tissues and with mean levels of methylation in adjacent tissues <40%

<sup>†</sup> After Bonferroni adjustment

**Table 4**

Correlations Between Array Data and Pyrosequencing Data within 86 Tumor and Non-tumor Tissues at the Specific CpG Sites on the Array as well as the Mean of All CpG Sites Per Gene Assayed

Gene	Array CpG site	Mean of all CpG sites (Number of CpG sites)
<i>STEAP4</i>	0.936	0.940 (4)
<i>CDKL2</i>	0.942	0.944 (3)
<i>CDKN2A</i>	0.938	0.925 (5)
<i>HIST1H3G</i>	0.954	0.921 (5)
<i>ZNF154</i>	0.971	0.967 (3)



**Table 5**

Plasma DNA Methylation Using Pyrosequencing and Number of Samples with Available Pyrosequencing Data

Gene	Samples positive* N (%†)	Samples with data N (%)
<i>CDKL2</i>	14 (37)	38 (100)
<i>CDKN2A</i>	13 (48)	27 (71)
<i>HIST1H3G</i>	9 (38)	24 (63)
<i>STEAP4</i>	20 (63)	32 (84)
<i>ZNF154</i>	16 (47)	34 (89)

\* Defined as ≥ 5% methylation

† Based on successful pyrosequencing analysis