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Clinical characteristics and outcomes of *BRCA*-associated ovarian cancer (OC): genotype and survival

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Abstract

Background—Prior studies have suggested that BRCA-related epithelial ovarian cancer (EOC) conveys improved survival compared to sporadic EOC, but few studies have studied differences between BRCA genotypes. We compared characteristics and outcome by genotype in BRCA-associated EOC.

Methods—BRCA-associated EOC patients, between 01/30/1981 and 12/30/2008, were retrospectively identified through IRB-approved registry studies. Clinical characteristics, including event-free (EFS) and overall survival (OS), for BRCA1 vs. BRCA2 were examined.

Results—197 cases were identified (148 BRCA1; 49 BRCA2); median follow-up was 63 months. BRCA2 patients were older (55.4 vs. 51.1 years; p<0.01) and had fewer poorly-differentiated tumors (67% vs. 82%; p<0.05). No difference in EFS was observed. OS at 5-years was 75% in BRCA2 vs. 61% in BRCA1 patients; this was not statistically significant. A non-significant trend towards improved OS was observed in BRCA2 patients with advanced-stage disease (HR = 0.59, 95% CI 0.32–1.08).

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Details of Ethics Approval:

The study was approved by the Investigational Review Board at all four participating cancer centers.

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Conclusions—Age and grade differed significantly between BRCA1 and BRCA2 carriers in our study population. While no overall differences in EFS or OS were observed, there was a trend towards improved OS in BRCA2 carriers with advanced-stage disease. This may reflect important differences between BRCA genotypes and should be validated in larger studies.

Keywords

BRCA1; BRCA2; Ovarian carcinoma; Genetics; Cancer

Introduction

Germline mutations in either BRCA1 or BRCA2 occur in approximately 10% of unselected women with epithelial ovarian cancer (EOC) (1). In women with an Ashkenazi Jewish background, the rate of germline mutations in BRCA1 or BRCA2, typically in one of the three "founder" mutations (185delAG and 5382insC in BRCA1; 6174delT in BRCA2), may be as high as 41% (2). The lifetime risk of developing EOC in women who carry a germline BRCA mutation can be up to 66% for BRCA1 and 27% for BRCA2 (3), with a meta-analysis of 22 studies with over 8,000 probands estimating the penetrance for ovarian cancer to be approximately 39% for BRCA1 and 11% for BRCA2 (4).

A number of studies have now investigated whether the presence of a BRCA1 or BRCA2 germline mutation affects the prognosis or survival rate of women with EOC. Although some studies have observed no significant difference (5, 6), several studies have now demonstrated that BRCA1 or BRCA2 germline mutation confers an improved prognosis in terms of overall survival compared to sporadic disease (7–15). As both BRCA1 and BRCA2 are known to play roles in the repair of DNA by homologous recombination, one possibility is that the improved survival observed in BRCA-deficient EOC is secondary to increased sensitivity to platinum-based therapy, since homologous recombination is critical to the repair of DNA-damage caused by the cross-linking effects of platinum. In support of this theory, in a retrospective case control study, Tan, et al., found higher rates of response to first-line platinum-based therapy and continued platinum sensitivity at the time of subsequent recurrences in BRCA mutation carriers compared to sporadic EOC patients (14).

Although BRCA1 and BRCA2 both play critical roles in the repair of double-strand DNA breaks through homologous recombination, they also have non-overlapping functions. Differences in the development and biology of cancers occurring in BRCA1 compared to BRCA2 germline mutation carriers have been well-documented. The overall penetrance of BRCA2-related cancers appears to be lower than that of BRCA1 (4), and BRCA2 carriers who develop EOC appear to do so at a later age (1). Sixty percent to 80% of BRCA1- associated breast cancers are triple-negative or basal-like, while 80% of BRCA2- associated breast cancers are estrogen and progesterone positive, luminal A tumors (16). No specific differences in histological subtypes have been characterized between BRCA1- or 2- associated EOC (5, 15, 17, 18). However, the clinical differences in the presentation of cancers between BRCA1 and BRCA2 carriers raises the possibility that additional differences may be present in terms of natural history and overall prognosis.

Few studies have addressed potential differences in outcomes between BRCA1- and BRCA2-associated EOC. Data from a limited number of studies that compare BRCA1- and BRCA2-associated EOC separately with sporadic EOC suggest a trend towards improved outcome in BRCA2 mutation carriers (11, 13, 15, 18). In this study, we therefore asked whether clinical characteristics or outcomes, including event-free survival and overall survival, differed between BRCA1- and BRCA2-associated EOC in a collection of BRCA mutation carriers.

Patients and Methods

Patient Identification

One hundred and ninety-seven patients with BRCA-associated invasive epithelial ovarian cancer (EOC), diagnosed between 01/30/1981 and 12/30/2008, were identified through registries of BRCA mutation carriers (City of Hope, Duarte, CA; Dana-Farber Cancer Institute, Boston, MA/Beth Israel Deaconess Medical Center, Boston, MA; and University of California Irvine, Irvine, CA/the University of Utah, Salt Lake City, UT) under IRB-approved protocols, and informed consent was obtained per institutional IRB guidelines. The study was restricted to women with a demonstrated deleterious BRCA1 or BRCA2 mutation on standard sequencing. Most women in the registries were identified by genetic testing following a diagnosis of ovarian or breast cancer and subsequent referral for clinical cancer genetics consultation. A limited number of registry participants were initially identified after a prior family member had been identified as a known mutation carrier.

Clinical Characteristics

We abstracted clinical data and pathological information, including age at diagnosis, BRCA genotype, date of primary surgery, surgical stage, grade, histologic cell type, and presumed site of primary tumor, from chart review of patient records. Stage was confirmed by review of operative and pathology reports. Treatment history, event-free survival (EFS) following initial chemotherapy, and clinical status at last follow-up were also obtained from clinic and hospital records. Overall survival data (OS) was obtained from the National Death Index, medical records, or family member report. If the report was from a family member, a search for an obituary or the National Death Index was utilized to confirm the date of death.

Statistical Analysis

The primary objectives of the study were to retrospectively evaluate the clinical features of ovarian cancer in BRCA1 and BRCA2 mutation carriers and to compare these features as well as EFS and OS between the two groups. We evaluated for statistical differences in clinical characteristics between the two groups using exact tests for categorical classifications (site, histology, differentiation, stage). Differences in age were evaluated by both Wilcoxon test (for the median) and t-test (for the mean). EFS was defined as the time between ovarian cancer diagnosis and onset of first recurrence, death, or another significant event. Differences in EFS and OS for BRCA1 vs. BRCA2 mutation were examined for statistical significance using the log-rank test in the Kaplan-Meier analysis. Multivariable survival analysis was conducted using Cox regression. Since a primary goal of the study was to evaluate survival differences between BRCA1 and BRCA2 mutation carriers, this variable was included in all of the models. Additional variables were included in the model if they were statistically significant (forward stepwise regression), or already known to be independent predictors of outcomes (age, stage, and grade). As a result, the final multivariable analysis only included these latter factors. Significance was evaluated by the Wald-test. Center effects were evaluated for their influence on the results of the BRCA1 vs. BRCA2 comparison by including them as stratification factors in the multivariable analysis, and evaluated for their influence on survival by including them as independent variables in the model. Center effects were negligible in both cases (data not shown), and the results presented are from the unstratified analysis.

Results

Patient and Tumor Characteristics

We identified a total of 197 cases of invasive EOC in this retrospective series, which included 148 patients carrying a pathogenic mutation in BRCA1 and 49 patients with a

pathogenic mutation in BRCA2. Clinical characteristics of patients in these two groups are reported in Table 1. Histology was unclassified or unknown in 9 of 197 cases (<5%); 13 of 197 cases (<7%) had an unknown primary site; and 1 of 197 (<1%) had a missing stage. The median age at presentation was significantly higher for the subgroup of patients with a BRCA2 mutation (55.4 years for BRCA2 vs. 51.1 for BRCA1, p<0.01). No significant difference was noted in the proportion of patients with advanced-stage EOC, defined as stage 3 or 4 (75% for BRCA1 vs. 67.3% for BRCA2), but fewer patients with poorly differentiated or high-grade tumors were identified in women with a BRCA2 mutation (67% vs. 82% for BRCA2 vs. BRCA1, p<0.05).

Patients in both groups received similar ovarian cancer treatment, typically including primary cytoreductive surgery followed by chemotherapy. Most patients received post-operative combination chemotherapy with a platinum/paclitaxel regimen (72.5%). Other observed regimens of chemotherapy included platinum/cyclophosphamide (10.6%), carboplatin/docetaxel (0.5%), carboplatin/liposomal doxorubicin (0.5%), or unknown (15.9%).

Event-Free and Overall Survival

The median follow-up of live patients from diagnosis of the primary tumors was 63 months (range <1 month to 309 months); only 10 patients of the 197 patients were followed for less than 1 year. No significant difference in EFS was observed between the study groups (Figure 1A); this was also true when only patients with advanced stage at diagnosis (n=144) were included in the analysis (Figure 1B). Multivariable analysis with Cox regression, adjusting for age and poor differentiation or high grade, also did not reveal any significant difference in the hazard ratio for an event between the two groups in either the whole population or patients with advanced-stage tumors only (Table 2).

OS at 5 years in the 49 BRCA2 mutation carriers was 75% (95% CI 63–90) vs. 61% (95% CI 53–71) for the 148 BRCA1 carriers (Figure 2A). When only patients with advanced-stage disease at diagnosis were included in the analysis, there was a trend towards improved outcome in BRCA2-associated cancers (Figure 2B, p = 0.08).

Discussion

In this study, we sought to examine whether characteristics or outcomes differed between patients with EOC who harbor BRCA1 or BRCA2 germline mutations. Consistent with prior observations, we observed that the median age at diagnosis was younger for BRCA1 carriers than for BRCA2 carriers. However, few trials have further characterized potential differences between EOC occurring in BRCA and BRCA2 mutation carriers. Although no significant differences in stage or histology were observed, we observed that a higher proportion of BRCA1 mutation carriers in our study had poorly-differentiated or high-grade tumors, as compared with BRCA2 carriers. We observed a non-significant trend towards an improved OS for BRCA2 patients with advanced-stage disease.

Only one prior study has sought to directly compare BRCA1- and BRCA2-associated EOC (15). In this study, which included 99 BRCA1 carriers, 13 BRCA2 carriers, and 222 sporadic EOC patients, Vencken, et al., observed no significant differences between the two groups in clinical characteristics, including grade, stage, and histology. Of note, the study had significantly fewer patients in the BRCA2 carrier group (N=13), which may have affected the power to observe differences between these groups. Our findings regarding OS outcomes parallel those observed in the Vencken study, where a non-significant trend towards improved OS was observed in BRCA2 patients compared to BRCA1 patients with EOC. Additionally, the overall five-year survival rates of 60% and 85% in BRCA1 and

BRCA2 patients, respectively, is consistent with our results of 61% in the BRCA1 and 75% in the BRCA2 populations. Interestingly, Vencken, et al., observed a trend for longer progression-free survival in the BRCA2 group in comparison to BRCA1 patients (5.6 vs. 2.1 years, respectively, p = 0.05), with 5-year progression-free survival remaining high with BRCA2-associated tumors (54%) as compared to 28% in BRCA1-linked cancers. In contrast, in our study, we did not note a significant difference in EFS between these populations, but this could be related to measurement error. As noted above, these differences may be, in part, secondary to the small number of BRCA2 patients in the Vencken study, where single-patient outcomes may therefore significantly skew the observed results.

Certain limitations are present in our study. Although data across four separate cancer centers were included, the overall number of patients incorporated in the analysis remains limited, given the limited eligibility criteria. By concentrating on clinical outcomes in the advanced-stage patient group, where virtually all patients received standard adjuvant chemotherapy, we sought to eliminate variability and focus on genotype and chemosensitivity. Within this population we observed a trend towards improved outcome in OS for BRCA2 patients as compared to BRCA1. The inclusion of patients treated across several decades introduces the issues of changes in treatments over this time period, including the incorporation of taxanes as a standard of care therapy in the late 1990s and the introduction of intraperitoneal chemotherapy as a standard adjuvant regimen in 2006. Additionally, we were unable to further explore whether specific chemotherapies or mutations contributed to the potential differences in survival. The retrospective nature of the study also introduces the possibility of survival bias, such that patients who had longer survivals were more likely to be screened and enrolled in BRCA mutation carrier registries, while patients with limited response to chemotherapy or shorter survival might be less likely to be captured in our registries. The high 5-year survival rates observed in our population support the likelihood that survival bias is present; however, it is not clear that it would differentially affect the BRCA1 and BRCA2 populations. Furthermore, we were also not able to evaluate potential sources of referral bias between BRCA1 and BRCA2 mutation carriers that might occur due to prevalence in the community or other unknown factors, and this is also a limitation of our study.

The higher proportion of high-grade cancers and the trend towards an improved OS outcome in BRCA2 mutation carriers that we observed in our study population may suggest that BRCA1 and BRCA2-deficient EOC do represent different clinical entities. Most prior studies encompass only small numbers of patients or are limited to the three Ashkenazi founder mutations; a review of the available literature demonstrates that several trials also observed a trend towards improved outcome in the BRCA2 group (Table 3).

BRCA1 and BRCA2 share a number of commonalities, including their roles as critical players in homologous recombination, their linkage to certain cancers, including breast and ovarian cancers, and more recent findings that PARP inhibitors, which can impair single-strand break repair by inhibition of the activity of PARP-1, have synthetic lethality in BRCA1- or BRCA2-deficient tumors (19–22). The observation of improved outcomes and increased platinum and PARP-inhibitor sensitivity in both BRCA1 and BRCA2 carriers with EOC as compared to sporadic EOC has further emphasized these commonalities. However, additional differences between the molecular functions of BRCA1 and BRCA2 may also be clinically significant.

BRCA1 is a critical player in a number of cellular functions, including DNA repair, both through homologous recombination and nucleotide-excision repair, cell-cycle-checkpoint control, protein ubiquitination, and chromatin remodeling (23–25). In contrast, while the

functions of BRCA2 remain incompletely explored, its dominant role appears to be in homologous recombination and recruitment of RAD51 to double-strand breaks (23, 24). These separate functions could potentially underlie clinical differences between BRCA1 and BRCA2 mutation carriers with EOC. Of note, our study did not demonstrate a difference in EFS after initial treatment between these two groups, including in patients with advancedstage disease, suggesting that differences in response between BRCA1 and BRCA2 mutation carriers may not manifest until later phases of the disease. As the standard of care for adjuvant therapy in patients with advanced-stage disease includes a platinum-based chemotherapy, this finding would be consistent with results from previously-reported studies that initial platinum-sensitivity is high in both BRCA1- and BRCA2-associated EOC (14, 15).

A finding that BRCA1- and BRCA2-deficient EOC are not necessarily the same clinical entity could also affect other approaches to care for these patients. Recent discoveries have prompted significant excitement regarding the role of PARP-inhibitors in the treatment of BRCA-associated EOC, with multiple studies demonstrating single-agent activity of these agents, even in patients with heavily-pre-treated disease (19, 20, 22, 26, 27). However, as patients have remained on these agents for prolonged periods of time, mechanisms of resistance have also emerged. Recent studies have described the occurrence of a secondary mutation that restores the reading frame and production of a functional BRCA protein in both BRCA1- and BRCA2-deficient cells as a mechanism of resistance to both platinum and PARP-inhibitors (28-31). While these secondary mutations may occur in both BRCA1 and BRCA2 carriers, other putative mechanisms of platinum and PARP-inhibitor resistance may not be shared between these two groups. For example, recent reports have suggested that an alternative route of platinum-inhibitor resistance in BRCA1-deficient cancers is mediated through loss of the DNA-damage repair protein 53BP1 (32, 33). Because 53BP1 is active in earlier chromatin remodeling events at the time of double-strand breaks, it has a more significant effect on BRCA1-dependent functions. Accordingly, similar effects of 53BP1 loss were not demonstrated in BRCA2-deficient cells (33). These findings also support the notion that BRCA1 and BRCA2 have additional functions outside of their shared roles in double-strand break DNA repair which may have implications for novel targeted therapies, both in terms of response and resistance.

Finally, differences in BRCA1- and BRCA2-deficient EOC might also have broader implications in the approach to sporadic EOC. A phenotype of "BRCAness," where dysfunction of BRCA1 or BRCA2 is observed in the absence of a germline BRCA mutation, recently has been discussed with regards to sporadic breast and ovarian cancers (34, 35). This dysfunction may be mediated in several different ways. For example, aberrant methylation of the CpG-rich regulatory regions of BRCA1 can result in silencing of gene expression and resultant loss of BRCA1 activity; such hypermethylation has been observed in 5 to 31% of sporadic EOC (34). A breast cancer cell line with dense BRCA1 CpG island hypermethylation and consequent loss of BRCA1 protein expression has similar sensitivity to PARP-inhibitors when compared to a BRCA-mutant cell line (36). Similarly, an inhibitor of BRCA2, EMSY, has been observed to be amplified in up to 17% of cases of sporadic EOC(37) and may, therefore, convey a phenotype similar to BRCA2 loss. Konstantinopoulos, et al., recently identified a gene expression profile of "BRCAness" that correlated with chemotherapy outcomes in 70 patients with sporadic EOC (38); and Gelmon, et al., presented a report of activity of the PARP-inhibitor olaparib in patients with sporadic EOC (27), findings which are consistent with theory that sporadic EOC may have defects in BRCA1 or BRCA2 function or other members of the homologous recombination pathway that can convey a BRCA-like phenotype. If, however, BRCA1 and BRCA2 deficiencies do result in differing clinical outcomes, sensitivities to chemotherapy, and mechanisms of

In our study, we observed that BRCA1 patients were more likely to have high-grade or poorly-differentiated EOC, and a trend towards improved OS was observed in BRCA2 patients with advanced-stage disease. As a number of potential confounding factors were present, the power of our study to detect a true difference in OS in these populations was limited, given the number of patients for whom data were available. Nonetheless, the finding that outcomes differ between BRCA1 and BRCA2 carriers with EOC could significantly inform the approach to developing therapies both in BRCA mutation carriers and in sporadic EOC. A recent larger study of outcomes in BRCA1, BRCA2, and sporadic EOC patients was presented at the American Association of Cancer Research (AACR) conference in 2011 and strongly suggests that the trend observed in our study is accurate, with a hazard ratio of 0.69 for survival in BRCA2 carriers compared to BRCA1 carriers after adjustment for age at diagnosis (p = 5×10^{-4}) (39). This is similar to the point estimate of the hazard ratio we observed, allowing for the limitations in power in our study given the smaller sample size. A post-hoc power analysis demonstrated that more than 3 times the number of events observed in our study (n=80 out of 197) would have been required to achieve an 80% power to observe this point estimate. Additional studies have been published exploring the potential difference in survival outcomes between BRCA1 and BRCA2 mutation carriers. Two papers have sought to analyze data from The Cancer Genome Atlas (TCGA) project with regards to BRCA alterations and effects on survival (40, 41). Of note, a limited number of cancers with BRCA1 and BRCA2 mutations are available in this data set, with a total of 37 BRCA1 mutations (27 germline, 10 somatic) and 29 BRCA2 mutations (20 germline, 9 somatic). Additionally, 34 patients with epigenetically silenced BRCA1 were identified. In both studies, patients with epigenetically-silenced BRCA1 did not have an improved survival compared to patients with no alterations in BRCA. The TCGA study demonstrated improved survival in BRCA1/2 mutated cancers compared to wild-type, but did not further compare the outcomes of BRCA1 vs. BRCA2 loss. In the Yang study, an OS benefit was described with BRCA2-mutated cancers as compared to BRCA1-mutated cancers. Interestingly, this study demonstrated no improvement in OS in BRCA1-mutated cancers as compared to wildtype, in contrast to the study from AACR. This may be due to the small number of patients or potentially the inclusion of somatic BRCA1 and BRCA2 mutations in the analysis. The results of these studies further support the need for additional investigation in future studies regarding specific chemosensitivities and/or mutations that underlie the observed survival differences between BRCA mutation carriers in order to provide better insight into the molecular mechanisms of BRCA-mediated features of EOC and guide clinical approaches to care.

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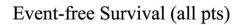
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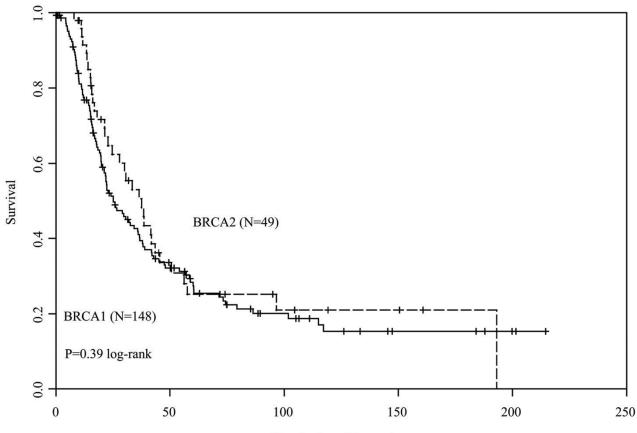
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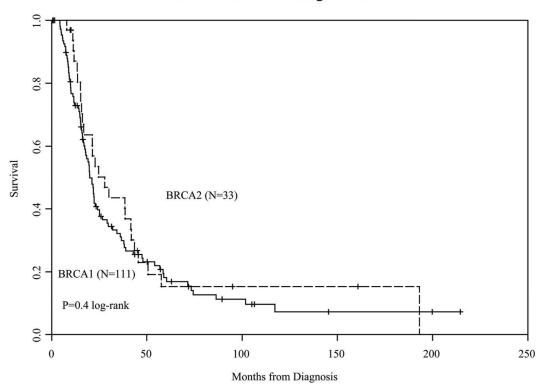
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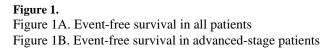


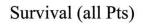


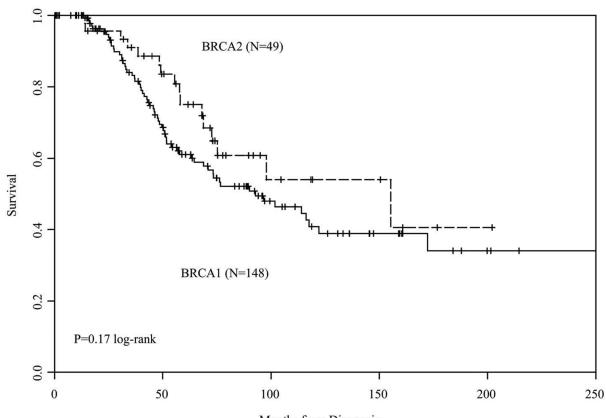
Months from Diagnosis

Event-free Survival: Stage 3 and 4



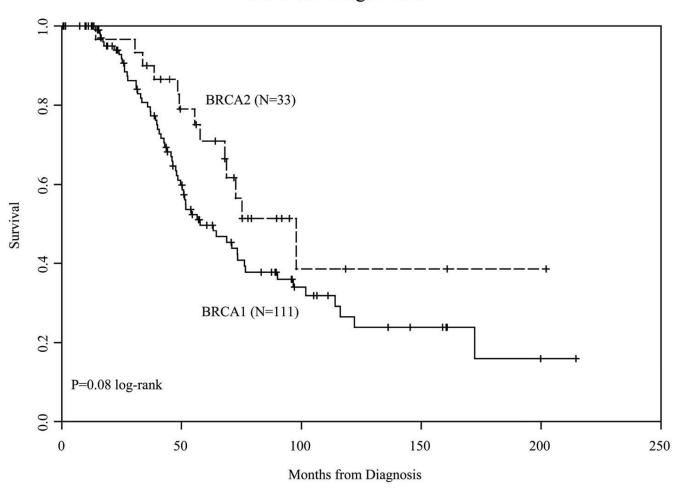






Months from Diagnosis





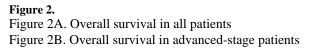


Table 1

Patient Characteristics

	BRCA1	BRCA2
Number of patients	148	49
Median age at diagnosis (p <0.01)	51.1 (30.5–81.5)	55.4 (40.3–79.1)
Poorly-differentiated or high-grade (p <0.05)	82% (122/148)	67% (33/49)
Stage		
1	20 (14%)	6 (12%)
2	16 (11%)	10 (20%)
3	96 (65%)	31 (63%)
4	15 (10%)	2 (4%)
Unknown	1	0
Histology		
Serous	108 (73%)	33 (67%)
Endometrioid	14 (9%)	6 (12%)
Adenocarcinoma	6 (4%)	2 (4%)
Clear cell	3 (2%)	2 (4%)
Mucinous	2 (1%)	0
Mixed serous/mucinous	1 (1%)	0
Unclassified/unknown	5 (3%)	4 (8%)
Transitional	1 (1%)	0
Undifferentiated	8 (5%)	2 (4%)
Primary Site *		
Ovary	123 (83%)	36 (73%)
Fallopian	4 (3%)	5 (10%)
Ovary/Fallopian	2 (1%)	2 (4%)
Primary peritoneal	11 (7%)	1 (2%)
Unknown	8 (5%)	5 (10%)

 * A statistically significant difference was observed in the profile of the sites of disease (exact test, p<0.05).

Table 2

Survival Analysis

	Event-free HR (95% CI)	Overall survival HR (95% CI)
BRCA2 vs. BRCA1 (all stages; N=197)	0.84 (0.57–1.24)	0.68 (0.40–1.19)
Adjusted for age	0.82 (0.55–1.23)	0.60 (0.34–1.08)
Adjusted for age and stage	0.92 (0.61–1.39)	0.64 (0.35–1.17)
Adjusted for age, stage, and grade	0.95 (0.62–1.45)	0.68 (0.37–1.25)
BRCA2 vs. BRCA1 (advanced stage; N=144)	0.83 (0.53–1.28)	0.59 (0.32–1.08)
Adjusted for age	0.88 (0.55–1.41)	0.53 (0.28–1.03); p = 0.06
Adjusted for age and stage *	0.97 (0.60–1.56)	0.57 (0.30–1.12)
Adjusted for age, stage, and grade $*$	1.03 (0.64–1.68)	0.62 (0.31–1.23)

* Stage adjusted for stage 3 vs 4

Table 3

Prior trials with BRCA1 and BRCA2 mutation carrier outcomes

Study		Ν	Survival results	p-value (reported)
Pharoah, 1999	BRCA1	127	Median survival: 20.6 mo 5-yr OS 21% (95% CI 14–28)	NS
	BRCA2	24	Median survival: 16.0 mo 5-yr OS 25% (95% CI 8–42)	
Boyd, 2000 [†]	BRCA1	67	5-yr OS ~45%	
	BRCA2	21	5-yr OS ~47%	
Ramus, 2001	BRCA1	15 14 185 delAG 1 5382insC	Median survival: 52 mo (95% CI 25–79)	NS
	BRCA2	12 all 6174delT	Median survival: 49 mo (95% CI 24–73)	
Ben David, 2002	BRCA1	171 152 185delAG 19 5382insC	Median survival: 185delAG: 52 mo (95% CI 41–63) 5382insC: >72 mo (95% CI NA)	NS
	BRCA2	58 all 6174delT	Median survival: 52 mo (95% CI 34-NA)	
Cass, 2003 [‡]	BRCA1	22	Disease-free interval: 40 mo	0.2
	BRCA2	12	Disease-free interval: 57 mo	
Pal, 2007	BRCA1	20	4-yr OS 37%	0.355
	BRCA2	12	4-yr OS 83%	
Chetrit, 2008≠	BRCA1	203 141 185delAG 18 5382insC	Median survival: 45.1 mo (95% CI 38.8–52.4)	0.2
	BRCA2	54 all 6174delT	Median survival: 52.5 mo (95% CI 38.7–82.6)	
Vencken, 2011	BRCA1	99	Median survival: 5.9 yrs 5-yr OS 60%	0.06 (for OS)
	BRCA2	13	Median survival: >10 yrs 5-yr OS 85%	

 † Overall survival is estimated graphically from survival curves

 \ddagger Survival results based on advanced-stage patients only

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