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# Transcriptional Control of Cardiac Fuel Metabolism and Mitochondrial Function

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#### **Abstract**

As a persistent pump, the mammalian heart demands a high-capacity mitochondrial system. Significant progress has been made in delineating the gene regulatory networks that control mitochondrial biogenesis and function in striated muscle. The PPARy coactivator-1 (PGC-1) coactivators serve as inducible boosters of downstream transcription factors that control the expression of genes involved in mitochondrial energy transduction, ATP synthesis, and biogenesis. PGC-1 gain-of-function and loss-of-function studies targeting two PGC-1 family members, PGC-1α and PGC-1β, have provided solid evidence that these factors are both necessary and sufficient for perinatal mitochondrial biogenesis and maintenance of high-capacity mitochondrial function in postnatal heart. In humans, during the development of heart failure owing to hypertension or obesity-related diabetes, the activity of the PGC-1 coactivators, and several downstream target transcription factors, is altered. Gene targeting studies in mice have demonstrated that loss of PGC-1α and PGC-1β in heart leads to heart failure. Interestingly, the pattern of dysregulation within the PGC-1 transcriptional regulatory circuit distinguishes the heart disease caused by hypertension from that caused by diabetes. This transcriptional regulatory cascade and downstream metabolic pathways should be considered as targets for novel etiologyspecific therapeutics aimed at the early stages of heart failure.

As a pump, the heart serves a critical role throughout the life of higher organisms. The energy demands of the heart are immense, demanding kilogram quantities of ATP daily in humans. The high energy requirements of the heart are met through a specialized, high-capacity mitochondrial system. During the past decade, significant advances have been made in delineating the gene regulatory circuitry controlling mitochondrial biogenesis and function in heart and other mitochondrial-rich organs. This work has defined many of the key transcriptional regulators of muscle mitochondrial fuel metabolism and energy transduction pathways, including the peroxisome proliferator-activated receptors (PPARs), estrogen-related receptors (ERRs), and nuclear respiratory factors 1 and 2 (NRF-1 and -2; Puigserver and Spiegelman 2003; Kelly and Scarpulla 2004). PPARs regulate the mitochondrial fatty acid oxidation (FAO) pathway (Finck and Kelly 2002). ERRs and NRFs regulate genes involved in FAO, the tricarboxylic acid cycle, electron transport chain, and oxidative phosphorylation (OXPHOS). In addition, NRF-1, a nuclearly encoded transcription factor, activates mitochondrial transcription factor A (Tfam), which is involved in mitochondrial DNA replication, a key component of the mitochondrial biogenic response.

A major breakthrough in the understanding of how these transcription factors are dynamically coregulated in a temporally orchestrated manner came with the discovery of  $PGC-1\alpha$ , initially described as a coactivator of the adipocyte-enriched nuclear receptor

PPARγ in brown adipose tissue (Puigserver et al. 1998). This work revealed that PGC-1α activated transcription of the NRF-1 gene and the expression of many downstream enzymes and proteins involved in mitochondrial biogenesis and function including respiratory uncoupling, a key process for thermogenesis in the brown adipocyte (Puigserver et al. 1998). Subsequently, it was shown that PGC-1a is expressed in heart, where mitochondria are responsible for high levels of ATP production, serving as coactivator for PPARa and ERRa in addition to NRF-1 (Vega et al. 2000; Huss et al. 2004). PGC-1a enhances gene transcription by interacting directly with its target transcription factors, serving as a platform to recruit molecules that mediate chromatin remodeling via histone acetyltransferase (HAT) activity and by recruiting components of the transcriptional machinery, including RNA polymerase II, to activate transcription of its target genes (Ge et al. 2008). Interestingly, PGC-1α expression is induced by a variety of upstream cellular signaling pathways, thus serving as a transducer of cellular energy stores and physiological stimuli that demand increased energy requirements such as exercise, cold exposure, and fasting (Kelly and Scarpulla 2004; Lin et al. 2005; Finck and Kelly 2006; Handschin and Spiegelman 2006). Thus, PGC-1a orchestrates the activity of multiple transcription factors in response to physiological stimuli to control mitochondrial functional capacity (Fig. 1). PGC-1α is a member of a family that includes PGC-1a (Lin et al. 2002) and PGC-1-related coactivator (PRC; Andersson and Scarpulla 2001; Kressler et al. 2002; Puigserver and Spiegelman 2003). The PGC-1β molecule has significant homology with PGC-1α and, as described below, shares many if not all of its functions in striated muscle (Kelly and Scarpulla 2004; Lai et al. 2008; Zechner et al. 2010).

## THE ROLE OF THE PGC-1 GENE REGULATORY CASCADE IN CARDIAC METABOLIC MATURATION

#### **Perinatal Fuel Shifts**

At birth, the heart undergoes a dramatic shift in fuel preference from relying on glucose and lactate during the fetal period to the use of fatty acids (FA) oxidized in mitochondria after birth. This change in cardiac energy substrate utilization is accompanied by a surge in the expression of PGC-1 $\alpha$  and  $\beta$  mRNA and downstream targets initiating a burst of mitochondrial biogenesis (Lehman et al. 2000; Lai et al. 2008). The importance of this perinatal metabolic maturation for postnatal cardiac function is best exemplified by the dramatic phenotype of mice with combined PGC-1 $\alpha\beta$  gene disruption (PGC-1 $\alpha\beta$ - $^{-/-}$  mice) that die several days after birth from heart failure (Lai et al. 2008; Fig. 2). In addition, mice deficient for ERR $\gamma$ , which is coactivated by PGC-1 $\alpha$  (as shown in Fig. 1), fail to switch to using FA as a fuel source, leading to an early postnatal death (Alaynick et al. 2007).

#### **Mitochondrial Biogenesis**

Early studies in cultured cardiac myocytes and in cardiac-specific transgenic mice demonstrated that forced expression of PGC-1 $\alpha$  is capable of activating virtually all aspects of mitochondrial biogenesis in heart, including increased expression of OXPHOS and FAO genes, increased mitochondrial number, and augmentation of mitochondrial respiratory capacity (Lehman et al. 2000). Chronic transgenic overexpression of PGC-1 $\alpha$  in mice triggers uncontrolled cardiac mitochondrial proliferation, leading to a loss of sarcomeric structure and a fatal cardiomyopathy at an early age. To assess a more physiologically relevant induction pattern of PGC-1 $\alpha$  in heart, a tissue-specific, doxycycline-inducible transgenic mouse expression system was developed (Russell et al. 2004). Induction of PGC-1 $\alpha$  expression beginning immediately after birth resulted in a dramatic increase in number and size of mitochondria. However, cardiac overexpression of PGC-1 $\alpha$  in the adult resulted in a minimal increase in mitochondrial number and mitochondrial ultrastructural derangements (Russell et al. 2004). Taken together, these results indicate that PGC-1 $\alpha$  is

sufficient to drive mitochondrial biogenesis in the postnatal heart. However, it appears that the adult heart may not always be permissive for this program.

Complimentary loss-of-function studies in mice have confirmed the necessity of PGC-1a and the related coactivator, PGC-1β, for perinatal mitochondrial biogenesis and cardiac function (Lai et al. 2008). Interestingly, mice with targeted ablation of the genes encoding either PGC-1α or PGC-1β have a minimal phenotype until faced with a physiological stress that increases demands on the mitochondrion for fuel utilization and ATP production (Lin et al. 2004; Leone et al. 2005; Lelliott et al. 2006; Sonoda et al. 2007). For example, PGC- $1\alpha^{-1}$ mice are unable to exercise rigorously on a treadmill compared with wild-type counterparts (Leone et al. 2005), and fasting leads to hepatic steatosis (Leone et al. 2005). The mild phenotypes of the single PGC-1α and PGC-1β mouse "knockout" lines strongly suggested that the two related transcriptional coactivators serve redundant functions, at least in tissues with high mitochondrial content such as heart and skeletal muscle. To formally test this hypothesis, mice that were doubly deficient in both PGC-1α and PGC-1β were generated. As predicted, the phenotype of PGC- $1\alpha\beta^{-/-}$  mice was dramatic; they died early after birth of severe heart failure (Fig. 2B; Lai et al. 2008). Electron microscopy studies of PGC-1α/β null heart sections over a time period ranging from the late fetal stages into the first 48 h after birth revealed that the normal perinatal mitochondrial biogenic response was completely absent in the PGC-1α/β-deficient mice in heart (Fig. 3; Lai et al. 2008). Mitochondrial ultrastructural abnormalities were also noted in the PGC-1α/β-deficient heart (Fig. 3). These results demonstrated that the PGC-1 gene regulatory circuit is necessary for the surge of perinatal mitochondrial biogenesis in heart and for postnatal heart function and survival.

### FUEL METABOLIC AND ENERGETIC DERANGEMENTS IN MYOCARDIAL DISEASES

#### Fuel Switches in the Hypertrophied and Failing Heart

Numerous studies have demonstrated that myocardial fuel utilization patterns are reprogrammed in the hypertrophied and failing heart (Taegtmeyer et al. 2004). The direction of the "fuel shifts" varies with the etiology and severity of ventricular dysfunction. Pathologic cardiac hypertrophy and heart failure caused by chronic pressure overload, such as occurs with hypertension, results in the myocardium shifting away from mitochondrial FAO, relying predominantly on glucose as the chief energy substrate (van Bilsen et al. 2004). This metabolic reprogramming is commonly referred to as a "fetal" shift owing to the fact that, as described above, during fetal development, myocardial ATP is mainly generated largely by glycolysis (Neely et al. 1972). Consistent with these observations in animal models, cardiac positron emission tomography studies in humans have shown a shift away from FA utilization with hypertensive cardiac hypertrophy (Davila-Roman et al. 2002; de las Fuentes et al. 2003). Conversely, the diabetic myocardium relies largely and, at times exclusively, on FA as the main energy substrate, with the contribution of glucose to ATP production being minimal (Paulson and Crass 1982; Rodrigues et al. 1995; Stanley et al. 1997; Belke et al. 2000; Carley and Severson 2005).

The central question of whether cardiac fuel metabolic shifts are "bystanders" of the underlying disease process or actually contribute to the etiology of pathologic cardiac remodeling is the focus of intense investigation. The best evidence to support a causal role for metabolic derangements in the development of cardiac dysfunction is the observation that children with genetic defects in FAO enzymes, which force the heart to rely on glucose, often develop cardiomyopathy (Kelly and Strauss 1994). However, partial shifts away from mitochondrial FAO may serve an early compensatory role to maintain contractile function while minimizing oxygen consumption costs.

#### **Mitochondrial Derangements**

The adult heart undergoes distinct forms of hypertrophic growth in response to physiological and pathophysiological loading. Discrete structural, metabolic, and molecular signatures distinguish pathologic from physiologic forms of cardiac hypertrophic growth (Iemitsu et al. 2001). Whereas pathologic hypertrophy is an independent risk factor for future heart failure and death (Vakili et al. 2001), physiologic hypertrophy may be cardio-protective. Physiologic hypertrophy, as occurs during postnatal development or with endurance training ("runner's heart"), enhances functional capacity through coordinated increases in cardiac mass and oxygen consumption, thereby matching mitochondrial respiratory capacity with energetic demand (Strom et al. 2005). Pathophysiologic stressors, such as chronic pressure overload or following an ischemic insult, induce pathologic hypertrophic growth accompanied by dissociation of increased cardiac mass and workload from available energy reserves (Anversa et al. 1978; Kayar and Weiss 1992). Initial metabolic and structural growth responses during pathologic hypertrophic growth are likely to be adaptive. Over time, however, ATP synthetic capacity becomes diminished in the pathologically hypertrophied heart. Magnetic resonance spectroscopy has shown reduced "high-energy" phosphate stores (phosphocreatine or PCr) in pressure-overload-induced ventricular hypertrophy in animal models and in humans that progressively declines during the transition to heart failure (Ingwall et al. 1985; de Roos et al. 1992; Tian et al. 1996; Ingwall and Weiss 2004). Creatine-kinase-mediated flux between PCr and ATP is reduced in failing human hearts (Weiss et al. 2005). The [PCr]/[ATP] ratio correlates with heart failure severity and is a strong predictor of cardiovascular mortality (Neubauer et al. 1997; Neubauer 2007). Such observations support the notion that, regardless of primary etiology, functional deterioration of the hypertrophied heart probably has an energetic basis.

Genetic evidence for a link between mitochondrial dysfunction and heart failure is also compelling. Mutations in both nuclear- and mitochondrial-encoded genes account for heritable respiratory chain defects that cause cardiomyopathy in humans (Wallace 1999; DiMauro and Schon 2001; Larsson and Oldfors 2001; Kirby et al. 2004). Respiratory chain defects typically present as multisystem dysfunction, disproportionately affecting organs with high ATP demand, such as heart, skeletal muscle, and the central nervous system. Cardiomyopathy may develop during childhood or at later ages. Mouse models of mitochondrial dysfunction have also provided important information. Tfam is a nuclear-encoded mitochondrial transcription factor necessary for mitochondrial biogenesis and gene expression. Cardiac-specific Tfam<sup>-/-</sup> mice exhibit reduced respiratory capacity and mitochondrial DNA in the heart before birth, coincident with a high neonatal mortality (Li et al. 2000). Surviving animals develop compensated cardiac hypertrophy, which progresses to dilated cardiomyopathy, leading to death by 4 months of age (Hansson et al. 2004). Collectively, these observations have led to the hypothesis that the cardiac remodeling en route to heart failure is driven, in part, by a relative mitochondrial insufficiency.

### Derangements of the PGC-1 Gene Regulatory Circuit in the Hypertrophied and Failing Heart

The alterations in mitochondrial function and fuel metabolic abnormalities that occur in the hypertrophied and failing heart are due, at least in part, to dysregulation of the PGC-1 regulatory circuit. The expression of PGC-1a and its downstream targets, ERR and PPAR, has been shown to be down-regulated in animal models of pressure-overload-induced cardiac hypertrophy and heart failure and in humans (Sack et al. 1996; Lehman and Kelly 2002; Sihag et al. 2009). The down-regulation of PPARa and its downstream target genes occurs early during pathologic hypertrophic growth, indicating that this is likely to be a fundamental event in the fuel shifts that are known to accompany cardiac hypertrophy (Sack et al. 1997).

## ETIOLOGY-SPECIFIC METABOLIC DERANGEMENTS IN HEART FAILURE: LESSONS LEARNED FROM TARGETING THE PGC-1 CASCADE IN MICE

#### **Hypertensive Heart Disease**

Long-standing hypertension (pressure overload) is an important cause of heart failure in humans. Several lines of evidence, based on modeling these diseases in wild-type and genetically modified mice, suggest that the deactivation of components of the PGC-1 gene regulatory network contributes to heart failure. For example, mice with targeted deletion of the gene encoding ERRa, a key PGC-1a target, develop heart failure in association with PCr depletion and reduced maximal rates of ATP synthesis following an ischemiareperfusion insult—metabolic signatures of human heart failure (Huss et al. 2007). Transverse aortic constriction, which models the chronic pressure overload of hypertension, caused robust heart failure in both PGC- $1\alpha^{-/-}$  and PGC- $1\beta^{-/-}$  but not in wild-type mice (Arany et al. 2006; Lu et al. 2010; Riehle et al. 2011). Interestingly, cardiac-specific overexpression of Cdk9 in mice, which results in decreased PGC-1a expression, also leads to severe heart failure in mice associated with myocyte death (Sano et al. 2004). Taken together, these recent findings suggest that, in the context of a mechanical stress such as chronic pressure overload, chronically reduced levels of PGC-1a predispose the heart to pathological remodeling related to energy starvation caused by mitochondrial dysfunction. It is likely that mitochondrial dysfunction in this context also predisposes to the generation of high levels of reactive oxygen species and cell death. The established link between reduced PGC-1a expression and pathologic cardiac remodeling makes the PGC-1 cascade an appealing therapeutic target for heart failure due to chronic hypertension (and other diseases).

#### **Heart Disease Caused by Obesity and Diabetes**

We are witnessing a virtual pandemic of obesity that is driving a dramatic increase in type 2 diabetes. The leading cause of death in the diabetic population is cardiovascular disease, including a unique form of heart failure. The metabolic derangements that characterize cardiac dysfunction occurring in the obese and diabetic population probably involve derangements in the PGC-1 transcriptional regulatory network, but in ways that is distinct from the early events driving pathologic cardiac remodeling owing to hypertension. First, it is important to note that, in contrast to the "fetal" switch in fuel utilization that occurs in response to chronic pressure overload, the insulin-resistant and type 2 diabetic heart has reduced capacity for glucose utilization and increased reliance on FA, a substrate that is catabolized in the mitochondrial FAO pathway. Whereas the normal heart is equipped for high-capacity FAO, the loss of flexibility to switch back and forth between glucose and fats, together with the extraordinary high rates of delivery of FA to the heart, leads to a scenario referred to as "lipotoxic cardiomyopathy." Lipotoxic cardiomyopathy manifests when high rates of FAO are associated with accumulation of neutral lipid in the myocyte, predominately in the form of triglyceride in lipid droplets. The mechanistic basis for the development of heart failure relevant to the accumulation of lipid in high rates of FAO is not clear. However, as described below, accumulation of triglyceride is a key signature in the vast majority of mouse models of lipotoxic cardiomyopathy (Chiu et al. 2001; Finck et al. 2002, 2003; Yagyu et al. 2003; Haemmerle et al. 2006).

Early studies focused on animal models revealed that reprogramming of the heart to oxidize and store lipid in the insulin-resistant and diabetic state involved chronic activation of the nuclear receptor PPAR $\alpha$ , which, as described above, drives almost every step of FA uptake and oxidation. Interestingly, activation of PPAR $\alpha$  in the lipotoxic heart is directionally opposite of the dysregulation that occurs in response to chronic pressure overload and provides a signature to distinguish these types of heart failure based on etiology and

metabolic signatures. Mice with cardiac-specific overexpression of PPAR $\alpha$  (MHC-PPAR $\alpha$  mice) were developed to model the metabolic derangements known to occur in the diabetic heart (Finck et al. 2002, 2003). MHC-PPAR $\alpha$ , mice exhibit many, if not all, of the signatures of the diabetic heart, including high rates of FAO, low rates of glucose oxidation, triglyceride accumulation in cardiac myocytes, and the development of a cardiomyopathy that is worsened by a high-fat diet. The importance of the lipid accumulation signature in signifying the development of cardiomyopathy was demonstrated by the rescue of MHC-PPAR $\alpha$  mice when bred into a CD36-null background (Yang et al. 2007). CD36 is one of the major FA transporters for the cardiac myocyte, and its deficiency blocked the FA overload of the diabetic and MHC-PPAR $\alpha$  heart. Similarly, MHC-PPAR $\alpha$  mice bred into mice that lack lipoprotein lipase (LPL) in heart were also rescued, presumably because most of the extra FA that accumulates in the lipotoxic heart is derived from the VLDL particle (Duncan et al. 2010).

How does chronic activation of PPAR $\alpha$ , and corresponding lipid metabolic derangements, lead to cardiac dysfunction? Although this question has not been completely resolved, several lines of evidence suggest that this ultimately relates to reduced mitochondrial function owing, in part, to the loss of PGC-1-mediated control. Studies conducted in insulinresistant and diabetic mice have demonstrated that in the early stages of insulin resistance, the activity of both PGC-1 $\alpha$  and PPAR $\alpha$  is high—an adaptive response of the heart to increased FA delivery, increasing the capacity for mitochondrial FAO (Duncan et al. 2007). However, it is believed that in the later stages of this process, when diabetes sets in, PGC-1 $\alpha$  levels fall and mitochondrial dysfunction ensues, driving the development of heart failure in a final common pathway that is not dissimilar to that described for hypertensive cardiomyopathy (Fig. 4).

A key question for this mechanistic model relates to the mechanism whereby PGC-1a levels fall. Recent evidence suggests that this late-stage fall in PGC-1a levels may be due to the effects of innate immune signaling. It is well known that, in the obese state, adipose tissue elaborates a number of cytokines referred to as "adipocytokines." Some of these factors activate the cellular innate immune response through the Toll-like receptor 4 (TLR4). We hypothesized that activation of the innate immune system of cardiac myocytes could alter mitochondrial function and PGC-1a. To this end, lipopolysaccharide (LPS), a potent activator of TLR4, was administered to mice (Schilling et al. 2011). As expected, the wildtype mice developed reduced cardiac function. Interestingly, levels of both PGC-1a and PGC-1 $\beta$  were markedly diminished in response to LPS in vivo and in cardiac myocytes in culture. In addition, LPS increased myocardial triglyceride levels. The mechanism whereby LPS reduces PGC-1 gene expression was shown to be NF-xB dependent, at least in the cardiac myocytes. Taken together, these results suggest that the lipotoxic cardiomyopathy that develops in states of obesity and diabetes relates, in part, to a fall in the coordinated activation of PGC-1 and PPARa, as shown in Figure 4. Whereas PPARa activation persists, the fall of PGC-1 levels, possibly in response to inflammatory signals, results in an inability to maintain high mitochondrial respiratory capacity in the context of continued FA uptake, leading to a vicious cycle of further accumulation of lipid and mitochondrial dysfunction.

#### SUMMARY

In summary, the pathologic cardiac remodeling that is known to occur in common forms of heart failure probably has a significant metabolic component. Interestingly, the corresponding derangements in fuel metabolism signify different pathogenic pathways for hypertensive (and probably ischemic) versus diabetic forms of heart failure. However, the final common pathway is likely to be mitochondrial dysfunction. Significant evidence indicates that the fuel metabolic derangements and mitochondrial defects of the

hypertrophied and failing heart involve alterations in PGC-1 signaling. Given that the events driving the metabolic derangements differ based on etiology, there is great hope for the development of therapeutics that are targeted at etiology rather than a "one size fits all" treatment strategy for heart failure. An important future area of investigation relates to the identification and validation of biomarkers that will accurately define the heart failure disease phenotype, leading to metabolic modulator therapy aimed at the appropriate etiology in the very early stages.

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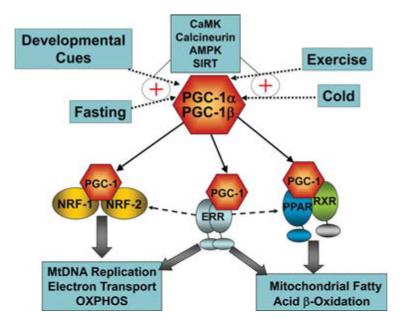
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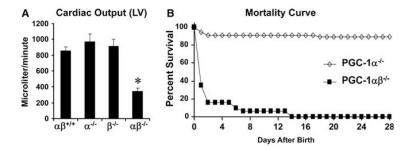
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**Figure 1.** The PGC-1 gene regulatory circuit. PGC-1, PPAR $\gamma$  coactivator-1; NRF, nuclear respiratory factor; ERR, estrogen-related receptor; PPAR, peroxisome proliferator-activated receptor; OXPHOS, oxidative phosphorylation; RXR, retinoid X receptor.



**Figure 2.** Lethal early postnatal death due to heart failure in PGC-1αβ-deficient hearts. (*A*) High-resolution echocardiography was performed within a few hours after birth. Bars represent left ventricular (LV) performance (cardiac output) among the four genotypes measured in microliters per minute; \*, p=0.5 compared with other groups. (*B*) Mortality curve depicting the percent of survival of male and female PGC-1α<sup>-/-</sup> (diamonds, n=55) and PGC-1αβ-deficient (PGC-1αβ- $^{-/-}$ ; squares, n=31) pups 28 days after birth. (Figure modified from Lai et al. 2008.)

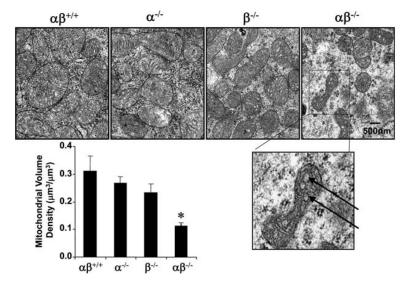


Figure 3. Perinatal mitochondrial biogenesis is blocked in PGC-1αβ hearts. Representative electron micrographs of cardiac muscle sections (left ventricular free wall) at day 1 after birth. Arrows denote vacuoles within the mitochondria. Quantitative measurements of the cellular volume density for the mitochondrial fractions based on analysis of electron micrographs reveal that the perinatal increase in mitochondrial density is completely blocked in the PGC-1αβ-/- mice. In the graph, bars represent mean  $\pm$  S.E.M.; \*, p 0.5 compared with other groups. (Figure modified from Lai et al. 2008.)

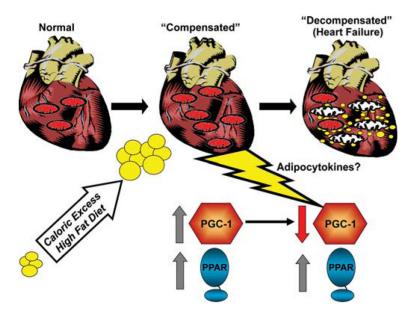


Figure 4. Mechanistic model for the development of lipotoxic cardiomyopathy. Caloric excess outstrips the capacity for adipose storage, increasing delivery of circulating FA to the heart, which leads to an adaptive induction of PPARa and PGC-1a and an increase in capacity for fat oxidation and mitochondrial biogenesis. Over time, PGC-1a levels fall and mitochondrial dysfunction ensues, leading to a vicious cycle of myocyte lipid accumulation and mitochondrial dysfunction.