# Personalized therapy in endometrial cancer: Challenges and opportunities

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Early stage endometrial cancer is generally curable. However, progress in the treatment of advanced and recurrent endometrial cancer has been limited. This has led to a shift from the use of traditional chemotherapeutic agents and radiotherapy regimens to the promising area of targeted therapy, given the large number of druggable molecular alterations found in endometrial cancer. To maximize the effects of directed targeted therapy, careful molecular characterization of the endometrial tumor is necessary. This represents an important difference in the use of targeted therapy vs. traditional chemotherapy or radiation treatment. This review will discuss relevant pathways to target in endometrial cancer as well as the challenges that arise during development of a personalized oncology approach.

#### Introduction

In the United States, endometrial cancer remains the most common gynecologic malignancy. In 2011, the American Cancer Society estimated there would be 46,470 new cases and 8,120 deaths from endometrial cancer. In contrary to the progress observed in many other cancer types, the incidence of endometrial cancer has increased over the past 30 y.1 This is in part related to the current epidemic of obesity, which is tightly linked to risk of endometrial cancer.<sup>2</sup> Fortunately, the majority of women with endometrial cancer are diagnosed at an early stage and may be cured by surgery with or without adjuvant radiotherapy.<sup>3</sup> However, the subset of patients with either advanced stage endometrial cancer at diagnosis or recurrent disease following surgery presents a significant therapeutic challenge. The use of chemotherapy has been well-studied in this group, with only modest outcomes among those patients that respond to front-line therapy. The optimal treatment approaches yield response rates from 40-78% in the primary advanced setting and 15-30% in the recurrent setting. Furthermore, among the optimal chemotherapy regimens for this group, median progression-free survival is only 6 mo and median overall survival reaches 12 mo.<sup>4</sup> Clearly, this is a population of cancer patients in great need for the development of novel approaches for therapy.

## Opportunities for Targeted Therapy in Advanced and Recurrent Endometrial Cancer

As the era of targeted therapy and personalized cancer treatments dawns, endometrial cancer has great potential to benefit from novel agents currently under development. These therapies hold the potential for reduction in mortality from endometrial cancer, and perhaps reduction in morbidity associated with traditional cancer treatment by selectively targeting cancer cells. Targeted therapy may be achieved both through combination with traditional cytotoxic agents and through combinations of novel biologic agents which hit multiple relevant targets.

The molecular alterations of endometrial cancer are well documented in the literature and appear to directly relate to the histologic type. This knowledge has significantly helped advance the development of targeted therapy for endometrial cancer. In general, endometrioid carcinoma is the most common histology (80%) compared with non-endometrioid carcinomas (20%) which include serous carcinoma, clear cell carcinoma, and carcinosarcoma. Table 1 summarizes the known molecular changes in both endometrioid and non-endometrioid endometrial carcinomas. Note that from this table there are a number of molecular alterations that represent potentially druggable targets. As our depth of understanding of the molecular pathways critical to the development and progression of endometrial cancer expands, so to will the list of potential targets. Undoubtedly, the NIH-sponsored The Cancer Genome Atlas (TCGA) will contribute to the identification of additional endometrial cancer molecular alterations that may be exploited therapeutically.

As the role of targeted therapy continues to grow in oncology, there are several unmet needs which must be addressed in endometrial cancer clinical trials. Arguably, the most pressing need is the selection of appropriate patients for treatment with a given specific targeted agent. The use of biologic agents in an unselected patient population has the potential to lead to incorrect classification of a drug as inactive, dooming it to be classified as a failure. The reasons for this are myriad, including lack of expression of the relevant target or presence of a mutation which confers resistance to the agent.<sup>5</sup> Furthermore, use of markers to predict unique toxicities and optimal drug dosing will also be important.<sup>6</sup> Such pre-selection of patients for treatment is a relatively new concept in oncology clinical trials, as most previously completed chemotherapy and radiation treatment trials used unselected patient populations. In the targeted therapy era, these issues and trial

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Alteration	Endometrioid (%)	Non-endometrioid (%)
PTEN protein loss <sup>18,19</sup>	80	5
PTEN mutation <sup>15-17,25</sup>	30–50	0-11
PIK3CA mutation <sup>20,21,25</sup>	30–40	20
PIK3R1 mutation <sup>157</sup>	43	12
AKT mutation <sup>10,13,14</sup>	2–3	0
KRAS mutation54-57	10–30	0–10
IGFIR overexpression69,70	78	Unknown
FGFR2 mutation <sup>11,87,88</sup>	12–16	1
EGFR overexpression9,111	46	34
EGFR mutation <sup>113,114</sup>	Unknown	0
HER-2 overexpression9,111,124-126	3–10	32
HER-2 amplification <sup>124,126</sup>	1	17
$\beta$ -catenin mutation <sup>133,134</sup>	15–50	0
p53 mutation <sup>158</sup>	20	90
E-cadherin loss <sup>129,130,133</sup>	5–50	60–90
Microsatellite instability <sup>159,160</sup>	15–25	0–5

**Table 1.** Molecular characterization of endometrial cancers by histologic subtype

Abbreviations: PTEN, phosphatase and tensin homolog deleted on chromosome 10; *PIK3CA*, phosphatidylinositol 3 kinase, catalytic,  $\alpha$  polypeptide; *PIK3RI*, phosphatidylinositol 3 kinase, regulatory polypeptide; *AKT*, v-akt murine thymoma viral oncogene homolog 1; *KRAS*, V-K<sub>1</sub>-ras2 Kirsten rat sarcoma viral oncogene homolog; IGFR1, insulin-like growth factor receptor 1; *FGFR2*, fibroblast growth factor receptor 2; EGFR, epidermal growth factor receptor; HER, human epidermal growth factor receptor

designs must be considered to maximize effective and timely drug development. The objective for this review is to provide an overview of current relevant pathways and the potential for biomarker driven trials in advanced and recurrent endometrial cancer.

### Pathways of Interest in Endometrial Cancer

As our understanding of endometrial cancer grows, we begin to identify more potential pathways to target therapeutically. **Figure 1** demonstrates a simplified schematic of select relevant pathways and sites of interaction and cross talk. The overlapping nature of these pathways in endometrial cancer makes the combination of multiple targeted agents or agents which target more than one pathway very attractive and feasible. At the time of this review, however, the majority of clinical investigations employing targeted agents in endometrial cancer consist primarily of single agent trials.

**Phosphatidylinositol-3-kinase** (PI3K)/AKT. The PI3K/AKT pathway is well-known to play a central role in cell survival, growth, and avoidance of apoptosis in many different cancer types.<sup>7,8</sup> Stimulation of the PI3K/AKT pathway occurs through the activity of myriad receptors including epidermal growth factor receptor (EGFR), insulin-like growth factor I receptor (IGFIR), and fibroblast growth factor receptor 2 (FGFR2). Furthermore, the tight linkage of the PI3K/AKT pathway to other key survival

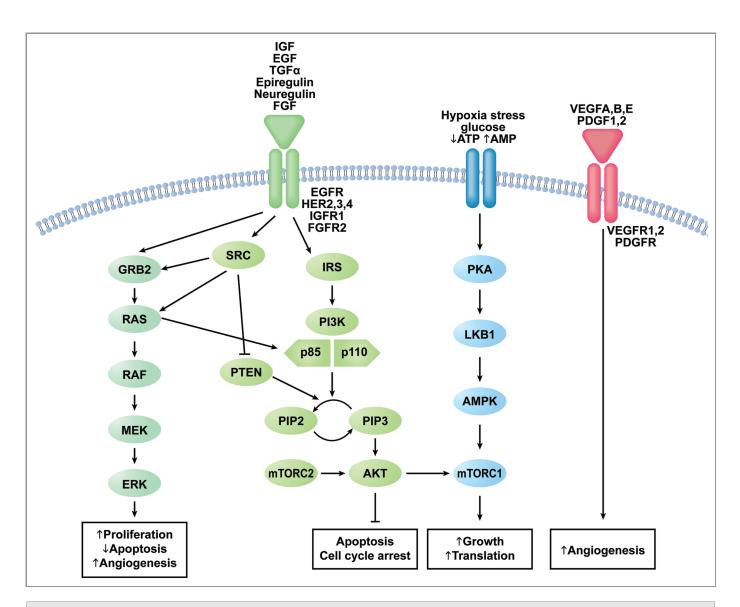
pathways such as the RAS/RAF/MEK pathway holds significant clinical implications for trial design.

In general, constitutive activation of the PI3K/AKT pathway in endometrial cancer occurs most commonly through loss of PTEN tumor suppressor activity or activating mutations in *PIK3CA*, which codes for the  $\alpha$  catalytic subunit of PI3K. In addition, activating mutations in *AKT* and overexpression of tyrosine kinase receptors that stimulate the pathway are known to be important in subsequent PI3K/AKT dysregulation.<sup>9-21</sup> The mammalian target of rapamycin (mTOR), a serine/threonine kinase, is a critical downstream target of the PI3K/AKT pathway. mTOR upregulation through AKT leads to subsequent activation of the protein S6 kinase (pS6K) which regulates protein translation and cell cycle progression.<sup>7</sup>

Given the frequency of abnormalities in the PI3K/AKT pathway, this signaling pathway arguably presents one of the most promising targets for endometrial cancer. Furthermore, the known alterations in the pathway providing potential targets also present opportunities for patient selection and monitoring therapy response. At present, agents under exploration consist primarily of small molecule inhibitors of critical mediators of the pathway. As seen in the discussion that follows, it appears the inhibition of only one pathway node may not be sufficient to impact tumor growth. This is in part due to the significant pathway feedback loops as well as crosstalk between pathways. For example, the downstream proteins activated by mTOR also participate in a feedback loop that can lead to subsequent upregulation of AKT phosphorylation.<sup>22</sup> Thus, after confirmation of safety in the single agent setting, exploration of combination therapies is critical.

Potential biomarkers to predict response to therapy and guide eligibility for trials are extensive for this pathway. As shown in **Table 1**, many of the relevant molecular abnormalities in endometrial cancer are directly or indirectly related to the PI3K/AKT pathway. Primary candidates include mutations in *PIK3CA*, *PTEN*, *AKT*, as well as overexpression of phosphorylated mTOR and phosphorylated AKT (pAKT).<sup>23-25</sup> Preliminary results from a group of patients with advanced solid tumors, including endometrial cancer, harboring *PIK3CA* mutations that were treated with PI3K/AKT/mTOR inhibitors alone or in combination with other agents, reveals higher than expected response rates (35%) in a highly pretreated group of patients.<sup>26</sup>

Interestingly, the presence of *KRAS* mutations appeared to confer resistance in certain tumor types in the retrospective study by Janku and colleagues.<sup>26</sup> The importance of the possible interaction between *PIK3CA* and *KRAS* mutations has also been demonstrated in human cancer cells in response to treatment with everolimus in vitro. Cells harboring *PIK3CA* mutations were quite sensitive to everolimus, while those cells with concurrent *PIK3CA* and *KRAS* mutations were quite sensitive to everolimus, while those cells with concurrent *PIK3CA* and *KRAS* mutations were resistant.<sup>27</sup> This resistance may be explained by a negative feedback loop with the RAS/RAF/MEK pathway as suggested by Carracedo and colleagues. In a molecular analysis of patients with advanced cancer, they found upregulation of phospho-ERK in tumor samples obtained after treatment with everolimus on a Phase I trial. In subsequent cell line studies, MEK activation was discovered after everolimus treatment through a feedback loop involving pS6K. Further, inhibition of



**Figure 1.** Druggable signaling pathways in endometrial cancer. Abbreviations: EGF, epidermal growth factor; IGF, insulin-like growth factor; TGFα, transforming growth factor α; FGF, fibroblast growth factor; HER, human epidermal growth factor receptor; EGFR,epidermal growth factor receptor; IGFR1, insulin-like growth factor receptor 1; FGFR2, fibroblast growth factor receptor 2; ATP, adenosine triphosphate; AMP, adenosine monophosphate; VEGF, vascular endothelial growth factor, PDGF, platelet-derived growth factor; VEGFR, vascular endothelial growth factor receptor; PDGFR, plateletderived growth factor receptor; GRB2, growth factor receptor-bound protein 2; RAS, rat sarcoma gene; Raf, V-raf-1 murine leukemia viral oncogene homolog 1; MEK, mitogen activated protein kinase kinase; ERK, mitogen activate protein kinase; SRC, V-src sarcoma (Schmidt-Ruppin A-2) viral oncogene homolog; IRS, insulin receptor substrate; PI3K, phosphatidylinositol 3 Kinase; PTEN, phosphatase and tensin homolog deleted on chromosome 10; PIP2, phosphatidylinositol 4,5-bisphosphate; PIP3, phosphatidylinositol (3,4,5)-triphosphate; AKT, v-akt murine thymoma viral oncogene homolog 1; PKA, protein kinase A; LKB1, liver kinase B1; AMPK, adenosine monophosphate kinase; mTORc, mammalian target of rapamycin Complex.

the RAS/RAF/MEK pathway enhanced the antitumor effect of everolimus in cell lines and in vitro.<sup>28</sup> Thus, it appears that consideration of both pathways will likely prove useful in future trial design.

*mTOR inhibition.* The protein kinase mTOR is composed of two potential complexes to target, mTORC1 and mTORC2, which have different downstream effectors.<sup>29</sup> Thus far, the majority of studies in advanced and recurrent endometrial cancer have focused on rapalogs, which primarily inhibit MTORC1. Newer agents which target mTORC2 or both complexes appear to have greater activity but the data are still

emerging. Temsirolimus (CC1-779), a parenterally administered ester of rapamycin, was evaluated in a phase II trial of recurrent or metastatic endometrial cancer patients. Response rates were favorable in the chemotherapy naïve arm (n = 29), with 14% partial response (PR) and 69% stable disease (SD). Interestingly, response in the patients treated with one prior chemotherapy regimen (n = 25) had less dramatic results, with only 4% PR and 28% SD. Response occurred independent of *PTEN* mutation, PTEN loss, phosphorylated mTOR (p-mTOR), pAKT, or pS6K status in archival tumor specimens from original diagnosis. Of note, the study was not powered to determine the association

between molecular status and response, so these results must be interpreted with caution.<sup>30</sup> These favorable response outcomes were also demonstrated in a single agent trial of temsirolimus in heavily pre-treated patients without any molecular correlates. Of 27 patients treated, 2 achieved PR and 12 SD.<sup>31</sup> Given this apparent activity, the Gynecologic Oncology Group (GOG) has included temsirolimus combined with carboplatin and paclitaxel as a treatment arm in an ongoing three arm trial exploring combination therapies in advanced endometrial cancer (GOG0086P; NCT:00977574).

Oral mTOR inhibition by everolimus (RAD001) in recurrent endometrial cancer achieved encouraging short and long-term clinical benefit with 43% of patients at 8 weeks and 21% of patients at 16 weeks achieving SD.32 Meyer and colleagues recently reported attempts to correlate response on this trial to molecular status of the primary tumor, including PTEN protein expression, pS6K expression and KRAS mutation. Among the 28 patients with available tissue, none of these markers successfully predicted response, although there was a trend for KRAS mutation correlation with therapy resistance.<sup>33</sup> Ridaforolimus (AP23573), an mTOR inhibitor given parenterally or orally, yielded a 33% clinical benefit rate including two patients with a PR among patients with recurrent endometrial cancer.<sup>34</sup> An additional study of oral ridaforolimus in chemotherapy naïve endometrial cancer achieved a PR rate of 7.7% and SD rate of 58%. This study has correlative endpoints, including PTEN and PI3K, ongoing.<sup>35</sup> A randomized Phase II trial comparing oral ridaforolimus to traditional hormonal or chemotherapy revealed improvement in PFS of 2 mo among patients in the experimental arm.<sup>36</sup>

The combination of mTOR inhibition with hormonal agents is actively being explored secondary to known activity of both agents in endometrial cancer. Furthermore, there are recent data that indicate the importance of the PI3K/AKT/mTOR pathway in mediating resistance to endocrine therapy in breast cancer.<sup>37,38</sup> A study of everolimus and letrozole in recurrent endometrial cancer with less than two prior chemotherapeutic regimens achieved a response rate of 21% with one complete response (CR) and three PRs. Furthermore, four patients had SD and seven still remain on treatment.<sup>39</sup> A recently reported GOG study combining temsiro-limus with alternating hormones, megestrol acetate and tamoxifen, was closed secondary to high levels of venous thrombosis and insufficient additional activity to warrant further study.<sup>40</sup>

*AKT inhibition.* There are numerous small molecule inhibitors of AKT currently in development for endometrial cancer and solid tumors. MK-2206 is an allosteric AKT inhibitor which is currently under active investigation in multiple clinical trials, alone and in combination with chemotherapy or other targeted agents.<sup>41</sup> This agent is currently employed in a biomarker driven Phase II trial of recurrent endometrial cancer with less than two prior therapies. Patients are stratified based on presence or absence of a PIK3CA mutation prior to the initiation of therapy (NCT01312753).

*PI3K inhibition.* The inhibition of PI3K is an area of great interest in endometrial cancers and solid tumors. There are myriad agents currently in development in Phase I trials of advanced solid tumors. This includes agents which specifically target PI3K as well as dual inhibitors which target mTOR

in combination with PI3K.<sup>42</sup> A Phase I trial of XL147 (SAR245408), a selective oral PI3K inhibitor, demonstrated durable clinical benefit among multiple solid tumors. XL147 is in Phase II trial for advanced and recurrent endometrial cancer (NCT01013324). Furthermore, a phase I trial combining this agent with carboplatin and paclitaxel is currently under dose expansion for endometrial cancer secondary to promising tumor response (NCT00756847). Other agents in this class have also demonstrated promising toxicity and early clinical response among endometrial cancer patients in Phase I trials,<sup>43,44</sup> and many Phase II studies are in progress (clinicaltrials.gov).

Metformin. Metformin (N'-N' dimethylguanide) is a well tolerated oral anti-diabetic agent that has recently demonstrated promising findings in reduction of cancer risk and antitumor activity.<sup>45,46</sup> The theoretical mechanisms for the anticancer activity of metformin include activation of the AMPK pathway through LKB1 as well as reduction of circulating insulin levels<sup>47,48</sup> (Fig. 1). Given the role of obesity and a high prevalence of insulin resistance among women with endometrial cancer, metformin is of considerable interest.<sup>49</sup> Furthermore, this agent has been shown to induce cell cycle arrest and apoptosis,<sup>50</sup> as well as reverse progesterone resistance and induce progesterone receptor expression in endometrial cancer cell lines.<sup>51,52</sup> Metformin has potential for use in early as well as advanced endometrial cancer in combination with hormonal therapy and as a single agent. A current Phase 0 study is ongoing to explore pharmacodynamic markers of metformin activity in endometrial cancer patients treated prior to primary surgical resection. Markers for proliferation, apoptosis, the insulin-like growth factor signaling pathway, and the PI3K/ AKT pathway will be assessed in baseline (pre-treatment) and after a short course of metformin (NCT01205672).

**RAS/RAF/MEK.** The RAS/RAF/MEK pathway shown in **Figure 1** is involved in a variety of essential tumorigenic functions including angiogenesis, cell cycle regulation, proliferation, and survival.<sup>53</sup> As noted in **Table 1**, there is a relatively high prevalence of *KRAS* mutations in endometrial cancer, especially the endometrioid carcinomas, as well as other solid tumors.<sup>54-57</sup> This has lead to the exploration of therapeutic agents to target key nodes of this pathway.<sup>58,59</sup> MEK inhibitors are the most clinically developed, with emerging applications in melanoma and papillary thyroid carcinoma secondary to activating *BRAF* mutation rates of 20–80%<sup>60</sup> and 29–83%,<sup>61</sup> respectively. In addition, RAF kinase small molecule inhibitors are in clinical development alone and in combination for the treatment of advanced solid tumors, especially melanoma.<sup>62</sup>

The GOG has opened a Phase II trial of the oral MEK inhibitor, AZD6244, in patients with endometrial cancer treated with 1–2 prior therapies (NCT 01011933). This trial has achieved an adequate response rate to proceed to the second stage of accrual and results are eagerly anticipated. The knowledge that RAS/RAF/MEK pathway activation in the presence of AKT blockade can promote cell survival<sup>63</sup> is leading to development of trials that combine PI3K/AKT inhibition and MEK inhibition in endometrial cancer. This combination is further supported by the apparent negative feedback loop involving pS6K and MEK as previously discussed in the section on the PI3K/AKT pathway.<sup>28</sup>

Based on data regarding successful MEK inhibition in melanoma and other solid tumors, there are several key biomarkers that should be assessed in trials incorporating these agents. Activating mutations in *BRAF* render tumors highly sensitive to MEK inhibition based on work in cell lines and in early clinical trials of melanoma.<sup>64,65</sup> Interestingly, *BRAF* mutations are rare in endometrial cancers,<sup>66,67</sup> so it will be relevant to identify alternative mutations that can be used to identify endometrial tumors as being potentially sensitive to MEK inhibitors. In addition, the presence of *KRAS* or *NRAS* mutation has the potential to affect response to MEK inhibition, although the data here are less clear.<sup>58,59</sup> Demonstrating evidence of pathway activation (presence of phospho-ERK and phospho-EGFR<sup>62</sup>) in the tumor may also be relevant.

**IGF-IR.** As seen in **Figure 1**, through the activation of IGF-IR, Insulin-like Growth Factor (IGF) is a mitogen for PI3K/AKT and RAS/RAF/MEK pathway activation.<sup>68</sup> IGF-IR is overexpressed in the precursor to endometrial cancer, endometrial hyperplasia, as well as in endometrial carcinoma.<sup>69,70</sup> In vitro studies of the IGF-IR inhibitor, NVP-AEW541, demonstrated inhibition of proliferation and increase in apoptosis among treated endometrial cancer cell lines.<sup>71</sup> Due to the known activation of the IGF-IR pathway with inhibition of mTOR, there exists a rationale for combination of IGF-IR inhibitors and drugs targeting mTOR.<sup>72</sup>

There are several IGF-IR inhibitors in clinical investigation, including IMC-A12, AMG479, H10H5, and OSI-906. These agents are very well tolerated, with the most common adverse events consisting of hyperglycemia, fatigue, nausea, and changes in body weight.<sup>73,74</sup> As yet, there are no clinical trials that have explored this promising pathway in endometrial cancer. Potential biomarkers for this pathway may include IGF-I, IGF-BP1, IGF-BP2 and insulin receptor substrates-1 (IRS1) and -2 (IRS2).<sup>75,76</sup>

Angiogenesis. The formation of new blood vessels through angiogenesis is a key factor in tumorigenesis. Angiogenesis allows for the supply of nutrients, oxygen and growth factors to the tumor and promotes tumor dissemination and metastasis.<sup>77,78</sup> Regulation of angiogenesis occurs though a complex set of stimulatory and inhibitory factors that act on the endothelial cells lining the vessel (Table 2). When pro-angiogenic factors such as Vascular Endothelial Growth Factor (VEGF) are found in tumors, there is a resulting increase in unregulated division and growth of the endothelial cells.<sup>79,80</sup> Furthermore, overexpression of VEGF is associated with poor prognostic factors in endometrial cancer such as deep myometrial invasion and lymph node metastasis.<sup>81,82</sup>

*Targeting VEGF and related molecules.* Despite the numerous potential targets for inhibition of angiogenesis shown (**Table 2**), the most significant developments of clinical agents in this field are those that target the VEGF ligands and receptors. Bevacizumab, a monoclonal antibody targeting VEGF-A, has been studied in a Phase II trial of recurrent endometrial cancer. This agent had favorable single agent activity demonstrating 13.5% response durable for a median of 6 mo and a median overall survival of 10.5 mo.<sup>83</sup> Multiple trials are ongoing of bevacizumab as a single agent or in combination in uterine cancer, including a trial combining bevacizumab with cisplatin for chemosensitization (NCT01005329).

 Table 2. Molecules of importance in angiogenesis

Stimulatory	Inhibitory	
VEGF/VEGFR	Angiostatin	
FGF/FGFR	Endostatin	
PDGF/PDGFR	Interferon $\alpha$	
PLGF	TP53	
Ang1/Ang2	Thrombospondin	
TNF- $\alpha$ , TGF- $\beta$	Tumstatin	
Integrins		
VE Cadherin/CD31		
Ephrins		
HIF-1a		
IL-6, IL-8		
Pleiotropin		

Abbreviations: VEGF, vascular endothelial growth factor; VEGFR, vascular endothelial growth factor receptor; FGF, fibroblast growth factor; FGFR, fibroblast growth factor receptor 2; PDGF, platelet-derived growth factor; PDGFR, platelet-derived growth factor receptor; PLGF, placental growth factor; Ang, angiogenin; TGF $\alpha$ , transforming growth factor  $\alpha$ ; TGF $\beta$ , transforming growth factor  $\beta$ ; HIF-1 $\alpha$ , hypoxia-inducible factor 1 $\alpha$ ; IL, interleukin

VEGF-Trap is a decoy receptor for all VEGF isoforms that demonstrates high affinity VEGF binding and prevention of VEGF pathway activation. This agent is manufactured utilizing the ligand-binding domains from two VEGF receptors with a constant region of IgG1.<sup>84</sup> There is a Phase II trial of VEGF-Trap in recurrent endometrial cancer currently accruing through the GOG. This trial incorporates a number of translational endpoints to predict disease response which will be discussed at the end of this section (NCT004682826).

Small molecule inhibitors targeting one or more components of the VEGF pathway are of great interest in the treatment of recurrent or persistent endometrial cancer. Sunitinib targets VEGF receptor (VEGFR), platelet-derived growth factor receptor (PDGFR), epidermal growth factor (EGF), and the stem cell factor (KIT) receptor.<sup>85</sup> This agent is under study as a single agent in endometrial cancer.

Targeting fibroblast growth factors (FGF). FGFs are involved with angiogenesis as well as other mechanisms important to tumorigenesis.<sup>86</sup> The discovery of activating mutations in the gene that encodes FGFR-2 in endometrial cancers makes this a rational target. One factor that may dampen enthusiasm for targeting FGFR-2 is that mutations only occur in approximately 16% of endometrial cancers.<sup>11,87,88</sup> It is not known if endometrial cancer metastases/recurrences have a greater percentage of these mutations. There are several agents which target FGFR-2 which are under development, although only two are being explored in endometrial cancer. Cedirinib, a small molecule inhibitor of VEGFR-2 and VEGFR-3, PDGFR, as well as FGFR-2,89 has been active in multiple Phase II trials and is to undergo study in endometrial cancer by the GOG. Brivanib is a dual inhibitor of VEGFR2 and FGFR<sup>90</sup> that is also undergoing phase II evaluation for endometrial cancer in the GOG (NCT00888173). In addition, FP-1039 is a FGF1R:Fc soluble fusion protein that

binds multiple FGF ligands.<sup>91</sup> This agent is under evaluation in a Phase II pilot study in patients with FGFR2 mutated endometrial cancer (NCT01244438).

Unique anti-angiogenic agents. Thalidomide is an antiangiogenic agent acting through a variety of different mechanisms including inhibition of FGF-2. This agent demonstrated only a 25% clinical benefit rate with two PR and four SD among patients with recurrent endometrial cancer treated with less than two prior chemotherapeutic regimens. There was no correlation found between serum VEGF levels and response to thalidomide.<sup>92</sup>

Thus far, measurements of biomarkers to predict response to anti-angiogenic agents have been unsuccessful. Tissue and/or serum expression of relevant ligands and receptors is a rational option to predict response, but the sheer number of potential markers to monitor is overwhelming (**Table 2**). Given the importance of VEGF, this ligand has been the most commonly studied in attempting to predict response. In most studies, VEGF expression in tissue or serum has not correlated with response to anti-angiogenic agents.<sup>92-95</sup> The GOG performed an analysis of biomarkers to predict response to single agent bevacizumab in ovarian cancer and found a correlation between high CD31/ microvessel density (MVD) count and lack of response.<sup>96</sup> This has not been confirmed in other tumor types.<sup>94,97</sup> Assessing VEGF polymorphisms holds promise for appropriately targeting therapy, but published studies are limited by small numbers of patients.<sup>98</sup>

Poly (ADP-ribose) polymerase (PARP) pathway. The cell has a variety of mechanisms to repair DNA damage including direct repair, base excision, mismatch repair, and nucleotide excision repair. Resistance to cytotoxic chemotherapy is typically found in cancer cells with a high activity of DNA damage repair pathways. PARP participates in single-strand DNA damage repair through the base excision pathway.<sup>99,100</sup> PARP inhibition results in cellular inability to repair DNA damage caused by single strand breaks. Further, when single strand breaks remain unrepaired, this leads to double strand breaks after the process of replication. Homologous recombination is the mechanism involved in the repair of these double strand breaks. In patients with defects in the homologous recombination pathway, PARP inhibition leaves the cells unable to repair DNA effectively, leading to genetic instability and cell death. Thus, PARP inhibition has been developed for use in anti-cancer therapy, especially in patients with impaired DNA repair mechanisms, such as breast and ovarian cancer patients with BRCA mutations.<sup>100-102</sup> This concept, known as synthetic lethality, is currently being employed in a number of cancer types. Interestingly, PTEN loss leads to dysfunctional homologous recombination DNA repair, creating cellular susceptibility to PARP inhibition in vitro and in vivo in a variety of cell lines, including endometrial cancer.<sup>100,103-105</sup> Fifteen to twenty percent of endometrial cancers have high levels of microsatellite instability due to methylation and subsequent gene silencing of the promoter for MLH1, a gene that encodes a protein involved in DNA mismatch repair. The influence, if any, of such microsatellite instability on targeted therapy of endometrial cancer is currently unknown.

There are a variety of PARP inhibitors in clinical development, including olaparib, veliparib, and iniparib. These agents have been

primarily explored in malignancies that harbor *BRCA* mutations such as ovarian and breast cancer.<sup>101</sup> Given the data presented, we anticipate that PARP inhibitors will be employed in a variety of cancers that harbor homologous recombination defects, including endometrial cancer. Currently, iniparib is being employed to treat recurrent carcinosarcoma of the uterus in combination with carboplatin and paclitaxel (NCT00687687). Sensitivity to PARP inhibitors can be predicted by the presence of mutations that confer impaired DNA damage repair.<sup>106</sup> A screen for homologous recombination defects would be another potential method to capture PARP inhibition sensitive patients.

EGFR family. The EGFR family has garnered a great deal of interest as a cancer therapeutic target given that it activates important downstream pathways such as PI3K/AKT and ras/raf/ MEK.<sup>107-109</sup> There are 4 EGFR-specific cell-surface receptors that make up this group, EGFR (HER-1, ERBB1), HER-2 (ERBB2), HER-3 (ERBB3), and HER-4 (ERBB4). These receptors are present on endothelial cells within the tumor microenvironment, and activation increases angiogenesis through stimulation of endothelial cell proliferation.<sup>110</sup> Finally, activation of EGFR is known to stimulate cancer cell invasion while suppressing apoptosis.<sup>107,109</sup> EGFR is overexpressed in a large proportion of endometrial cancer, regardless of histotype.<sup>111</sup> Unfortunately, studies of drugs targeting EGFR have demonstrated only modest success in the treatment of endometrial cancer. This may relate to fact that recent data in several solid tumors have indicated that EGFR overexpression is not sufficient to predict response to therapy. It appears that response is more closely correlated with the presence of EGFR mutation.<sup>112</sup> Current data indicate that the rate of EGFR mutation in the serous and carcinosarcoma subtypes is quite low, although further study is indicated to determine the prevalence of this mutation in the endometrioid subtype.113,114

Another modifier of response to EGFR directed therapy is *KRAS* mutation. The presence of *KRAS* mutations appears to negatively impact response in colorectal cancer patients.<sup>115,116</sup> The data were so strong that an American Society of Clinical Oncology Provisional Clinical Opinion was published stating "all metastatic colorectal carcinoma patients who are candidates for anti-EGFR antibody therapy should have their tumor tested for *KRAS* mutations."<sup>117</sup> In non-small cell lung cancer, *EGFR* mutations appear to correlate with response to gefitinib and erlotinib,<sup>118,119</sup> while *KRAS* mutations are associated with lack of response.<sup>120</sup> Clearly, close examination of this pathway will be necessary to determine the appropriate cohort of patients to treat with these targeted agents.

*Targeting EGFR.* There are a variety of small molecule inhibitors of EGFR that have been explored in endometrial cancer. Erlotinib blocks the auto-phosphorylation of the tyrosine kinase portion of EGFR. In a group of recurrent endometrial cancers without prior chemotherapy, erlotinib had a 12.5% PR and 47% SD rate. EGFR expression was analyzed in all patients with 19/30 patients were positive. Of those patients with EGFR expression, three had PR and seven had SD. EGFR mutational status was also assessed among all responders with no mutations detected.<sup>121</sup> Gefitinib, a small molecule inhibitor that binds to the ATPbinding site of EGFR, was evaluated in recurrent or persistent endometrial cancer after 1–2 prior regimens. Unfortunately, response was quite low with only 1/29 patients demonstrating CR and 7/29 with SD. EGFR mutations, as well as expression of EGFR, phospho-EGFR, phospho-ERK were examined in tumors, but did not correlate with clinical response.<sup>122</sup>

Monoclonal antibodies which bind the external domain of EGFR, including cetuximab and panitumumab, have also had success in the treatment of advanced solid tumors.<sup>123</sup> Cetuximab, a chimerized monoclonal antibody to EGFR, was employed to treat recurrent endometrial cancer patients previously treated with chemotherapy (NCT00392769). This trial recently completed accrual though the GOG and results are anticipated soon.

Targeting HER-2. Poor prognosis in endometrial cancer has been associated with elevated immunohistochemical expression of HER-2, especially among the papillary serous subtype.<sup>124-126</sup> Trastuzumab, a humanized monoclonal antibody to HER-2, was evaluated in a Phase II trial for advanced and recurrent endometrial cancer with overexpression or amplification of HER-2. Unfortunately, there were no clinical responses, and only 12 of 30 patients had SD. This trial closed early due to poor accrual.<sup>127</sup> A novel dual tyrosine kinase inhibitor of EGFR and HER-2, lapatinib,<sup>128</sup> was also studied in this disease cohort (NCT00096447). Although no data have been published from this GOG trial, second stage accrual was not opened, likely indicating low clinical activity. A more focused trial in advanced or recurrent uterine serous cancers is planned, comparing treatment with paclitaxel/carboplatin with or without herceptin only in patients with tumor expression of HER-2/neu by immunohistochemistry and HER-2/neu gene amplification documented by FISH (NCT01367002).

E-cadherin/β-catenin pathway. The E-cadherin/catenin unit is responsible for the maintenance of normal cell architecture and cell differentiation.<sup>129,130</sup> β-catenin and E-cadherin also are part of the Wnt signaling pathway, which has been implicated in tumorigenesis in numerous cancer types.<sup>131-134</sup> Loss of E-cadherin and change in localization of  $\beta$ -catenin to the nucleus is associated with the epithelial-to-mesenchymal transition (EMT). EMT allows cells to obtain mesenchymal properties corresponding to increased motility, invasion, and metastasis.<sup>135</sup> Interestingly, EMT has also been associated with chemoresistance to oxaliplatin in colon cancer<sup>135</sup> and resistance to EGFR-targeted therapy in lung, pancreatic, and colorectal cancer cell lines.<sup>136,137</sup> Accumulation of  $\beta$ -catenin in the nucleus secondary to mutations in *CTNNB1*, the gene which codes for  $\beta$ -catenin, and loss of E-cadherin expression is not uncommon in endometrial cancer.<sup>129,130,133,134,138</sup> No active agents target this pathway currently, but such agents might be potentially useful given this pathway's regulation of key processes in determining a cancer's clinical aggressiveness.

**Combination agents.** The development of agents that target a variety of different pathways holds great promise in the treatment of endometrial cancer. Sorafenib is a multi-kinase inhibitor that blocks VEGFR, PDGFR, and KIT as well as raf, a key component of the ras/raf/MEK pathway. This agent demonstrated a 5% PR and 50% SD rate in a Phase II trial of recurrent endometrial

cancer.<sup>139</sup> Dasatinib inhibits a large array of targets including the SRC family, BCR-ABL, C-KIT and PDGF. Furthermore, dasatinib is known to target the EphA2 receptor, a member of the Ephrin family that is involved in cell proliferation, survival, migration, and angiogenesis.<sup>140</sup> EphA2 was found to be over-expressed in a high proportion of endometrioid tumors and correlated with advanced disease and poor prognosis.<sup>141</sup> Due to this promising molecular data, development of dasatinib in clinical trials for endometrial cancer is ongoing.

## Unmet Needs and Challenges for Targeted Therapy in Endometrial Cancer

There are several cautionary tales in the literature that demonstrate the importance of appropriate patient selection in trials evaluating biologic agents. Given the importance of *EGFR* mutations in lung cancer, gefitinib was evaluated in a Phase III study which demonstrated improvement in symptoms and a 10% response rate.<sup>142</sup> Interestingly, mutations were found in the tyrosine kinase domain of 89% of the responders.<sup>143</sup> Unfortunately, in a subsequent Phase III study in an unselected patient population, gefitinib showed no benefit compared with placebo.<sup>144</sup> This resulted in gefitinib's removal from the United States market. However, the recent IPASS study utilized a select group of patients based on likelihood of response to gefitinib and found a favorable profile in comparison to standard paclitaxel and carboplatin chemotherapy.<sup>145</sup>

The use of trastuzamab in breast cancer provides example of the potential for success when the appropriate drug is utilized in the appropriate patient. In patients with *HER-2/neu* amplification in the breast carcinoma, the impact of this agent on response and overall survival has been clearly documented.<sup>146,147</sup> This is a simple trial design that relies on the demonstration of a druggable target prior to the institution of the given targeted therapy.

As this field has continued to grow, the different designs for biomarker-driven clinical trials have expanded in turn. The multiple options for clinical trials integrating biomarkers are beyond the scope of this paper but may be found in the excellent review by Buyse and colleagues.<sup>148</sup> One particularly impressive example of novel trial design is seen in the recent Biomarker-Integrated Approaches of Targeted Therapy for Lung Cancer Elimination (BATTLE) trial in lung cancer. The Bayesian adaptive design utilized allowed biomarker-predicted responses in the trial to inform later treatment group assignment.<sup>149</sup>

Low numbers of patients. Compared with cancers such as lung, colon, and breast, endometrial cancer has a relatively low number of patients to participate in clinical trials. This presents several issues. The most obvious is the ability to successfully test the expanding number of targeted agents that are currently available and relevant in this disease. The use of multi-institutional and cooperative group trials could potentially expedite trial accrual and timely therapy evaluation. However, as the use of biomarkerdriven trials increases, the ability to ensure standard performance of the molecular testing across different institutions becomes a relevant concern. Currently in the United States, biomarkers intended to direct treatment must be validated in a clinical trial setting and performed in a laboratory which meets the recommendations of the Clinical Laboratory Improvement Amendments (CLIA) of 1988.<sup>150</sup> Such CLIA-certified clinical laboratories to perform these molecular diagnostics tests are becoming more prevalent in larger academic centers, but their availability in smaller centers is certainly not yet universal. This could potentially be addressed by encouraging patients with gynecologic cancer to pursue treatment at NCI-designated comprehensive cancer centers around the country.

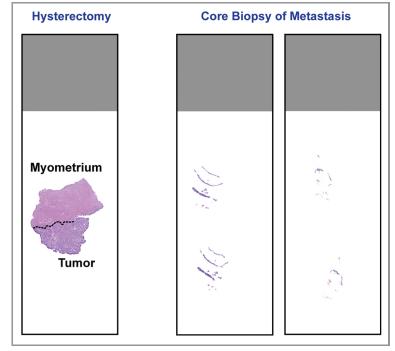
The Phase 0 trial design has the promise to allow for the rapid evaluation of a large number of targeted agents among patients with early endometrial cancer that may then be applied to patients with advanced and/or recurrent disease. This design involves a small number of patients treated with a given agent for a short period prior to surgery, allowing for determination of pharma-codynamic effects in tissue, blood, and other tissues. An agent that appears active in this setting would warrant further study in larger clinical trials.<sup>151</sup> Currently, the MD Anderson Cancer Center is actively accruing to a Phase 0 trial of metformin given 7–14 d prior to surgical resection of primary endometrial cancer (NCT01205672).

Lack of tissue. The lack of available tumor tissue, specifically from the metastasis or recurrence, is a clear issue for the use of biomarkers to direct targeted therapy. This issue is certainly not unique to endometrial cancer. Furthermore, this represents a clinical problem that was never manifest in traditional chemotherapy/radiotherapy trials. It is common practice to perform molecular characterization of a tumor using the available

primary tumor from the hysterectomy surgical specimen. However, it is known that recurrent endometrial carcinomas are often quite different at the molecular level, especially when prior treatment with chemotherapy or radiation is considered.<sup>152</sup> The use of biopsies from sites of metastasis/recurrence at the time of study enrollment must be considered to maximize success in biomarker validation among patients with endometrial cancer.<sup>5,153</sup> A relevant issue to biomarker-guided trial design arises with the procurement of tissue utilizing fine needle aspiration or core biopsy in the recurrent/ progressive setting, which may yield only a small amount of tissue on which to perform molecular testing compared with the primary hysterectomy specimen (Fig. 2).

Role of tumor histology. The role of histology in response to targeted agents has not been completely elucidated. In some cases, tumors with apparently similar histologies may have drastically different molecular features, leading to discordant response to therapy. In non-small cell lung cancer, there are clear clinical and pathologic features which are associated with response to single-agent tyrosine kinase inhibitor therapy. Patient characteristics such as female gender, Asian ethnicity, non-smoker, and adenocarcinoma histology were associated with response to gefitinib therapy. Not surprisingly, these clinical features were soon found to be associated with the presence of an EGFR mutation, thus explaining the higher response levels.<sup>115,143,154</sup> It is well-established that endometrioid-type endometrial carcinomas tend to have higher expression of hormone receptors estrogen receptor (ER) and progesterone receptor (PR). In endometrial cancer, hormonal response rates range from 11–56%. This response appears higher in those patients with expression of ER or PR.<sup>152,155</sup> Interestingly, our experience in endometrial and ovarian cancer indicates that the expression of a hormone receptor by immunohistochemistry does not necessarily indicate response to hormonal agents, especially in non-endometrioid type tumors.<sup>156</sup> Thus, the importance of histologic type of endometrial carcinoma when considering targeted therapy should not be completely overlooked.

Tissue assays. Most molecular assays of tumors have traditionally been developed using frozen cancer tissues, especially from primary tumors from which ample tumor is typically available. However, the use of frozen tissues is not feasible clinically. The adaptation of select molecular assays for use in formalin-fixed, paraffin-embedded tissues can be done, especially if these assays involve detection of point mutations in single exons or a small number of exons. Such assays include mutational analysis of BRAF, KRAS and NRAS. However, for many genes, such hotspot mutations do not exist, making sequencing the entire gene necessary. Sequencing of large genes, such as PTEN, may not be practical using formalin-fixed, paraffin-embedded tissues. In the case of PTEN, the commercially available immunohistochemical antibodies can be used as a surrogate method to determine PTEN functional loss in an endometrial cancer. However, for many genes, such clinically useful antibodies



**Figure 2.** Comparison between tissue obtained from a primary hysterectomy specimen and tissue obtained from core needle biopsy at the time of recurrence. Recurrence tissues are much smaller, have less tumor cells, and commonly consist of tumor cells admixed with stroma. This latter feature may necessitate the use of laser capture microdissection prior to PCR-based molecular diagnostics testing.

do not exist. Another challenge for assay development is the assessment of phosphorylated proteins, including pAKT and pERK, in formalin-fixed, paraffin-embedded endometrial cancers. Many such antibodies directed against phosphorylated proteins are useful in protein gel blots of frozen tissues, but do not work reliably in formalin-fixed, paraffin-embedded tissues. Finally, if recurrences or metastases will be examined, molecular tests need to be adapted to the use of very small amounts of tissue, in contrast to the abundance of tissue available from the primary surgical specimen (Fig. 2).

#### Conclusions

The use of tailored combinations of surgery, radiation, and chemotherapy initially led to marked improvements in

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further benefit without significant toxicity. The use of targeted therapies appears to hold the promise of achieving greater levels of response and survival among women with advanced or recurrent endometrial cancer. However, rational trial design and biomarker-directed eligibility will be essential to ensure the success of these agents in the appropriate population.

survival in endometrial cancer. More recently, however, the

improvements in disease-specific mortality have reached a

plateau. Augmentation of current treatment regimens with

additional cytotoxic chemotherapy seems unlikely to provide

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